

1 **VCP/p97 modulates PtdIns3P production and autophagy initiation**

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20 **Abstract**

21 VCP/p97 is an essential multifunctional protein implicated in a plethora of intracellular quality
22 control systems, and abnormal function of VCP is the underlying cause of several
23 neurodegenerative disorders. We reported that VCP regulates the levels of the
24 macroautophagy/autophagy-inducing lipid phosphatidylinositol-3-phosphate (PtdIns3P) by
25 modulating the activity of the BECN1 (beclin 1)-containing phosphatidylinositol 3-kinase
26 (PtdIns3K) complex. VCP stimulates the deubiquitinase activity of ATXN3 (ataxin 3) to
27 stabilize BECN1 protein levels and also interacts with and promotes the assembly and
28 kinase activity of the PtdIns3K complex. Acute inhibition of VCP activity impairs autophagy
29 induction, demonstrated by a diminished PtdIns3P production and decreased recruitment of
30 early autophagy markers WIPI2 and ATG16L1. Thus, VCP promotes autophagosome
31 biogenesis, in addition to its previously described role in autophagosome maturation.

32 **Keywords:** ATXN3, autophagy initiation, beclin 1, PI(3)P, PI3K, VCP/p97

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34 The human AAA+ ATPase VCP/p97 (valosin containing protein) is highly conserved
35 across species. VCP has an essential role in many pathways related to the ubiquitin-

36 proteasome system (UPS), including endoplasmic reticulum-associated protein degradation
37 (ERAD), but is also central to non-proteolytic functions of ubiquitin signaling, including cell
38 cycle regulation and Golgi biogenesis. Mutations in the *VCP* gene cause a dominantly
39 inherited, multisystemic inclusion body myopathy with early-onset Paget disease and
40 frontotemporal dementia (IBMPFD), amyotrophic lateral sclerosis 14, with frontotemporal
41 dementia (ALS14) and Charcot-Marie-Tooth disease 2Y (CMT2Y). Recently, a loss-of-
42 function mutation in *VCP* was also implicated in dementia associated with MAPT/tau
43 accumulation.

44 At the cellular level, loss of *VCP* leads to extensive accumulation of ubiquitinated
45 proteins, suggesting that clearance of these proteins is impaired. Indeed, loss of *VCP* activity
46 impairs autophagic flux as well as compromise the UPS. The presence of IBMPFD mutant
47 *VCP* variants in cells leads to the accumulation of LC3, SQSTM1/p62 and LAMP1- and
48 LAMP2-positive vesicles, indicating *VCP* involvement in autophagosome maturation. The
49 observed phenotype has been partially explained by *VCP* involvement in multiple steps of
50 endocytosis, which could in turn affect autophagic flux.

51 We recently identified a novel role for *VCP* in autophagy initiation using small
52 molecule inhibitors and genetic approaches [1] (**Figure 1**). In both basal and autophagy-
53 stimulating conditions (starvation, torin1 treatment), *VCP* inhibition blocks the increase in
54 LC3 puncta and recruitment of early autophagic markers including WIPI2 (WD repeat
55 domain, phosphoinositide interacting 2) and ATG16L1. Importantly, loss of *VCP* activity
56 reduces the formation of PtdIns3P, an early signal acting upstream of WIPI2 and LC3
57 recruitment to phagophores. This could be explained by our observations that *VCP* activity is
58 essential for the proper function of the PtdIns3P-producing PtdIns3K complex I, composed of
59 BECN1, ATG14, NRBF2, PIK3C3/VPS34 and PIK3R4/VPS15.

60 *VCP* interacts with the deubiquitinase ATXN3 and we previously revealed that
61 ATXN3, interacts with and stabilizes BECN1, thereby regulating autophagy. Indeed, using
62 BECN1 affinity purification and *in vitro* binding studies, we identified *VCP* as a novel
63 interactor of BECN1. We further showed that ATXN3 binding to *VCP* stimulates the
64 protective deubiquitinase activity of ATXN3 in BECN1 stabilization. This protects BECN1
65 from proteasomal degradation and increases its levels, thereby stabilizing PtdIns3P-
66 producing PtdIns3K complexes.

67 In addition to the ATXN3-dependent role, we showed that *VCP* interacts directly with
68 additional members of the PtdIns3K complex I and that the presence of active *VCP*
69 stimulates the assembly of this complex. We found that *VCP* independently interacts with
70 UVRAG and RUBCN/rubicon, suggesting that *VCP* could also form a complex with PtdIns3K

71 complex II and III. In this study we focused on the ATG14-containing complex I, as we aimed
72 to understand the role of VCP in autophagosome formation. Decreasing VCP activity with
73 different inhibitors causes less VCP to be co-immunoprecipitated with components of the
74 PtdIns3K complex I and also decreases the levels of assembled PtdIns3K complexes found
75 in the cell. These findings were verified also *in vitro*, where we showed that addition of VCP
76 to individually purified PtdIns3K proteins stimulates type I PtdIns3K complex assembly. We
77 found that the ATPase activity of VCP stimulates the assembly and activity of the PtdIns3K
78 complex to increase production of PtdIns3P and thereby regulate the extent of autophagy
79 initiation.

80 These functions of VCP in BECN1-dependent autophagy initiation were identified
81 through inhibition of lysosomal activity followed by acute inhibition of VCP activity. By
82 combining acute inhibition of VCP with the lysosomal inhibitor bafilomycin A₁, we were able
83 to demonstrate a decrease in the production of LC3-positive autophagosomes. This was
84 correlated with a decrease in early autophagic markers adding a new role to the list of VCP-
85 dependent processes. As prolonged depletion of VCP also causes a blockage in autophagy
86 maturation, the accumulation of immature LC3-positive autophagic vesicles would mask any
87 effect on autophagy initiation and had thus been unrecognized in previous studies using
88 genetic approaches. Our findings may also provide additional explanation for the diverse and
89 severe phenotypes seen in diseases with compromised VCP function via impaired
90 autophagy initiation.

91 **References:**

92 [1] Hill, S.M., Wrobel, L., Ashkenazi, A. *et al.* VCP/p97 regulates Beclin-1-dependent
93 autophagy initiation. *Nat Chem Biol* (2021). <https://doi.org/10.1038/s41589-020-00726-x>

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102 **Declaration of interests**

103 DCR is a consultant for Aladdin Healthcare Technologies Ltd and Nido Biosciences. None of
104 the other authors have competing interests.

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107 **Figure legend**

108 **Figure 1.** VCP has a dual role in autophagy initiation. VCP binds ATXN3 and BECN1 to
109 stimulate deubiquitination of BECN1, thereby protecting this protein from degradation and
110 stabilizing its levels as a part of the PtdIns3K complex. VCP also binds to other components
111 of the type I PtdIns3K complex, promoting assembly of the entire complex and enhancing
112 PtdIns3K complex activity. This results in the increase in PtdIns3P production and
113 autophagy stimulation.

PtdIns3K complex I

