

**Conceptualizing the implementation of Lesson Study in  
Kazakhstan within a Social Theory framework.**

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3 **Conceptualizing the implementation of Lesson Study in Kazakhstan within a Social Theory**  
4 **framework.**  
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6  
7 **Abstract**  
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10 **Purpose:** The aim of the study is to provide an analysis of processes in operation during the  
11 implementation of a reform programme in Kazakhstan culminating in the widespread adoption of  
12 Lesson Study.  
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14 **Methodology**

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16 The study is positioned within a critical realist theoretical perspective, drawing on Archer's social  
17 theory to focus on the social world of the school while changes to classroom practice are being made.  
18 This is a case study using process tracing methods to analyse how school actions and interactions are  
19 used during the change process resulting in widespread implementation of Lesson Study.  
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22 **Findings**

23  
24 Three key mechanisms for implementing the structural changes are identified; increasing teacher's  
25 pedagogical knowledge, collaborative working structures and active collective inquiry.  
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27  
28 The capacity to change practice is underpinned by reflection on classroom interactions and in having  
29 the necessary skills and available time to analyse the effect on pupils' learning. Engaging in reflexive  
30 deliberation is dependent on having access to new knowledge, together with the opportunity to  
31 collaborate in supportive groups.  
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35 **Originality / value**  
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38 This study provides an insight into what changes were made and why these support the spread of  
39 Lesson Study in Kazakhstan, drawing on Archer's social theory and using theory building process  
40 tracing methods to delve deeper into the empirical fingerprints left during the intervention. Lesson  
41 study is an important structural factor which is still supporting change in Kazakhstani classrooms.  
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46 **Keywords:** Kazakhstan, Lesson Study, social theory, critical realism, process tracing  
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48 **Paper type:** Research paper  
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## INTRODUCTION

Lesson Study (LS) is now widely recognized as a driver of teacher-led professional development in many education systems around the world (Lewis, 2002; Takashi & Yushida, 2004; Nakano, 2008; Robinson & Leikin, 2012; Kim-Eng Lee & Mun Ling, 2013; Dudley, 2015; Akiba & Wilkinson, 2015; Hadfield & Jopling, 2016; Rappleve, J. & Komatsu, 2017; Elliott, 2019). Recent research provides strong evidence that the LS structure of; reflective cycles, collaborative teacher planning, focussed classroom observation and subsequent analysis, is the foundation for reflective discussion, development and further planning (Prenger, Poortman & Handelzalts, 2017; Coenders & Verhoef, 2019; Elliott, 2019; Mayrhofer, 2019; Mynott, 2019; Vermunt et al , 2019 ). However, the precise mechanisms employed and the model of Lesson Study adopted varies depending on the ultimate purpose of using classroom focused development of practice (Norwich, B, 2018; Takahashi & McDougal, 2019). In the literature, there are examples of Lesson Study being used as a vehicle for curriculum development (Lewis & Tokashi, 2013; Chen & Yang, 2013; Tan-Chia, Fang & Chew Ang, 2013; Kuno, 2015,) and in the development of teaching materials and textbooks. Teachers in Kazakhstan are now also familiar with the benefits of LS (Alimov, 2017; Khokhotva, 2018).

### THE CONTEXT OF INTRODUCING LESSON STUDY

There is a growing global trend by education systems to improve teaching and learning and this is also the case in Kazakhstan. The government of Kazakhstan is committed to improving the educational opportunities of teachers and students (Bridges 2014, OECD 2014). For this reason, a large scale, long term programme of development is underway which has already had a significant effect on reviewing teaching and learning approaches and is, at the time of writing, focussed on updating the curriculum. As a result, teachers' professional development has become a high priority in the reform agenda of the Ministry of Education and Science of the Republic of Kazakhstan (MoESRK). To expedite this process, in May 2011, the MoESRK set up the Centre of Excellence (CoE) under the auspices of the Autonomous Education Organisation (AEO) "Nazarbayev Intellectual Schools" (NIS). An important function of NIS is to serve as centres of research and development in which new approaches to curriculum, pedagogy and assessment are trialled and then 'transferred' across the country (Shamshidinova, Ayubayeva & Bridges, 2014).

To develop and support the implementation of new programmes, the CoE collaborated with the University of Cambridge's Faculty of Education (FoE) to introduce a three-level programme for in-service teacher education. These programmes were deigned to realign the focus of teaching towards pupil's learning and so a 'bottom up' approach to change was adopted.

(Insert Figure 1 about here)

Figure 1 provides a summary of the three level cycles of introduction of the CoE programmes. Each cycle of training involved four-week preparatory face to face training in groups of 25 teachers, followed by a further four weeks back in the teachers' own classroom and school piloting new ways of working, culminating in a further four-week period of face to face study and reflection with the same 25 teachers. The first courses focussed on classroom teaching and extended teacher professional knowledge so that teachers increased opportunities for pupils to become independent, self-motivated, engaged, confident, digitally competent, responsible and critically reflective learners. The second stage added coaching and mentoring training of teachers and the third programme supported senior school leaders to carry out whole school development planning so that structures and time were allocated to allow lesson study to grow as a process of collective inquiry. In essence, the in-service CoE multi-level teacher education programme provided further and often new ways of thinking about teaching and learning and different ways of working in schools and classrooms. To help with implementation, systems and structures integral to the programme design promoted the adoption of the programmes. Lesson study was introduced in the CoE programme as an important driver of classroom changes which continues to support the development of teachers' professionalism.

(Insert Figure 2 about here)

Figure 2 shows how Lesson Study has spread in Kazakhstan since it was first introduced in 2012. In 2015, CoE became an official member of the board of the World Association for Lesson Study (WALS) and at the time of writing, LS training teams have gone on to take on the vital role of leading practice-based inquiry both within Kazakhstan and beyond in neighbouring countries.

#### HOW HAS LESSON STUDY DEVELOPED WITHIN THE COE PROGRAMME

The ultimate goals of the CoE programme are to increase teachers' professionalism and ensure that the changes to practice and the curriculum are sustained. Prior to the CoE development teachers were required to take part in a regular teacher evaluation process. As a result, there is already a long tradition of teachers observing each other teach. This means that LS was readily adopted because it was perceived as an extension of the established 'Open Lesson' requirement. However, the focus of the open lessons was mostly on teacher performance whilst LS focuses on pupils learning. The next sections will explain how the process of implementing LS was introduced and why LS supports teacher's learning.

#### *Theoretical Framework*

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3 The study reported here is positioned within a critical realist theoretical perspective (Bhaskar 1978)  
4 focusing on the processes which take place in the social world of the school and classroom while  
5 changes are being made. Archer (2007) argues that the reality of everyday social situations can be  
6 usefully reflected by consideration of three fundamental components of social life – structure,  
7 agency and culture. Although structure, agency and culture are considered to be discrete factors, in  
8 social systems they are always closely interlinked.

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10 Structures related to schools and classrooms are defined in this study as; leadership systems, pupil  
11 groupings, teacher groups, access to knowledge sources and approaches adopted by teachers.  
12 Agency is defined as the individual and collective actions and interactions and the choices that  
13 teachers are allowed to make when adopting subject content and pedagogical methods. Culture is  
14 defined as the intentionality and reasoned motivation in teachers' actions based on ideas, beliefs  
15 and values about pupils' learning which are deeply held, difficult to uncover and slower to change.  
16 Classroom structures such as organisation of groups of learners and the teaching approaches used  
17 are created by mutually sustaining cultural schemas based on beliefs about how children learn. The  
18 cultural schema is often perpetuated by the use of text books and habitual classroom practices.  
19 The CoE programme is based on the premise that it may be possible to change structures by  
20 persuading teachers to experiment with different ways of working, supporting them while they do  
21 this with pedagogical strategies and evaluation tools so that ultimately there might be sustained  
22 changes to cultural schema.

### 33 34 35 36 37 *Generative Mechanisms and Morphogenesis*

38 The frame of reference used to study the change process is based on Archer's (1995) theory of  
39 morphogenesis which refers to change, (genesis) in the shape of things (morpho). Archer's  
40 morphogenetic model is a retrospective process for explaining social action which describes a  
41 continuum from pre-existing structures, the engagement of agents with new structures, and the  
42 point at which those structures are reproduced (morphogenesis) in behaviour. Although classroom  
43 research is open to subjective interpretations, Archer's 'generative mechanisms' (2017) provides a  
44 framework to analyse social relations over the course of time. By digging deeper and identifying  
45 structures, agency and culture of the social situation before and after implementing the CoE  
46 programmes it may be possible to infer what the mechanisms are which have helped to bring about  
47 the changes observed during the research field work. Table one represents the inferred generative  
48 mechanism of change for the CoE programmes of which LS forms an important part.

49 T1, in Table one, represents the system as it already was before the intervention, which Archer  
50 refers to as the structural conditioning. For this study this was a prevailing cultural schema  
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3 dominated by teacher pedagogies which focussed on teachers' performance. At a whole country  
4 level, the legacy of the Soviet system was one in which the authorities restricted the ability of  
5 teachers to develop a separate professional identity, controlling the degree to which teachers could  
6 influence the nature of teacher education, educational research, and the type of professional  
7 associations teachers could join (Webber, 2000). Consequently, initial observations of classrooms  
8 and interviews with teachers showed that the cultural script prevailing was that of teachers believing  
9 that there was no need to change classroom teaching. The argument being that many children in  
10 schools received accolades in the form of Olympiad recognition for sciences and mathematics  
11 achievement. However, teachers followed the same prescribed curricula, used the same materials  
12 and approaches with all the children and believed that the reason that many disaffected students  
13 opted out of lessons was because they were 'slow' learners.

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22 The implementation of the CoE programmes is represented by the highlighted part, T2 – T3 in table  
23 one. Revised structures were introduced which are designed to challenge the prevailing cultural  
24 script and to offer new ideas and ways of working with teacher colleagues and pupils. By definition,  
25 Archer's 'Morphogenesis' would be seen to have taken place if new ideas have been adopted and  
26 changes have been made to the way of working and interacting in classrooms. T4 represents the  
27 situation eight years after the first programmes were introduced. One notable outcome of the  
28 overall reform programme has been the widespread embedding of Lesson Study in Kazakhstani  
29 schools. There are now teams of trained teachers in virtually all the schools throughout the country  
30 who are able to provide a nimble vehicle for bringing about classroom change through active LS  
31 groups.

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Consequently, there has been a marked shift towards focusing on pupils and planning for all pupils' learning. This claim is supported by strong evidence from published accounts of teachers carrying out Lesson Study in Pedagogical Dialogues, the in-house practice journal, published by Nazarbayev Intellectual Schools, in doctoral studies (Ayubayeva, 2018), teachers' attendance at the World Association of Lesson Study International conferences and in published papers (Alimov, 2017; Khokhtova, 2018).

This paper will focus on how this took place. However, because Archer 's theories are highly abstract Beach & Pederson's (2013) theory building process tracing methods are also used to delve deeper into actual structures and the mechanisms in action during the process.

(Insert Table One: Morphogenetic Change Sequence about here )

## **METHODOLOGY**

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3 The aim of the study is to understand the processes or mechanisms which were actually in operation  
4 during the implementation of the CoE programmes. To this end theory building process tracing  
5 methods have been adopted to analyse the 'bottom-up' case-based approach of school and  
6 classrooms in actions.  
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### 10 11 *Process tracing methods*

12 Theory building process tracing methods are widely used to delve deeply into complex changing  
13 situations. (Beach & Pedersen, 2013). Process - tracing attempts to identify the intervening causal  
14 process of the sequence of events taking place and account for these through explanatory  
15 mechanisms. Mechanisms are not causes, but rather are causal processes that are triggered by  
16 changes that link them with outcomes in a productive relationship. In this way process tracing  
17 methods are used to observe causal process through close-up qualitative analysis, rather than  
18 statistically estimating their effects across multiple cases. The first stage is to identify what the  
19 mechanisms are in action during the intervention programme and then analyse how the  
20 mechanisms are changing practice.  
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28 This paper will use data from a large-scale evaluation programme carried out in 2015 (Wilson, 2016,)   
29 relevant findings from OECD (2014, 2016, 2018) the World Bank publications (Saber, 2013) and the  
30 recently published results of the 2018 TALIS data (OECD, 2019). To understand how LS functions in  
31 Kazakhstan requires unpacking the implementation process theoretically and studying this  
32 empirically in the form of the traces left by the activities associated with each part of the process.  
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### 38 *'Empirical fingerprints'*

39 In December 2015 there were 7,667 schools across the regions of Kazakhstan, the vast majority  
40 (75%) of these schools are in rural areas where 52% of the 2.5 million students are educated  
41 Data collected early in the implementation stages of the CoE programme in 2013, recorded by two  
42 independent external examiners from different UK universities, when they visited schools in the  
43 large cities reported that:  
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48 *"We saw clear evidence of ways in which teachers' practice and their perception of learners is*  
49 *changing. For example, when using collaborative group work and involving pupils in learning*  
50 *activities, teachers reported being surprised by the achievements of children previously judged as*  
51 *'slower learners'. As one teacher said "If you change the strategy, a great deal can be achieved".*  
52 *"The evidence presented by several teachers showed that the children who made the greatest gains*  
53 *were those previously judged as 'cognitively weak'. To challenge deeply held assumptions in this way*  
54 *is a significant achievement of the programme. Across the whole programme, more than 43,820*  
55 *teachers have successfully completed levels three and two in a remarkably short time"* (External  
56 Examiners comments 2013).  
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3 In 2015, the CoE research team surveyed a random stratified sample of 3% (8,636) of the 297,293  
4 teachers using the TALIS (2013) teacher questionnaire and also 3% (217) of the 7,307 comprehensive  
5 schools headteachers using the TALIS principal's questionnaire. 94% of the 8,636 Kazakhstani  
6 teachers who had responded to the teacher questionnaire said that the courses and workshops have  
7 made an impact with 60% believing that this has made a very large impact on their teaching.  
8 Furthermore, 60% of the teacher respondents said that taking part in coaching and mentoring  
9 programmes also made a large impact on their practice. In addition, Qualitative data collected in  
10 each region included; interviews with teachers and principals, analysis of a range of documentation  
11 and observation details from classroom teaching and lesson study groups at work. The CoE report  
12 provided evidence of demonstrable changes to teacher professional knowledge and practices,  
13 together with evidence that there has been intellectual development and positive changes in  
14 attitude and motivation of pupils. By November 2015 there was a critical mass of schools throughout  
15 the country where at least one teacher had completed a CoE programme. This means that in 2015  
16 there were over 50,000 teachers in schools throughout Kazakhstan with recent Masters equivalent  
17 level qualifications. These teachers had completed a rigorous accreditation process for which they  
18 had to demonstrate that they could bring about change in their classrooms and schools. Data  
19 collected during school visits showed that access to reading and new approaches had opened up  
20 debates about teaching and learning and there had been a marked shift from compliance and  
21 passivity among teachers to increased curiosity and agency (Author, 2016). This was corroborated  
22 earlier in the 2014 OECD in- country report.

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25 *'Kazakhstan has invested much in improving the capacity and the learning conditions in its primary*  
26 *and secondary schools (OECD, 2014d), and the overall educational picture is impressive.'.....For*  
27 *teachers, new centres of excellence for pedagogical skills have been established in the NIS network*  
28 *and a three-tier system to train teachers was introduced to help them to upgrade their*  
29 *qualifications.' OECD, 2014, p66.*

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32 Furthermore, in all the schools visited, where headteachers had completed the CoE leadership  
33 course, whole school staff accountability was a key item in the school development plans. There is  
34 strong evidence of teachers' training needs being identified and then individual training being  
35 provided through coaching and mentoring programmes in the school. Active knowledge transfer is  
36 taking place in schools through embedded Lesson Study groups and Action Research projects with  
37 theory and practice closely linked. Also, there are newly created CPD rooms in most schools where  
38 teachers meet to discuss teaching and learning and to share their portfolios and handbooks with  
39 colleagues who have not attended training.

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42 Research carried out by Yakavets et al in 2017 reported that;



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*The practice of sharing experience and coaching by teachers who attended the CoE three-month teacher professional programme was mentioned by nearly all of the participants in the schools we studied. This was seen as an opportunity to build personal and interpersonal capacity within the schools, and overall as a positive development to improve teaching and learning. The school administration was willing to provide better equipped classrooms and different multimedia tools for trained teachers with the aim of advancing sharing practice.*

*Participants acknowledged that people who attended the CoE courses 'are different', namely 'teachers became more democratic'; they were perceived as 'advisors' on new teaching methods in some schools (Yakavets, Frost, & Khoroshash, 2017, p.356).*

The most recent data published in the 2019 OECD report based on the 2018 TALIS data shows that 94% of Kazakhstani teachers have participated in training based on peer learning and coaching and teachers believe that this collaborative approach to teaching is the most impactful form of learning (OECD, 2019).

86% of teachers in Kazakhstan appear satisfied with the training they receive and report that it has a positive impact on their teaching practice. This is higher than the average response for OECD countries in TALIS (82%). It is also the case that teachers who report participating in collaborative training tend to display higher levels of self-efficacy and job satisfaction.

93% of Kazakhstani teachers also report that they and their colleagues support each other in implementing new ideas. This is higher than the average response across the OECD countries participating in TALIS (OECD, 2019, p3).

The recent education policy outlook also identifies strengths within the school improvement process of Kazakhstan:

*"school-based professional development opportunities for teachers appear frequent and internal discussions to improve practices take place in schools and involve the teaching community" (OECD, 2018, p.13).*

(Insert Table Two about here)

## **ANALYSING MECHANISMS**

*What mechanisms are in action? What is changing?*

There appears to be three mechanism in operation; increasing teacher pedagogical knowledge, increasing the opportunity to collaborate with other professionals and collective inquiry in LS groups (Table two). The next sections map out these mechanisms and trace the implementation process of LS as it was introduced within CoE programmes.

*Developing Teachers' Pedagogical knowledge*

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3 Teachers pedagogical knowledge is understood to be the “deep knowledge about the  
4 processes and practices or methods of teaching and learning and how this knowledge  
5 encompasses, among other things, overall educational purposes, values, and aims” (Mishra  
6 & Koehler, 2006, p.1026).  
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10 LS actively promotes constant consideration of pupils’ learning. This feature of Lesson Study  
11 is a powerful way to ensure that teachers plan for and focus attention on individual pupils’  
12 learning whereas in Open Lessons teachers plan a performance and are judged on how well  
13 they carry out the lesson.  
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17 Furthermore, within the CoE framework for developing Pedagogical approaches, knowledge  
18 sharing is paramount. The collaborative nature of Lesson Study (collaborative planning, after  
19 lesson discussion, and the sharing of the results) actually ensures the wider exchange of  
20 knowledge but this is made possible because teachers are allowed time to reflect and  
21 deliberate on teaching and to collect evidence of pupils’ learning. This experience is gained  
22 both during the CoE training and later in school- based LS groups. The CoE programme  
23 ensures that there are teachers in the majority of schools who have extended pedagogical  
24 knowledge and a deeper understanding of how to work collaboratively to ensure that  
25 dialogic practices are used by LS groups.  
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### 36 *Encouraging Collaboration*

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38 At school level, classroom-based Lesson study promotes collaboration between teachers  
39 within and beyond schools as well as collaboration between teachers within their own  
40 region and beyond regional boundaries and with more ‘knowledge others’ (Swaffield,2004).  
41 Promoting collaboration and hence building social capital allow informal networks to create  
42 webs of understanding, influence, and knowledge (Daly, 2010). Donati (2014) defines social  
43 capital as the relational value of trust, cooperation and reciprocity. The formal and informal  
44 contacts made during CoE training extend professional networks with strong relationships  
45 promoting diffusion of innovation and communication of complex ideas (Daly, 2010).  
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47 In their recent international review of research on Lesson Study, Xu and Pedder, found  
48 twenty-one studies which identified a strong correlation between Lesson Study and teacher  
49 collaboration, reporting “an increase in teachers’ collegiality, joint decision making, and  
50 joint ownership and responsibility for teaching, leading to the cultivation of professional  
51 learning communities” (2015, p.40). Given the collaborative and reflective structure of LS, it  
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3 is indisputable that LS can be regarded as a teacher-directed form of professional  
4 development.  
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### 8 *Facilitating Collective inquiry*

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10 The third mechanism is about setting up structures and allowing teachers to engage in  
11 inquiry involving collective reflection on practice. There is a strong emphasis on reflective  
12 practice at all levels of the CoE programme where in order to achieve the aims of the  
13 programme teachers' written reflective accounts are part of the accreditation process. The  
14 premise for this is that in order to improve teaching and learning in Kazakhstani schools,  
15 teachers ought to be able to critically evaluate practice within their own classroom and  
16 schools, as well as open up their own lessons to scrutiny and to spend time deliberating and  
17 writing about this practice. For reflection to be purposeful teachers must believe that they  
18 can make a difference to pupils learning and take on the responsibility for this. However,  
19 having the will to change things is not enough, teachers need also to understand how to  
20 make changes and how to evaluate the effects of these changes on pupil learning. In other  
21 words, LS groups encourage teachers to think critically and reflexively (Ricks, 2011;  
22 Robinson & Leikin, 2012) and see themselves as agents of change within their own  
23 classrooms and in the wider school setting. The cyclical processes of "plan – teach/observe –  
24 discuss" structure of Lesson Study provides teachers with the opportunity to engage in  
25 collective reflective practice within learning communities (Chichibu & Kihara, 2013).  
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### 42 **HOW ARE THE MECHANISMS CHANGING PRACTICE?**

43 Beach & Pedersen (2013) use a machine analogy to help visualize process tracing  
44 mechanisms linking a cause and outcome. 'Each part of the theoretical mechanism can be  
45 thought of as a toothed wheel that transmits the dynamic causal energy of the causal  
46 mechanism to the next toothed wheel, ultimately contributing to producing outcome Y'  
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51 p29. The toothed wheel can be thought of as the entities of the mechanism, which are the  
52 people and structures who undertake the activities. The activities are the movements of the  
53 wheels which transmit forces through a mechanism (Machamer 2004; Machamer, Darden,  
54 and Craver 2000).  
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58 (Insert figure three about here)  
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3 In figure three the entities are shown as the cogged wheels and are defined as the  
4 components of social life of the classroom, namely; structure, agency and culture of the  
5 school (Archer, 2007). In this study, structure is defined; by the leadership roles in place,  
6 how teachers and pupils are grouped when they are learning, what access to new  
7 knowledge teachers have and the methods used when teachers and pupils learn. Agency is  
8 defined as the individual action and collective interactions and choices that learners make in  
9 the schools. The prevailing culture will be determined by the deliberative purposive action  
10 taken, what motivates teachers and pupils to act and the underlying beliefs.

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18 However, the actual producers of change are the activities which take place and these are  
19 shown as the movement arrows in figure 3. In this analysis there is also a temporal  
20 dimension; developing and extending teacher professional knowledge precedes,  
21 collaborating to develop professional knowledge which precedes engaging in collective  
22 inquiry to develop professional knowledge.

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27 The next sections elaborate on what precisely the activities are which are inferred as having  
28 driven change within the entities, the social life of the classroom categorised as structure,  
29 agency and culture (Table two). Both activities and entities are expanded on in table two.

### 30 31 32 33 34 *Becoming reflexive and deliberating about practice*

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36 For the first stage of the implementation of the CoE programme teachers work for an  
37 extended period away from the day to day pressures of school life, allowing them much  
38 needed time to read and think without interruption. There are usually twenty teachers in  
39 each group from different schools. Working in small groups helps to establish trusting  
40 relationships, while working with teachers from other schools brings new ideas and  
41 increases reciprocity. Together teachers feel more confident about discussing and thinking  
42 through problems. Each group also has a dedicated trainer who acts as a more  
43 'knowledgeable other'. This critical friend role brings new ideas into the school system and  
44 facilitates seminars, also creating a trusting and supportive working environment. Although  
45 teachers are challenged to justify their way of working this is carried out empathetically and  
46 does not threaten teacher identify. Informed professional conversations and inner dialogue  
47 are prominent features of this first face to face period. Teachers are asked to examine their  
48 beliefs about learning and to think reflexively about specific pupils in their actual classes so  
49 they can plan for their own intervention. Throughout the programme there is a conscious  
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3 effort to support teachers in making theory explicit and in linking this theory with actual  
4 practice in the teacher's own classrooms. The culmination of the first seminar programme  
5 phase is the application of new knowledge. Each teacher carries out a small-scale research  
6 study in their own classroom in which teachers collect data about pupils' learning and  
7 deliberate about their practice. Immediately after the school based small scale study  
8 period, teachers return to the same seminar working groups for a further period of intensive  
9 reflection, writing and preparation of a portfolio for accreditation. It is this intensive  
10 reflection on the process of studying actual practice which is highly transformational.  
11 Indeed, in interviews carried out with teachers, after attendance at a CoE programme and in  
12 follow up visits to classrooms sometime later, showed that teacher had revised their beliefs  
13 about how children learn and had changed their way of working to make their classroom  
14 more inclusive (Wilson, 2016). It is this period of intensive learning to become a reflexive  
15 teacher which is the vital first step towards being able to set up successful LS groups. If  
16 changes are to be made then teachers must be able to deliberate on practice and take a full  
17 part in functioning dialogic LS groupings.

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33 *Collaborating with experienced peers and new teachers to spread ideas.*

34 The second part of the generative mechanism follows the same blended pattern of  
35 integrating theory, practice and reflection but focuses on educating experienced teachers  
36 about the rationale and operation of coaching teachers who are peers and also on  
37 mentoring more junior new teachers. In order to gain accreditation, the coaches are  
38 required to work with a peer to diagnose an area to improve, to review evidence about the  
39 problem and discuss ways of changing the situation. The programme helps the coach to  
40 share the literal professional knowledge content of the CoE programme as well as practical  
41 help with on how to create a safe forum for professional dialogue and reflexivity to take  
42 place. This interim step of understanding how to motivate teachers to take part in LS  
43 groups means that experienced trained coaches are able to counter scepticism, censure and  
44 hostility to change. However, the joint planning and implementation is entered into willingly  
45 by teachers who want to develop practice, rather than by coercion by senior leaders. In this  
46 situation collaboration implies working on co planning and deliberating together and goes  
47 beyond following instrumental scripts to implement a task.  
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### *Engaging in LS collective inquiry groups*

The final stage is the establishment of collective LS inquiry groups. There is really strong evidence that LS has spread and been taken up by the majority of Kazakhstani schools (Saber, 2013; Wilson, 2016; Alimov, 2017; Yakavets et al, 2017; Ayubayeva, 2018; Khokhotva, 2018; TALIS, 2019). LS groups are often set up by teachers who have been educated through the CoE programme and so have both pedagogical knowledge and skills to be able to coach peers and lead change within the schools. This is captured in the following statement taken from a recent doctoral study;

*'I have completed the third-level CoE course for trainers. I can now work as a teacher trainer. I also trained a cohort of teachers from mainstreams schools before I joined this school. They all did well. Wednesday is the methodological day in our school. We have creative groups consisting of five teachers in each group. On this day, we conduct master-classes and share our experiences with our colleagues'* Ayubayeva, 2018, p249

Kazakhstani collaborative LS groups have common features.

- 1) *Shared goals*; LS groups have shared goals and seek to solve context specific problems by working together.
- 2) *School structures*; The collaborative LS groups are part of structural additions to the whole school development process.
- 3) *Time allocated*; There is a significant amount of time spent on planning and reflecting on new ideas and approaches.
- 4) *Reciprocity*; The CoE trained teachers bring in new materials to the professional conversations. However, experienced teachers with many years of service in the school, who know the pupils well, also provide important expertise.
- 5) *Sustained Regular Meetings*; The LS groups meet regularly over an extended period of time and have established trusting relationships, where open and honest reflective dialogue takes place.
- 6) *Focus on pupils*; Most importantly there has been a real shift in focus onto the pupils and their learning, and this is captured in the following statement.

*'You see, we never put ourselves into the students' shoes. Once we became the students, we could see the real struggle that they go through. I appreciated this approach so much. I will be better off in planning my lessons from now on'* (Ayubayeva, 2018 , p250 ).

### **CONCLUSION**

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3 Archer 's theories are highly abstract and so there is a danger that theory could over-determine the  
4 data. Therefore, process tracing methods have also been used to delve deeper into actual structures  
5 and cultures in an endeavour to increase the objectivity of the study so that justifiable arguments  
6 can be made.  
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10 *What do teachers do exactly?*

11 In the schools who embrace change, teachers work together to create new lesson sequences which  
12 are inclusive for all pupils. These schools have access to new pedagogical knowledge about extended  
13 ways of working and allow teachers time to experiment in classrooms to extend classroom practice.  
14 Teachers suggest that it is the chance to think and make collaborative judgments and that this has  
15 the biggest effect on changing practice.  
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20 *Why do some teachers change their practice?*

21 Teachers who commit to changing their practice have common values based on the belief that  
22 although pupils are different, they are all equally deserving of the teacher's time and effort. Many  
23 teachers are driven by a desire to improve their practices and increase their professionalism so that  
24 they can do their job well. Teachers who have been supported to attend courses feel duty bound to  
25 share their new knowledge and written support materials with colleagues in schools.  
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30 *Theoretical explanation*

31 This paper argues that the capacity to change practice is underpinned by being able to reflect on  
32 what is happening in classrooms and having the skills and time required to analyse the effect this has  
33 on pupils' learning. Engaging in reflexive deliberation is dependent on two structural factors, access  
34 to new knowledge and the opportunity to collaborate in supportive groups. The chain of events  
35 which shapes the structural situation in turn allows recurrent reflection on practice to happen. As a  
36 consequence, teacher agency is promoted through reflexive deliberation, or inner conversation. This  
37 activity constitutes personal power or capacity that emerges in significant part from the practical  
38 demands of operating within authentic classrooms. This authenticity, together with the time, space  
39 and knowledge plays an important role in determining why individual teachers act within the same  
40 socio-cultural context. This dependency is mediated through activity, such as exercising of powers of  
41 reflexive deliberation and the occurrence of social interaction over time. Teachers are enabled  
42 because of increased access to new knowledge, increased opportunity to collaborate and engaging  
43 in collective inquiry. It is these interactions which appear to have brought about social change in the  
44 schools and a redrafting of the cultural script.  
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38 МОНИТОРИНГ И ЭВАЛЮАЦИЯ ПРОГРАММЦЕНТРА ПЕДАГОГИЧЕСКОГО МАСТЕРСТВА Apollo -  
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T1: Starting Point	T2: CoE Intervention	T3	T4: Outcome
Structural Conditioning	Social Interaction		Structural elaboration
Teacher led pedagogies focusing on teacher performance reluctant to change.	Process Tracing analysis		Active Lesson study embedded in schools with teams of teachers capable of internal and external responding to changes

Table one: Morphogenetic Change Sequence

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	<b>T2</b>	<b>T3</b>
	<i>Part 1: Mechanism</i> <b>Developing and extending teacher professional knowledge</b>	<i>Part 2: Mechanism</i> <b>Collaborating to develop professional knowledge</b>
	<i>Part 3: Mechanism</i> <b>Engaging in collective inquiry to develop professional knowledge</b>	
<b>ACTIVITY</b>	Cognitive dissonance with current practice created by accessing school data. 'Buy in' to try new ways of working to focus on pupils. Professional support from a more knowledgeable critical friend. Connecting theory and practice. Apply knowledge. TIME away from the school	Trusting reciprocal working relationships established. Collaboration between teachers at different stages of their career and more knowledgeable colleagues in different schools and regions. Immediate evaluation of change process.
Reflexive, Collaborative, Collegial, Knowledge led, Time dependent.	Collegial teams of well-trained teachers and school leaders. Identify a common problem, think critically and work towards a solution to be carried out through Lesson Study. Networking in and beyond schools.	
	TIME in school to try out new ways of working TIME to carry out extended dialogue with colleagues. TIME for teachers to be reflexive and engage in internal conversations about practice TIME to write about research study	

### Entities: Social life of the classroom

<b>STRUCTURE</b>	<b>Extending knowledge</b> Extended blended face to face and school - based training with teachers from other schools. New ways of working with readings and written support materials In school teacher action research	<b>Collaboration</b> Teams of teachers who coach peers and mentor novices and other teachers.	<b>Collective enquiry:</b> Teacher learning communities in schools using lesson study groups as a key driver for classroom-based research and development.
Leadership, Grouping, Access, Methods.			
<b>AGENCY</b>	Teacher collaboration and support from critical friends. Teachers' supported to deliberate and reflect on practice. Teachers trusted to try out new ways of working.	Teachers co plan lessons with coaches and observe each other teaching. Reflecting on what was observed in professional conversations about practice.	Teacher trusted to set up and run school-based lesson study teams. Teachers share the outcomes of their work with other teachers beyond their school through practice journals and conferences. LS teams empowered to develop the professional learning of their colleagues.
Individual actions, Collective interactions, Choices.			
<b>CULTURE</b>	Revised cultural script: with time, space, evidence and knowledge of new ways of working it is possible to change classroom practice. Teachers are valued by the school and are rewarded for their work.	Teachers are willing to open up their practice to rational scrutiny. Willingness to change practice. Willingness to share knowledge.	Teachers focus their planning on pupils' learning and believe they are able to develop practice which includes all learners.
Intentionality, Reasoned motivation, Beliefs, values.			
<b>EMPIRICAL FINGERPRINTS, OBSERVABLES</b>	Teachers' portfolios: including detailed planning information, pupil's work, action research reports and reflective accounts.	Teachers' portfolios including coaching and mentoring plans. Teacher evaluation of coaching plans and access to reflective accounts. Interviews	Observation of Lesson study groups in action in schools. Reports and papers in journals. Presentations at conferences. Interviews with LS teams

Table two: Process tracing map of the three stages of the generative mechanisms

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International Journal for Lesson and Learning Studies

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Timeframe	Target group	Purpose	Lesson Study component
October 2012	<i>Level One</i> Senior leaders; Leading learning in schools	Enable classroom focussed school based collective inquiry	LS groups embedded in schools through collective inquiry
August 2012	<i>Level Two</i> Middle leaders; Coaching and mentoring	Spread new knowledge and increase teacher collaboration	LS practiced as collaborative process with a coach / mentor
January 2012	<i>Level Three</i> Classroom teachers; Leading learning in individual classrooms	Develop and extend teacher professional knowledge	LS introduced as a method of reflecting on classroom practice



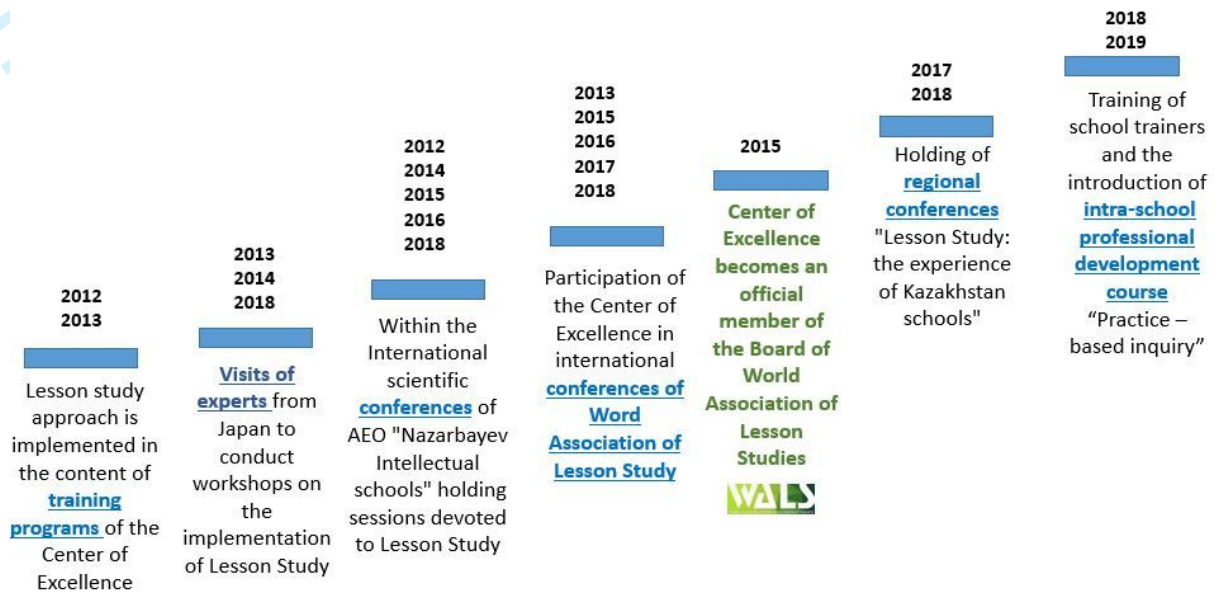


Figure 2: Time-line of introducing Lesson Study in Kazakhstan (Akimova & Wilson, 2019)

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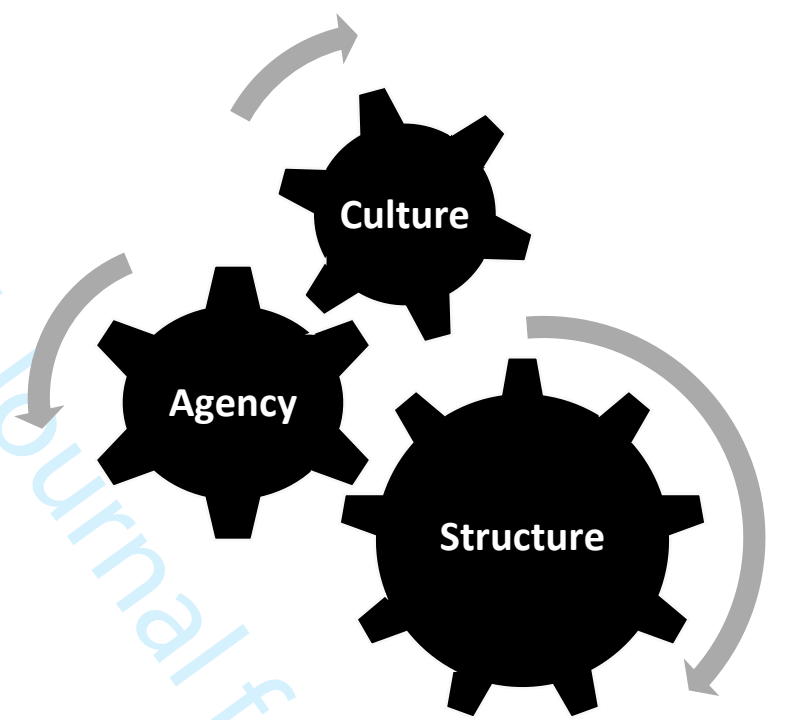


Figure 3: Theory building process tracing model.