

# 2 Behaviorally consequential astrocytic 3 regulation of neural circuits

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45 Words in abstract: 130 (target 150)

46 Characters (pages 3-49) including spaces, references, and figure legends: 120,944 (target 120,000)

47 Figures: 3

48 Tables: 1

49 **Summary**

50 Astrocytes represent a large and diverse population of morphologically complex cells that  
51 exist throughout nervous systems of multiple species. Progress over the last two decades has shown  
52 that astrocytes mediate developmental, physiological, and pathological processes. However, a  
53 longstanding open question concerns how astrocytes regulate neural circuits in ways that are  
54 behaviorally consequential. In these regards, we summarize recent studies from *Caenorhabditis*  
55 *elegans*, *Drosophila melanogaster*, *Danio rerio*, and *Mus musculus*. The data reveal diverse  
56 astrocyte mechanisms operating on seconds or much longer time scales within neural circuits and  
57 shaping multiple behavioral outputs. We also refer to human diseases that have a known primary  
58 astrocytic basis. We suggest that including astrocytes in mechanistic, theoretical, and  
59 computational studies of neural circuits provides new perspectives to understand behavior, its  
60 regulation, and its disease-related manifestations.

61

62 **Key words**

63 astrocyte, behavior, neuronal circuit, glia, microcircuit, *Caenorhabditis elegans*, *Drosophila*  
64 *melanogaster*, *Danio rerio*, *Mus musculus*, genetic disorders

## 65 **Introduction**

66       Understanding how the brain works is arguably one of the last frontiers of currently  
67 conceivable biology. This is an important goal with societal relevance, because disorders of the  
68 nervous system represent a major and increasing health burden. There is also an expectation that  
69 deeper understanding of the brain will inspire new types of biological computing and artificial  
70 intelligence. To meet these quests, impressive strides have been made over the last 150 years since  
71 the building blocks of the nervous system, the neuronal and glial cells, were discovered.

72       Considerable effort has been devoted to the study of neurons as *the* excitable cells of the  
73 nervous system. Advances made using model organisms, electrophysiology, genetics,  
74 neuroanatomy, and imaging at multiple scales are now providing mechanistic understanding of  
75 neurons, neuronal circuits and their contributions to complex behaviors (Luo et al., 2008, 2018).  
76 In contrast, our understanding of glia and how they contribute to the functions of neural circuits  
77 and behavior is comparatively primitive, even though glia were discovered in parallel with neurons  
78 (Kettenmann and Verkhratsky, 2008). For many researchers, CNS circuits can be simplified as  
79 comprising neurons, perhaps forestalling the necessity to consider glia. However, a more useful  
80 definition of a neural microcircuit is that “*comprising neurons and associated cells such as glia,*  
81 *organized to carry out specific operations within a region of the nervous system*” (Shepherd and  
82 Grillner, 2010), reflecting the anatomical and evolutionary reality that glia and neurons have  
83 coexisted since the Palaeozoic era (Freeman and Rowitch, 2013).

84       In this review, we explore four experimental model organisms that represent the vanguard in  
85 efforts to understand how astrocytes (or astrocyte-like cells) contribute to neural circuit function  
86 and behavior. Each section begins with a brief introduction to the key features of astrocytes in each  
87 organism (**Figure 1**) and then describes recently identified functions and mechanisms by which  
88 they guide behavior (**Figures 2 and 3**).

89       At this stage, there is no common set of approaches that have been used across all the model  
90 organisms that we consider. Instead, we highlight the most informative studies pertinent to the  
91 topic of this review that employ methods best suited to that organism and the question at hand. For  
92 example, in mice many studies have employed chemogenetics, whereas in *C. elegans*, *Drosophila*,  
93 and zebrafish additional genetic interventions and screens have been very informative. In the case  
94 of mice, we have mainly focused on studies showing how acute astrocyte signaling can regulate  
95 behavior (seconds to hours) and have not focused on many studies where behavioral alterations

96 result over longer periods such as following the deletion of a critical gene within astrocytes or  
97 during development and aging. In the case of *C. elegans*, *Drosophila*, and zebrafish, we have  
98 focused only on the most informative studies related to astrocytes and behavior. We finish by  
99 briefly mentioning human disorders with a known primary astrocytic basis. In the summary  
100 comments, we draw on common themes across species, interpretations, and key open questions.

101

## 102 **Astrocytes: background narrative and core features**

103 Rudolf Virchow proposed neuroglia as a type of “nerve cement” in 1858. The cellular  
104 elements comprising neuroglia were subsequently identified and named as astrocytes by Michael  
105 von Lenhossek (1893), and as microglia and oligodendrocytes by Pio del Rio-Hortega (1919)  
106 [reviewed in (Kettenmann and Verkhratsky, 2008)]. Astrocytes, microglia and oligodendrocytes,  
107 collectively glia, probably represent no more than 50% of CNS cells.

108 **Astrocytes are far more complex than first envisioned in the 1890s by their star-shaped**  
109 **morphology.** Astrocytes vary morphologically between species and brain areas, but one feature  
110 that sets astrocytes apart from microglia and oligodendrocytes is their highly complex anatomy,  
111 which has been described as bushy and sponge-like. The finest processes of astrocytes extensively  
112 contact synapses and other cells, but seem relatively stable compared to those of microglia, which  
113 display spatial dynamics at the cellular and submicrometer scale over minutes (Bernier et al., 2019;  
114 Davalos et al., 2005; Nimmerjahn et al., 2005). Astrocytes do, however, undergo extensive  
115 structural remodeling during injury and display more subtle alterations during synaptic plasticity  
116 (Adams and Gallo, 2018; Henneberger et al., 2020). The complex anatomical features of astrocytes  
117 suggest that, whereas **most** neurons evolved to perform their core functions at distance through  
118 dendritic and axonal arbors, **most** astrocytes evolved to perform their core functions locally  
119 through complex, compact morphological forms impinging upon other cellular elements of the  
120 neuropil. Signaling of astrocytes at distances beyond their own territories can occur, however, via  
121 low resistance diffusive pathways formed by gap junctions between neighboring astrocytes  
122 (Giaume et al., 2010).

123 In terms of signaling, astrocytes are mostly electrically silent (Kuffler, 1967): **their** resting  
124 membrane potential rarely deviates from near the  $K^+$  equilibrium potential by more than a few  
125 millivolts and there is no evidence of any propagated or graded electrical signals that function in  
126 a manner analogous to those in neurons (Savtchenko et al., 2018). **Indeed, astrocytes seem to be a**

127 poor substrate for propagating electrical signals owing to their extremely low membrane resistance,  
128 highly branched processes, high surface area, multiple consecutive failure and divisive points for  
129 current flow, and the fact that they lack predominant voltage-dependent channels. In the absence  
130 of an obvious electrical signal, the discovery of astrocyte intracellular  $\text{Ca}^{2+}$  dynamics (Charles et  
131 al., 1991; Cornell-Bell et al., 1990) provided great impetus to explore such slower biochemical  
132 signals as a means by which astrocytes communicate to other cells. Much *in vivo* work over that  
133 last few years has shown that astrocyte  $\text{Ca}^{2+}$  dynamics are extremely rich, occur locally in fine  
134 processes as well as globally, can be triggered by neuromodulators and neurotransmitters, occur in  
135 a behaviourally relevant manner, and are altered in disease (Bazargani and Attwell, 2016;  
136 Shigetomi et al., 2016).

137 Several known mechanisms allow astrocytes to regulate synapses and neurons. These include  
138 changes in neurotransmitter and ion homeostasis, release of neuromodulators and synaptogenic  
139 cues from astrocytes, movement of astrocyte processes relative to synapses to alter synaptic  
140 transmission, and contributions to multicellular neuroinflammatory responses (Allen and Lyons,  
141 2018; Khakh and Sofroniew, 2015). We consider some of these mechanisms in the following  
142 sections in relation to behaviour. Furthermore, recent discoveries show that astrocytes display  
143 diversity within and between brain areas. This work is beyond the scope of this review, but has  
144 been summarized (Haim and Rowitch, 2017; Khakh and Deneen, 2019).

145

## 146 **Insights from *C. elegans***

### 147 CEPsh glia: worm astrocytes

148 The nematode *C. elegans* is an important setting for glia studies. The hermaphrodite and  
149 male nervous systems are fully mapped connectomes of 302 and 391 neurons, and 56 and 92 glia,  
150 respectively, mediating locomotion, sleep, mating, decision-making, memory, and other behaviors  
151 (Doroquez et al., 2014; Jarrell et al., 2012; White et al., 1986). Powerful molecular-genetic tools,  
152 coupled with *in vivo* imaging and optogenetics, allow visualization and manipulation of any glial  
153 cell or neuron (Singhvi and Shaham, 2019). As *C. elegans* cell survival is generally programmed  
154 by lineage (Sulston and Horvitz, 1977; Sulston et al., 1983), glia are not required for neuron  
155 viability. Thus, primary effects of glia manipulation on neuron activity can be distinguished from  
156 confounding secondary causes (Shaham, 2005).

157 The *C. elegans* brain, a nerve ring composed of processes and synapses of ~180 neurons, is

158 ensheathed by two glial types (**Figure 1A**). Four astrocyte-like CEPsh glia cover the outer surface  
159 and penetrate the structure, and six GLR glia abut the inner border (Singhvi and Shaham, 2019;  
160 White et al., 1986). CEPsh glia development suggests homology to vertebrate astrocytes (Rapti et  
161 al., 2017). The nerve ring is anatomically similar to the vertebrate spinal cord, with neural tissue  
162 surrounding a fluid-filled space and midline-crossing commissural tracts projecting rostrocaudally.  
163 In vertebrate development, radial glia extend processes from ventricular to pial surfaces, where  
164 they branch and guide commissural axons (Dominici et al., 2017; Varadarajan et al., 2017).  
165 Likewise, embryonic *C. elegans* CEPsh glia extend a neuron-guiding process (Rapti et al., 2017).  
166 Netrin, from radial glia pial branches or from *C. elegans* CEPsh glia processes, hones axon  
167 guidance. Semaphorins and Flamingo/CELSR, also do so in *C. elegans*.

168       Once neurogenesis is complete, some vertebrate radial glia transform into astrocytes (Noctor  
169 et al., 2008; Schmechel and Rakic, 1979). CEPsh glia undergo a similar cell division-independent  
170 transformation (Rapti et al., 2017), and in both settings glial branches contact synapses (White et  
171 al., 1986). Like astrocytes, CEPsh glia influence synaptogenesis (Allen et al., 2012;  
172 Christopherson et al., 2005; Colon-Ramos et al., 2007; Eroglu et al., 2009; Shao et al., 2013).  
173 Furthermore, both astrocytes and CEPsh glia cover non-overlapping neural domains, respecting  
174 yet unknown tiling rules (Bushong et al., 2002; White et al., 1986).

175       Gene-expression profiles support the homology of CEPsh glia and mammalian astrocytes.  
176 Quantitative comparisons reveal that CEPsh glia are more similar to mouse astrocytes than any  
177 other brain cell (Katz et al., 2019). For example, CEPsh glia express homologs of astrocytic  
178 glutamate transporter GLT-1 and glial fibrillary acidic protein (GFAP). Olig2 transcription factor,  
179 expressed by some mature vertebrate astrocytes (Tatsumi et al., 2018), is also expressed in CEPsh  
180 glia (McMiller and Johnson, 2005; Yoshimura et al., 2008). Like astrocytes, CEPsh glia are diverse,  
181 with Netrin, Pax6, and Hmx expression segregated dorsoventrally (Wadsworth et al., 1996;  
182 Yoshimura et al., 2008), impacting functional diversity and developmental potential (Mizeracka  
183 and Heiman, 2015). Finally, vertebrate astrocytes exhibit Ca<sup>2+</sup> transients, whose purpose is debated  
184 (Shigetomi et al., 2016; Yu et al., 2020a), and gap junctions allow Ca<sup>2+</sup> flow to adjacent astrocytes.  
185 CEPsh glia exhibit similar Ca<sup>2+</sup> responses (M. Katz and S. Shaham, unpublished), and also express  
186 gap junction proteins (Altun et al., 2015), whose functional coupling is not yet demonstrated.

187

188 CEPsh astrocytes modulate synapses to control *C. elegans* behavior

189 Post-embryonic ablation of CEPsh glia does not perturb nerve-ring structure, but animals  
190 exhibit several independent locomotory defects (Katz et al., 2018; Katz et al., 2019). Ablated  
191 animals move slowly and follow abnormal circular paths. Ablation also affects sleep. Unlike most  
192 *C. elegans* neurons, the ALA neuron, which forms inhibitory synapses onto locomotory AVE  
193 interneurons, exhibits frequent  $\text{Ca}^{2+}$  transients during sleep (Nichols et al., 2017). In wakefulness  
194 these synapses are inactivated by CEPsh glia (Katz et al., 2018). CEPsh glia ablation uncovers  
195 ALA-AVE inhibition, and uncouples AVE firing from movement. Strikingly, CEPsh glia-ablated  
196 adults exhibit narcoleptic-like locomotory pauses and prolonged sleep bouts (**Figure 2A**).  
197 Importantly, astrocyte regulation of sleep is conserved in *Drosophila* and in mice, as discussed  
198 later.

199 Animals lacking CEPsh astrocytes also exhibit defects in the balance of forward and  
200 backward locomotion. Off food, adults mostly move forward, reversing infrequently. Reversal  
201 initiation events follow a Poisson distribution with a fixed temporal probability. Animals lacking  
202 CEPsh glia, or *glt-1* mutants, instead exhibit repeated reversal initiation bouts (Katz et al., 2019;  
203 Mano et al., 2007). This repetitive behavior can be spontaneous, or elicited. *In vivo* dual-imaging  
204 of extracellular glutamate and intracellular  $\text{Ca}^{2+}$  in AVA, a backward locomotion interneuron,  
205 revealed surprising dynamics in *glt-1* mutants. While wild-type animals occasionally exhibit  
206 spontaneous glutamate release onto AVA and subsequent AVA firing, *glt-1* mutants exhibit  
207 oscillations of glutamate release near AVA and of AVA firing. Oscillation distribution matches  
208 repetitive behavior statistics, suggesting a causal role. Circuit analysis suggests that repetitive AVA  
209 firing originates in presynaptic neurons. In the absence of glial GLT-1, glutamate diffuses from  
210 AVA postsynaptic sites, engaging an extrasynaptic glutamate receptor, MGL-2, homologous to  
211 vertebrate mGluR5, on presynaptic neurons. This leads to un-evoked EGL-30/Gαq-dependent  
212 glutamate release, driving an autocrine feed-forward loop causing repetitive AVA firing and  
213 repetitive reversal behavior (Katz et al., 2019). Thus, CEPsh astrocytes are critical for restricting  
214 reversal motor program initiation (**Figure 2A**).

215 GLT1 conditional knockout in mouse astrocytes yields repetitive grooming (Aida et al.,  
216 2015), and mGluR5 inhibition prevents repetitive behaviors in mouse autism and repetitive  
217 behavior disorder models (Silverman et al., 2010). Thus, the *C. elegans* studies suggest that  
218 mammalian repetitive behavior, thought to involve inhibition defects among multiple brain regions  
219 (Nikolaus et al., 2010), may at least in part originate from defects in synaptic glutamate dynamics.

220

221 *C. elegans* glia control neuron-receptive-ending cell biology and physiology

222 Since *C. elegans* contains so few cells, individual cells often assume multiple functions.  
223 CEPsh astrocytes are a striking example, with each cell also sending a process to the nose,  
224 wrapping around sensory neuron receptive endings (NREs) (Doroquez et al., 2014; Perkins et al.,  
225 1986; Ward et al., 1975). Sensory NREs and their associated glia/glia-like cells, resemble synapses  
226 in which presynaptic signaling is replaced by environmental cues. Glia at both sites secrete  
227 thrombospondin TSP1 domain proteins, and the same receptor families (GPCRs, AChRs, iGRs)  
228 engage presynaptic cues (Shaham, 2010). CEPsh glia ablation early in development results in  
229 truncated sensory neurons dendrites (Yoshimura et al., 2008). A more severe dendrite extension  
230 defect is seen in animals lacking AMsh glia, a sensory-organ glial cell that does not interact with  
231 the nerve ring (Heiman and Shaham, 2009; Singhal and Shaham, 2017).

232 Studies of *C. elegans* sensory organ glia have unmasked how glia are specified, how glial  
233 compartments surrounding NREs are generated, how their size is determined, how glia control  
234 NRE shape, how glia modulate NRE structural plasticity, how glia control the NRE micro-  
235 environment, how glia affect age-dependent changes in neuronal structure and function, how a  
236 glial cell distinguishes among its associated neuron, and how glia integrate associated neuron  
237 activities (Bacaj et al., 2008; Grant et al., 2015; Han et al., 2013; Huang et al., 2020b; Johnson et  
238 al., 2020; Labouesse et al., 1994; Melkman and Sengupta, 2005; Oikonomou et al., 2012;  
239 Oikonomou et al., 2011; Perens and Shaham, 2005; Procko et al., 2011, 2012; Singhvi et al., 2016;  
240 Tucker et al., 2005; Wallace et al., 2016; Wang et al., 2017; Wang et al., 2008; Yoshimura et al.,  
241 2008; Zhang et al., 2020). *C. elegans* sensory organ glia also display Ca<sup>2+</sup> signals following  
242 behaviorally relevant stimuli (Ding et al., 2015), perhaps providing a setting for understanding  
243 Ca<sup>2+</sup> transients, their relevance to synaptic control, and their behavioral implications.

244

245 **Insights from *Drosophila***

246 The fruit fly *Drosophila melanogaster* is a well-characterized invertebrate model organism  
247 for investigating the roles of glia in the CNS. The ease of powerful genetic manipulations, along  
248 with a large collection of openly available transgenic fly lines render it straightforward to  
249 specifically label small subsets of CNS cells, including astrocyte-like glia (Freeman, 2015;  
250 Yildirim et al., 2019). Using *Drosophila*, it is possible to genetically manipulate, visualize and

251 electrophysiologically examine the CNS in behaving individuals, including at the single cell level.

252 Despite their small number (~10% of all CNS cells), glial cells display surprising  
253 morphological and functional diversity in *Drosophila* (**Figure 1B**). Among seven morphologically  
254 defined types (Bittern et al., 2020; Yildirim et al., 2019), two appear to share roles attributed to  
255 astrocytes in mammals: these – due to historical precedent – are called astrocyte-like glia (here  
256 referred to as astrocytes) and cortex glia.

257 In the *Drosophila* larval brain, neuronal cell bodies lie in the outer cortical region and extend  
258 their processes into the neuropil where all CNS synapses form. Cortex glia envelop neuronal cell  
259 bodies and proximal neurites throughout the synapse-deficient cortical regions, and there they  
260 provide trophic support for neurons, buffer extracellular ions, and monitor the extracellular  
261 environment. In contrast, astrocytes extend highly branched projections throughout the entirety of  
262 the neuropil where they interact with neural circuits via synapses (**Figure 1B**). Fly astrocytes are  
263 electrically non-excitable, utilize intracellular  $Ca^{2+}$  signaling to regulate communication, form gap  
264 junction-coupled networks, establish tight associations with tracheal elements (the fly vasculature),  
265 and organize in a tiled fashion to cover the neuropil with a modest overlap at astrocyte-astrocyte  
266 boundaries (Bittern et al., 2020; Ma et al., 2016; Yildirim et al., 2019). As in mammals, well-  
267 studied functional roles for *Drosophila* astrocytes include modulation of synapse formation and  
268 plasticity, and circuit remodeling. For instance, ablation of astrocytes reduced the numbers of  
269 synapses that formed in developing circuits (Muthukumar et al., 2014) and fly astrocytes engulf  
270 and clear pruned synapses and other neuronal debris via pathways such as Draper/MEGF10 that  
271 are conserved in vertebrates (Tasdemir-Yilmaz and Freeman, 2014)(Awasaki et al., 2006; Chung  
272 et al., 2013).

273 Electron microscopy studies show that astrocytes do not entirely ensheath synapses during  
274 the larval or adult stage, and the distance of astrocytic processes from synapses (e.g., of a looper  
275 neuron) in a third instar larva is ~375 nm (Macnamee et al., 2016; Muthukumar et al., 2014; Stork  
276 et al., 2014). These values are comparable to the spatial interactions of rodent astrocyte processes  
277 with synaptic elements associated with dopamine release (~300 nm), but slightly longer than those  
278 associated with fast excitatory synapses (Chai et al., 2017; Haustein et al., 2014; Oceau et al.,  
279 2018). It is feasible that the differences in the spatial relationships between astrocyte processes and  
280 synapses between species affect neuron-astrocyte interaction mechanisms, but the reality is that  
281 further detailed anatomical work is required at the synaptic scale with methods that have sufficient

282 resolution. Such methods are becoming routine and their use has the potential to advance our  
283 understanding of astrocyte-synapse interactions, including the organization of subcellular  
284 organelles such as vesicles.

285

### 286 Functions of astrocytes in the fruit fly nervous system and for behavior

287 Selective Gal4 drivers for astrocytes enable *in vivo* characterization and manipulations of  
288 genes in order to explore their impacts on behavior (Stork et al., 2014)(Li et al., 2014). A recent  
289 study employing Translating Ribosome Affinity Purification (TRAP) RNA-sequencing has shown  
290 that in flies and mammals, astrocytes have substantially overlapping gene expression profiles (Ng  
291 et al., 2016). In this study, genetic screening using RNA interference (RNAi) for 318 targets  
292 identified multiple genes that were required for behavior (locomotive activity, circadian  
293 rhythmicity or vibration sensitivity). Such rapid and inexpensive *in vivo* forward genetic  
294 approaches to identify genes of interest are a key advantage of this system over the use of rodents.  
295 The genes identified included many transporters, metabolic support proteins and secreted proteins.  
296 One type of secreted proteins were the thrombospondins whose contributions to synapse formation  
297 and locomotor behavior have been documented in mice (Christopherson et al., 2005; Eroglu et al.,  
298 2009; Eroglu and Barres, 2010; Nagai et al., 2019).

299

### 300 Secreted factors for sleep regulation

301 Several astrocyte-secreted factors have been shown to control sleep in fruit flies. An  
302 astrocyte-enriched small secreted immunoglobulin (Ig)-domain protein, Noktochor (NKT, fly  
303 CG14141), was identified to promote sleep (Ng et al., 2016; Sengupta et al., 2019). *Drosophila*  
304 sleep in the middle of the night and the day. Adult flies lacking NKT exhibited reduced and  
305 fragmented night sleep, but day sleep was normal, consistent with the hypothesis that different  
306 pathways regulate each sleep phase. Cellular and molecular targets of NKT remain to be elucidated.  
307 Furthermore, as for vertebrates (Shoham et al., 1987; Stellwagen and Malenka, 2006), cytokine  
308 signaling affects sleep behavior. The *Drosophila* tumor necrosis factor-alpha (TNF $\alpha$ ) homologue,  
309 Eiger (EGR), is expressed in astrocytes (Ng et al., 2016) and controls sleep duration  
310 (Vanderheyden et al., 2018). Astrocytic, but not neuronal, EGR RNAi decreased baseline sleep  
311 during the day and night. Knockdown of Wengen, a receptor of EGR on neurons had no effect,  
312 however, on baseline sleep, but dramatically blunted recovery sleep after deprivation. The authors

313 suggested that the discrepancy in outcomes between the two mutants (effects on sleep amount vs  
314 sleep homeostasis) may be explained by additional TNF $\alpha$  receptors for EGR (**Figure 2B**). Recent  
315 studies also show that increased astrocyte Ca<sup>2+</sup> dynamics correlate with sleep need and contribute  
316 causally to sleep in *Drosophila* via the release of Spätzle, the interleukin-1 analogue. Spätzle then  
317 acts on specific neurons (R5) to regulate sleep (Blum et al., 2020).

318

### 319 Neurotransmitter uptake to control circuit activity

320 *Drosophila* astrocytes participate in neurotransmitter homeostasis by expressing a set of  
321 transporter proteins such as the excitatory amino acid transporter 1 (EAAT1/GLAST) and the  
322 GABA transporter (GAT) (Muthukumar et al., 2014; Stork et al., 2014) to ensure the balance of  
323 excitation and inhibition. Loss of EAAT1 resulted in shortened lifespan, neuropil degeneration that  
324 could be suppressed by drugs used in the clinic to suppress seizure activity (Rival et al., 2004),  
325 and extended glutamatergic interneuron-evoked inhibitory postsynaptic currents in motor neurons  
326 – even in synapses that lacked astrocytic contacts (Macnamee et al., 2016). Elimination of GAT  
327 led to early embryonic lethality, while partial loss led to strong defects in locomotor behavior, both  
328 of which can be rescued by astrocyte-specific expression of GAT (Stork et al. Neuron 2014). It has  
329 recently been suggested that EAAT1 plays a key role in long-term memory (LTM). Fruit flies form  
330 LTM in 24-hour spaced training paradigms (Matsuno et al., 2019), and during training, astrocyte  
331 EAAT1 expression was induced via the glial transcription factor Repo- and the homophilic cell  
332 adhesion molecule Klingon (Klg). Age-related memory impairment in LTM (AMI-LTM) in Repo  
333 and Klg null mutants was rescued by EAAT1 overexpression. How each of these phenotypes  
334 relates to changes in extracellular glutamate levels was not directly measured. Stimulating  
335 astrocytic Ca<sup>2+</sup> influx through activation of a TrpA1 channel led to rapid endocytosis of GAT from  
336 astrocytic membranes, behavioral paralysis and termination of neuronal activity (Zhang et al.,  
337 2017), providing a potential mechanism for how astrocyte Ca<sup>2+</sup> signaling might regulate  
338 neurophysiology.

339

### 340 Ca<sup>2+</sup> signaling, circuits and behavior

341 Using a forward genetic approach to identify Ca<sup>2+</sup> signaling-related genes that functioned in  
342 astrocytes to regulate a simple olfactory-driven behavior, the transient receptor potential (TRP)  
343 channel Water witch (Wtrw) was identified as an *in vivo* regulator of whole-cell changes in

344 astrocytic  $\text{Ca}^{2+}$  in *Drosophila* larval astrocytes (Ma et al., 2016). Whole-cell astrocyte  $\text{Ca}^{2+}$   
345 signaling in the larval CNS was elicited by the activity of Tdc2 neurons, which release octopamine  
346 and tyramine, the invertebrate analogues of norepinephrine (NE) that evokes similar whole-cell  
347 astrocyte  $\text{Ca}^{2+}$  increases in mice (Ding et al., 2013; Paukert et al., 2014). Dual-color  $\text{Ca}^{2+}$  imaging  
348 of Tdc2 neurons and astrocytes revealed that Tdc2 neurons show oscillatory  $\text{Ca}^{2+}$  signals which  
349 are followed by astrocyte activities with a delay of tens of seconds, which could be blocked by  
350 silencing Tdc2 neurons, or by genetic elimination of octopamine and tyramine. Astrocytes sensed  
351 octopamine/tyramine through the dual-specificity Oct-TyrR receptor expressed on astrocytes,  
352 which, through the  $\text{PLC}\beta$  NorpA, was proposed to activate the Trp channel Wtrw and drive  $\text{Ca}^{2+}$   
353 influx. Strikingly, cell-specific manipulations and pharmacological experiments revealed that  
354 olfactory-driven chemotaxis and touch-induced startle responses require this astrocyte signaling  
355 pathway, and that it likely acts by inhibiting dopaminergic neuron firing by increasing extracellular  
356 ATP/adenosine (Ma et al., 2016) (**Figure 2B**).

### 357 358 **Insights from zebrafish**

359 The zebrafish is a powerful vertebrate model system that offers unique experimental  
360 advantages for glial physiology and behavior. In particular, the small size and near transparency  
361 of zebrafish embryos and young larvae permit brain-wide cellular-resolution imaging of activity  
362 while the organism displays relevant naturalistic behaviors (Ahrens et al., 2012; Vladimirov et al.,  
363 2014). Importantly, zebrafish brains contain conserved regions associated with cognition in  
364 mammals (Jurisch-Yaksi et al., 2020) and so there has been an awareness that zebrafish may  
365 provide a quantitative handle on higher order functions not readily assessed in worms and flies.

### 366 367 On radial astroglia, radial astrocytes, and astrocytes

368 Until recently, one striking difference between the zebrafish and mammalian CNS, or more  
369 specifically between anamniotes (including fish) and amniotes (including mammals), was thought  
370 to be the absence of stellate (protoplasmic) astrocytes in anamniotes (Lyons and Talbot, 2015). In  
371 the developing and mature zebrafish CNS, GFAP labels a prominent type of glial cell that has  
372 radial morphology, typically spanning the entire width from the ependymal coating of the  
373 ventricles to the pial surface of the brain (**Figure 1C**). These GFAP<sup>+</sup> radial glial cells serve as  
374 progenitor cells throughout life (Goldshmit et al., 2012; Kroehne et al., 2011; Kyritsis et al., 2012),

375 whereas in mammals, radial glial cells serve as progenitor cells during development of the CNS  
376 and functionally and morphologically transform mainly to astrocytes at the end of embryonic  
377 development (Malatesta et al., 2008), except for a few locations such as the retina and the  
378 cerebellum, where the radial morphology of glia persists throughout life.

379 In zebrafish, the GFAP<sup>+</sup> cells have often also been referred to as radial astroglia (Cuoghi and  
380 Mola, 2009) when they express astrocyte markers e.g., glutamine synthetase (GS), aquaporin-4  
381 (AQP4), EAAT2b/GLT-1, S100 $\beta$  (Grupp et al., 2010; Lange et al., 2020; McKeown et al., 2012;  
382 Raj et al., 2018). They also display intricate branching of processes associated with neurons  
383 (Freifeld et al., 2017; Jurisch-Yaksi et al., 2020), and/or form glial networks through gap junctions  
384 (Diaz Verdugo et al., 2019). Recent single cell RNA-seq analyses of zebrafish brain (Cosacak et  
385 al., 2019; Lange et al., 2020; Raj et al., 2018) revealed that zebrafish GFAP<sup>+</sup> cells have molecular  
386 diversity and a subset of those cells share close transcriptomic signatures with murine astrocytes.  
387 Therefore, studies have raised the possibility that GFAP<sup>+</sup> radial glia and/or radial astroglia in  
388 zebrafish may perform and/or sub-serve many tasks ascribed to mammalian astrocytes (Lyons and  
389 Talbot, 2015).

390 In addition to radial glia and radial astroglia, a zebrafish cell type remarkably similar to  
391 mammalian astrocytes has recently been described (Chen et al., 2020). The authors generated  
392 transgenic lines to label Glast<sup>+</sup> cells and found cells with dense cellular processes in the developing  
393 zebrafish CNS. With single-cell resolution imaging, these cells were shown to transform from  
394 radial glia into astrocyte-like cells that elaborated a dense meshwork of fine cellular processes,  
395 morphologically similar to astrocytes in *Drosophila* and mammals. These cells exhibited  
396 additional defining features of mammalian astrocytes including: expression of GS, close  
397 association with synapses, astrocyte tiling, and spontaneous microdomain Ca<sup>2+</sup> transients that  
398 respond to NE (Chen et al., 2020). Finally, a cell-specific CRISPR/Cas9 approach demonstrated a  
399 functional role for Fgf receptors 3 and 4 in vertebrate astrocyte morphogenesis. [Roles for Fgf](#)  
400 [receptors in astrocyte morphogenesis were expected from studies in \*Drosophila\* \(Stork et al., 2014\).](#)

401

#### 402 Functions of radial astrocytes and astroglia in zebrafish nervous system and behavior

403 Following extensive research of radial glia and radial astroglia in regenerative responses after  
404 tissue injury, their physiological roles in neural circuit function have begun to be revealed recently.  
405 First, a pioneering study identified a subset of GFAP<sup>+</sup> cells termed “radial astrocytes” in the

406 zebrafish medulla oblongata with long processes that ramify at distal ends and suggested that these  
407 cells play causal roles for information processing in failure of intended actions and triggering  
408 behavioral passivity (Mu et al., 2019). In the study, the authors designed a virtual reality  
409 environment where zebrafish larvae fictively swam with realistic visual feedback that was given  
410 during attempted swimming (closed-loop). Once such feedback was suddenly withheld to render  
411 swim efforts ineffective (open-loop), fish increased their swim vigor for tens of seconds, but then  
412 abruptly stopped swimming and became passive. This futility-induced passivity appears to be  
413 caused by decoupling of motor action and sensory feedback, reminiscent of highly conserved  
414 adaptive behaviors such as passive coping and learned helplessness in mammals. Combining this  
415 behavioral paradigm, whole-brain dual-color  $\text{Ca}^{2+}$  imaging using light sheet microscopy and cell-  
416 specific perturbations, the Ahrens lab discovered bi-directional interactions between neurons and  
417 radial astrocytes. The noradrenergic system, known to encode action-outcome mismatch, initially  
418 became activated  $\sim 10$  s before the onset of the passive state. Within a few seconds of activation of  
419 the noradrenergic system, radial astrocyte  $\text{Ca}^{2+}$  signaling via  $\alpha 1$ -adrenergic receptors ramped up  
420 and activated GABAergic neurons in the brain stem to trigger behavioral passivity. These findings  
421 suggest that radial astrocytes convert information that actions are futile and thus accumulate  
422 evidence for behaviorally relevant decision making (**Figure 2C**).

### 423 424 **Insights from mice**

425 A typical grey matter mouse astrocyte comprises a cell body, one or two end foot bearing  
426 processes that contact blood vessels, six or seven thick primary branches that split into secondary  
427 and tertiary branches, and thousands of branchlets and leaflets that form highly complex sponge-  
428 like territories throughout the CNS (Sun and Jakobs, 2012). The finest astrocyte leaflets  
429 extensively contact synapses and perhaps all other CNS cell types. The processes of one astrocyte  
430 do not encroach onto that of its neighbor, causing astrocytes to evenly tile the CNS in non-  
431 overlapping territories (Bushong et al., 2002). Exploration of astrocytes and how they regulate  
432 neuronal circuits is advanced in mice (**Figure 1D**). There is a huge amount of data, but as stated  
433 at the outset, we restrict our summary mainly to acute astrocytic regulation of circuits and behavior  
434 (**Figure 3**). We have not considered in depth the wealth of studies where behavioral alterations  
435 result over longer periods such as following the deletion of critical genes within astrocytes, except  
436 for studies of circadian and sleep/wake behaviors, which occur over the timescale of days by

437 definition. Since astrocytes express a rich variety of GPCRs, DREADDs (designer receptors  
438 exclusively activated by designer drugs) have been widely used to explore astrocyte biology in  
439 brain preparations such as slices, and *in vivo*. DREADDs are engineered GPCRs that have  
440 attenuated responses to their endogenous ligands and have been engineered to respond to synthetic,  
441 biologically inert chemical ligands, which are delivered in the animal's water or food supply, or  
442 by systemic injection (Roth, 2016). DREADDs enable relatively non-invasive stimulation of  
443 GPCR pathways in a genetically targeted manner *in vivo*. Several types of DREADD have been  
444 developed to target major G $\alpha$ -protein signaling pathways: Gq-coupled hM3D, Gi-coupled hM4D,  
445 and Gs-coupled rM3D are most used in astrocyte studies (Yu et al., 2020a).

446

#### 447 Feeding behavior

448 Increased firing of hypothalamic arcuate nucleus agouti-related peptide (AGRP) neurons  
449 evokes food intake, whereas firing of pro-opiomelanocortin (POMC) neurons suppresses feeding.  
450 As with all other areas of the brain, astrocytes are intermingled with AGRP and POMC neurons  
451 and form close spatial interactions with them (Fuente-Martín et al., 2012). In light of the well-  
452 established roles of AGRP and POMC neurons in the regulation of feeding, it was natural to ask  
453 how astrocytes regulate AGRP and POMC neurons as well as feeding. We summarize two studies  
454 that used hM3Dq DREADDs in astrocytes. In one study, activation of hM3Dq in arcuate astrocytes  
455 resulted in decreased firing of AGRP neurons through astrocytic ATP/adenosine release and also  
456 decreased basal and ghrelin-evoked food intake (Yang et al., 2015). Opposing effects observed  
457 because of astrocyte hM4Di activation were attributed to a decrease in astrocyte Ca<sup>2+</sup> activity,  
458 leading to the suggestion that astrocyte signaling controls feeding bidirectionally. It should be  
459 noted, however, that several studies have shown that Gi-GPCR activation in astrocytes actually  
460 elevates intracellular Ca<sup>2+</sup> levels (Yu et al., 2020a), which echoes early pharmacological studies  
461 (Porter and McCarthy, 1997). Subsequently, activation of astrocyte hM3Dq DREADDs in arcuate  
462 astrocytes was shown to increase the activity of AGRP neurons and increased food intake during  
463 the dark phase (Chen et al., 2016). In this study, a causal role for Ca<sup>2+</sup> was also explored by using  
464 a genetically encoded IP<sub>3</sub> sponge that resulted in decreased Ca<sup>2+</sup> signaling and food intake (Chen  
465 et al., 2016). Thus, these studies concluded that astrocytes in the arcuate nucleus bidirectionally  
466 control neuronal activity that regulates feeding, but with effects that are somewhat discordant.  
467 Technicalities such as the concentration of CNO used to activate the DREADDs may explain these

468 differences, as could specificity of hM3Dq delivery to astrocytes within the arcuate nucleus  
469 relative to surrounding tissue. Furthermore, since AGRP and POMC neurons exert opposing  
470 effects on feeding, and are interspersed, it may be possible that the genetic strategies targeted  
471 subpopulations of astrocytes that affected either AGRP or POMC neurons preferentially.

#### 472 473 Olfactory behavior

474 It has been recently reported that activation of hM3Dq DREADD in olfactory bulb (Ob)  
475 astrocytes *in vivo* decreased neuronal  $Ca^{2+}$  responses to odor stimulation and improved  
476 performance in an olfactory learning task (Ung et al., 2020). In contrast, stimulation of hM4Di  
477 DREADD in Ob astrocytes caused an increase in neuronal  $Ca^{2+}$  odor responses, but resulted in less  
478 accurate odor detection performance (Ung et al., 2020). Ob astrocytes were reported to respond to  
479 the neurotransmitters glutamate, GABA as well as dopamine (De Saint Jan and Westbrook, 2005;  
480 Doengi et al., 2009; Fischer et al., 2020), but it remains unknown which mechanisms are  
481 physiologically exploited by Ob astrocytes for fine-tuning neuronal activity and odor perception.  
482 Furthermore, transcriptomic analyses have revealed distinct molecular profiles of Ob astrocytes in  
483 relation to other brain regions (John Lin et al., 2017; Lozzi et al., 2020).

#### 484 485 Circadian behavior

486 Circadian rhythms are molecular, physiological, and behavioral changes that synchronize  
487 living organisms to daily environmental cycles. Dysfunctions of circadian rhythms are associated  
488 with aging and frequently present as comorbidities with neurodegenerative diseases. Although  
489 elucidation of the molecular and neural circuit basis of circadian rhythms represents a pinnacle of  
490 modern physiology and neuroscience (Sehgal, 2017), recent accumulating evidence has uncovered  
491 new and previously unappreciated roles for astrocytes that function autonomously as a central  
492 circadian clock in mice.

493 The transcription-translation negative feedback loops that drive circadian rhythms in  
494 mammals exist in both neurons and astrocytes of the suprachiasmatic nucleus (SCN) of the  
495 hypothalamus, which is the master circadian pacemaker. In accord, both SCN neurons and  
496 astrocytes exhibit oscillations of clock gene expression and intracellular  $Ca^{2+}$  levels (Brancaccio  
497 et al., 2019; Brancaccio et al., 2017; Tso et al., 2017). Importantly, the two cell populations are  
498 active at opposite phases, with neuronal activity in circadian day and astrocyte activity during night.

499 Deletion of the clock gene *Bmal1* or the *CK1ε<sup>Tau</sup>* mutant allele specifically in SCN astrocytes  
500 significantly lengthened the period of wheel-running locomotor activity during darkness: wheel  
501 running is a readily observable behavior that faithfully reflects circadian biology (Brancaccio et  
502 al., 2017; Tso et al., 2017). Restored expression of another clock gene, *Cry1*, in SCN astrocytes  
503 alone was sufficient to initiate and sustain circadian patterns of clock gene expression and  
504 locomotor activity in otherwise arrhythmic mice that lacked *Cry1/2* (Brancaccio et al., 2019). This  
505 local astrocytic control of the circadian activity of SCN neurons was mediated by circadian  
506 changes in extracellular glutamate. Specifically, astrocytically released glutamate, which was  
507 mediated by Cx43 hemichannels, acted on presynaptic neuronal NMDAR receptors to regulate  
508 GABAergic tone across the SCN circuit (Brancaccio et al., 2019; Brancaccio et al., 2017).  
509 Interestingly, deletion of *Bmal1* in GLAST+ astrocytes throughout the brain using the GLAST-  
510 Cre/ERT2 mouse line (rather than local manipulations in the SCN) was found to alter neuronal  
511 GABA signaling, but with only a mild impact on rhythmic locomotor activity (Barca-Mayo et al.,  
512 2017). Nevertheless, both cognition and lifespan of mice were reduced (Barca-Mayo et al., 2020;  
513 Barca-Mayo et al., 2017). These differences between SCN and global effects may highlight circuit-  
514 specific roles of astrocytes within the SCN or possibly differences between types of astrocytes that  
515 were variably targeted with existing genetic strategies. Besides key clock genes, astrocyte  
516 signature genes have also been suggested to contribute to circadian behaviors. For example, the  
517 expression of astrocyte intermediate filament protein GFAP was significantly changed under  
518 constant lighting conditions or in the absence of *Bmal1* due to altered glutathionylation (Lananna  
519 et al., 2018; Moriya et al., 2000). Furthermore, mice lacking GFAP display altered circadian  
520 activity rhythms in constant light (Moriya et al., 2000). Together, these studies suggest that  
521 astrocytes are potent regulators and determinants of physiological and behavioral rhythms  
522 mediated by the SCN. More broadly, the SCN represents an important nucleus to systematically  
523 explore astrocyte biology and its relevance to behavior in a manner that has clear relevance to  
524 human biology.

525

## 526 Sleep/wake behavior

527 Accumulating data have suggested that astrocytes play an essential regulatory role in the  
528 physiology of sleep and wakefulness (Haydon, 2017). Sleep and wakefulness are conserved  
529 behaviors across species and are regulated by coordinated interactions from multiple neural

530 circuits. There are three well-characterized vigilance states in mammals, consisting of non-rapid  
531 eye movement (NREM) sleep, rapid eye movement (REM) sleep and wakefulness. Different  
532 sleep/wakefulness states are identified by distinct characteristics of the brain's electrical activity  
533 and muscle movement measured by electroencephalographic (EEG) and electromyographic  
534 (EMG) recordings, which have been utilized in studies involving astrocytes.

535 In one approach, dnSNARE was used to abrogate astrocyte release of gliotransmitters by  
536 expressing the dominant negative construct selectively in astrocytes using a *GFAP*-tTA mouse line.  
537 This prevented exocytotic release of ATP, which reduced the accumulation of extracellular  
538 adenosine, a degradation product of ATP and known neuromodulator that activates adenosine A1  
539 receptors to regulate sleep homeostasis (Halassa et al., 2009). Different lines of dnSNARE mice  
540 are available, but in these astrocyte-specific mice, the authors found significantly reduced EEG  
541 slow wave activity (with a frequency range 0.5-4.0 Hz) during NREM sleep, which represented  
542 decreased sleep pressure following wakefulness periods (Halassa et al., 2009). Furthermore, in  
543 normal mice, sleep deprivation is well known to impair recognition memory (for example in the  
544 novel object recognition task), but in dnSNARE mice this response was significantly attenuated  
545 likely because of reduced adenosine levels and consequently reduced activation of adenosine A1  
546 receptors (Halassa et al., 2009). Roles for adenosine in such responses were supported by the  
547 finding that selective A1 adenosine receptor antagonists recapitulated the findings in wild type  
548 mice, i.e. impairment of recognition memory mediated by sleep deprivation was observed with A1  
549 receptor antagonists. This study represents initial evidence supporting astrocytic regulation of  
550 sleep homeostasis and its related cognitive functions via purinergic signaling *in vivo*. Subsequently,  
551 additional studies have supported the importance of astrocytes in adenosine-regulated sleep  
552 homeostasis in different settings (Clasadonte et al., 2014; Florian et al., 2011; Schmitt et al., 2012).

553 Microarray based gene expression analysis has revealed molecular changes in cortical  
554 astrocytes that were associated with sleep and wakefulness (Bellesi et al., 2015). Sleep deprivation  
555 was found to alter astrocytic pathways including those related to purine nucleotide binding,  
556 phagocytosis and lactate metabolism (Bellesi et al., 2017; Bellesi et al., 2015; Petit et al., 2013).  
557 In accord, deletion of gap junction subunit Cx43 in astrocytes using the *hGFAP*-Cre mouse line  
558 limited lactate diffusion within the astrocytic network and resulted in reduced excitability of orexin  
559 neurons in the lateral hypothalamus (LHA) (Clasadonte et al., 2017). Mice lacking Cx43 in all  
560 astrocytes or predominantly within LHA astrocytes specifically displayed increased sleep time and

561 fragmented wakefulness during the active (dark) phase – effects that were ameliorated by lactate  
562 administration *in vivo* (Clasadonte et al., 2017). Whether this astrocytic lactate dependent  
563 regulation of neuronal excitability is a region-specific mechanism remains to be determined.  
564 However, one study has suggested that the anticonvulsive effects of the ketogenic diet, which  
565 limits glucose and lactate supply, results from reduced pyramidal neuron excitability because of  
566 limited astrocytic supply of lactate (Sada et al., 2015). Furthermore, an anti-convulsant, stiripentol,  
567 has as an off-target action the inhibition of lactate dehydrogenase and one of the known side effects  
568 of the drug is drowsiness (Sada et al., 2015).

569 Additional mechanisms regulating sleep and wakefulness that are mediated by astrocytes  
570 continue to be discovered. For instance, a mutation of the *Fabp7* gene, which encodes a fatty acid  
571 binding protein expressed in astrocytes, was shown to be associated with fragmented sleep in  
572 humans (Gerstner et al., 2017). Moreover, mice with *Fabp7* deficiency and *Drosophila* expressing  
573 mutant human *FABP7* selectively in astrocytes both exhibited similar sleep alterations (Gerstner  
574 et al., 2017), suggesting an evolutionarily conserved mechanism by astrocyte lipid signaling in  
575 sleep. Astrocyte intracellular  $Ca^{2+}$  signaling was also found to be critical in modulating sleep and  
576 neuronal synchronization. It has been found that intracellular  $Ca^{2+}$  signals of cortical astrocytes  
577 were less active during sleep but were enhanced preceding transitions to wakefulness *in vivo*  
578 (Bojarskaite et al., 2020; Ingiosi et al., 2020). Furthermore, attenuation of  $Ca^{2+}$  signaling in  
579 astrocytes either using  $IP_3$  receptor KO mice or astrocyte specific conditional STIM1 KO mice  
580 altered the architecture of NREM and REM sleep as well as associated brain rhythms (Bojarskaite  
581 et al., 2020; Foley et al., 2017) as well as impaired the homeostatic response to sleep deprivation  
582 (Ingiosi et al., 2020). Collectively, these studies have highlighted astrocytes as an integrative  
583 component in sleep/wake behavior. Such responses may be reliant on extensive astrocytic  
584 networks and their ability to coordinate neuronal activity in large volumes of tissue. Several brain  
585 regions have emerged to be critical in the induction and maintenance of different vigilance states  
586 (Chowdhury et al., 2019; Liu et al., 2017; Oishi et al., 2017; Yu et al., 2019), and it will be  
587 interesting to find out whether astrocytes have ubiquitous or diverse regulatory roles in different  
588 sleep circuitry.

589

## 590 Learning and memory-related behaviors

591 The activation of  $G_i$  or  $G_q$ -GPCR signaling with hM4Di and hM3Dq DREADDs or  $G_q$ -

592 coupled melanopsin is commonly used to stimulate astrocyte  $\text{Ca}^{2+}$  dynamics and probe  
593 downstream effects on learning and memory. For instance, a melanopsin-based method to  
594 temporally trigger Gq activation in astrocytes provided evidence for a role of hippocampal  
595 astrocytes in spatial episodic memory (Mederos et al., 2019), and use of hM3Dq DREADD  
596 suggested that enhancing astrocyte  $\text{Ca}^{2+}$  signaling augmented spatial and contextual memory  
597 formation in T-maze and fear conditioning assays (Adamsky et al., 2018; Papouin et al., 2017a).  
598 This latter finding coincided with *de novo* synaptic plasticity *in vitro* and involved the control of  
599 NMDAR function at CA3-CA1 synapses by D-serine an obligatory co-agonist (Papouin et al.,  
600 2017b; Papouin et al., 2012). In this circuit, the authors concluded that astrocyte activation enabled  
601 a local and task-specific increase in neuronal activity, restricted to the ensemble active during  
602 memory allocation (Adamsky et al., 2018; Papouin et al., 2017a). Conversely, stimulating the Gq-  
603 GPCR pathway in central amygdala (CeM) astrocytes, using the same hM3Dq approach, reduced  
604 neuronal firing through astrocyte-derived ATP/adenosine release and was accompanied by  
605 decreased fear responses in a cued fear conditioning task of associative learning (Martin-  
606 Fernandez et al., 2017). While these studies may appear to contradict each other, they may point  
607 to the diverse nature of astrocytes wherein the same stimulus delivered to two distinct networks of  
608 astrocytes (CA1 vs CeM) is transduced differently onto the local circuit, yielding region-specific  
609 effects on behavior.

610 Selective activation of astrocytic Gi-coupled  $\mu$ -opioid receptors (MOR) in the hippocampus  
611 elicited conditioned place preference, suggesting a possible role of astrocytes in positive emotional  
612 valence (Nam et al., 2019). The authors suggested that this pathway triggered astrocyte-derived  
613 glutamate release, which acted on presynaptic mGluR1 to facilitate LTP induction at CA3-CA1  
614 synapses, and the activation of hM4Di in astrocytes mimicked both the behavioral effect of MOR  
615 activation and the facilitation of LTP. Although in this and other reports hM4Di activation in  
616 astrocytes increased intracellular  $\text{Ca}^{2+}$  signaling (Chai et al., 2017; Durkee et al., 2019; Nagai et  
617 al., 2019), a more complex bimodal response wherein the initial elevation is followed by a modest  
618 taming of astrocyte  $\text{Ca}^{2+}$  events has also been reported (Kol et al., 2019). Exploiting this indirect  
619 reduction of astrocyte signaling it was suggested that activation of hM4Di during learning impaired  
620 the retrieval of remote, but not recent memories (Kol et al., 2020), in line with earlier work (Pinto-  
621 Duarte et al., 2019). Mechanistically, Gi-GPCR activation in CA1 astrocytes was found to inhibit  
622 neuronal activity in the anterior cingulate cortex (ACC) and attenuated excitatory postsynaptic

623 potentials, suggesting a selective astrocytic modulation of CA1-to-ACC projecting pyramidal  
624 neurons that support the formation of remote memories. How astrocytes distinguish distant  
625 neuronal projections at a local level within the hippocampus remains unclear, as does the causal  
626 link by which the acute activation of astrocyte Gi-GPCR signaling affects long-term memory  
627 storage. Suppression of function approaches have also provided strong grounds to support  
628 astrocyte contributions to cognitive behavior. Thus deletion of cannabinoid receptor 1 (CB1R)  
629 selectively from astrocytes impairs object recognition memory (Robin et al., 2018) and deleting  
630 the transcription factor NF1A in adult astrocytes reduced astrocyte  $Ca^{2+}$  signaling, D-serine levels  
631 and synaptic plasticity in the hippocampal CA1 (Huang et al., 2020a).

632         Suppressing  $Ca^{2+}$  dynamics to probe the role of astrocytes in behavior proved deceptive at  
633 first, because mice lacking IP<sub>3</sub>R2 receptors (IP<sub>3</sub>R2 KO), once thought to be pivotal for astrocyte  
634  $Ca^{2+}$  signaling, exhibited normal behavior (Agulhon et al., 2010; Petravicz et al., 2014). However,  
635 NMDAR/D-serine dependent remote memory deficits have been reported in these mice (Pinto-  
636 Duarte et al., 2019), while mice with conditional deletion of IP<sub>3</sub>R2 in GLAST<sup>+</sup> astrocytes showed  
637 partial reduction of astrocyte  $Ca^{2+}$  signaling in the primary motor cortex and mild impairment in a  
638 forelimb motor learning task (Padmashri et al., 2015). Similarly, mice expressing the glutathione-  
639 S-transferase (GST)-IP<sub>3</sub> sponge in astrocytes showed modest memory impairments in the Morris  
640 water maze and in a contextual fear memory task (Tanaka et al., 2013). The fact that altering IP<sub>3</sub>R2  
641 signaling yields modest effects on behavior seems consistent with the notion that some astrocyte  
642  $Ca^{2+}$  dynamics are independent from IP<sub>3</sub>R2s (Srinivasan et al., 2015). The dnSNARE mouse line  
643 (Pascual et al., 2005) was also used to show the role of astrocyte-derived transmitter release in  
644 spatial learning, recognition memory and working memory (Sardinha et al., 2017). In this latter  
645 study, the range of behavioral alterations coincided with desynchronization of neural theta  
646 oscillations from the dorsal hippocampus to the prefrontal cortex, both of which are normalized  
647 by D-serine administration. In a similar mouse model, in which tetanus toxin is conditionally  
648 expressed in astrocytes, object recognition memory was disabled (Lee et al., 2014). Together, these  
649 findings illustrate diverse pathways and mechanisms through which astrocytes dynamically  
650 optimize synaptic properties and functional connectivity in local circuits responsible for learning  
651 and memory.

652         The contribution of astrocyte Gs-GPCR signaling to learning and memory has also been  
653 explored in the context of Alzheimer's disease (AD) and aging in mice. Both AD patients and AD

654 model mice were found to exhibit increased levels of adenosine receptor A<sub>2A</sub> in hippocampal  
655 astrocytes, which was accompanied with memory deficits (Orr et al., 2015). When human Gs-  
656 coupled 5-HT<sub>4b</sub> serotonin receptor Rs1 was expressed in astrocytes and activated, long-term  
657 memory was impaired without affecting learning in young and aging mice. In contrast, genetic  
658 reduction of astrocytic A<sub>2A</sub> receptors improved memory in adult wild-type mice as well as in aged  
659 AD mice (Orr et al., 2015). This finding suggests astrocyte Gs-GPCR signaling as a mechanism  
660 and potential therapeutic target for memory loss in AD.

661

### 662 Tactile sensory acuity

663 Thalamic astrocytes synthesize GABA via the actions of diamine oxidase (DAO) and  
664 aldehyde dehydrogenase 1a1 (Aldh1a1) to convert putrescine into GABA, which is then released  
665 via Best1 channels (Kwak et al., 2020). The use of DAO to synthesize GABA is distinct from  
666 astrocytes in other brain regions such as hippocampus (Jo et al., 2014) and cerebellum (Lee et al.,  
667 2010), suggesting regional diversity of astrocytic mechanisms. Once released, such tonic GABA  
668 activates high affinity extrasynaptic GABA<sub>A</sub> receptors containing  $\delta$  subunits to mediate tonic  
669 inhibition in thalamocortical (TC) neurons (Kwak et al., 2020). The immediate action of astrocytic  
670 GABA was to inhibit synaptically evoked action potential probability of thalamocortical neurons  
671 via postsynaptic shunting inhibition. Shunting inhibition by tonic GABA reduced the membrane  
672 input resistance and the time constant of TC neurons, reducing both the amplitude and width of  
673 EPSPs. The decrease in EPSP amplitude rendered synaptic integration less saturable leading to  
674 enhanced dynamic range, i.e. greater linearity of the stimulus-response relations of TC neurons.  
675 Faster EPSP kinetics narrowed the time window of synaptic integration resulting in high temporal  
676 fidelity of TC neurons, which are capable of distinguishing two independent inputs to them. Next,  
677 the authors explored *in vivo* consequences by using the tactile-based novel object recognition test  
678 (Wu et al., 2013). Low tonic GABA conditions with astrocytic knockdown of Best1, DAO or  
679 Aldh1a1 showed significantly lower discrimination index in such tests employing relatively small  
680 differences in texture. With the benefit of additional work, such as exploring the effects of  
681 enhanced tonic GABA, this study proposed a model of how astrocytically derived tonic GABA is  
682 involved in sensory acuity via locus coeruleus projections in attentive states. This model is  
683 supported by studies reporting that norepinephrine from locus coeruleus projections modulates  
684 feature selectivity and sensory discrimination in mice (Hirata et al., 2006; Rodenkirch et al., 2019).

685

686 Striatum-dependent behaviors

687         Several studies have provided links between astrocyte function and dysfunction to animal  
688 behavioral alterations reminiscent of phenotypes seen in mouse models of human psychiatric  
689 disorders. In the striatum, astrocytes sit within a brain area where ~95% of the neurons are  
690 GABAergic medium spiny neurons (MSNs), which they contact extensively (Chai et al., 2017;  
691 Oceau et al., 2018). Astrocytes also express G-protein coupled GABA<sub>B</sub> receptors, which elevate  
692 Ca<sup>2+</sup> levels even though they couple to G<sub>i</sub> proteins (Chai et al., 2017; Porter and McCarthy, 1997).  
693 When Ca<sup>2+</sup> signaling of astrocytes in the dorsal striatum was attenuated by heterologously  
694 overexpressing a Ca<sup>2+</sup> pump, the mice exhibited an obsessive compulsive disorder-like behavior  
695 (excessive self-grooming) via a mechanism that was modulated by astrocyte GABA transporter  
696 GAT-3 (Yu et al., 2018). Furthermore, activation of G<sub>i</sub>-GPCR signaling in astrocytes from the  
697 dorsal striatum by hM4Di DREADDs triggered upregulation of the gene for a synaptogenic cue,  
698 Thrombospondin-1, which resulted in enhanced excitatory synaptic transmission onto MSNs and  
699 behavioral phenotypes related to hyperactivity with disrupted attention (Nagai et al., 2019).  
700 Astrocytes from the nucleus accumbens (NAc) in the ventral striatum responded to dopamine  
701 release from ventral tegmental area (VTA) neurons by elevating intracellular Ca<sup>2+</sup> levels (Corkrum  
702 et al., 2020). When dopamine D1Rs or intracellular IP<sub>3</sub>R2s were deleted from astrocytes in the  
703 NAc, the mice displayed damped locomotor responses to amphetamine, suggesting involvement  
704 of NAc astrocytes in reward signaling and addiction behaviors. Furthermore, cocaine increased  
705 NAc astrocyte Ca<sup>2+</sup> signaling, whereas attenuating astrocyte Ca<sup>2+</sup> signaling decreased the number  
706 of silent synapses in the NAc shell in response to cocaine. Such mechanisms, operating via  
707 thrombospondin 2, contribute to cue-induced cocaine seeking after withdrawal, and cue-induced  
708 reinstatement of cocaine seeking after extinction (Wang et al., 2020). Furthermore, careful analyses  
709 of gene expression changes in striatal astrocytes following multiple experimental perturbations  
710 suggested that astrocytes responded in a highly context-specific manner, that such responses could  
711 be teased apart and understood in molecular terms to devise astrocyte GPCR-based strategies in  
712 order to modify disease-related responses (Yu et al., 2020b).

713

714 Lateral habenula-regulated behavior

715         Detailed experiments implicate astrocytes in depression-like behaviors in rodents. Increased

716 Kir4.1 in astrocytes of the lateral habenula (LHb) increased LHb neuronal firing and resulted in  
717 depression-like behaviors (Cui et al., 2018). Moreover, several genetic strategies that reduced  
718 Kir4.1 function in the LHb reduced depression-like behaviors in rodents. In this instance,  
719 astrocyte-mediated  $K^+$  buffering was proposed to regulate the intrinsic excitability and action  
720 potential firing properties of LHb neurons, which by virtue of their synaptic connectivity regulate  
721 behaviors such as anhedonia and giving up (immobility) in the forced swim test (Cui et al., 2018).  
722 More specifically, increased Kir4.1 is proposed to decrease extracellular  $K^+$  levels around LHb  
723 neuron somata, leading to hyperpolarization and de-inactivation of a T-type voltage-gated  $Ca^{2+}$   
724 channel that subsequently evoked LHb neuron burst firing. If true in humans, the implication of  
725 this study is that a partial blocker of Kir4.1 may display anti depressive properties. In accord,  
726 expression levels of Kir4.1 were upregulated in the parietal cortex of patients with major  
727 depressive disorder, but not in those with schizophrenia (SCZ) or bipolar disorder (Xiong et al.,  
728 2019b), and several antidepressants are known to interact with Kir4.1 channel pore residues and  
729 inhibit Kir4.1  $K^+$  currents (Furutani et al., 2009).

730

### 731 **Human diseases with a primary astrocytic basis**

732 Extensively ramified human astrocytes display unique morphological features such as  
733 varicosities and processes that cross between cortical layers (**Figure 1E**). Gene expression  
734 analyses show that human astrocytes differ from those in rodents in accord with expectations of  
735 human-mouse differences (Zhang et al., 2016). It has been suggested that greater astrocyte  
736 complexity may contribute to the higher cognitive abilities of hominids (Bush and Nedergaard,  
737 2017; Oberheim et al., 2009), and [chimeric mice harboring human glial cell progenitors \(many of  
738 which become astrocytes\) exhibited improved performance in learning and memory tasks relative  
739 to the control immunocompromised mice \(Han et al., 2013\)](#). However, hypothesis testing and  
740 detailed physiology of human astrocytes is in its infancy, and emerging evidence indicates that  
741 human astrocytes also exhibit heterogeneous regional gene expression (Kelley et al., 2018).  
742 [Although it is not possible to link dynamic astrocyte signaling to specific human behaviors, we  
743 briefly mention disorders that have a primary basis in astrocyte-enriched proteins and result in  
744 profound behavioral alterations \(Table 1\)](#).

745 Mutation of *GFAP* causes a rare progressive form of leukodystrophy called Alexander  
746 disease (AxD). GFAP is an intermediate filament protein expressed by astrocytes and is a

747 prototypical astrocytic marker (Messing and Brenner, 2020). Patients with AxD display seizures,  
748 ataxia, and psychomotor retardation. The pathogenic variants of the *GFAP* gene are considered to  
749 cause a gain-of-function phenotype, which leads to abnormal protein aggregates in astrocytes  
750 termed Rosenthal fibers, as well as altered astrocyte physiology (Saito et al., 2018).

751 Kir4.1 weakly inwardly-rectifying potassium channels contribute to passive electrical  
752 properties and to extracellular K<sup>+</sup> homeostasis by astrocytes and oligodendrocytes (Chever et al.,  
753 2010; Djukic et al., 2007). Kir4.1 also facilitates glutamate transport, regulates cell volume and  
754 water content. Loss-of-function mutations in *KCNJ10* are linked to autosomal recessive disorders  
755 called EAST syndrome (Epilepsy, Ataxia, Sensorineural deafness, and (a renal salt-wasting)  
756 Tubulopathy) and SeSAME syndrome (Seizures, Sensorineural deafness, Ataxia (lack of muscle  
757 coordination), intellectual (Mental) disability, and Electrolyte imbalance) (Bockenbauer et al.,  
758 2009; Scholl et al., 2009). Both syndromes include epilepsy, ataxia, sensorineural deafness and  
759 tubulopathy. SeSAME EAST mutations result in reduced or abolished Kir4.1 K<sup>+</sup> currents  
760 (Williams et al., 2010). In contrast, gain-of-function mutations in *KCNJ10* were correlated with an  
761 autism and epilepsy phenotype, and with sleep alterations in children (Cucchiara et al., 2020;  
762 Reichold et al., 2010; Sicca et al., 2016; Sicca et al., 2011).

763 EAAT1 and EAAT2 are predominantly expressed in astrocytes and are responsible for  
764 removing excessive glutamate from the synaptic cleft. Heterozygous mutations in the *SLC1A3*  
765 gene cause episodic ataxia (Choi et al., 2017; Jen et al., 2005; Pyle et al., 2015; De Vries et al.,  
766 2009). Recently, another loss-of-function mutation of the *SLC1A3* gene was identified in a patient  
767 with migraine, but without ataxia (Kovermann et al., 2017). This mutation impaired K<sup>+</sup> binding to  
768 EAAT1 and diminished glutamate uptake. Mutations in *SLC1A2* (encoding EAAT2/GLT-1) have  
769 been linked to epileptic encephalopathies, which are a group of early-onset epilepsies with severe  
770 cognitive and behavioral impairments (Epi4Kconsortium, 2016).

771 The transmembrane Na<sup>+</sup>/K<sup>+</sup>-ATPase pump is crucial for maintaining ionic gradients as well  
772 as for regulating cell volume and signaling pathways. Out of the four  $\alpha$  isoforms, the  $\alpha_2$ -subunit is  
773 encoded by the *ATPIA2* gene and is mainly expressed by astrocytes. Autosomal dominant  
774 mutations in the *ATPIA2* gene cause an inherited form of migraine called familial hemiplegic  
775 migraine type 2 (FHM2), characterized by aura, hemiparesis and dysphasia (Böttger et al., 2012;  
776 Carreño et al., 2013; Hiekkala et al., 2018). FHM2 patients can also display seizures, cognitive  
777 impairments, and rare manifestations of psychiatric symptoms. The underlying mechanisms of

778 how *ATPIA2* mutations lead to FHM remain mysterious. However, dysfunctions of Na<sup>+</sup>/K<sup>+</sup>-  
779 ATPase and its coupled proteins result in cortical spreading depression that triggers migraine aura  
780 (Friedrich et al., 2016). Mice carrying a missense mutation in *Atp1a2* displayed increased cortical  
781 dendritic excitability and sensitivity to head pain induction (Romanos et al., 2020).

782 AQP4 is a water channel located at the peri-vascular and peri-ventricular processes of  
783 astrocytes. Serum immunoglobulin G autoantibodies against AQP4 (AQP4-IgG) were described  
784 in patients with the rare idiopathic inflammatory demyelinating disease, neuromyelitis optica  
785 (NMO) (Lennon et al., 2005; Lennon et al., 2004). NMO primarily affects the optic nerves and  
786 spinal cord of individuals and causes clinical manifestations involving visual impairment,  
787 weakness, paralysis, numbness or increased sensitivity in the legs or arms, painful spasms,  
788 uncontrollable vomiting and hiccups, and bladder or bowel problems. AQP4-IgG binding to AQP4  
789 on astrocytes causes complement-dependent multicellular cytotoxicity without altering AQP4  
790 water permeability (Papadopoulos and Verkman, 2012; Saadoun et al., 2010).

791 We have restricted our discussion to causal molecular changes in astrocyte-enriched genes  
792 that lead to neurological or psychiatric behavioral readouts (**Table 1**). It is noteworthy, however,  
793 that vanishing white matter disease, which is the result of mutations in eukaryotic translation  
794 initiation factor 2B (eIF2B), appears to have a predominantly astrocytic basis even though eIF2B  
795 is expressed ubiquitously (Bugiani et al., 2018; Dooves et al., 2016; van der Knaap et al., 2006).  
796 Hence, it is possible that mechanistic studies will reveal that astrocytes are critical drivers of  
797 pathology in other diseases even when the underlying mutation exists in multiple cell types.

## 798 **Summary comments**

799 Exploring how astrocytes regulate neuronal circuits *in vivo* was the logical extension of  
800 anatomical reality and several years of pioneering studies that showed that astrocytes displayed  
801 physiological responses *in vivo* during diverse types of sensory and behavioral paradigms. In this  
802 review, we have summarized recent studies from diverse species suggesting that astrocytes also  
803 contribute consequentially to the functions of neural circuits and the behaviors they encode. These  
804 data reveal an under recognized richness in nervous system function and challenge the primacy of  
805 neurons as the sole determinants of complex brain functions, and therefore also as the obvious  
806 targets to be exploited in order to correct behavioral dysfunctions associated with disease.

807 [How does one interpret the behaviorally relevant responses ascribed to astrocytes and](#)

808 discussed in this review? We suggest three types of interpretation are possible based on the  
809 available data and each should be considered in relation to future work.

810 Type 1 interpretations: In this category are examples suggesting astrocytes integrate  
811 incoming neuronal signals over seconds and then switch to a mode resulting in altered neuronal  
812 function. Zebrafish radial astrocytes in a specific subregion of the brainstem temporally integrate  
813 noradrenergically encoded behavioral failures to accumulate evidence of futility over seconds  
814 before inducing a state of behavioral passivity (Mu et al., 2019). The ability of *Drosophila*  
815 astrocytes to regulate sleep via Spätzle, and olfactory-driven chemotaxis and touch-induced startle  
816 via ATP/adenosine also require Type 1 interpretations (Blum et al., 2020; Ma et al., 2016).  
817 Furthermore, genetically impairing  $\text{Ca}^{2+}$  signaling in striatal astrocytes and restoring expression of  
818 a clock gene, *Cry1*, in SCN astrocytes alone were sufficient to guide very specific behaviors:  
819 highly stereotyped self-grooming (Yu et al., 2018) and circadian patterns of locomotor activity in  
820 otherwise arrhythmic mice (Brancaccio et al., 2019), respectively. Although the behavioral  
821 relevance is unclear, in the mouse hippocampus, long bouts of action potential firing in NPY  
822 positive interneurons results in the emergence of barrage firing (Deemyad et al., 2018). This switch  
823 to barrage firing may be explained by astrocytes functioning as leaky integrators of ongoing  
824 activity and then abruptly changing to allow interneurons to switch mode. Together, these studies  
825 suggest that astrocyte signaling performs specific functions that result in specific behavioral  
826 outcomes.

827 For Type 1 interpretations, understanding how astrocytes integrate information at a  
828 biophysical level requires quantitative measurements and modeling. For example, recent studies  
829 suggest that basal  $\text{Ca}^{2+}$  levels determine the properties of diverse types of  $\text{Ca}^{2+}$  events in brain  
830 slices and *in vivo* (King et al., 2020). If basal  $\text{Ca}^{2+}$  levels are regulated, this could represent a  
831 substrate for a leaky integrator that shapes subsequent responses. Another possibility is that  
832 integration occurs as signals in distinct spatial locations, which also have different basal  $\text{Ca}^{2+}$  levels,  
833 summate during ongoing activity (Zheng et al., 2015). Further studies to quantify and model the  
834 potential ability of astrocytes to integrate or process activity within realistic cellular geometries or  
835 compartments are critical (Savtchenko et al., 2018). There are clear reasons to study  $\text{Ca}^{2+}$  signaling,  
836 but we ought to consider other intracellular signaling mechanisms as well.

837 Type 2 interpretations: One interpretation of some studies that we have considered is that  
838 astrocytes are broadly important for brain homeostasis/function and therefore it is natural that some

839 behaviors will be altered when astrocytes are changed. This type of explanation may be applicable  
840 in several cases and may be important and of particular interest in the context of how astrocytes  
841 contribute to disease phenotypes. An example of Type 2 explanations is Kir4.1 upregulation in the  
842 lateral habenula, which through altered  $K^+$  homeostasis drives behaviors associated with  
843 depression (Cui et al., 2018). Another example is how altered astrocyte-mediated glutamate  
844 homeostasis affects multiple aspects of brain function (Danbolt, 2001). In several cases, the use of  
845 DREADDs and other actuators could fall into responses requiring Type 2 interpretations when  
846 there is a lack of additional evidence for endogenous GPCR mechanisms that the actuator mimics.  
847 Responses requiring Type 2 interpretations may be particularly meaningful in disease related  
848 behaviors, either to understand the phenotypes or to modulate them for beneficial effect (Cui et al.,  
849 2018; Yu et al., 2020b).

850 Type 3 interpretations: Although not relevant to the studies we have discussed in this review,  
851 for some astrocyte responses, we consider a third explanation. In this case, a neural circuit or  
852 behavioral alteration may be best explained by a coincident effect that is unrelated to normal  
853 astrocyte biology. One example of responses needing Type 3 interpretations may be the use of  
854 Channelrhodopsin in astrocytes, which elevates extracellular  $K^+$  levels when activated for seconds  
855 or more (Octeau et al., 2019). In cases where this occurs, alterations in neural activity and behavior  
856 are expected, but these are no more insightful than saying  $K^+$  depolarizes neurons. Such responses  
857 tell us what astrocytes are capable of doing under prescribed settings, but not necessarily, about  
858 what they actually do under normal settings. This distinction is important to recognize in order for  
859 the field to grow and capture new researchers. Another example of Type 3 responses is the outcome  
860 of the use of promoters and driver lines that result in alteration of neurons with resultant coincident  
861 changes in circuits and behavior that confound the interpretation of astrocytic effects that may  
862 occur in parallel. An example in this category may include the use of some mouse lines, which  
863 target populations of neurons making it problematic to interpret behavioral effects ascribed to  
864 astrocytes. Such issues have been widely discussed in the literature (Hirbec et al., 2020; Xie et al.,  
865 2015; Yu et al., 2020a).

866 More generally, although revealing in a causal sense, optogenetic and chemogenetic  
867 approaches nonetheless have general limitations. First, they often lack holistic context for the  
868 cellular effects making it problematic to separate direct and secondary astrocyte contributions to  
869 behavior. Second, exogenous stimulation may not faithfully recapitulate endogenous pathways

870 used *in vivo*. In the future, such explorations could be aided with suppression of defined types of  
871 ongoing physiological activity as a complementary interventional approach. Moreover, the roles  
872 of striatal astrocyte Kir4.1 in the context of HD and in the LHB in the context of depression are  
873 illustrative of a general and important point. In the LHB, overexpression of Kir4.1 led to increased  
874 excitability of LHB neurons, whereas in the striatum downregulation of Kir4.1 led to increased  
875 MSN excitability (Cui et al., 2018; Tong et al., 2014). These data suggest that the circuit  
876 consequences of astrocyte mechanisms will be dictated by the biophysical properties of neurons  
877 within specific brain regions. Along with the evidence for region-specific astrocyte properties,  
878 there are likely to exist astrocyte mechanisms with differential effects between brain areas even  
879 when the underlying molecular change is related or the same (Huang et al., 2020a).

880 It will also be necessary to explore astrocyte functions in circuits from diverse species at a  
881 mechanistic level based on data-driven insights regarding molecular causation. Conservation of  
882 glial cell types, anatomical simplicity, experimental accessibility, and new tools will continue to  
883 allow model organisms such as worms, flies and zebrafish to unveil how astrocytes regulate neural  
884 circuit function and animal behavior at cellular and molecular resolution. In parallel, it will be  
885 critical to explore astrocytic contributions to ethologically natural behaviors and to those that  
886 accompany neurological and psychiatric disease states. Both types of exploration are necessary  
887 and a comprehensive body of such studies should reveal if astrocytes contribute to normal or  
888 disease phenotypes, or possibly to both. Another parallel direction is to combine behavioral  
889 paradigms with computational approaches to decipher the underlying logic of circuit mechanisms  
890 in relation to cognition, which relates to earlier discussion of how astrocytes integrate information.  
891 The fruit fly has contributed enormously to our understanding of many basic principles in animal  
892 development and physiology, and it seems poised to help understand astrocytes. The powerful  
893 array of molecular-genetic approaches available for *Drosophila* hold great promise for rapid  
894 identification of genes that are required to specify, build, and operate astrocytes *in vivo* within  
895 neural circuits. In zebrafish, it is expected that taxonomic analysis (Lange et al., 2020; Raj et al.,  
896 2018) will reveal the molecular identities of astrocytes and help design novel animal lines and/or  
897 reagents that are more specific to them (Chen et al., 2020). Employing rapidly evolving imaging  
898 tools for neurotransmitters, biomolecules and ions will also likely broaden the questions one can  
899 ask, because zebrafish provides unique opportunities for monitoring neuron-glia interactions,  
900 especially at a level of scale and detail that, currently, would be difficult in studies using mammals.

901 Naturally, there will likely be some differences in the precise operational mechanisms employed  
902 in any one-model organism, but there is ample reason to believe that they will have many more  
903 things in common and irrespectively comparisons between species will prove fruitful.

904 With recent progress, it now seems clear that whereas electrophysiology and the oscilloscope  
905 provided powerful early means to study fast electrical events in neurons and foreshadowed decades  
906 of insight and progress, experimental explorations of astrocytes have had to await the advent of  
907 genetic and imaging methods that capture the slower dynamics of these cells. Reassuringly, these  
908 approaches are now beginning to provide new and unexpected insights concerning astrocyte  
909 biology, nervous system function, and the regulation of behavior.

910

### 911 **Acknowledgments**

912 JN and XY were supported by Khakh lab funds during this work. Research in the Papouin  
913 lab is funded by a NARSAD Young Investigator Grant (Brain & Behavior Research Foundation,  
914 #28616), a McDonnell Center for Cellular and Molecular Neurobiology grant (#22-3930-26275U),  
915 and a Whitehall Foundation Inc. Research Grant (#2020-08-35). EC was supported by the National  
916 Research Foundation of Korea (NRF) (2017R1A2B3011098, 2017M3C7A1023471,  
917 2020R1A4A1019009). KRM is supported by the NIH (1R21NS115437). MHH was supported by  
918 the Medical Research Council, U.K. (MC\_U105170643). PGH is supported by grants from the  
919 NIH 5RO1 NS037585-22, 5RO1 NS107315-03 and 1RO1 AG061838-01. DR is a Wellcome Trust  
920 Senior Investigator. SS was supported by NIH grant R35NS105094. BSK was supported by NIH  
921 (R35NS111583 and DA047444), CHDI Inc, by an Allen Distinguished Investigator Award, a Paul  
922 G. Allen Frontiers Group advised grant of the Paul G. Allen Family Foundation, and by the Ressler  
923 Family Foundation.

924

### 925 **Author contributions**

926 JN and XY wrote first drafts of species sections: all other authors expanded and revised them  
927 along lines of expertise. BSK wrote the summary, opening, and closing sections and assembled  
928 comments from all authors. BSK wrote the final version: all others commented.

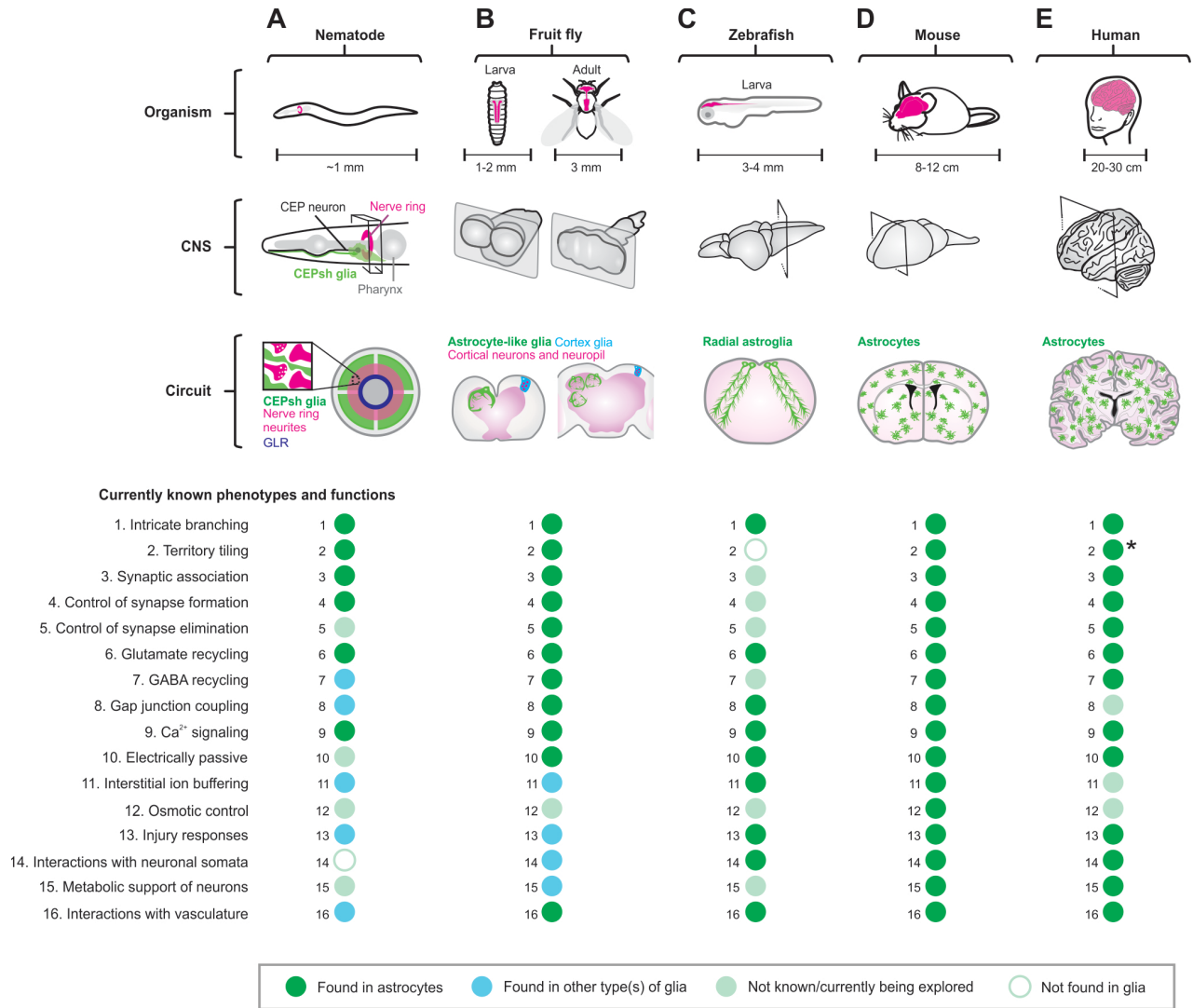
**Table 1: Examples of astrocyte enriched genes associated with CNS disorders in humans.**

<b>Disease or clinical presentation</b>	<b>Gene</b> <i>HUMAN</i> , <i>mouse</i>	<b>Protein</b>	<b><sup>1</sup>Mutation type</b>	<b><sup>2</sup>Astrocyte enrichment versus [other brain cell type] human; mouse</b>
Alexander disease	<i>GFAP</i> , <i>Gfap</i>	Glial fibrillary acidic protein: GFAP	Gain-of-function	[Neurons]: 19; 22 [Oligodendrocytes]: 8; 7 [Microglia/macrophages]: 70; 18 [Endothelia]: 27; 36
Autism-epilepsy, sleep disorder, EAST syndrome, SeSAME syndrome	<i>KCNJ10</i> , <i>Kcnj10</i>	Inwardly-rectifying potassium channel: Kir4.1	Gain or loss-of-function	[Neurons]: 14; 23 [Oligodendrocytes]: 4; 3 [Microglia/Macrophages]: 207; 73 [Endothelia]: 48; 395
Ataxia, migraine	<i>SLC1A3</i> , <i>Slc1a3</i>	Glutamate transporter: EAAT1	Loss	[Neuron]: 12; 25 [Oligodendrocytes]: 22; 61 [Microglia/Macrophages]: 7; 65 [Endothelia]: 55; 296
Epilepsy, bipolar disorder, schizophrenia	<i>SLC1A2</i> , <i>Slc1a2</i>	Glutamate transporter: EAAT2	Probably loss-of-function	[Neuron]: 12; 25 [Oligodendrocytes]: 39; 32 [Microglia/Macrophages]: 452; 93 [Endothelia]: 62; 423
Familial hemiplegic migraine type 2	<i>ATP1A2</i> , <i>Atp1a2</i>	Na <sup>+</sup> /K <sup>+</sup> -ATPase $\alpha_2$ -subunit	Loss-of-function and gain-of-function	[Neuron]: 17; 29 [Oligodendrocytes]: 33; 31 [Microglia/Macrophages]: 598; 51 [Endothelia]: 4; 30
neuromyelitis optica spectrum disorder (Devic's disease)	<i>AQP4</i> <i>Aqp4</i>	Water channel: aquaporin 4	Relapsing-remitting autoimmune disease producing antibodies against aquaporin 4	[Neurons]: 13; 27 [Oligodendrocytes]: 54; 224 [Microglia/Macrophages]: 395; 374 [Endothelial]: 71; 265
Vanishing white matter disease	<i>EIF2B1-5</i> , <i>Eif2b1-5</i>	Guanine nucleotide exchange factor: eIF2B	Probably loss-of-function	[Neurons]: *0.9, 1.2, 0.5, 0.9, 0.5; 0.7, 0.8, 0.8, 0.8, 0.6 [Oligodendrocytes]: 1.1, 1.6, 1.0, 1.0, 0.9; 0.5, 0.7, 0.6, 1.0, 0.5 [Microglia/Macrophages]: 1.3, 3.0, 1.0, 1.8, 0.7; 1.1, 0.9, 1.2, 0.7, 0.4 [Endothelial]: 1.4, 4.0, 2.4, 1.8, 2.2; 0.6, 0.4, 0.4, 0.9, 0.3

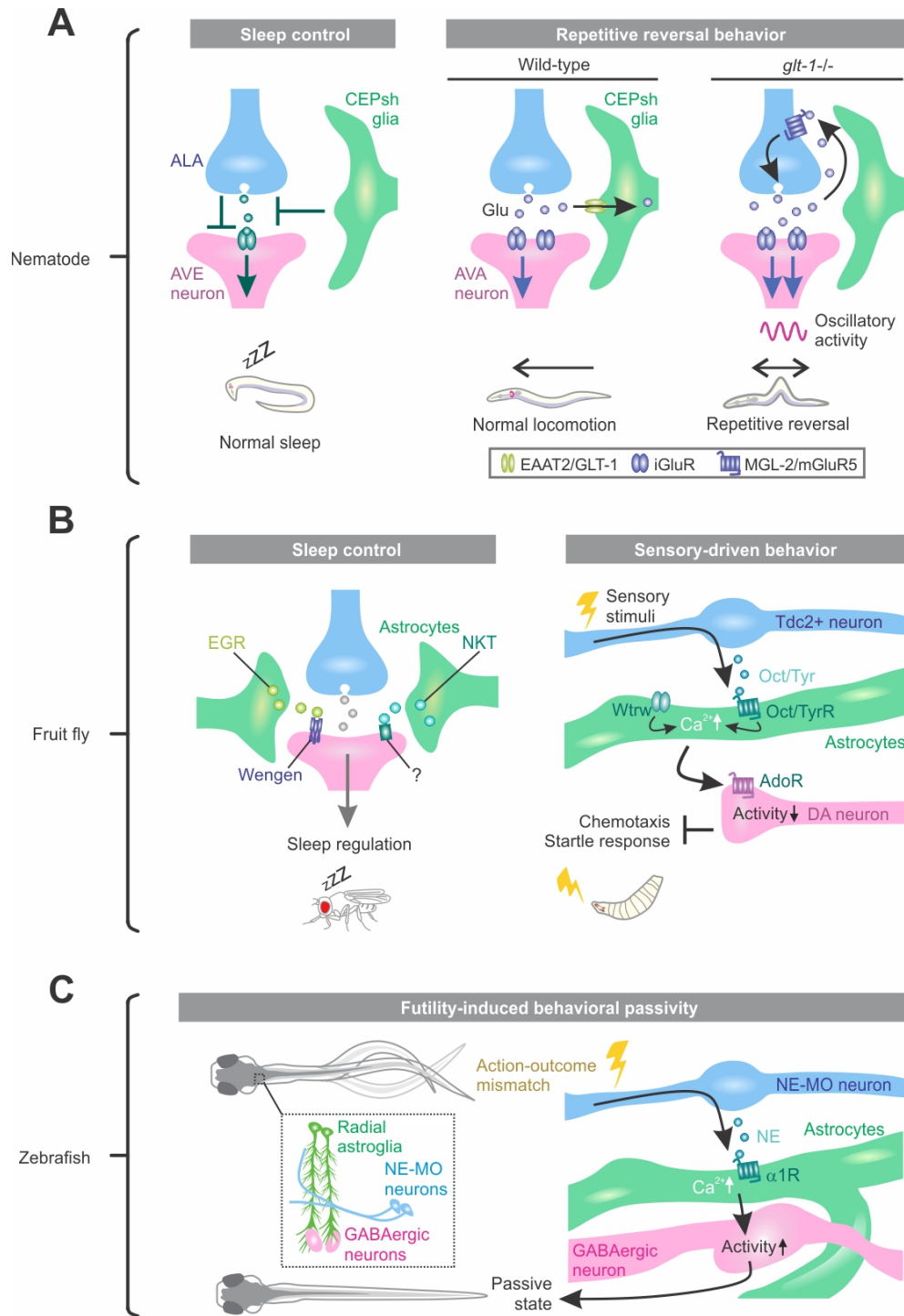
<sup>1</sup>In each case, multiple mutations have been identified, which cannot be listed due to space limits.

<sup>2</sup>The astrocyte enrichment score was estimated from <https://www.brainrnaseq.org/> and represents the (astrocyte/other cell type) gene expression (FPKM) ratio for human (blue) and mouse (red) cells.

\*Values shown in the order of *EIF2B1*, *EIF2B2*, *EIF2B3*, *EIF2B4* and *EIF2B5* for each cell type.

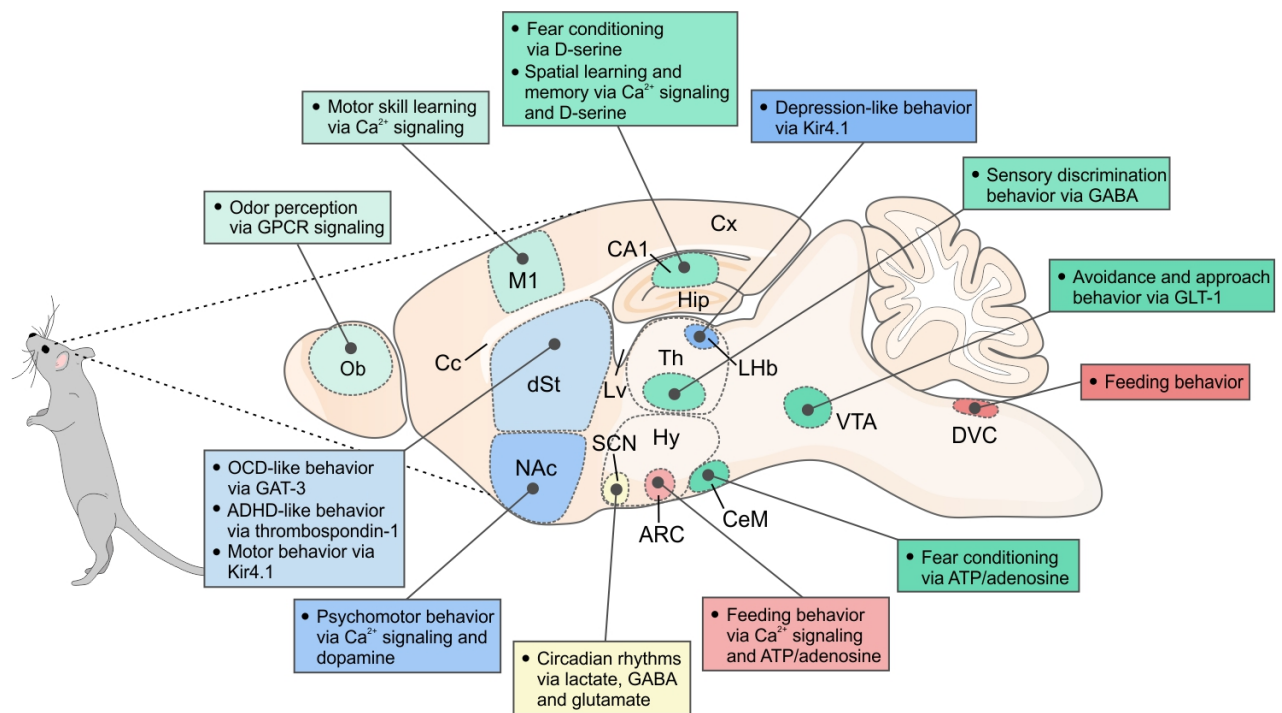


**Figure 1: Phenotypes and functions of astrocytes from different species discussed herein.** The schematics illustrate the locations of CNS (gray), neuropil (purple) and astrocytes (green) in nematode (A), fruit fly (B), zebrafish (C), mouse (D), and human (E) at the level of organism, CNS and circuit. Dot plots summarize that 16 well-defined cellular phenotypes/functions of astrocytes are either found in astrocytes (green), found in other type(s) of glia (blue), not known or currently being explored (light green), or not found in glia (white) in the relevant organism indicated. Some human astrocytes project long, unbranched processes that cross cortical laminae (asterisk).



**Figure 2: Neural circuit and behavioral functions of astrocyte-like cells in nematode, fruit fly and zebrafish.** (A) Nematode CEPsh glia are required for normal sleep and locomotion. Left: The oscillatory activity of AVE neuron correlates with head retraction of worm and regulates locomotion. ALA neurons are active during sleep and synaptically inhibit AVE. The working model from ablation experiments suggests that CEPsh glia tune the ALA-AVE synapse in proper behavioral state transition from wakefulness to sleep. Right: AVA neurons are a major class of

interneuron driving backward locomotion. CEPsh glia uptake glutamate (Glu) from synaptic cleft at excitatory synapses onto AVA. Deletion of glutamate transporter GLT-1 from CEPsh glia results in spillover of Glu from the synapses, activating presynaptic mGluR5 to cause repetitive excitation of AVA and reversal in worm locomotion. **(B)** Fly astrocytes regulate sleep and sensory-driven behavior. Left: *Drosophila* TNF $\alpha$  homologue Eiger (EGR), expressed in fly astrocyte-like cells (green), acts on Wengen a receptor of EGR on neurons to regulate normal sleep. An astrocyte-enriched small secreted immunoglobulin (Ig)-domain protein Noktochor (NKT) exhibit reduction and fragmentation in night sleep, but not in day sleep. Right: upon sensory stimuli, the Tdc2-expressing neurons release neuromodulator tyramine (Tyr) or octopamine (Oct), the invertebrate analogues of norepinephrine, to increase Ca<sup>2+</sup> in fly astrocytes in ventral nerve cord. Waterwitch (Wtrw)/TRP channel also produce Ca<sup>2+</sup> in the same type of astrocytes. The astrocyte Ca<sup>2+</sup> signaling inhibits dopaminergic neuron firing via ATP/adenosine and is required for olfactory-driven chemotaxis and touch-induced startle responses. **(C)** Fish radial astroglia play causal roles in behavioral passivity triggered by futility. When fish recognize an accumulated unsuccessful attempt, noradrenergic neurons in norepinephrine cluster of the medulla oblongata (NE-MO) become active and released NE activates  $\alpha$ 1-adenoreceptor (AR) in radial astroglia. The radial astroglia Ca<sup>2+</sup> signaling in turn enhances activity of GABAergic neurons in the lateral hindbrain to cause behavioral passivity.



**Figure 3. Summary illustrating acute astrocytic regulation of neuronal circuits and behaviors relevant to different regions of the mouse brain.** Schematic of a sagittal section of a mouse brain where various regions and nuclei as well as associated behaviors that were shown to be regulated by acute astrocytic mechanisms are depicted. Ob, olfactory bulb; Cx, cerebral cortex; M1, primary motor cortex; Lv, lateral ventricle; Cc, corpus callosum; dSt, dorsal striatum; NAc, nucleus accumbens; Hip, hippocampus; Th, thalamus; LHb, lateral habenula; Hy, hypothalamus; ARC, arcuate nucleus; SCN, suprachiasmatic nucleus; CeM, central amygdala; VTA, ventral tegmental area; DVC, dorsal vagal complex. Note: in the text we also consider sleep, but this is not illustrated here because it involves multiple brain nuclei. Furthermore, the cartoon does not include studies where behavioral alterations result over longer periods such as following the deletion of a critical gene within astrocytes or during development and aging.

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