

1 **In the murine and bovine maternal mammary gland signal transducer and activator of**
2 **transcription 3 is activated in clusters of epithelial cells around the day of birth**

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56

57 **Abstract**

58 Signal transducers and activators of transcription (STAT) proteins regulate mammary
59 development. Here we investigate the expression of phosphorylated STAT3 (pSTAT3) in the
60 mouse and cow around the day of birth. We present localised colocation analysis, applicable
61 to other mammary studies requiring identification of spatially congregated events. We
62 demonstrate that pSTAT3-positive events are multifocally clustered in a non-random and
63 statistically significant fashion. Arginase-1 expressing cells, consistent with macrophages,
64 exhibit distinct clustering within the periparturient mammary gland. These findings represent
65 a new facet of mammary STAT3 biology, and point to the presence of mammary sub-
66 microenvironments.

67

68 **Keywords**

69 Macrophage, mammary, parturition, STAT3, udder, spatial statistics

70

71 The mammary gland exhibits extensive postnatal development [1-3]. Signal transducers and
72 activators of transcription (STAT) proteins are classically activated by phosphorylation, and
73 play key roles in regulating this development [4]. STAT3 is particularly associated with post
74 lactational regression (involution) and there is striking up-regulation of phosphorylated
75 STAT3 (pSTAT3) following the onset of involution [5-8]. During involution STAT3
76 constitutes a key regulator of cell death [5, 9, 10] and modulates the mammary
77 microenvironment [11]. A pulse of expression of pSTAT3 protein is also observed on the day
78 of birth in mice although this has received less focus than the prolonged activation of STAT3
79 accompanying involution [6].

80

81 It is well-established that there is a periparturient period of immunosuppression, and cows are
82 very susceptible to mastitis at this time [12]. Given the susceptibility of the mammary gland
83 to mastitis around the day of birth, and that we have previously demonstrated that during
84 involution mammary epithelial STAT3 regulates genes associated with the acute phase
85 response and has immunomodulatory effects [11], we considered that better understanding of
86 the distribution of pSTAT3-expressing cells will impact understanding of the periparturient
87 mammary immune microenvironment. We therefore sought to investigate the expression of
88 pSTAT3 in the murine and bovine mammary gland around the day of birth.

89

90 We first examined immunohistochemical expression of pSTAT3 in murine mammary tissue
91 from 17.5 d gestation and 2 d lactation. These time points flank the previously observed pulse
92 of mammary pSTAT3 expression that was recorded at 0 d lactation, but not at 15 d gestation
93 or 5 d lactation [6]. Murine mammary levels of pSTAT3 expression are extremely variable at
94 17.5 d gestation and 2 d lactation, with large parts of the gland exhibiting minimal pSTAT3
95 expression. However, where nuclear pSTAT3 expression is present in luminal epithelial cells,

96 indicating activated STAT3, expression is frequently restricted to individual mammary
97 alveoli or clusters of alveoli (Fig. 1 and Online Resource 1). This distribution is similar to
98 previously reported patterns of transferrin gene expression in rats [13]. In view of this
99 observation, we wished to determine whether a similar pattern of pSTAT3 expression was
100 observed in bovine mammary tissue. The gestation length of cows is affected by breed but is
101 approximately 279-290 d, so we examined tissue from cows between 248 d gestation and 46
102 d lactation (Online resource 2).

103

104 The udder of cows in the last third of gestation, and in early lactation, exhibits variable levels
105 of mammary alveolar development and expansion. pSTAT3 expression levels also vary
106 dramatically within a single mamma, between different mammae of the same animal, and
107 between animals of similar reproductive stage. However, we noted foci with pSTAT3
108 expression patterns similar to those of the mouse, with pSTAT3 expression localised to
109 individual ducts or groups of alveoli (Figure 1, Online resource 3).

110

111 Given the variable levels of alveolar expansion that we observed in the udder, and the
112 demonstration by other investigators that a transient increase in STAT3 phosphorylation can
113 be observed in mammary epithelial cells subjected to mechanical stress mimicking
114 involution-associated distension [14], we considered it possible that the level of mammary
115 alveolar dilation was affecting pSTAT3 expression. However, expression of pSTAT3 is
116 unaffected by alveolar dimensions (Online resource 4).

117

118 Examination of tissue sections suggested that mammary alveoli are frequently composed of a
119 majority of either pSTAT3-positive or pSTAT3-negative luminal epithelial cells. We
120 interrogated this observation by devising a grading scheme for mammary alveolar pSTAT3

121 expression (Methods and Fig. 1c). We applied the grading scheme to 25 randomly selected
122 mammary alveoli from 12 mammae from 7 individual cows, comprising a total analysis of
123 300 randomly selected mammary alveoli. This revealed that the frequency distribution of
124 mammary alveolar grades is skewed towards either low (grade 1) or high (grade 4) grade and
125 that there is a relative paucity of alveoli with a relatively even balance of cells expressing
126 pSTAT3 and not exhibiting pSTAT3 expression (grades 2 and 3) (Fig. 1d). This indicates that
127 in most mammary alveoli, there is a predominance of either pSTAT3-negative or pSTAT3-
128 positive cells, and therefore suggests that there may be an alveolar-level commitment to a
129 pSTAT3 transcriptional profile.

130

131 We wished to further investigate the qualitative observation that pSTAT3-positive mammary
132 alveoli were clustered. To analyse any potential alveolar associations, we randomly selected
133 alveoli exhibiting any degree of pSTAT3 positivity and analysed the positivity of all adjacent
134 alveoli in the same lobule. Mammary alveoli exhibiting any degree of luminal epithelial
135 pSTAT3 positivity have a significantly higher proportion of pSTAT3-positive neighbouring
136 alveoli than those mammary alveoli that are composed entirely of luminal epithelial cells in
137 which pSTAT3 expression is not detected (Fig. 1e). This may reflect the arrangement of
138 neighbouring alveoli in terminal duct lobular units that are drained by the same branch of the
139 mammary ductal tree.

140

141 We have previously utilised spatial statistical analyses (Getis-Ord GI*) to demonstrate
142 mammary congregation of positive immunohistochemical events [2]. In this study we
143 performed a similar spatial analysis, adopting a local collocation quotient metric (LCQ) and
144 further refining the image analysis by removal of the spaces created by the mammary
145 ductular and alveolar lumina, where positive events cannot occur, whilst retaining the ability

146 to detect positive events occurring in shed cells within the lumina. This confirmed that
147 pSTAT3-positive immunohistochemical events are multifocally clustered in a non-random
148 and statistically significant fashion within the mammary parenchyma (Fig. 2).

149

150 We wished to determine whether there was a relationship between mammary expression of
151 pSTAT3 and immune cell distribution within the gland, specifically focusing on macrophages
152 as a pilot investigation. Macrophages have distinct spatial and temporal dynamics in the
153 mammary gland of pre-pregnant sheep [2] and we have previously demonstrated that, during
154 involution of the murine mammary gland, macrophage phenotype is modulated by epithelial
155 pSTAT3 signalling [11].

156

157 Ionised calcium binding adaptor molecule 1 (IBA1) is involved in macrophage membrane
158 ruffling and expression is common to macrophages and microglia [15]. Using dual staining
159 immunohistochemistry (IHC) we demonstrated that cells expressing IBA1 are distributed in a
160 relatively uniform pattern irrespective of the pSTAT3-status of adjacent mammary alveoli
161 (Fig. 3a and b). Arginase-1 expression is associated with an immunomodulatory phenotype in
162 macrophages [16]. In our pilot investigation, we noted that cells expressing arginase-1 are
163 less evenly distributed within the periparturient mammary gland, exhibiting distinct
164 clustering. When present, arginase-1 expression colocalises with IBA1 expression, allowing
165 inference that these cells are likely immunomodulatory macrophages (Fig. 3c and d). Overall,
166 these data point to the periparturient mammary gland having different sub-
167 microenvironments where the transcriptional profile of the mammary alveoli, and the
168 composition of the immune cell compartment, may vary.

169

170 Taken together, these observations shed light on an aspect of mammary STAT biology that
171 has previously received less attention than other facets of STAT activity related to the
172 mammary postnatal developmental cycle [4]. The finding that pSTAT3 is expressed
173 predominantly in the mammary luminal epithelium during the periparturient period raises
174 important questions regarding the function of this transcription factor at this developmental
175 stage, particularly given its well-known role during post lactational regression [5, 9-11].
176 Although structural and functional differences between the periparturient and involution
177 stages of mammary development may suggest a lack of commonality between these two
178 postnatal developmental time points, a subset of STAT3 target genes that are upregulated
179 during involution also exhibit upregulation on the day of birth. These include leucine rich
180 alpha-2 glycoprotein 1 and CD14, although the latter finding is inconsistent between studies
181 [11, 17, 18]. It is therefore possible that STAT3 upregulation around the day of birth may
182 modulate the immune milieu of the gland.

183

184 The concept of heterogeneous mammary expression of proteins during pregnancy and early
185 lactation in the ruminant has been previously established. Hotspots, and less frequently,
186 gradients, of alpha lactalbumin, alpha-S1-casein and lactoferrin expression have been
187 documented in the mammary gland of sheep and cattle [19]. Given that we demonstrate
188 multifocal hotspots of pSTAT3 expression, this may suggest that local autocrine and
189 paracrine influences are of significance in sculpting the periparturient glandular
190 microenvironment. This, and the uneven distribution of immunomodulatory arginase-1
191 expressing macrophages, are likely important in the light of the overall susceptibility of the
192 ruminant gland to periparturient mastitis [20].

193

194 Although the alveolar dimensions are similar between STAT3-expressing and non-expressing
195 mammary alveoli, this does not rule out the possibility that during the periparturient period,
196 sub-compartments of the mammary parenchyma may be at differing phases of alveolar
197 development, lactational stasis, or even overt involution. It is possible/likely that STAT3 may
198 have differing functions in different contexts, similar to other STATS [21]. For example, it is
199 possible that pSTAT3 expression may be associated with transient upregulation of immune
200 factors at the commencement of lactation, but in other mammary sub-compartments there
201 may, in parallel, be localised initiation of involution associated with sustained non-drainage
202 of secretion from specific terminal duct lobular units. Importantly, this study suggests that the
203 mammary microenvironment likely has local sub-microenvironments.

204

205 In this analysis we capitalise on the use of spatial statistics to demonstrate significant
206 clustering of mammary pSTAT3 expression. Assessment of the spatial distribution of cells is
207 a powerful tool in cell biology and histopathology [22], particularly so when studying
208 heterogeneous tissue in which marked spatial variation, and the presence of sub-
209 microenvironments, limits the value of whole-population statistics. Importantly, in this study
210 the spatial analysis takes account of the structure of the mammary gland where the presence
211 of lumina of ducts and alveoli poses a particular challenge in assessment of clustering of
212 positive immunohistochemical events as the regions of the image not occupied by cells need
213 to be accounted for. The localised colocation analysis presented here will be applicable to
214 other mammary studies where identification, quantification and interrogation of significant,
215 spatially congregated events is required.

216

217 This study has several limitations. The use of mammary tissue from non-experimental cows
218 means that the animals sampled were of several different breeds or crosses, although the

219 majority were Holstein Friesian cows. Lactating cows would have had variable intervals
220 since last milking. All the cows had concurrent morbidities, including in two cases foci of
221 mastitis. Concurrent inflammation may influence the immune phenotype of the gland.
222 However, in one of the two mastitis cases (case 5) both the right and left fore quarters were
223 included in the analyses and only the left fore quarter had mastitis. In this case, both quarters
224 had minimal pSTAT3 epithelial staining and thus the presence of an inflammatory focus
225 appeared to have no impact. In the other case of mastitis (case 7) there was no microscopic
226 correlation of pSTAT3 positivity with foci of inflammation. Despite the limitations of this
227 study, the presence of clusters of pSTAT3-positive epithelial cells in the cows is strikingly
228 similar to the tissues derived from healthy experimental mice at the defined time points of
229 17.5 d gestation and 2 d lactation.

230

231 It is also noteworthy that in timepoints around the day of birth pSTAT3 expression is not
232 restricted to luminal epithelial cells and is noted in other cell populations including presumed
233 myoepithelial cells and infiltrating immune cells (Fig. 1c). It may be informative to
234 interrogate pSTAT3 expression in different mammary cellular compartments in future
235 investigations.

236

237 This analysis raises interesting questions for future investigations, most specifically
238 examining correlation of pSTAT3 expression with expression of STAT3 target genes. Single
239 cell transcriptomic technologies have already been widely adopted in the mammary field [23]
240 and spatial transcriptomics would be well suited to investigation of the role of clustered
241 pSTAT3 expression in the periparturient gland and the definition of mammary sub-
242 microenvironments.

243

244 Our study reveals similarities between the mouse and the cow, lending weight to the assertion
245 that ruminants are valuable non-traditional models of mammary developmental processes
246 [24]. Our work demonstrates that around the day of birth, in the murine and bovine mammary
247 gland there is mammary alveolar-level commitment to a pSTAT3 transcriptional profile and
248 pSTAT3-positive mammary alveoli are frequently grouped, as are arginase-1 expressing
249 macrophages. pSTAT3 is an important regulator of the mammary microenvironment in other
250 contexts. This finding therefore represents a new facet of mammary STAT3 biology meriting
251 further functional investigation.

252

253 **Materials and methods**

254 *Animals*

255 Mammary tissue was collected from C57BL/6 mice at 17.5 days gestation and 2 days
256 lactation following standard husbandry procedures. Udder tissue was collected from cows
257 that were submitted to the diagnostic veterinary anatomic pathology post mortem service of
258 the University of Cambridge or from cows that were euthanised by veterinarians in practice
259 (Online resource 2). The cause of death of the animal was recorded as part of the post mortem
260 examination procedure and/or preceding clinical investigations. No information was available
261 regarding time since last milking or suckling.

262

263 *Histology, immunohistochemistry and analyses*

264 Mammary tissue was fixed in 10% neutral-buffered formalin. Tissues were processed using a
265 standard methodology and 5 µm tissue sections were cut and stained with haematoxylin and
266 eosin.

267

268 IHC followed a standard protocol using a PT link antigen retrieval system with high pH
269 antigen retrieval solution (both Dako Pathology/Agilent Technologies, Stockport, UK). For
270 dual IHC an ImmPRESS® Duet Double Staining Polymer Kit (Vector Laboratories) was
271 used. Antibodies for pSTAT3 (1:100, rabbit monoclonal antibody #9145, Cell Signaling
272 Technology; or 1:100, mouse monoclonal antibody #4113, Cell Signaling Technology), IBA1
273 (1:1200, rabbit monoclonal antibody, ab178846, Abcam or 1:800 mouse monoclonal
274 antibody, MABN92, Merck) and Arginase-1 (1:250 mouse monoclonal antibody, ab215894,
275 Abcam) were incubated overnight at 4°C and secondary antibodies were incubated for thirty
276 minutes at room temperature. Negative control slides were treated with isotype- and species-
277 matched immunoglobulins. Slides were counterstained using Mayer's Haematoxylin for 3
278 minutes.

279

280 Slides were scanned at 40× using a NanoZoomer 2.0RS, C10730, (Hamamatsu Photonics,
281 Hamamatsu City, Japan) and were analysed with the associated viewing software
282 (NDP.view2, Hamamatsu Photonics).

283

284 A random selection of either positive or negative alveoli were selected on a scanned slide at
285 low magnification (25 of each per slide). Alveolar dimensions were measured using
286 NDP.view2 and positive alveoli were assigned a grade 1-4. Grade 1 alveoli were those
287 exhibiting 25% or less pSTAT3-positive luminal epithelial cells, grade 2 alveoli exhibited 26-
288 50% pSTAT3-positive luminal epithelial cells, grade 3 alveoli exhibited 51-75% pSTAT3-
289 positive luminal epithelial cells, and grade 4 alveoli were those with 76% or more pSTAT3-
290 positive luminal epithelial cells. The number of immediately adjacent alveoli were counted
291 and those with positive epithelial cells noted and converted to a percentage of positive
292 neighbours for the initially selected alveolus.

293

294 *pSTAT3: Local correlation quotient spatial statistics*

295 The centroid locations of pSTAT3+ and pSTAT3- nuclei alongside masks for tissue ‘void
296 areas’ unpopulated by cells were extracted using pixel-classification machine learning using
297 the freely available Ilastik and CellProfiler softwares using methods described in previous
298 works [25] Statistically significant clustering of pSTAT3+ events relative to what would be
299 expected by random chance were identified using the local correlation quotient (LCQ)
300 statistic [26, 27] defined as:

301

302

$$303 \quad LCQ = \frac{n_B/n_A}{(N_B-1)/(N_A-1)} \quad (\text{equation 1})$$

304

305 Where N_A is the global number of all nuclei and N_B is the global number of pSTAT3+ nuclei.
306 n_A is the local number of all nuclei and n_B the local number of pSTAT3+ nuclei. The size of
307 the local area was dynamically set for each cell according to the local cell density. A
308 Gaussian spatial filter was used with a bandwidth equal to the distance to the 10th nearest
309 neighbour. To enable reproducibility, all image-data, image analysis steps in Ilastik and
310 CellProfiler as well as the MATLAB code used to calculate the LCQ measure are available
311 for download from the BioStudies database under accession number S-BSST1025
312 (<https://www.ebi.ac.uk/biostudies/studies/S-BSST1025>).

313

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324

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- 413
414

415 **Figure legends**

416 **Fig. 1 Around the day of birth there is polarisation of alveoli towards either a low- or**
417 **high- proportion of pSTAT3 positive alveolar epithelial cells.** (a, b) Murine tissue from
418 17.5 dG (a) and 2 dL (b). IHC for pSTAT3 (brown) with haematoxylin counterstain. Arrow
419 indicates rare pSTAT3 positive alveolus. Scale bar = 40 μ m (a) and 80 μ m (b). Images are
420 representative of 5 mice (2 mice 17.5 dG; 3 mice 2 dL). (c) Example images (case 1)
421 illustrating bovine grading scheme used to denote proportion of pSTAT3 positive alveolar
422 luminal epithelial cells within an alveolus (*). Grade 1: 25% or less positive luminal
423 epithelial cells; grade 2: 26 - 50% positive; grade 3: 51-75% positive; grade 4: 76 - 100%
424 positive. IHC for pSTAT3 (brown) with haematoxylin counterstain. Scale bar = 30 μ m. (d)
425 Frequency histogram showing distribution of grades of 300 bovine alveoli selected at random
426 from immunohistochemically stained slides from 12 mammary quarters (25 alveoli per
427 quarter) from 7 cows. (e) pSTAT3 positive mammary alveoli have a higher proportion of
428 pSTAT3-positive neighbouring alveoli. Results represent mean % of neighbouring alveoli
429 that are positive for 25 alveoli analysed from each of 12 quarters from 7 cows (negative
430 alveoli) and 7 quarters from 4 cows (positive alveoli). *** $p < 0.001$. dG, days gestation; dL,
431 days lactation.

432

433 **Fig. 2 During late gestation and early lactation the bovine mammary gland exhibits**
434 **hotspots of pSTAT3 expression.** IHC for pSTAT3 (a, c, e, g) and accompanying spatial
435 statistical analyses (local collocation quotient) (b, d, f, h) demonstrating regions with
436 significant spatial congregation of pSTAT3+ cells. Mammary gland from cows 248 dG (a, b),
437 1 dL (c, d) 8 dL (e, f) and 46 dL (g, h); dG, days gestation; dL, days lactation. a, c, e, g
438 Haematoxylin counterstain. Scale bar = 200 μ m.

439

440 **Fig. 3 During late gestation and early lactation, IBA1-positive and ARG1-positive cells,**
441 **consistent with macrophages, are present in the bovine mammary gland.** IHC for
442 pSTAT3 and IBA1 (a, b), pSTAT3 and ARG1 (c) and IBA1 and ARG1 (d). (a) Arrow
443 indicates alveolus with pSTAT3 positive cells. (c) Arrow indicates cluster of ARG1 positive
444 cells. (d) Blue arrows indicate IBA1-positive macrophages. White arrow indicates dual
445 IBA1- and ARG1-positive cell. * indicates non-specific staining of mammary secretory
446 product. Mammary gland from cows 248 dG (a, b), 8 dL (c) and 1 dL (d); dG, days gestation;
447 dL, days lactation. Haematoxylin counterstain. Scale bar = 80 μ m. Images are representative
448 of 4 cows.