

# 1                   **Microstructural Stability and Evolution in a New Polycrystalline Ni-base Superalloy**

2                   **G.J Wise<sup>a</sup>, N.L Church<sup>a</sup>, C.E.P Talbot<sup>a</sup>, P.M Mignanelli<sup>b</sup>, M.C Hardy<sup>b</sup>, N.G Jones<sup>a</sup>, H.J Stone<sup>a,\*</sup>**

3                   <sup>a</sup> Department of Materials Science and Metallurgy, 27 Charles Babbage Road, Cambridge, CB3 0FS, University of  
4 Cambridge, UK

5                   <sup>b</sup> Rolls-Royce plc., PO BOX 31, Derby, DE24 8BJ, UK

6                   \* Corresponding author email: [hjs1002@cam.ac.uk](mailto:hjs1002@cam.ac.uk)

7                   **Keywords:** Ni-base superalloy; Microstructural Stability; Precipitate Coarsening

## 8                   **Abstract**

9                   Polycrystalline Ni-base superalloys that offer equivalent or superior performance to current commercial alloys,  
10 at lower overall cost are of widespread industrial interest. In this work, a new polycrystalline Ni-base superalloy  
11 with low elemental cost has been characterised and compared to current commercially available alternatives.  
12 Through a combination of scanning electron microscopy, thermal analysis, synchrotron X-ray diffraction and  
13 hardness testing, a broad preliminary investigation of fundamental alloy properties has been performed,  
14 identifying key areas for further alloy development opportunities.

## 15                   **Introduction**

16                   The increasing service requirements for polycrystalline Ni-base superalloys used in civil aviation and power  
17 generation applications has continued to motivate the development of new alloys[1,2]. Much of this activity  
18 has focussed on increasing the volume fraction of strengthening precipitates, primarily by increasing the  
19 content of the precipitate forming elements Al and Ti[3–6]. In addition, solid solution strengthening has been  
20 enhanced by increasing the content of refractory metals. Whilst these strengthening methods are effective,  
21 the alloying additions increase the raw elemental cost of the alloy, the alloy density, and sensitivity to  
22 solidification anomalies, including freckle and dirty white-spot[7–11]. In addition, the lower workability,  
23 increased risk of cracking, and difficulties in achieving uniform recrystallisation of such alloys[12–16]  
24 necessitates multiple processing steps or the use of powder metallurgy (PM) routes, which further increase  
25 material costs.

26                   For components that do not operate in such demanding conditions, the properties offered by powder  
27 processed alloys are not generally required, and lower cost, cast and wrought (C&W) alloys are more attractive.  
28 For these applications, the most widely used Ni-base superalloy has historically been Inconel718<sup>®</sup> (IN718). This  
29 alloy is strengthened by a dispersion of  $\gamma''$  superlattice precipitates ( $\text{Ni}_3\text{Nb}$ ,  $\text{DO}_{22}$ , tetragonal,  $I4/mmm$ )[17],  
30 rather than the  $\gamma'$  ( $\text{Ni}_3\text{Al}$ ,  $L1_2$ , cubic,  $Pm\bar{3}m$ ) superlattice precipitates typically encountered in many other Ni-  
31 base superalloys[18,19]. Notably,  $\gamma''$  precipitation in IN718 is relatively slow compared to  $\gamma'$  precipitation in  
32 other superalloys, with TTT diagrams indicating the onset of precipitation after approximately 10 hours at  
33 680°C[20]. The slow kinetics ensure the alloy has good processability, as deformation processing and machining  
34 operations can be performed in the solution treated condition, with ageing of the final component to provide  
35 the required mechanical properties. Exhibiting a low propensity for solidification defects during melt and  
36 remelt stages, combined with a high Fe content, the alloy demonstrates low elemental cost, and is also readily  
37 joinable due to a low susceptibility to strain-age cracking[21,22]. This balance of properties has resulted in  
38 widespread industrial uptake, where it outperforms Waspaloy for service applications below 650°C[23–26]. It  
39 has been estimated that manufacture of IN718 accounts for over 35% of all wrought superalloy production[27].  
40 Nevertheless, IN718 is limited to applications below 650°C due to coarsening and eventual dissolution of the  
41 strengthening precipitates above this temperature. These processes are associated with a concomitant loss of  
42 tensile and creep performance. For significant durations at elevated temperatures, the metastable  $\gamma''$   
43 ultimately transforms to the stable  $\delta$  phase ( $\text{Ni}_3\text{Nb}$ ,  $\text{DO}_a$ , orthorhombic,  $Pmnm$ ), which is also considered  
44 deleterious to the mechanical behaviour[28,29].

45                   Due to the higher temperatures and loads that will be encountered in the next generation of engine designs,  
46 alloys with higher temperature capability than IN718 are required. Alloys that improve on the properties of  
47 IN718, whilst still offering considerable cost savings over the highly alloyed, powder processed alloys used for  
48 the most demanding applications are therefore of significant industrial value. One alloy of this type that has  
49 superseded IN718 in some applications is ATI 718Plus<sup>®</sup> (718Plus), designed with a higher Al and Ti content to  
50 favour the precipitation of  $\gamma'$  over  $\gamma''$ , alongside other compositional modifications to improve solid solution

51 strengthening and creep performance[30,31]. This alloy offers an increased operating temperature limit of  
52 around 55°C compared to IN718, with only a minor increase in cost.

53 There is a significant research effort to explore the cost-performance design space between existing cast and  
54 wrought alloys such as 718Plus and powder processed material such as René88DT and RR1000[16]. One  
55 approach has been to make compositional modifications to highly alloyed commercial materials to produce  
56 derivatives that are more amenable to manufacture via cast and wrought processes. Examples include Alloy  
57 720Li (720Li)[32], and more recently René65[33,34]. Whilst both of these advanced cast and wrought alloys  
58 improve on the properties of 718Plus, 720Li requires optimised homogenisation of the as-cast ingot, and is  
59 known to possess a narrow processing window for forging operations[13,14,35,36]. Being one of the most  
60 highly alloyed materials that can still be processed by the cast and wrought route, 720Li is typically considered  
61 to be the alloying limit beyond which processing by powder metallurgy is required[36]. René65 also  
62 demonstrates issues with workability, with large unrecrystallised grains persisting after ingot to billet  
63 conversion [37–39]. Similar effects have been reported during the cogging process for the newly developed  
64 AD730™ [40,41], which offers some improved mechanical performance, greater workability and lower costs  
65 compared to 720Li, but still requires multiple deformation steps to recrystallise the ingot to billet[42–44].

66 A common feature of these advanced cast and wrought alloys is their relatively high  $\gamma'$  volume fraction between  
67 35-40%. This is a result of the high content of precipitate forming elements (Al and Ti) compared to IN718.  
68 Whilst this provides improved mechanical properties, it is indicative that these new alloys occupy the high-  
69 performance region of the design space between cast & wrought material and powder processed alloys. The  
70 high Al and Ti contents, combined with elevated levels of Mo and W, also result in an increased propensity to  
71 freckle defects during remelting[8,9]. When combined with the extensive deformation processing that they  
72 require to produce billet, these alloys are not generally considered to be low-cost. It is contended that further  
73 exploration of the cost-performance design space may yield new alloys better suited for applications in the  
74 intermediate stress and temperature regime.

75 More recently, efforts to develop lower cost alloys have led to the production of VDM780P[45], which was  
76 designed to offer similar mechanical properties to IN718, but with a wider forging window, enhanced  
77 microstructural stability, and a proposed application temperature of 750°C. Uniform recrystallised  
78 microstructures can be obtained in forged product, although the kinetics are relatively slow, requiring a 5  
79 minute hold at 1050°C post-deformation, which gives a grain size on the order of 25  $\mu\text{m}$ [46]. Additionally, the  
80 high Co content of this alloy (24.5 at.%) introduces greater fluctuations in the raw elemental cost compared to  
81 alloys such as IN718 and 718Plus.

82 In this work, the microstructure, phase equilibria, thermal stability and ageing response of a new polycrystalline  
83 Ni-base superalloy has been assessed. The new alloy has been designed to improve on the mechanical  
84 properties and thermal stability of IN718, with a proposed operating temperature of 725°C. It has also been  
85 designed to have lower elemental and processing costs compared to 720Li, with a reduced  $\gamma'$  volume fraction  
86 as a result of a lower Al and Ti content. This is expected to reduce the susceptibility to solidification defects,  
87 and facilitate easier ingot to billet conversion with fully recrystallised microstructures.

88 Whilst the new alloy is ultimately intended to be processed via cast and wrought methods, in this preliminary  
89 study a powder metallurgy route was used to produce the alloy. This was chosen so that the effects of  
90 composition and microstructure could be investigated, without the significant investment required to optimise  
91 the alloy for ingot metallurgy. Typically, this optimisation process comprises the evaluation and specification  
92 of appropriate primary melting, secondary remelting, and ingot conversion practices, combined with minor  
93 compositional modifications of the interstitial and grain boundary strengthening elements C, B and Zr.  
94 Nevertheless, it is recognised that a powder processed version of the alloy is likely to produce optimised  
95 properties, with reduced levels of microsegregation and the elimination of macrosegregation related  
96 solidification anomalies leading to greater microstructural homogeneity. In addition, powder processed  
97 material can also exhibit a finer grain size than C&W product, with superior mechanical strength and fatigue  
98 resistance. Importantly, the choice of powder processing is not expected to adversely affect the phase stability,  
99 precipitation kinetics or microstructural evolution that takes place in the alloy, features that must be  
100 characterised and understood as part of any new alloy development programme. Such an understanding is  
101 crucial in evaluating whether further development work is warranted, and, if so, what trials need to be  
102 performed. Using a combination of scanning electron microscopy, electron back-scattered diffraction, thermal  
103 analysis, dilatometry and synchrotron X-ray diffraction, the new alloy has been characterised and compared to  
104 current commercially available materials.

## 105 Experimental Methods

106 The nominal composition of the alloy studied in this research (Alloy 1) is provided in Table 1 and is covered by  
 107 United States Patent US10287654[47]. For comparative purposes, the compositions of several other  
 108 commercial polycrystalline Ni-base superalloys in both atomic and weight percent are also included  
 109 [3,6,30,32,33,40,45]. A compact of the alloy was produced via a powder metallurgy route by ATI Specialty  
 110 Materials, Pittsburgh, USA. This comprised vacuum induction melting, gas atomisation to form a powder,  
 111 screening to -270 mesh (53  $\mu\text{m}$ ) and filling into a mild steel container. This was degassed and sealed before  
 112 being hot isostatically pressed (HIP) at 100 MPa for 4 hours at 1050°C. Compositional analysis was performed  
 113 using Inductively Coupled Plasma – Optical Emission Spectroscopy (ICP-OES) and Combustion Infrared  
 114 Absorption (for carbon) at ATI Specialty Materials in accordance with ASTM E2594 and E1019.

at.% (wt%)	Ni	Cr	Co	Fe	Al	Nb	Mo	W	Ta	Ti	Hf	C	B	Zr
<b>Alloy 1</b>	Bal.	20.0 (18.0)	8.15 (8.30)	7.85 (7.60)	4.65 (2.17)	3.55 (5.71)	2.50 (4.15)	-	-	0.12 (0.10)	-	0.07 (0.015)	0.08 (0.015)	0.025 (0.039)
<b>Reference Compositions of Selected Cast &amp; Wrought Polycrystalline Ni-base Superalloys</b>														
<b>IN718</b> [30]	Bal.	21.1 (19.0)	-	19.2 (18.5)	1.29 (0.60)	3.21 (5.15)	1.81 (3.00)	-	-	1.09 (0.90)	-	0.19 (0.040)	0.02 (0.003)	-
<b>718Plus</b> [30]	Bal.	20.1 (18.0)	8.97 (9.10)	9.88 (9.50)	3.12 (1.45)	3.38 (5.40)	1.64 (2.70)	0.32 (1.00)	-	0.91 (0.75)	-	0.10 (0.020)	0.03 (0.005)	-
<b>AD730</b> [40]	Bal.	17.3 (15.7)	8.28 (8.50)	4.11 (4.00)	4.79 (2.25)	0.68 (1.10)	1.85 (3.10)	0.84 (2.70)	-	4.08 (3.40)	-	0.07 (0.015)	0.05 (0.010)	0.019 (0.030)
<b>VDM780</b> [48]	Bal.	20.0 (18.0)	24.5 (25.0)	0.60 (0.58)	4.30 (2.01)	3.40 (5.47)	1.80 (3.00)	-	-	0.4 (0.33)	-	*	*	-
<b>René65</b> [33]	Bal.	17.9 (16.0)	12.8 (13.0)	1.04 (1.00)	4.53 (2.10)	0.44 (0.70)	2.43 (4.00)	1.27 (4.00)	-	4.50 (3.70)	-	-	0.09 (0.016)	0.03 (0.050)
<b>720Li</b> [32]	Bal.	17.3 (16.0)	14.1 (14.7)	-	5.22 (2.50)	-	1.76 (3.00)	0.38 (1.25)	-	5.89 (5.00)	-	0.05 (0.010)	0.08 (0.015)	0.02 (0.030)
<b>Reference Compositions of Selected Powder Metallurgy Polycrystalline Ni-base Superalloys</b>														
<b>RR1000</b> [3]	Bal.	16.5 (15.0)	17.9 (18.5)	-	6.35 (3.00)	-	2.98 (5.00)	-	0.63 (2.00)	4.30 (3.60)	0.16 (0.50)	0.14 (0.030)	0.11 (0.020)	0.04 (0.060)
<b>René88D T</b> [6]	Bal.	17.9 (16.0)	12.8 (13.0)	-	4.53 (2.10)	0.44 (0.70)	2.43 (4.00)	1.27 (4.00)	-	4.50 (3.70)	-	0.15 (0.030)	0.08 (0.015)	0.02 (0.030)

115 **Table 1.** Nominal composition of the alloy studied in this research (Alloy 1) and other selected commercial polycrystalline Ni-base  
 116 superalloys in at.% (wt%). The alloy composition studied in this research is covered by US Patent US10287654. \*The C and B content  
 117 in VDM780 is similar to IN718.

118 Slices of the As-HIP compact measuring 20 mm thick were taken for microstructural examination. Each slice  
 119 was mounted in phenolic resin, followed by grinding using successively finer SiC abrasive papers to a 5  $\mu\text{m}$   
 120 finish. Final polishing was performed using colloidal silica (OPS) to a 0.04  $\mu\text{m}$  finish. Etching was conducted  
 121 electrolytically, using a 10% by volume aqueous orthophosphoric acid ( $\text{H}_3\text{PO}_4$ ) solution and an applied voltage  
 122 between 3-5 V, for approximately 1-2 seconds.

123 Disc-shaped samples for differential scanning calorimetry (DSC) measuring 5 mm in diameter by 1 mm thick  
 124 were produced by electro-discharge machining (EDM). DSC thermograms were obtained using a Netzsch 404  
 125 F1 Pegasus<sup>®</sup> DSC between 50°C and 1450°C, with a 10°C min<sup>-1</sup> heating and cooling rate, under flowing argon at  
 126 50 mL min<sup>-1</sup>.

127 Scanning electron microscopy (SEM) was performed using a Zeiss GeminiSEM 300, operated at 15 kV using a  
 128 30  $\mu\text{m}$  aperture. The imaging of the precipitate distributions after thermal exposure were acquired with a low  
 129 accelerating voltage (3 kV) and an InLens SE detector. Elemental concentration maps were acquired via energy-  
 130 dispersive X-ray spectroscopy (EDX), performed using an Oxford Instruments X-Flash<sup>N</sup> 50 EDX spectrometer

131 fitted to the same instrument. To optimise the EDX signal, an accelerating voltage of 25 kV, an aperture of  
132 120  $\mu\text{m}$  and a working distance of 8.5 mm were used. EDX data were processed using the Oxford Instruments  
133 AZtec software package. Electron back-scattered diffraction (EBSD) was performed using an Oxford  
134 Instruments SYMMETRY detector, an accelerating voltage of 25 kV, aperture size of 120  $\mu\text{m}$ , a step size of  
135 0.2  $\mu\text{m}$  and a dwell time of 2 ms. Processing of the EBSD data was conducted using the Oxford Instruments HKL  
136 CHANNEL5 software, with inverse pole figure (IPF) maps generated using the Tango package.

137 Synchrotron X-ray diffraction (sXRD) measurements were performed under experiment numbers MG34011  
138 and MG31965 on the Joint Engineering and Environmental Processing beamline (I12-JEEP) at Diamond Light  
139 Source, Didcot, UK[49]. Samples were illuminated using a 0.5 x 0.5  $\text{mm}^2$  monochromatic beam, with  
140 wavelengths of 0.1557  $\text{\AA}$  and 0.1543  $\text{\AA}$ , corresponding to beam energies of 79.69 and 80.35 keV respectively.  
141 Two dimensional patterns were acquired using a transmission Debye-Scherrer geometry on a Pilatus 2M CdTe  
142 2D area detector, with an exposure time of 1 s per frame[50]. The sample to detector distance was  
143 approximately 833 mm, calibrated using  $\text{CeO}_2$  (NIST standard) at multiple sample-to-detector distances[51].  
144 One dimensional diffraction patterns were obtained via full range azimuthal integration of the 2D detector  
145 images using the DAWN software[52,53]. Phase identification was performed by comparing the diffraction  
146 patterns with reference patterns generated from structures obtained using the Inorganic Crystal Structure  
147 Database (ICSD © FIZ Karlsruhe GmbH).

148 Cylindrical samples for heat treatment and ageing studies measuring 4 mm diameter x 10 mm long were  
149 extracted from the as-HIP compact slices using electro-discharge machining (EDM) and ground using SiC papers  
150 to a 15  $\mu\text{m}$  finish. The samples were solution treated under vacuum for 1 hour at 1030°C using a TA Instruments  
151 DIL805 dilatometer operated in quenching mode, using fused silica pushrods. Heating was performed  
152 inductively, with a linear heating rate of 10°C s<sup>-1</sup>, and cooling from the solution heat treatment temperature at  
153 a linear rate of 3°C s<sup>-1</sup>. Temperature control was achieved through the use of an S-type thermocouple spot-  
154 welded directly to the surface of each sample.

155 Subsequent heat treatments were performed in a laboratory box furnace at temperatures of 750°C, 800°C,  
156 850°C and 900°C, calibrated to  $\pm 1^\circ\text{C}$  using an N-type thermocouple. Heat treatments were performed for  
157 durations of 1, 2, 4, 10, 100 and 1000 hours. The heat-treated samples were prepared for examination using  
158 the same metallographic preparation route outlined earlier. Etching was performed using a  $\gamma'$  etchant first  
159 described by Preuss *et al.*[54], which is produced from a precursor with the compositions of both the precursor  
160 (Part I) and final etchant (Part II) given in Table 2.

161

Part I	Part II
150 mL H <sub>2</sub> O	15 mL HNO <sub>3</sub>
150 mL HCl	25 mL H <sub>2</sub> O
2.5 g MoO <sub>3</sub>	30 mL Part I

162 **Table 2:** Composition of the  $\gamma'$  etchant (precursor and final), as originally defined in [54].

163 Scanning electron micrographs of the heat-treated samples were analysed using ImageJ, with the resulting  
 164 precipitate distributions (in equivalent circular diameter) statistically binned following the method described  
 165 by Freedman and Diaconis[55]. The resulting histograms were subsequently fitted to a log-normal distribution  
 166 using the IGOR Pro 8 software package in order to obtain the average precipitate diameter.

167 Vickers hardness measurements were obtained using a Qness Q30 A+ automatic hardness tester, operated  
 168 with a 10 kg load. The values are given as the average of five indentations, along with the standard deviation  
 169 of the measurements.

## 170 Results

### 171 Compositional Analysis

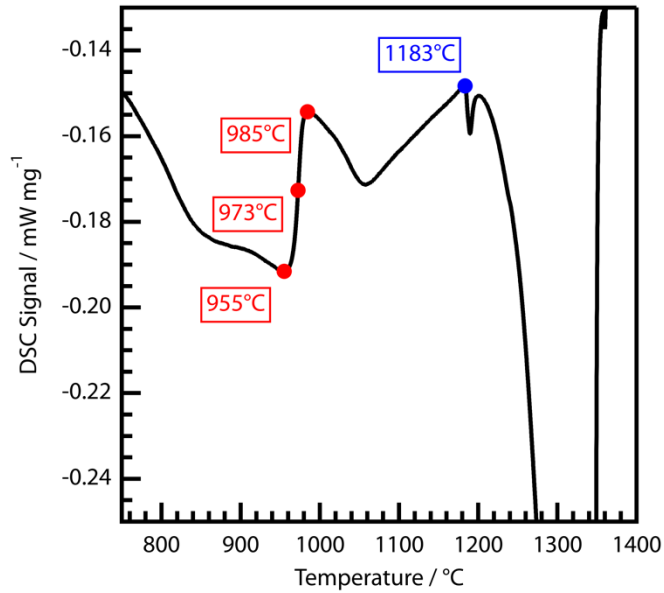
172 The experimentally determined compositions as measured by ICP-OES are given in Table 3. The measured  
 173 composition was within 0.2 wt% of the nominal composition, and was deemed sufficiently close to be suitable  
 174 for the purposes of this study. Errors in the measurements are on the order of 0.01%.

	Ni	Cr	Co	Fe	Al	Nb	Mo	Ti	C	B	Zr
<b>Alloy 1</b>	Bal.	20.0 (18.0)	8.14 (8.30)	7.96 (7.70)	4.56 (2.13)	3.47 (5.58)	2.58 (4.29)	0.15 (0.12)	0.07 (0.015)	0.09 (0.017)	0.02 (0.038)

175 **Table 3.** ICP-OES measured compositions of the powder processed material in at.% (wt%).

### 176 Thermal Analysis

177 To identify appropriate solution heat treatment conditions, DSC was used to assess the solvus temperature of  
 178 the strengthening precipitates. A DSC thermogram was obtained for the alloy in the As-HIP condition, with  
 179 thermal events corresponding to phase transformations marked in Figure 1. Based on the work by  
 180 Sponseller[56], the sharp peak at 955°C is characteristic of the dissolution of  $\gamma'$ , with the maximum rate of  
 181 dissolution (955°C), the point of inflection (973°C) and completion of dissolution (985°C) represented with  
 182 round markers. The event at 1183°C is also observed in other superalloys and is attributable to the onset of  
 183 liquation of any carbide species present in the material. Based on these findings, a nominal solution heat  
 184 treatment temperature of 1030°C was selected. This temperature was chosen to ensure precipitate  
 185 dissolution, whilst minimising grain growth and avoiding any incipient melting events.

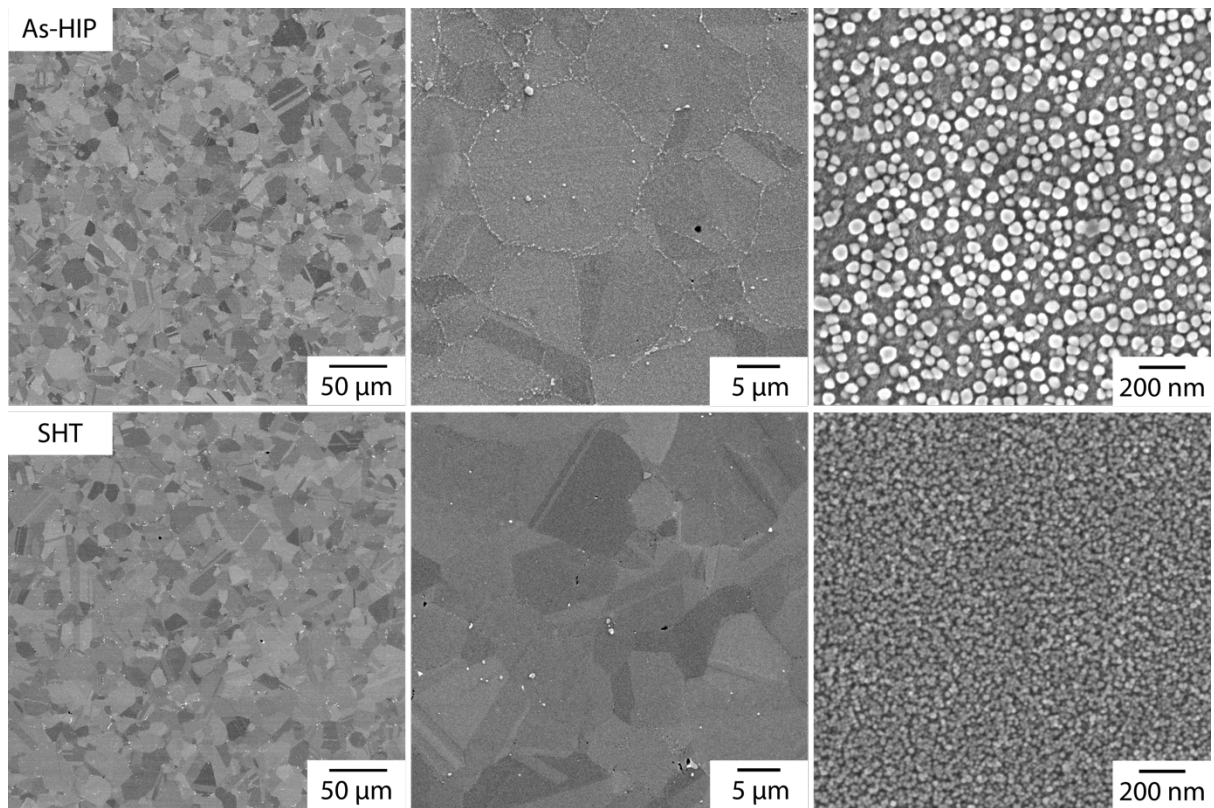


186

187 **Figure 1:** DSC thermogram for the alloy in the As-HIP condition. The temperatures at which onset, inflection and return to baseline  
 188 were observed are marked in red. The temperature at which liquation of carbides occurred is marked in blue.

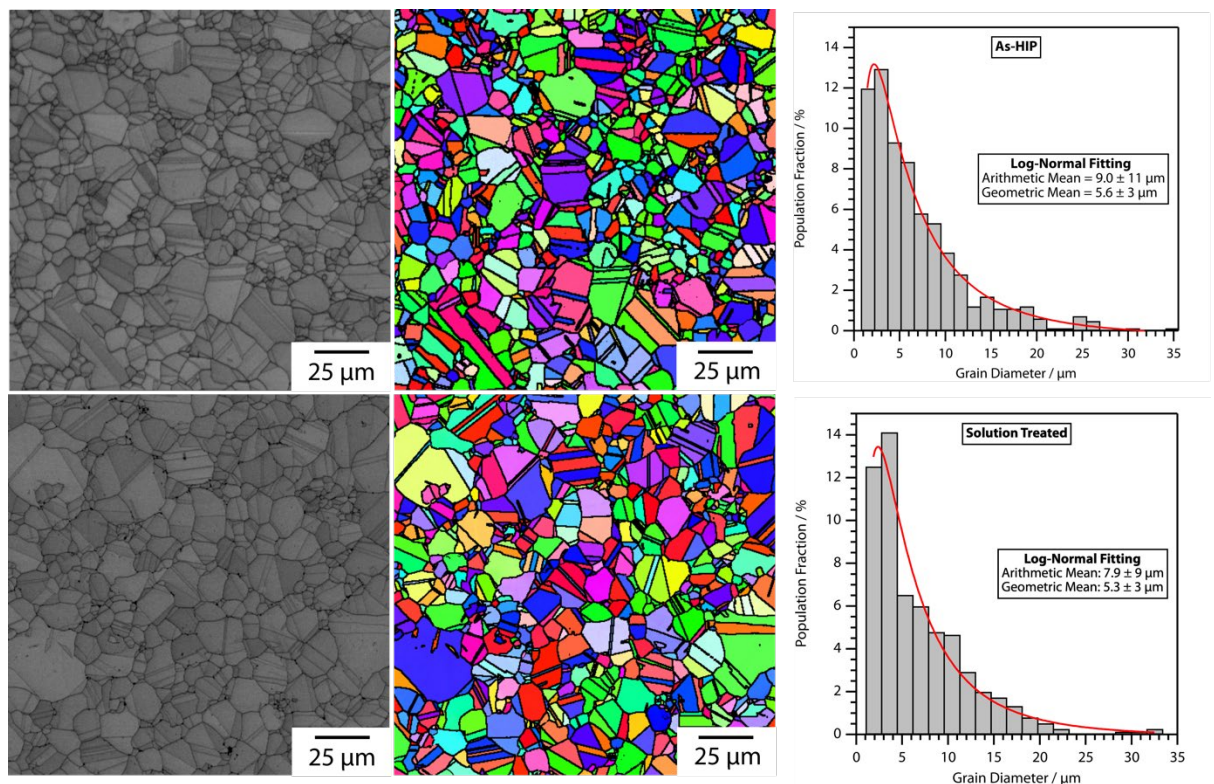
189 **Microstructure (As-HIP)**

190 Scanning electron micrographs obtained for the alloy in the As-HIP condition, as well as after solution heat  
 191 treatment (SHT) are presented in Figure 2. The solution heat treatment at 1030°C for 1 hour was performed  
 192 under vacuum in a dilatometer, so that the post-SHT cooling rate ( $3^{\circ}\text{C s}^{-1}$ ) could be precisely controlled and  
 193 monitored. This allowed the production of further specimens for ageing trials with a uniform and consistent  
 194 distribution of precipitates. The micrographs in Figure 2 were taken across three length scales to highlight the  
 195 microstructural features detected. In both the As-HIP and SHT conditions, a distribution of equiaxed grains was  
 196 observed at low magnifications, some showing prominent annealing twins. At higher magnifications, a blocky  
 197 precipitate phase was observed to decorate the prior particle boundaries (PPBs), whilst in the highest  
 198 magnification image, pseudo-cuboidal precipitates were observed within the grains with a length scale on the  
 199 order of 20 nm. After solution heat treatment, the precipitates were observed to be considerably smaller,  
 200 consistent with dissolution and reprecipitation.



201 **Figure 2:** Microstructural morphologies of the alloy in the As-HIP (top) and SHT (bottom) conditions across three different length  
 202 scales.  
 203

204 The grain size distribution was investigated using EBSD in both the As-HIP and SHT conditions. Corner and edge  
 205 grains were excluded from the analyses, as were changes in orientation due to {111} type twins. The grain size  
 206 data was then statistically binned and fitted to a log-normal distribution using Igor Pro. The band contrast, IPF-  
 207 Z maps and associated histograms obtained from these data are shown in Figure 3. In both of the conditions  
 208 investigated, no pronounced texture was observed. Also, in the solution heat treated sample, no significant  
 209 grain growth was exhibited when compared to the As-HIP condition.

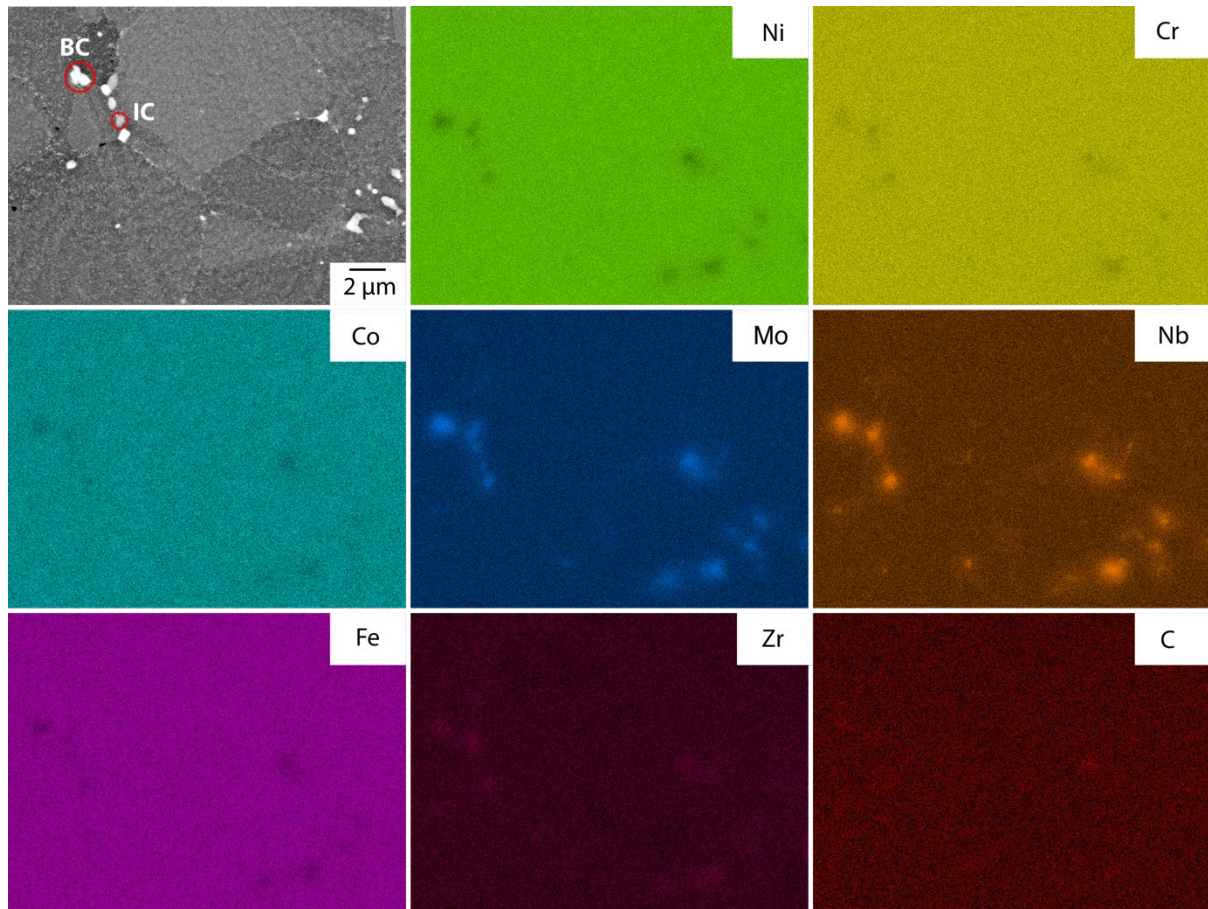


210

211 **Figure 3:** Band contrast images (left), IPF-Z maps (centre) and grain size histograms (right) obtained from the alloy in the As-HIP  
212 (top) and SHT condition (bottom).

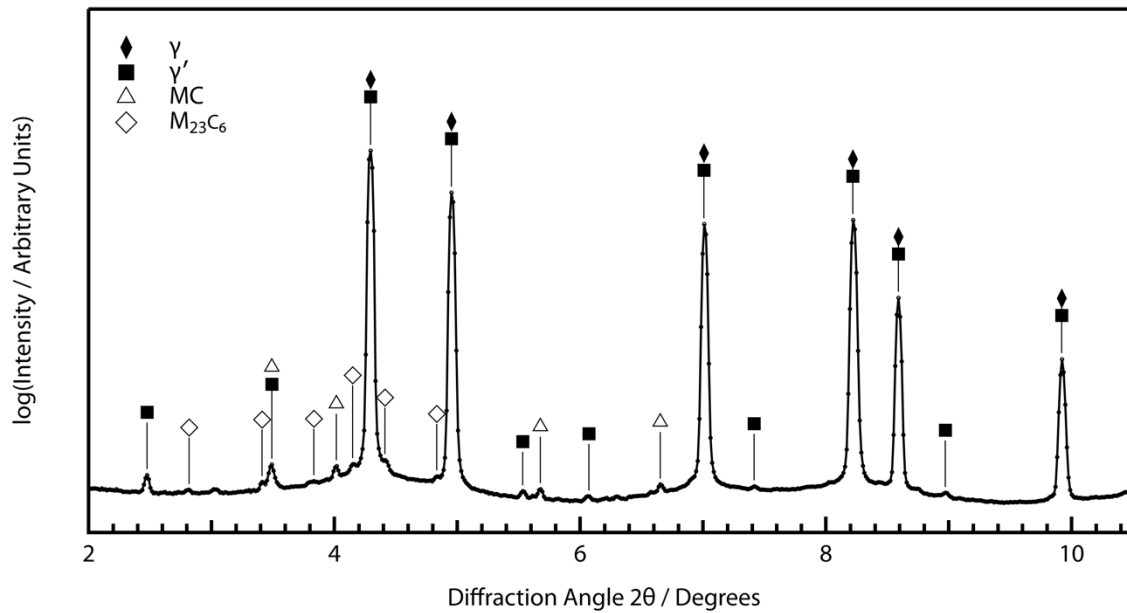
### 213 Minority Phase ID

214 Further investigation of the phases decorating the prior particle boundaries was performed via elemental  
215 mapping and synchrotron X-ray diffraction. A back-scattered electron image and associated elemental  
216 concentration maps for the alloy are shown in Figure 4. Two distinct phases may be identified, a bright contrast  
217 (BC) phase with elevated Nb, Mo & Zr and depleted Ni, Co, Cr, Fe, and an intermediate contrast (IC) phase with  
218 similar elemental partitioning but exhibiting lower Nb and Zr levels, marked with red circles in Figure 4. The  
219 identities of these phases were determined from synchrotron diffraction data presented in Figure 5.



220  
221 **Figure 4:** Back-scattered electron image (top left) and corresponding EDX elemental concentration maps (individually labelled)  
222 highlighting the boundary phases present in the As-HIP condition.

223 The room temperature diffraction pattern obtained for the alloy exhibited fundamental reflections  
224 corresponding to the  $\gamma$  matrix and  $\gamma'$  precipitates. Also visible were superlattice reflections for the  $\gamma'$ , alongside  
225 additional peaks attributable to MC and  $M_{23}C_6$  carbides. These carbides are known to form in similar  
226 polycrystalline Ni-base superalloys [57–59]. These phases are also consistent with the features observed in  
227 Figure 4, with the bright contrast phase corresponding to the MC carbides, as a result of the higher Nb and Zr  
228 contents, and the intermediate contrast phase to the  $M_{23}C_6$  carbides.

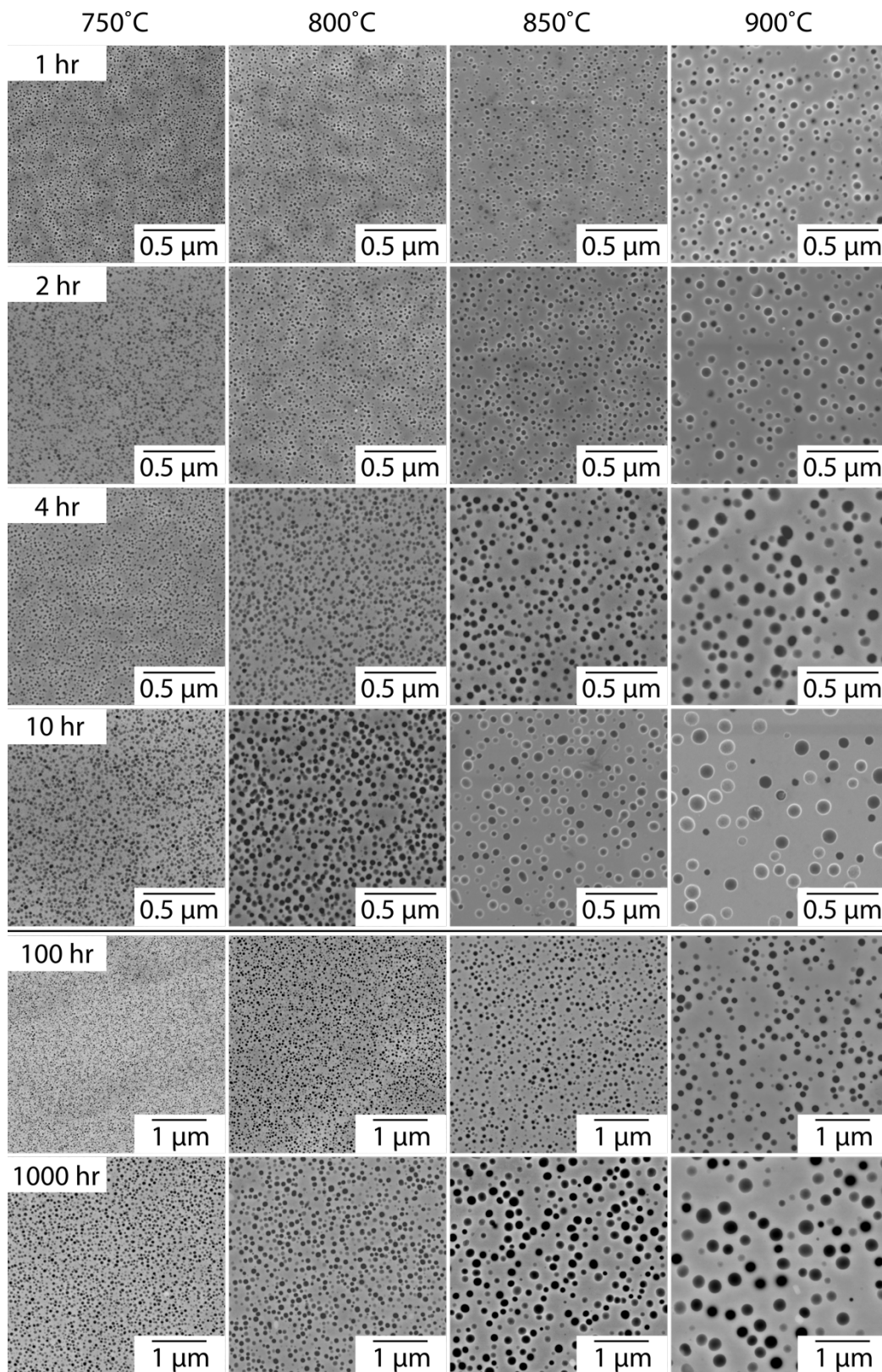


229  
 230 **Figure 5:** Synchrotron X-ray diffraction pattern for the alloy at room temperature. Reflections corresponding to the  $\gamma$ ,  $\gamma'$ , MC and  
 231  $M_{23}C_6$  phases are indicated with markers.

### 232 Precipitate Evolution & Long-Term Microstructural Stability

233 To assess the growth kinetics of the  $\gamma'$  precipitates, a series of heat treatments between 750°C and 900°C were  
 234 performed for durations between 1 and 1000 hours. To ensure consistency of the initial microstructural  
 235 condition, the samples were solution heat treated in a dilatometer at 1030°C for 1 hour under vacuum and  
 236 cooled at 3°C s<sup>-1</sup> to room temperature. This careful control of heat treatment conditions avoided the variability  
 237 in starting  $\gamma'$  size that can often arise with air cooling. The SHT microstructure was shown earlier in the bottom  
 238 row of Figure 2, exhibiting an ultrafine dispersion of precipitates. Because of this, a  $\gamma'$  etchant was used for the  
 239 size measurements to avoid characterising any sub-surface precipitates. The precipitate morphologies after  
 240 subsequent thermal exposure are shown in Figure 6, where dispersions of rounded precipitates that increased  
 241 in diameter with both ageing temperature and exposure duration were observed. (Note the change in scale  
 242 for the 100 and 1000 hour exposures).

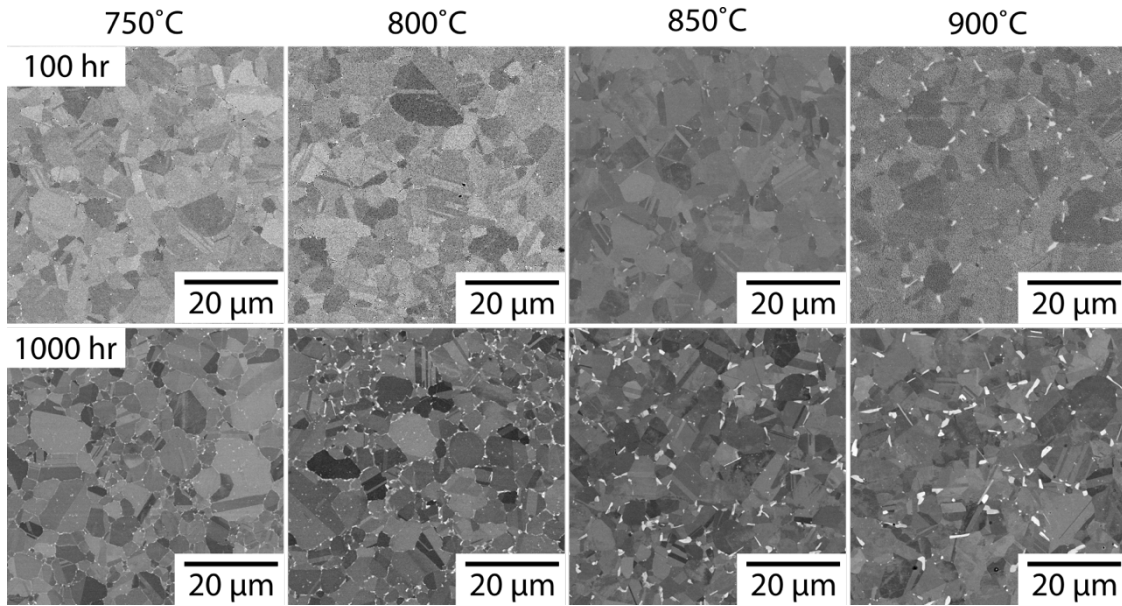
243



244  
 245 **Figure 6:** Secondary electron SEM images showing the evolution of the precipitates as a function of time at temperatures between  
 246 750°C and 900°C for exposure durations 1-1000 hours. Note the change in scale bar for the 100 and 1000 hour exposures.

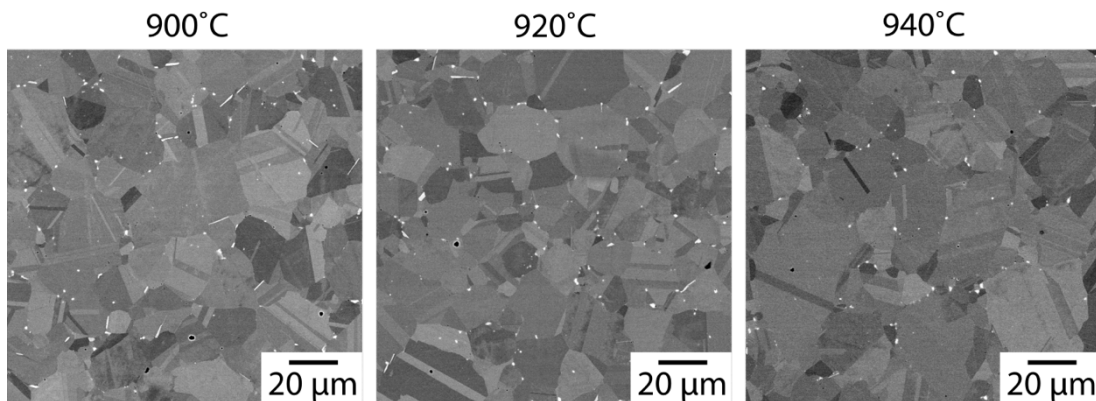
247 Low magnification images of the microstructure of the samples following thermal exposure for 100 hours or  
 248 1000 hours at temperatures between 750°C and 900°C are shown in Figure 7. No significant grain boundary  
 249 precipitation was observed after exposure at 750°C and 800°C for 100 hours, with limited grain boundary  
 250 precipitation visible in the sample exposed at 850°C for 100 hours. This precipitation became more extensive  
 251 after 100 hours at 900°C. In the samples exposed for 1000 hours, the grain boundary precipitation was more  
 252 extensive still, with fine precipitates decorating the grain boundaries at 750°C. These precipitates became

253 larger, more discrete and faceted at the higher exposure temperatures. For exposure durations below 100  
254 hours, no grain boundary precipitation (other than that detected in the SHT condition) was observed across all  
255 the temperatures studied.



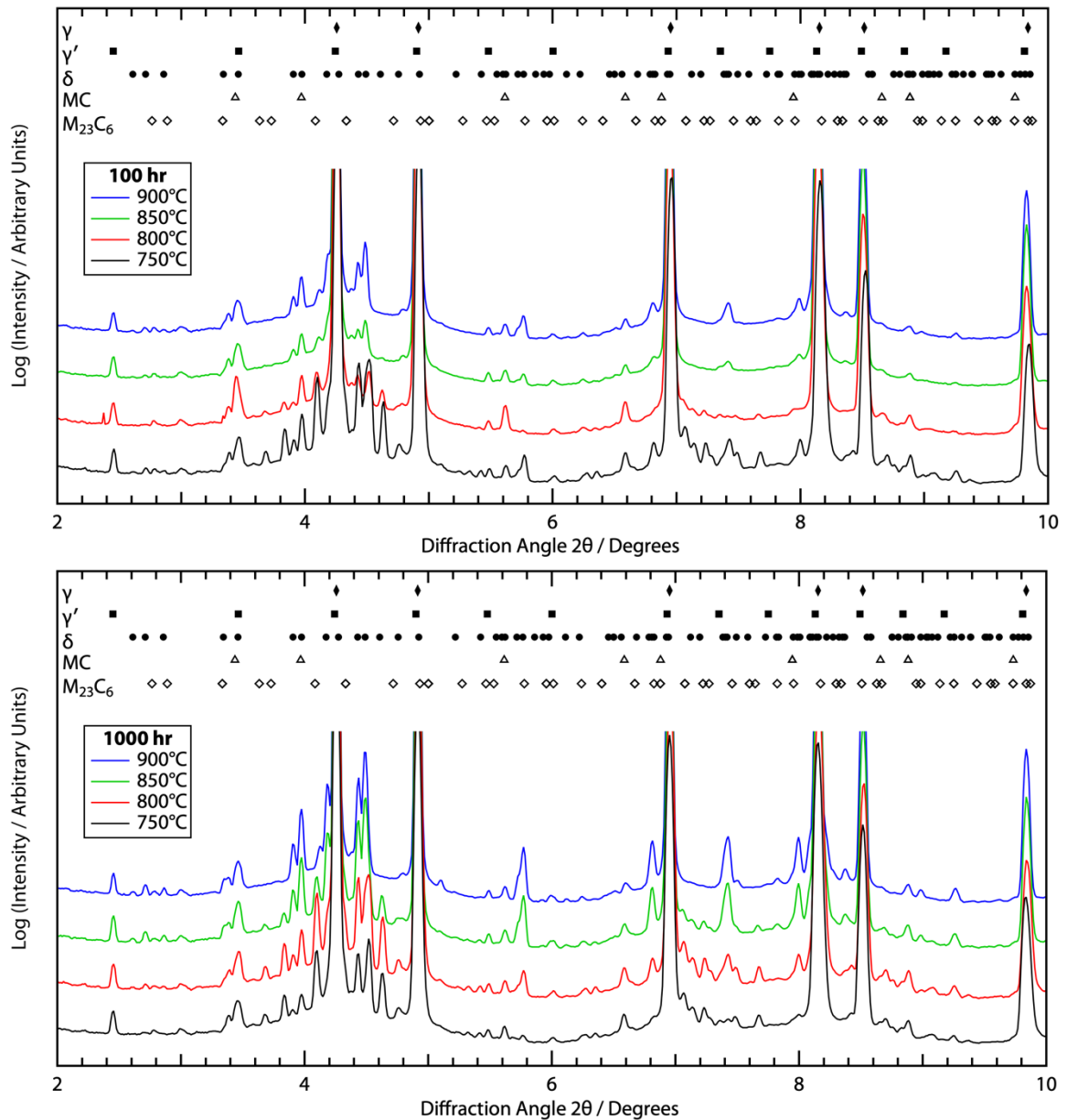
256  
257 **Figure 7:** SEM images showing the bulk precipitation observed after exposures for 100 and 1000 hours between 750°C and 900°C.

258 These microstructural changes are likely to have a pronounced influence on the mechanical properties of the  
259 alloy. Therefore, it is important to characterise the phase formation, and the temperature regime over which  
260 it occurs. To further investigate the observed precipitation after long-term thermal exposure, additional  
261 exposures were performed on material in the SHT condition at 920°C and 940°C for 100 hours (below the  
262 experimentally determined  $\gamma'$  solvus of 955 °C). SEM micrographs are presented in Figure 8, where at 900°C,  
263 needles of an acicular phase can be seen decorating some of the grain boundaries. The apparent volume  
264 fraction of these precipitates reduced after exposure at 920°C, and they were not detected after exposure at  
265 940°C. The apparent dissolution of these precipitates suggests that the solvus temperature for their formation  
266 lies between 920°C and 940°C.



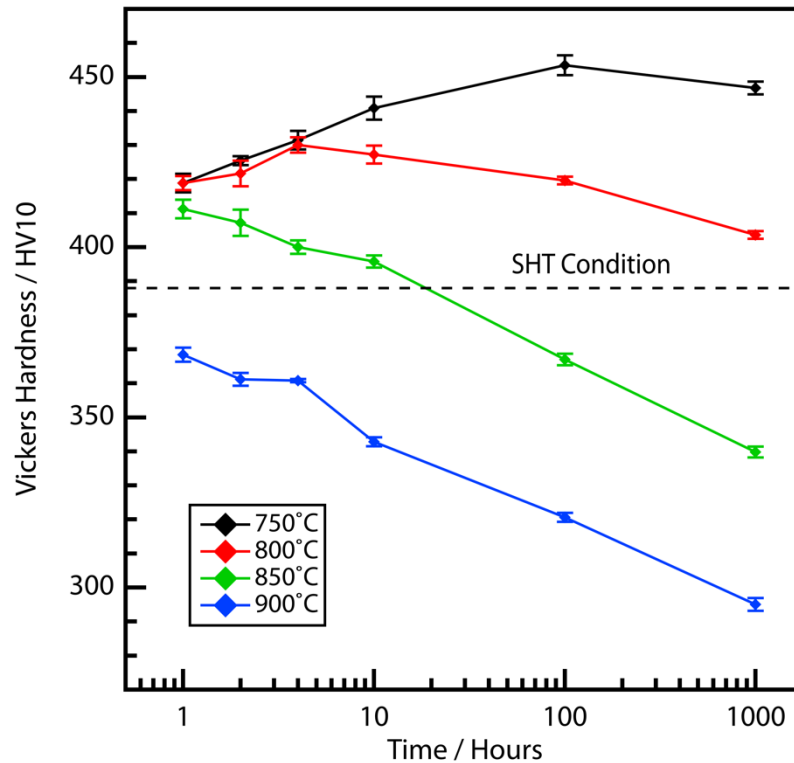
267  
268 **Figure 8:** SEM images of the alloy after exposure to 900°C, 920°C and 940°C for 100 hours.

269 Synchrotron X-ray diffraction was used to investigate the identity of the phases formed in samples exposed for  
270 100 and 1000 hours between 750°C and 900°C. The associated diffraction patterns are presented in Figure 9.  
271 In addition to the phases identified in the SHT condition, isolated peaks consistent with the  $\delta$  phase were  
272 observed in all samples. These peaks were most apparent between 4.4-4.6° 2 $\theta$ , as well as at 6.8 and 7.4° 2 $\theta$ .  
273 The intensity of the peaks increased with ageing time, indicative of a greater volume fraction of  $\delta$  phase present  
274 in the microstructure and consistent with the qualitative microstructural observations from Figure 7.



275  
 276 **Figure 9:** sXRD patterns obtained from the alloy after SHT and subsequent ageing at 750°C, 800°C, 850°C and 900°C for 100 and  
 277 1000 hours.

278 Vickers hardness data were collected for all the conditions studied, and are presented in Figure 10, with error  
 279 bars representing one standard deviation. For reference, the hardness of the alloy in the SHT condition (1030°C  
 280 – 1hr followed by 3°C s<sup>-1</sup> cooling) was 388 ± 2 HV10, and is indicated by the dashed line in the figure. For the  
 281 thermal exposures at 750°C, 800°C, and 850°C the hardness was initially greater than the SHT condition and  
 282 the samples exposed at 750°C and 800°C demonstrated a hardening response with exposure time, increasing  
 283 up to 450 HV10 after 100 hours at 750°C and up to 430 HV10 after 4 hours at 800°C. These peaks in hardness  
 284 were followed by a slight decrease for longer exposures, yet still remained higher than the SHT condition. The  
 285 850°C samples exhibited decreasing hardness with increasing exposure time, falling below that of the SHT  
 286 condition after approximately 40 hours. In contrast, the samples exposed at 900°C exhibited a lower hardness  
 287 than the SHT condition even after only 1 hour of thermal exposure.



288

289 **Figure 10:** Vickers hardness data (HV10) for the alloy after SHT and subsequent thermal exposures between 750°C and 900°C.

290 **Discussion**

291 **Microstructure**

292 The microstructural and diffraction analyses indicated that the alloy was solely  $\gamma'$  forming, with no evidence of  
 293 any  $\gamma''$  formation. This was expected given the relatively high Al:Nb ratio and is consistent with other alloys of  
 294 this type, such as 718Plus[30]. In the as-HIP condition, the prior particle boundaries (PPBs) were decorated  
 295 with MC and  $M_{23}C_6$  carbides. After SHT, the boundaries were no longer continuously decorated, with isolated,  
 296 bright contrast particles visible, suggesting coalescence of the phases previously decorating the PPBs. The SHT  
 297 for 1 hour at 1030°C followed by controlled cooling at 3°C s<sup>-1</sup> did not significantly affect the grain size, even  
 298 though the heat treatment was above the  $\gamma'$  solvus. This is likely due to the Zener pinning effect of oxides,  
 299 carbides and oxy-carbides, as has been reported for the polycrystalline Ni-base superalloy RR1000[60].

300 Predictions of the phase equilibria were obtained with the *Thermo-Calc* software, using the TTNi8 databases  
 301 (version 8.2) and two different phase subsets[61]. Firstly, considering the  $\gamma$  and  $\gamma'$  phases alone, and secondly  
 302 with the addition of the  $\delta$  phase. Phase selection is important as the precipitation of the  $\delta$  phase significantly  
 303 alters the phase fractions of the  $\gamma$  and  $\gamma'$  due to the consumption of Nb. The results are presented in Table 4,  
 304 where the effect of  $\delta$  precipitation results in a significantly lower  $\gamma'$  fraction than predicted when excluding the  
 305  $\delta$  phase. In this work, whilst the alloy does appear thermodynamically unstable with respect to  $\delta$  phase  
 306 formation, it was kinetically inhibited for exposure durations below 100 hours. The  $\delta$  phase was observed to  
 307 form in the alloy after longer duration exposures, with a solvus temperature in the region of 940°C based on  
 308 the metallographic examination. Work on IN718 has shown evidence of  $\delta$  precipitation after exposure  
 309 durations of less than 10 hours at 750°C, which suggests that the alloy studied in this work has a greater stability  
 310 with respect to  $\delta$  formation[20].

311 The predicted solvus temperatures for the  $\gamma'$  and  $\delta$  phases were obtained from *Thermo-Calc* as 960°C for the  
 312  $\gamma'$  precipitates, and 999°C for the  $\delta$  phase. Whilst there was reasonable agreement with the experimentally  
 313 determined  $\gamma'$  solvus, the  $\delta$  solvus was considerably higher than that observed, with similar offsets and  
 314 discrepancies reported elsewhere[62–64]. The microstructural examination performed indicates that the alloy  
 315 does not form an initial population of  $\delta$  phase after solution heat treatment, and the subsequent thermal  
 316 exposures suggest that none would be expected after standard ageing heat treatments. This contrasts with the  
 317 alloy 718Plus, which contains a population of  $\delta/\eta$  in billet material that can exhibit preferential orientations

318 after deformation processing. This has been shown to result in both anisotropic fracture toughness and dwell  
 319 crack growth behaviour[31,65,66]. In the alloy studied here, with a  $\delta$  solvus below that of the  $\gamma'$ , careful  
 320 optimisation of the forging parameters will be required so that grain growth is controlled during supersolvus  
 321 forging operations. This work forms part of a future study on this alloy.

322

	750°C	800°C	850°C	900°C
$\gamma$	0.71	0.74	0.79	0.86
$\gamma'$	0.29	0.26	0.21	0.14

323

	750°C	800°C	850°C	900°C
$\gamma$	0.72	0.75	0.79	0.84
$\gamma'$	0.21	0.19	0.15	0.10
$\delta$	0.07	0.07	0.06	0.06

324

325 **Table 4:** Thermo-Calc predicted volume fractions considering the  $\gamma, \gamma'$  and  $\delta$  phases, or only  $\gamma$  and  $\gamma'$  phases.

326

### 327 Precipitate Evolution

328 The precipitate evolution after SHT was characterised by fitting the measured equivalent circular precipitate  
 329 diameters after exposure for 4, 10, 100 and 1000 hours with the classic Lifshitz-Slyozov-Wagner (LSW) type  
 330 model for diffusion-controlled precipitate coarsening (Equation 1)[67,68]. These models seek to describe the  
 331 temporal evolution of precipitate size, which tend to coarsen over time due to a thermodynamic driving force  
 332 to lower the overall interfacial area. As a result of this driving force, larger precipitates tend to grow at the  
 333 expense of smaller ones, in the process known as Ostwald ripening[69].

### 334 LSW coarsening relationship (Equation 1)

335

$$336 \quad r_t^3 - r_0^3 = K_{LSW} t$$

337

338 Where  $r_t$  is the average precipitate radius at time  $t$ ,  $r_0$  is the starting precipitate size, and  $K_{LSW}$  is the  
 339 coarsening rate constant. Due to the very fine starting precipitate size,  $r_0$  is taken to be zero in this work.

340 The precipitate distributions in the samples with exposure durations up to 2 hours were too fine to be reliably  
 341 characterised using the image analysis technique employed in this study. However, the cube of the measured  
 342 precipitate radii obtained by log-normal fitting from the remaining samples are presented as a function of time  
 343 in Figure 11a, with the data for the samples exposed at 750°C included in the inset graph for clarity. These data  
 344 were fitted with a straight line to obtain an estimate of the coarsening rate constant,  $K_{LSW}$ , for each exposure  
 345 temperature.

346 In the review by Baldan[70], it was shown that the coarsening rate constants obtained at different  
 347 temperatures,  $T$ , could be used to estimate the activation energy for precipitate coarsening. By assuming that  
 348 the diffusion coefficient,  $D$ , can be described by an Arrhenius-type equation, then the coarsening rate constant  
 349 can be expressed as shown in Equation 2:

350

351

352

353

### 354 Expression for the Coarsening Rate Constant (Equation 2)

355

356

$$K_{LSW} = \frac{Ap_m^3}{RT} D_0 \exp\left(\frac{-Q}{RT}\right)$$

357

358 Where  $A$  is a constant,  $p_m$  is the maximum precipitate size,  $R$  is the universal gas constant,  $D_0$  is the diffusivity  
 359 constant, and  $Q$  is the activation energy for coarsening.

360 By rearranging the expression and taking natural logs of both sides, Equation 2 can be expressed as:

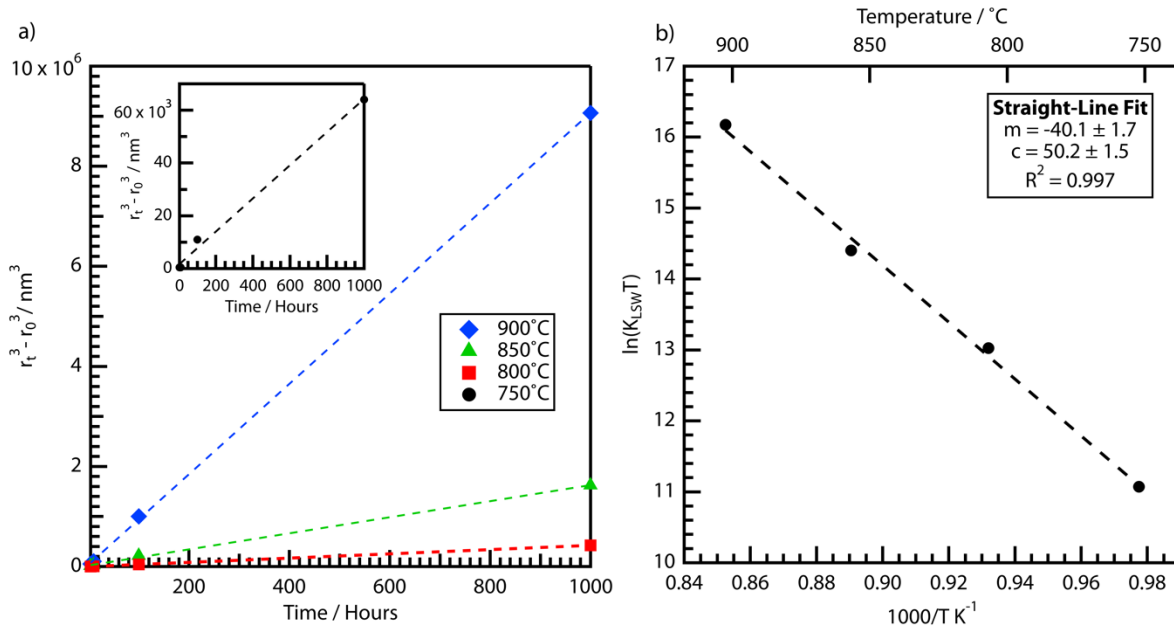
361 **Rearrangement of the expression for the coarsening rate constant (Equation 3)**

362

$$\ln(K_{LSW} T) = \ln\left(\frac{Ap_m^3 D_0}{R}\right) - \frac{Q}{RT}$$

364

365 Therefore, plotting  $\ln(K_{LSW} T)$  against  $\frac{1}{T}$  should produce a straight line, with a gradient equal to  $-\frac{Q}{R}$ , from  
 366 which the activation energy for diffusional coarsening could be calculated. A plot of this type is presented in  
 367 Figure 11b, where the estimated activation energy obtained of  $333 \pm 14 \text{ kJ mol}^{-1}$  was consistent with literature  
 368 values for similar Ni-base superalloys[34,71,72].



369

370 **Figure 11:** (a) Plot of the cube of the measured precipitate radii as a function of time, with straight line fitting used to obtain the  
 371 coarsening rate constants,  $K_{LSW}$ . (b) Arrhenius-type plot of  $K_{LSW}$  against  $\frac{1}{T}$  used to model the rate constants as a function of  
 372 temperature and calculate the activation energy for diffusion-controlled coarsening.

373 Additionally, the graph in Figure 11b can also be used to estimate the coarsening rate constants at a chosen  
 374 temperature by using the line of best fit to interpolate between the data points. A comparison of the rate  
 375 constants obtained directly from fitting the precipitate radii data at a given temperature (Figure 11a), and  
 376 those from the line of best fit considering all the exposure temperatures (Figure 11b) is presented in Table 5.  
 377 The values of the rate constants obtained from both methods are in good agreement with each other, and are  
 378 consistent with other reported values in the literature for the coarsening of the  $\gamma'$  precipitates in similar alloys  
 379 such as IN718[73] and VDM780[74].

380

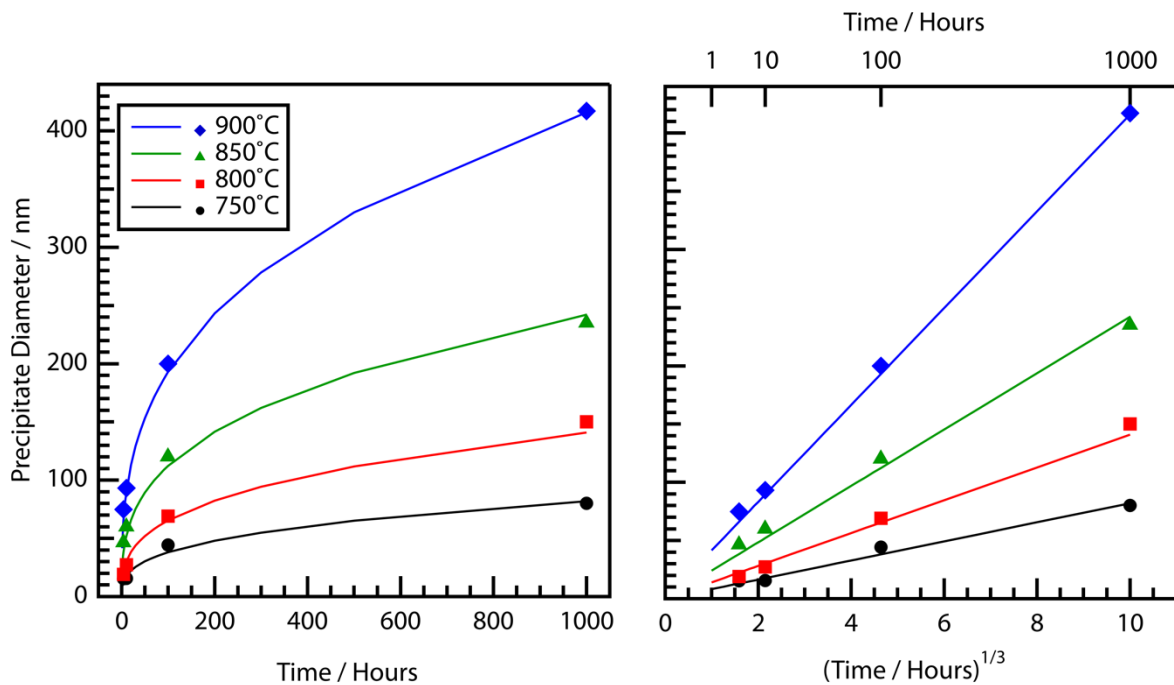
381

382

Temperature / °C	$K_{LSW}$ (Data) / $\text{nm}^3 \text{s}^{-1}$	$K_{LSW}$ (Model) / $\text{nm}^3 \text{s}^{-1}$
750	0.0192	0.0178
800	0.0971	0.1051
850	0.4925	0.5290
900	2.4972	2.3162

383  
384 **Table 5:** Comparison of coarsening rate constants obtained from the measured radii data, and those predicted using the line of  
385 best fit model.

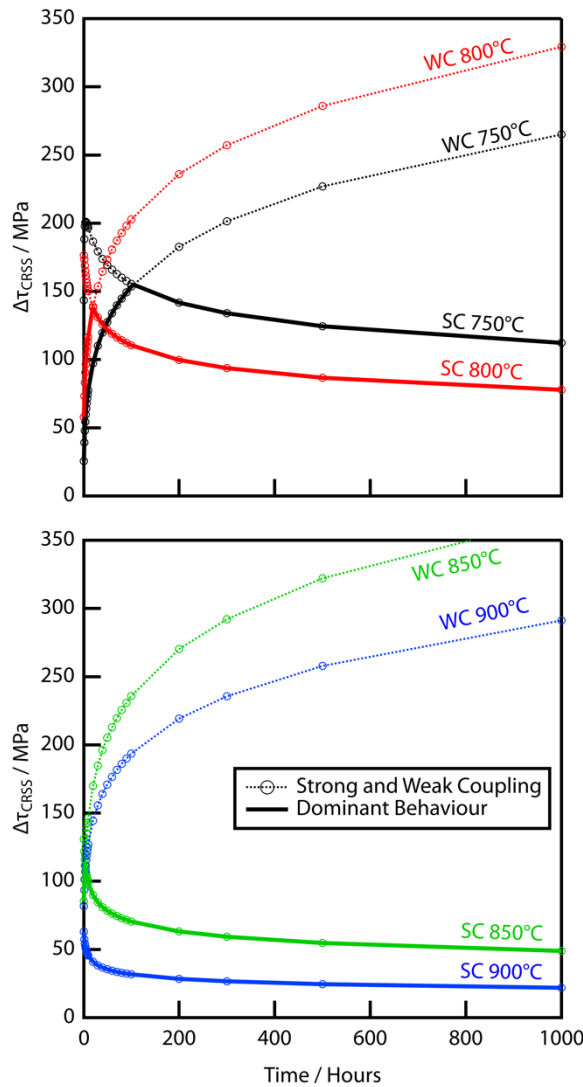
386 The coarsening rate constants predicted by the model can be used to predict the expected precipitate diameter  
387 as a function of time at a given ageing temperature. These are compared to the measured precipitate  
388 diameters in Figure 12. The precipitate diameters increase in size with exposure duration at a given ageing  
389 temperature, as well as for increasing temperature at a given exposure duration. These were consistent with  
390 the qualitative observations made from the micrographs shown in Figure 6. After 1000 hours of thermal  
391 exposure, the precipitate diameters predicted by the model were 82, 141, 242, and 415 nm for ageing  
392 temperatures 750°C to 900°C respectively. These values, and those obtained for the other ageing temperatures  
393 and exposure durations match the experimentally measured values well. The quality of the fit suggests that  
394 the precipitate evolution observed is indeed governed by diffusion-controlled coarsening kinetics, as has been  
395 shown for several other polycrystalline Ni-base superalloys [34,71,72,74,75].



396  
397 **Figure 12:** Measured precipitate diameters (solid markers) plotted against time (left) and the cube root of time (right), with  
398 precipitate diameters calculated from LSW model (solid lines).

399 To relate the observed hardening response to the precipitate coarsening, the  $\text{APB}_{\{111\}}$  energy was calculated as  
400 a function of temperature using the Miodownik and Saunders method[76], and was found to vary from  
401 189.0  $\text{mJ m}^{-2}$  at 750°C to 174.1  $\text{mJ m}^{-2}$  at 900°C. These are within the ranges commonly reported for other  
402 polycrystalline Ni alloys[77]. By using the calculated  $\text{APB}_{\{111\}}$  energies alongside the Thermo-Calc predicted  $\gamma'$   
403 volume fractions and  $\gamma'$  size estimated from the LSW modelling, the contributions to the critical resolved shear  
404 stress  $\Delta\tau_{CRSS}$  from strong and weak-pair dislocation coupling were calculated. This followed the approach  
405 summarised by Kozar *et al.*[78]. These were then plotted as a function of exposure time (a proxy for precipitate  
406 size) in Figure 13, where the expected dominant behaviour (the mechanism with the lowest value of  $\Delta\tau_{CRSS}$   
407 for a given precipitate size) is highlighted with solid lines. The optimum precipitate size is typically defined at  
408 the transition from weak- to strong-pair dislocation coupling, and from these data was predicted as  $\approx 38$  nm,

409 occurring after 105 hours at 750°C and after 20 hours at 800°C. These results correlate well with the actual  
 410 hardening responses observed in the samples. The modelling does predict a modest hardening response after  
 411 3 hours at 850°C, although this was not observed in practice. At 900°C, strong-pair coupling is expected to be  
 412 the dominant order hardening mechanism across the entire range of exposure durations studied, with no initial  
 413 hardening predicted. Whilst this analysis is insightful into potential routes for optimising heat treatment  
 414 schedules, it is noted that maximising the strength of alloys in this way is not always advantageous. Controlled  
 415 ageing to give the precipitate size that results in the highest value of  $\Delta\tau_{CRSS}$  may improve tensile strength, but  
 416 monomodal precipitate distributions are likely to exhibit very poor ductility and limited creep performance[79–  
 417 81].



418  
 419 **Figure 13:** Contributions to weak and strong-pair dislocation coupling for the different temperatures studied using the predicted  
 420  $\gamma'$  sizes from the LSW model and volume fractions from Thermo-Calc.

421 As grain size is known to provide a strengthening contribution via the Hall-Petch effect, the grain size was  
 422 characterised in the sample exposed at 900°C for 1000 hours [82,83]. The average grain size of 7  $\mu\text{m}$ , was not  
 423 significantly different from the initial SHT condition (see electronic supplementary Figure S1) to rationalise the  
 424 observed changes in hardness, and confirms these are most likely to be the result of the precipitate coarsening  
 425 observed.

## 426 Conclusions

427 A new polycrystalline Ni-base superalloy has been characterised via scanning electron microscopy, synchrotron  
 428 X-ray diffraction and thermal analysis. The alloy was  $\gamma'$  forming, with evidence of  $\delta$  phase formation after 100

429 hour exposure at 750°C, offering improved thermal stability over IN718, which precipitates the  $\delta$  phase after  
430 less than 10 hours at the same temperature.

431 The temporal evolution of the  $\gamma'$  precipitates was characterised, using a controlled cooling rate from SHT to  
432 ensure homogeneity of the initial microstructural condition. The results were rationalised through LSW  
433 modelling for diffusion-controlled precipitate coarsening, and by comparing to estimates of strong- and weak-  
434 pair dislocation coupling. The predicted strengthening contributions correlated well to the observed hardening  
435 responses.

436 The combination of properties offered by this new alloy make it a promising candidate for further development  
437 of a low-cost Ni-base superalloy for structural applications at intermediate load and temperature service  
438 environments.

## 439 Acknowledgments

440 The authors gratefully acknowledge the support of Rolls-Royce Plc. for the provision of material and supporting  
441 information. Funding to support GJW is acknowledged from the EPSRC (through the provision of an iCase  
442 studentship: EP/S513775/1) and from Rolls-Royce plc. Access to Diamond Light Source was provided under  
443 experiments MG30411 and MG31965. The authors also wish to thank Dr H.T. Pang for laboratory assistance  
444 and useful discussion.

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## 448 Dataset DOI

449 The research data required to reproduce these findings are available from the University of Cambridge  
450 repository[84]:

451 DOI [10.17863/CAM.94867](https://doi.org/10.17863/CAM.94867)

## 452 Credit

453 Conceptualisation - HJS, Methodology – HJS/GJW, Formal Analysis - GJW, Investigation – GJW/NLC/CEPT/NGJ,  
454 Resources – HJS/NGJ, Writing – Original Draft - GJW, Writing – Review & Editing HJS/GJW/NGJ, Visualisation -  
455 GJW, Supervision – HJS/PMM/MCH, Project Administration - HJS, Funding Acquisition - HJS/MCH

## 456 Conflict of Interest

457 H.J. Stone and G.J. Wise report financial support was provided by UK Research and Innovation and by Rolls-  
458 Royce plc. H.J. Stone reports a relationship with Rolls-Royce plc that includes: consulting or advisory and  
459 funding grants.

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