

1 **Infectious Disease in the Pleistocene: Old Friends or Old Foes?**

2 **Short title:** Evidence of Pleistocene pandemics

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11

12 Abstract

13 The impact of endemic and epidemic disease on humans has traditionally been seen as a  
14 comparatively recent historical phenomenon associated with the Neolithisation of human groups, an  
15 increase in population size led by sedentarism, and increasing contact with domesticated animals as  
16 well as species occupying opportunistic symbiotic and ectosymbiotic relationships with humans. The  
17 orthodox approach is that Neolithisation created the conditions for increasing population size able to  
18 support a reservoir of infectious disease sufficient to act as selective pressure. This orthodoxy is the  
19 result of an overly simplistic reliance on skeletal data assuming that no skeletal lesions equated to a  
20 healthy individual, underpinned by the assumption that hunter-gatherer groups were inherently  
21 healthy while agricultural groups acted as infectious disease reservoirs. The work of Van Blerkom  
22 (2003), Wolfe et al (2007) and Houldcroft & Underdown (2016) has changed this landscape by arguing  
23 that humans and pathogens have long been fellow travellers. The package of infectious diseases  
24 experienced by our ancient ancestors may not be as dissimilar to modern infectious diseases as was  
25 once believed. The importance of DNA, from ancient and modern sources, to the study of the antiquity  
26 of infectious disease, and its role as a selective pressure cannot be overstated. Here we consider  
27 evidence of ancient epidemic and endemic infectious diseases with inferences from modern and  
28 ancient human and hominin DNA, and from circulating and extinct pathogen genomes. We argue that  
29 the pandemics of the past are a vital tool to unlock the weapons needed to fight pandemics of the  
30 future.

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37 Introduction

38 Our understanding of infectious diseases in prehistory has to date been reliant on palaeopathology  
39 and hypothetical models. While careful micro- and macro- analysis of skeletal remains can reveal

40 much about disease in past populations, it suffers from the effects of differential preservation of  
41 material in the archaeological record, issues with accurate diagnosis of disease, the osteological  
42 paradox and, perhaps most crucially, the fact that the vast majority of infectious diseases typically  
43 have no skeletal involvement (Cohen, Wood, & Milner, 1994; Wood, Milner, & Harpending, 1992).  
44 However, technological advances and increasing use of palaeogenetic and palaeoproteomic  
45 approaches to studying ancient human remains give us new ways to study human infectious diseases  
46 before the dawn of agriculture. By combining direct molecular and proteomic evidence of infectious  
47 diseases with palaeopathology, phylogenetic analysis of extant pathogens, and analysis of patterns of  
48 selection within human genomes, we can test assumptions which have been taken as orthodoxy since  
49 at least the 1950s. Placing the first epidemiological transition in the Neolithic, recasting Omran's  
50 original epidemiological transition as the second instance of this phenomenon (e.g. (Barrett, Kuzawa,  
51 McDade, & Armelagos, 1998; Zuckerman, Harper, Barrett, & Armelagos, 2014)), continues to  
52 effectively negate the role of the disease in deep time. We can rethink what the landscape of  
53 Pleistocene infectious diseases may have looked like in different populations and identify important  
54 new research avenues.

55 When considering humans in the past the temptation is to forget about the impact of temporal and  
56 spatial patterns and to talk in terms of large-scale events that were seemingly the same across  
57 millennia and continents. The Neanderthal extinction debate is all too often spoken of in terms of  
58 'climate' or 'conflict' and other such blanket terms which smother the actual challenges faced by  
59 individuals in real time. Pettitt (Pettitt, 1999) argues for a series of Neanderthal extinctions as the  
60 result of a wide range of different factors, and places evolutionary ecology front and centre. The same  
61 complex interactions are also true of disease as a selective pressure, both positive and deleterious, in  
62 the distant and recent past. We are quick to forget that prior to Florey and Chain developing a method  
63 for synthesising penicillin in the 1940s a simple cut could have been fatal. Prior to the introduction of  
64 antibiotics, bacteraemic skin infections had a mortality rate of 15% while *Streptococcus pneumoniae*  
65 carried a mortality rate of 30% (rising to 70-90% if bacteraemic (Shlaes & Bradford, 2018)). The role of  
66 Neolithisation and the impact it had on human groups and population size was profound (Belfer-  
67 Cohen & Goring-Morris, 2020; Bocquet-Appel, 2011; Kuijt, 2000). The Neolithic therefore casts a very  
68 long shadow and the result, albeit unintentionally, has been the relegation of disease as a selective  
69 pressure, or indeed any sort of factor, when reconstructing human life prior to this. The Pleistocene,  
70 the crucible of the genus *Homo*, appears to be an aseptic environment in this model. Yet disease would  
71 have been an ever-present threat (Gurven & Kaplan, 2007): from animal-borne infections contracted  
72 during hunting, to dysentery-causing bacteria in water, it is unthinkable that there was ever a point  
73 when disease was not a constant factor in human experience. It is equally improbable that our  
74 ancestors did not develop mechanisms and strategies to ameliorate their effects. The profound impact  
75 of diseases on ancient forager populations is reflected by the fact that ~70% of deaths recorded  
76 amongst contemporary hunter-gatherer populations are the result of infectious, zoonotic and  
77 parasitic diseases (Gurven & Kaplan, 2007).

78

79 Genetics has transformed how we study human evolution. In 1987 Cann, Stoneking and Wilson  
80 analysed mtDNA from 147 'geographically diverse' people and demonstrated a shared African origin  
81 for humans (Cann, Stoneking, & Wilson, 1987). While not without its issues, the paper kickstarted a  
82 revolution in the study of human evolution, adding a complex and rapidly developing genetic strand  
83 of data alongside the 'bones and stones' that had hitherto been the major foci of study. The following  
84 thirty years has witnessed an explosion of data: the first Neanderthal mitochondrial DNA sequence  
85 (Krings, Geisert, Schmitz, Krainitzki, & Pääbo, 1999; Krings et al., 1997); the Neanderthal genome draft  
86 (Green et al., 2010) and high-coverage genome (Prüfer et al., 2014); the discovery of the Denisovans

87 (Krause et al., 2010); and the identification of multiple introgression events between Late Pleistocene  
88 *Homo* species (Meyer et al., 2014; Posth et al., 2017; Schaefer, Shapiro, & Green, 2021). So rapid is  
89 the pace of archaeo/palaeogenetic development that a mere thirty two years after Cann and  
90 colleagues analysed 147 mt DNA samples, researchers are now able to sequence the ancient nuclear  
91 genomes of dozens of individuals from multiple archaeological sites from a single valley. This was  
92 demonstrated by Mittnik and colleagues reporting the genomic sequences of 118 individuals from the  
93 Lech River valley in Germany, spanning the Late Neolithic to the Middle Bronze Age, across multiple  
94 burial contexts (Mittnik et al., 2019).

95 However, the increasing availability of ancient hominin genomes has also ignited new controversies.  
96 Some palaeoanthropologists had long suspected that archaic introgression (interbreeding between  
97 Neanderthals, humans and other hominins) had taken place in human prehistory, and ancient  
98 genomes from Neanderthals and Denisovans were able to confirm this hypothesis. Ancient DNA  
99 analysis revealed the presence of new hominin groups (Krause et al., 2010; Meyer et al., 2014),  
100 widespread interbreeding (such as a human with recent Neanderthal ancestor (Fu et al., 2015) and  
101 'Denny' (Slon et al., 2018)) and hints of deep population structure (Jacobs et al., 2019; Posth et al.,  
102 2017), and population migration and replacement (Mafessoni et al., 2020; Meyer et al., 2016, 2014).  
103 Early human migrations out of Africa may or may not have left traces in the gene pool of humans alive  
104 today (Mondal et al., 2016; Skoglund, Mallick, Patterson, & Reich, 2018; Teixeira et al., 2021). Given  
105 the time scales and the range of environments over which these changes and interactions took place,  
106 and the evidence of natural selection acting on introgressed DNA within the human genome, it is more  
107 important than ever to consider the role that infectious diseases would have played in the lives of our  
108 ancestors and related hominins during the Pleistocene.

109 Ancient pathogen DNA and advances in palaeopathology (ancient proteomics, lipidomics, CT scanning,  
110 host genome sequencing and our understanding of genetic disease risk) are ripe to underpin a similar  
111 transformation in our understanding of disease in the Pleistocene. They are also likely to prompt a  
112 significant re-evaluation of the prevailing 20<sup>th</sup> century models (Barrett et al., 1998; A. Cockburn, 1963;  
113 T. A. Cockburn, 1971; Omran, 1971) which point to the origins of human agriculture as the watershed  
114 moment for human infectious disease. New models are needed which incorporate changing  
115 environments for migrating hominins, hominin-hominin disease transfer, sedentism, and interactions  
116 with non-pastoral animals which occur in the Upper Palaeolithic. The transfer of diseases between  
117 humans and (peri) domesticated animals, which is key to the first epidemiologic transition model (FET)  
118 as originally formulated, remains a crucial theme in ancient infectious disease research (Armelagos &  
119 Harper, 2005), but new models will need more nuance. Evidence is accumulating that epidemic and  
120 endemic infectious diseases cannot simply be divided into 'old friends and new foes' on either side of  
121 the transition to agriculture: humans have also been subject to endemic and epidemic infectious  
122 diseases (old foes) with significant selection associated pressures for far longer than the last 15ky.

123 The tool kit of palaeopathology is advancing but can only tell us about infections and inflammation  
124 which lead to skeletal pathology (e.g. lesions, healed or unhealed in bones or teeth). Some of these  
125 pathologies can be directly attributed to specific pathogens and diseases, but not all. However,  
126 problems remain when trying to use palaeopathological data as a reflection of the relationship  
127 between human populations and disease in the past. The osteological paradox, a phrase first coined  
128 by Wood and colleagues (Wood et al., 1992), highlights the difficulty of conflating absence of infection  
129 in a given skeleton (or indeed population) with a complete absence of infectious disease. Simply put,  
130 if an infection kills rapidly it leaves no skeletal markers and the individual will appear skeletally  
131 'healthy'. Conversely an individual with multiple lesions caused by a long-term infection might be  
132 regarded as unhealthy or sick, but in fact had the stronger immune systems and was effectively much

133 'healthier' than the skeletons presenting no evidence of disease. As Siek (Siek, 2013) has argued, this  
134 can result in erroneous claims that infectious diseases were either very rare or completely absent in  
135 the deep past.

136 We are often dependent on case reports from Pleistocene burials, human and hominin, as a result (for  
137 example, evidence of bacterial infection of prostatic stones (Usai et al., 2017) and osteolytic pathology  
138 caused by *Echinococcus* (Vlok et al., 2021); for a more detailed summary, see (Houldcroft &  
139 Underdown, 2016)). Parasites may either cause disease directly (for example, helminthiasis  
140 (Crompton, 1999)), or they may act as vectors for an infectious disease (such as transmission of the  
141 typhus-causing bacteria *Rickettsia prowazekii*, which can be spread by human body lice, *Pediculus*  
142 *humanus* (Badiaga & Brouqui, 2012)). Palaeoparasite research may therefore be useful in detecting  
143 the presence of parasites which can act as disease vectors, but it may also detect parasites which are  
144 directly associated with human disease. The diversity of human and zoonotic parasites identified in  
145 the archaeological record is substantial, and the palaeopathology and evolution of just some of these  
146 parasites has been reviewed elsewhere (Katharina Dittmar, Araújo, & Reinhard, 2012; Ledger &  
147 Mitchell, 2022; Mitchell, 2013). As with palaeopathology, less is known about the palaeoparasite  
148 landscape of the Pleistocene than the Holocene (Mitchell, 2013), although there is evidence from  
149 France of the helminth *Ascaris lumbricoides* (a common human roundworm) at a site dated to 24-30  
150 kya (Bouchet et al., 1996). These fields give a tantalising but incomplete picture of Pleistocene  
151 infections.

152 Moving on from what we can learn from palaeopathology, we can also use our knowledge of the  
153 spread of infectious diseases today and make predictions about whether they would have been  
154 significant human pathogens in the past. These models may incorporate factors such as climate,  
155 population size, subsistence strategy, animal reservoirs, diet and the presence or absence of disease  
156 vectors (Brynildsrud et al., 2018; Dean et al., 2018; Fournié, Pfeiffer, & Bendrey, 2017; Sattenspiel,  
157 1990; L. A. White & Mordechai, 2020).

158 Genomics illustrates extant human and pathogen diversity, which can be analysed for signals of past  
159 and current selection or demographic events. Metagenomics gives us the DNA of other organisms  
160 living in or on ancient humans and hominins, for example providing direct evidence of changes to the  
161 oral microbiome in mineralised biofilms such as dental calculus, which may include pathogens,  
162 commensal bacteria, and opportunistic infections. While refinements to the epidemiological  
163 transition model have been made in recent years (eg (Armelagos, 2009; Armelagos & Harper, 2005)),  
164 the increasing availability of ancient human and pathogen DNA leaves the assumptions underlying the  
165 first epidemiological transition ripe for reappraisal (Harper & Armelagos, 2013; Zuckerman et al.,  
166 2014).

167 Researchers also need to consider whether there are special ethical concerns for working with ancient  
168 pathogen material or diagnosing diseases on the basis of palaeopathology. For example, there may be  
169 a risk of stigmatisation of particular communities or ancestries if particular diseases or pathogens are  
170 identified. For example, infectious diseases caused by mycobacteria, treponemes or poxviruses may  
171 lead to stigmatisation of living individuals, and may also have been associated with stigma or ostracism  
172 in the past (Dofitas, Kalim, Toledo, & Richardus, 2022; Klaus & Ortner, 2014; Ogoina et al., 2019; C. A.  
173 Roberts, 2020). The ethics of studying ancient infectious diseases overlap with concerns about the  
174 appropriate treatment and study of human remains, and ethical considerations from modern  
175 microbiology (Johnson & Parker, 2020).

176 This review will predominantly, but not exclusively, focus on evidence for infectious diseases in the  
177 Pleistocene that has been gained from high-throughput and metagenomic sequencing efforts of

178 pathogen and host. While approaches such as polymerase chain reaction (PCR), quantitative PCR  
179 (qPCR) and short-fragment sequencing have been used to great effect in many studies of ancient  
180 infectious diseases, they typically do not have the same agreed criteria for ancient DNA  
181 authentication, which have been reviewed in detail elsewhere (Key, Posth, Krause, Herbig, & Bos,  
182 2017; Llamas et al., 2017; Salter et al., 2014; Warinner et al., 2017). PCR and short-fragment  
183 sequencing also convey different information to high-throughput sequencing and metagenomics.

184 These new sources of evidence need to be integrated (or better integrated) with palaeopathology and  
185 modelling to understand endemic and epidemic disease in the Pleistocene. Here we will discuss four  
186 of these new sources (FIGURE 1): ancient pathogen genomes sequenced from sub-fossil human  
187 remains; the genomes of pathogens circulating today and the genomes of living people; ancient  
188 hominin genomes and introgressed hominin DNA; and ancient biomolecules derived from dental  
189 calculus and sedimentary DNA (sedaDNA), which can be synergistic with the other categories.

190

### 191 Ancient pathogen DNA from the Pleistocene

192 Ancient infectious diseases can increasingly be directly detected in human remains using approaches  
193 such as DNA sequencing and analysis of ancient biomolecules, i.e. proteins and lipids specific to certain  
194 pathogen species. These approaches may be used as means of confirming the presence of a pathogen  
195 present in aDNA data e.g. *Mycobacterium leprae* (Fotakis et al., 2020); or they may be used to indicate  
196 the presence of a pathogen when aDNA is not available, is too degraded or does not contain  
197 detectable frequencies of the pathogen of interest (Donoghue, 2017; Minnikin et al., 2015). Ancient  
198 pathogen research will increasingly utilise biomolecules in addition to DNA as techniques develop and  
199 authentication standards are agreed upon (Hendy, Welker, et al., 2018), but ancient pathogen DNA  
200 will remain a powerful tool in its own right. The identification of Pleistocene viral genetic material in  
201 sources as diverse as human teeth (Nielsen et al., 2021), rat palaeofaeces (Larsen, Cole, & Worobey,  
202 2018) and glacier ice (Zhong et al., 2021) highlights the utility of deep-sequencing of ancient material.

203 Thanks to increased use of metagenomic sequencing of human remains, we now have a much more  
204 nuanced view of the infectious disease landscape of the Mesolithic and Neolithic. Some of the earliest  
205 pathogens directly identified by aDNA analysis are viruses, such as hepatitis B virus (HBV) and  
206 parvovirus B19 (B19V). B19V was present in European and Asian populations from at least 7kya  
207 (Mühlemann, Margaryan, et al., 2018). Directly dating these human-specific viruses using aDNA has  
208 suggested they began to emerge as human pathogens just before or around the time of the Neolithic  
209 revolution (Krause-Kyora et al., 2018; Mühlemann, Jones, et al., 2018; Mühlemann, Margaryan, et al.,  
210 2018), although additional ancient genomes may push this event further back in time. A growing  
211 collection of ancient *Salmonella enterica* Paratyphi C genomes, dating from 6.5kya, show the evolution  
212 of this bacterium towards increasing human specialisation (Key et al., 2020). The earliest *S. enterica*  
213 genome currently available is from Russia, from Murzikhinsky II. Individuals from this Eneolithic site  
214 were hunter-foragers who were transitioning towards pastoralism. This *S. enterica* genome is from a  
215 lineage able to infect and cause disease in many mammals, including horses; later *S. enterica* genomes  
216 from ancient agro-pastoralists are also associated with lineages which can infect pigs. For this  
217 pathogen, it appears that the Neolithic was an era of increased specialisation as a pathogen of humans  
218 and perhaps also domesticated and companion animals, with increasingly rapid dissemination of  
219 related strains (Key et al., 2020). We have increasingly granular data on the molecular evolution of  
220 specific pathogens such as *Yersinia pestis* (plague) which have long evolutionary histories. The best  
221 and most abundant evidence for mortality caused by *Y. pestis* comes from the late Antique - early  
222 Medieval period onwards (Keller et al., 2019); it is harder to gauge the burden of morbidity and

223 mortality associated with plague in prehistory with currently available evidence (Neumann et al.,  
224 2022; Spyrou et al., 2018; Susat et al., 2021). These studies help us to refine our understanding of  
225 models of the first epidemiological transition.

226 Studying ancient pathogen DNA can challenge our models of infectious disease ecology in unexpected  
227 ways. A case in point is the study of tuberculosis in the Americas. Modern MTBC (*Mycobacterium*  
228 *tuberculosis* complex) diversity in the Americas is clearly closely related to the MBTC strains which  
229 originate in Europe (Comas et al., 2013). Therefore, circulating MTBC diversity is likely to be the result  
230 of the introduction of European TB strains during and after the colonial period of contact between the  
231 continents. There is, however, archaeological evidence of MTBC in the Americas thousands of years  
232 before the arrival of European colonists and enslaved African peoples, particularly in the form of  
233 morphological and skeletal evidence in human remains and mummies from Chile and Peru (Arriaza,  
234 Salo, Aufderheide, & Holcomb, 1995; Blom et al., 2005; Mora, Pacheco, Roberts, & Smith, 2021).  
235 Following ancient DNA analysis, three sets of human remains from Peru, dated to 1028CE to 1280CE,  
236 showed evidence of infection not with human TB (*M. tuberculosis*) but with a mycobacterium most  
237 closely related to *M. pinnipedii* (Bos et al., 2014). This MTBC species is known to infect marine  
238 mammals including seals and sea lions (Cousins et al., 2003). This unexpected discovery of mammalian  
239 TB in South America raises questions: what was the broader landscape of TB (and indeed other  
240 infections (Guzmán-Solís et al., 2021; Ville N. Pimenoff & Houldcroft, 2021)) in the Americas before  
241 the arrival of European colonisers and enslaved Africans? This is particularly relevant to north  
242 American regions (Vågene et al., 2022), where fewer studies to recover human and pathogen aDNA  
243 have taken place thus far.

244 The biggest (and to our minds, most interesting) questions in infectious disease ecology and evolution  
245 focus on the Pleistocene, before widespread sedentism and domestication of pastoral animals. This is  
246 the time period in which our models of infectious disease impact on human evolution could be  
247 revolutionised. The first direct evidence of human viral pathogens from the Pleistocene comes from  
248 the Yana Rhinoceros Horn site (RHS) in Siberia (Nielsen et al., 2021). To date, Yana RHS is the oldest  
249 known human settlement in the Arctic Circle, dated to the Upper Palaeolithic (Pitulko et al., 2004).  
250 Among the remains recovered from this site were isolated deciduous teeth from two children who  
251 lived around 32000 years ago. These teeth were deeply sequenced to recover not just high-depth host  
252 genomes (Sikora et al., 2019) from these individuals, but also the pathogens present within their teeth,  
253 most likely in the blood (Nielsen et al., 2021). This study recovered a range of pathogenic and  
254 commensal viruses, including two different genotypes of adenovirus species C, which is associated  
255 primarily with upper respiratory tract infections. There was also evidence that the children were  
256 infected with multiple herpesviruses, from all three subfamilies of human herpesviruses: HHV1  
257 (herpes simplex virus 1, cause of cold sores), HHV4 (Epstein-Barr virus, cause of infectious  
258 mononucleosis), HHV5 (cytomegalovirus, typically leading to silent primary infection), and HHV6B and  
259 HHV7 (associated with rash and fever in children upon primary infection).

260 Evidence from ancient human populations in Siberia (Sikora et al., 2019, 2017) from the Upper  
261 Palaeolithic reveals relatively large effective population sizes of up to 500 people, and contemporary  
262 individuals from the same site did not show signs of inbreeding or even close relationships. These are  
263 factors likely to promote the persistence and spread of more diverse infectious disease packages,  
264 although insufficient for 'crowd diseases' such as measles (Black et al., 1974). Sikora and colleagues  
265 suggest that this genetic evidence "reinforces the view that wide-ranging mate exchange networks  
266 were present among Upper Palaeolithic foragers across the pre-LGM landscape" (Sikora et al., 2019).  
267 This would also promote the spread of infectious diseases, especially persistent/latent infections,  
268 which is reflected in the pathogens recovered from the Yana RHS remains (Nielsen et al., 2021).

269 Analysis of hundreds of skeletons from Europe and the Americas, dating back to 11kya, identified  
270 hepatitis B virus as a pathogen widespread during the early Neolithic in Europe and the Americas, and  
271 with the most recent common ancestor (MRCA) of European and American HBV strains dating to the  
272 late Pleistocene (Kocher et al., 2021). HBV has also been identified in Neolithic (~7kya), Bronze Age  
273 and Iron Age human remains from Europe and Asia (Krause-Kyora et al., 2018; Mühlemann, Jones, et  
274 al., 2018), with evidence of viral lineage replacement in Europe between the Mesolithic and the  
275 Neolithic (Kocher et al., 2021). Ancient HBV genomes also point to contact (leading to HBV  
276 transmission) between the ancestors of European and Native American populations until as recently  
277 as 13-15kya (Kocher et al., 2021). We suggest that these studies raise the prospect of HBV as a  
278 widespread disease of the late Pleistocene, with perhaps further and more significant impacts in the  
279 Neolithic.

280 Data from modern human remains demonstrates that there is scope for recovery of a number of  
281 viruses not just from teeth, but also from bones such as the femur. In recently deceased individuals,  
282 it was possible to detect herpesviruses (herpes simplex virus-1, varicella-zoster virus, Epstein-Barr  
283 virus; cytomegalovirus; HHV6B and HHV7), polyomaviruses (JC polyomavirus and Merkel cell  
284 polyomavirus), papillomaviruses (HPV), parvovirus B19V, hepatitis B virus and torque teno viruses  
285 (TTV), using a mixture of quantitative PCR and deep sequencing (Toppinen et al., 2020). A 1.6x B19V  
286 genome was recovered from a petrous bone dating to 880-1000 CE (Mühlemann, Margaryan, et al.,  
287 2018) and Merkel cell polyomavirus DNA has been recovered from the cement that attaches human  
288 head lice nits to the hair follicle (Pedersen et al., 2022), demonstrating that aDNA studies may be able  
289 to recover other ancient virus DNA from human remains even when no teeth are available. It seems  
290 only a matter of time, sample size and depth of sequencing until ancient human TTV, or HPV sequences  
291 are published. Dental calculus may be another promising source of ancient virus DNA or proteins: it  
292 has already provided a Pleistocene bacterial genome from Neanderthal teeth, dated to 48kya (Hendy,  
293 Warinner, et al., 2018; Weyrich, Dobney, & Cooper, 2015; Weyrich et al., 2017).

294 Whether the detection of a given pathogen in ancient human remains reflects the presence of disease  
295 symptoms remains a subject of debate. For a given pathogen, primary and chronic infection may be  
296 associated with very different pathogen load dynamics, and be highly variable in their associated  
297 morbidity and mortality; and so the significance of the detection of pathogen DNA in ancient human  
298 remains must be appraised pathogen by pathogen. It is important to note that detecting DNA viruses  
299 in blood in living individuals is a relatively rare event, suggesting that viraemia for common DNA  
300 viruses is well controlled in immune competent hosts (Moustafa et al., 2017; Williams, Ratcliff,  
301 Nguyen, Simmonds, & Harvala, 2022). Higher virus loads in blood are associated with poorer clinical  
302 outcomes for a number of viral pathogens, such as HBV (Trépo, Chan, & Lok, 2014) and adenovirus  
303 (Zhang, Wang, Tian, & Deng, 2021), although the relationship between virus load and severity of  
304 symptoms is less clear cut for other pathogens, such as EBV (Odame et al., 2014).

305 RNA viruses will be much harder to study using ancient genomics, partly because of the more rapid  
306 degradation of aRNA, and also because current aDNA library preparation methods are not designed  
307 for RNA molecules. Early studies recovered ancient RNA virus fragments from, for example, cases of  
308 influenza from the 1918 pandemic (Basler et al., 2001; Reid, Fanning, Hultin, & Taubenberger, 1999;  
309 Taubenberger, Reid, Krafft, Bijwaard, & Fanning, 1997), and plant viruses from herbaria specimens  
310 (Fraile et al., 1997; Malmstrom, Shu, Linton, Newton, & Cook, 2007). A partial genome of an RNA virus  
311 has been recovered from caribou faeces, preserved in a sub-arctic permanent ice patch (Ng et al.,  
312 2014). Specimens of this type, essentially cryopreserved at a low constant temperature for hundreds  
313 of years, will be the exception rather than the rule, but they demonstrate that aRNA recovery is  
314 possible (Guy, 2014; Smith & Gilbert, 2018); and studies of plant remains have identified aRNA

315 preserved at more ambient temperatures ranges for 1000 years (Peyambari, Warner, Stoler, Rainer,  
316 & Roossinck, 2019).

### 317 Can we use contemporary pathogen genomes to understand ancient diseases?

318 Until ancient viral RNA from humans is recovered, as discussed above, and until we have a wider  
319 availability of Pleistocene pathogen aDNA, we can use estimates of the substitution rate in extant  
320 pathogens to date when current diversity arose using approaches such as the molecular clock or  
321 maximum likelihood phylogenetic methods (Gojobori, Moriyama, & Kimura, 1990; Jenkins, Rambaut,  
322 Pybus, & Holmes, 2002). Determining the age of human pathogens on the basis of circulating diversity  
323 alone often leads to underestimation of the age of the most recent common ancestor for many  
324 microbes (Duchêne et al., 2016), partly because rates of molecular evolution may vary over time  
325 (Bromham, 2009), and also because of the time-dependency of evolutionary rates: the apparent rate  
326 of molecular evolution slows as longer timescales are studied (Biek, Pybus, Lloyd-Smith, & Didelot,  
327 2015). There are also specific challenges and computational solutions require to study the molecular  
328 evolution of viruses (Ghafari, Simmonds, Pybus, & Katzourakis, 2021a). Including ancient DNA-derived  
329 genomes typically pushes estimates of the age of current circulating pathogen diversity further back  
330 in time (Membrebe, Suchard, Rambaut, Baele, & Lemey, 2019). Ancient genomes also provide directly  
331 dated evidence for a particular pathogen being present at a given location and time period (eg  
332 bacterial and viral pathogens in early medieval Europe (Guellil, Keller, et al., 2022; Guellil, van Dorp,  
333 et al., 2022)). Genomic evidence may also reveal evidence of pathogen lineages which have gone  
334 extinct (Mühlemann, Margaryan, et al., 2018; Mühlemann et al., 2020). Unfortunately, poor  
335 preservation of aRNA makes it harder to apply these insights to RNA virus evolution.

336 There are other technical challenges involved in analysing the evolution of RNA viruses. RNA virus  
337 genomes evolve more rapidly than DNA viruses, and thus all substitutable sites within the genome  
338 may have become saturated (mutated multiple times) within as little as hundreds of years (Aiweusakun  
339 & Katzourakis, 2016). This makes molecular clock approaches to dating the divergence of RNA viruses  
340 more difficult. However, new models of the evolution of RNA viruses which take account of and correct  
341 for the time-dependent rate phenomenon suggest that some human RNA viruses are truly ancient:  
342 hepatitis C virus may have begun to diverge into different genotypes more than 400,000 years ago,  
343 consistent with an out of Africa pattern of HCV migration (Ghafari, Simmonds, Pybus, & Katzourakis,  
344 2021b). Additionally, the sarbecoviruses (the subgenus to which SARS-CoV-1, causative agent of SARS,  
345 and SARS-CoV-2, causative agent of COVID-19, belong) may have diverged from one another over  
346 20,000 years (Ghafari et al., 2021b). As we will discuss, this is consistent with evidence of coronavirus-  
347 related selection on the human genome on certain human populations before the origins of  
348 agriculture (Souilmi et al., 2021).

349

### 350 Deep Time and Disease in Africa

351 Current evidence suggests that the evolutionary history of the hominins in Africa was strongly  
352 influenced by high levels of pathogen diversity and distribution across their habitats (V.N. Pimenoff,  
353 Houldcroft, Rifkin, & Underdown, 2018; Van Blerkom, 2003; Wolfe, Dunavan, & Diamond, 2007). The  
354 deep-time frame of the shared human-disease coevolutionary relationship in Africa strongly suggests  
355 that disease will have acted as a strong selective pressure, and the role of disease acting as a constraint  
356 on host-populations has been widely recorded in Sub-Saharan hunter-gatherer populations (Ferwerda  
357 et al., 2007; Kwiatkowski, 2005; Linz et al., 2007; Tallavaara, Eronen, & Luoto, 2018; Tanabe, Mita,  
358 Jombart, et al., 2010). This selective pressure not only constrained populations but also influenced



359 patterns of dispersal out of, and within Africa, but without ancient DNA or skeletal lesions, remains a  
360 largely invisible force (Linz et al., 2007; Tanabe, Mita, & Balloux, 2010; Wolfe et al., 2007).

361 The evolution of malaria parasite species is a key area of research when considering ancient infectious  
362 disease pressure in Africa, and is a disease for which host, mosquito vector and malaria parasite  
363 genomics can provide synergy with findings from palaeopathology. Although we await a paper which  
364 analyses all three genomes together, studies which have started to integrate palaeopathology and  
365 ancient DNA analyses have much to offer (eg (Pfeiffer, Harrington, & Lombard, 2019). There is  
366 currently only indirect evidence for the emergence of human malaria parasites during the Pleistocene,  
367 but it points to areas of important future study for aDNA researchers. By analysing the genomes of  
368 extant human and gorilla malaria, scientists have been able to estimate when these two parasites  
369 began to speciate (and thus specialise in their specific primate hosts). The divergence of human  
370 malaria (*Plasmodium falciparum*) and western lowland gorilla malaria (*Plasmodium praefalciparum*)  
371 parasites began around 40-60kya, with a population bottleneck for human *P. falciparum* around 5kya  
372 (Otto et al., 2018). It is unclear what drove this reduction in *P. falciparum* population size, which may  
373 have been related to its mosquito vector, human population sizes or behaviour, and/or environmental  
374 change, among many possibilities. *Plasmodium vivax* has also experienced population bottlenecks in  
375 humans but not in great apes, and its evolutionary history is less clear than that of *P. falciparum* (Loy  
376 et al., 2018). Studying the genomic histories of the mosquito vectors that carry human malaria  
377 parasites (such as *Anopheles gambiae* and *A. funestus*) gives us another window into the risk exposure  
378 profile of our ancestors. For example, were mosquito vectors present in a particular region; did their  
379 populations expand at a similar time to human populations in that region, or following a change to  
380 subsistence patterns (Morgan et al., 2011; B. J. White, Collins, & Besansky, 2011)? Sequencing modern,  
381 historical and ancient disease vectors such as *Anopheles* mosquitos more broadly complements our  
382 understanding of the evolution and geographic distribution of human vector-borne diseases by  
383 showing us regions with the correct vectors for diseases, even if we are unable to identify whether a  
384 specific pathogen was present (Daszak, Cunningham, & Hyatt, 2000; Humphrey, Caporale, & Brisson,  
385 2010; Reed, Smith, Hammond, Rogers, & Clayton, 2004).

386 Sedimentary ancient DNA (sedaDNA) is another source of ancient biomolecules that offers the  
387 possibility of opening a window into human disease exposure in deep time. SedaDNA can be created,  
388 especially in but not limited to archaeological contexts, via a wide range of sources such as flakes of  
389 hair and skin, bodily fluids, faeces, eggshells, feathers, leaves, pollen, seeds, and prokaryotes and  
390 viruses present within organic matter (V.N. Pimenoff et al., 2018). By analysing sedaDNA we can  
391 recover fragments of viral, parasitic and bacterial DNA (Côté et al., 2016; Madeja et al., 2009; Ng et  
392 al., 2014; Tian, Niamké, Tissot-Dupont, & Drancourt, 2016) to create a more detailed understanding  
393 of human exposure to disease during the Pleistocene. Similarly, human or hominin palaeo-faeces are  
394 a source of host, dietary, microbiome and pathogen DNA (Gilbert et al., 2008; Poinar et al., 2001; Rifkin  
395 et al., 2020). They are also important material for the study of ancient parasites, including microscopic  
396 and immunological detection methods (K. Dittmar & Steyn, 2004; Gonçalves et al., 2002; Mitchell,  
397 2013). Looking beyond teeth and skeletal material as sources of genetic material will increase our  
398 understanding of human pathogens and commensal species, as well as potentially providing a source  
399 of aDNA which is more acceptable to some groups who have beliefs or concerns around destructive  
400 sampling of human remains. Working collaboratively, equitably and ethically with local researchers  
401 and populations should be at the heart of all such research (Fleskes et al., 2022; Gibbon, 2020; Somel,  
402 Altınışık, Özer, & Ávila-Arcos, 2021).

403 It is useful to consider whether any of the Pleistocene pathogens so far identified could be considered  
404 pandemics or to have pandemic potential. Herpesviruses are extremely ancient pathogens, dating to

405 the origins of the animal kingdom (Baker, Jiang, Rixon, & Chiu, 2005; McGeoch & Gatherer, 2005;  
406 Novoa et al., 2016; Savin et al., 2010); they have low mortality rates in immune competent hosts, and  
407 would have been endemic in the earliest human populations, which is reinforced by the detection of  
408 multiple HHVs in a child at Yana RHS (Nielsen et al., 2021). This makes them unlikely candidates for an  
409 ancient pandemic pathogen. Despite earlier estimates (Comas et al., 2013) of an ancient dispersal of  
410 the *Mycobacterium tuberculosis* complex (MTBC) out of Africa, *Mycobacterium tuberculosis* now  
411 seems - on the basis of aDNA evidence and a revision of the molecular clock - to be too young to  
412 qualify as a Pleistocene pathogen, although this does make its apparently relatively recent worldwide  
413 distribution and burden of disease more striking (Bos et al., 2014). Human adenoviruses have a  
414 number of characteristics which give them pandemic potential but the risk is proposed to be greatest  
415 when a host-switch occurs or a new recombinant genotype arises (Kremer, 2021). The presence of  
416 circulating adenovirus C genotypes around the world today suggests that an adenovirus pandemic has  
417 occurred during human history, likely predating the ancient genomes recovered at Yana RHS, but we  
418 have no idea of its severity (Kremer, 2021; Nielsen et al., 2021).

419 Given the global distribution of HBV indicated by aDNA studies, this virus is a contender for a  
420 Pleistocene pandemic pathogen. We suggest, in contrast to the authors (Kocher et al., 2021), that  
421 chronic infection caused by HBV *would* have been a cause of both morbidity and mortality for late  
422 Pleistocene populations (Kocher et al., 2021). The frequency of HBV found in archaeological samples,  
423 which represent only a fraction of the original population, must have been at epidemic levels in some  
424 settings. HBV DNA is detected in blood during primary infection, or during reactivation in chronic HBV  
425 carriers (Yim & Lok, 2006); archaeological remains in which HBV DNA has been detected are therefore  
426 only a subset of the total infected population. While 90% of adults infected today clear their HBV  
427 infections over time, it is unclear if this was true in pre-modern settings and to what extent other  
428 infections and environmental exposures such as aflatoxins might exacerbate the clinical course of  
429 primary and chronic HBV infection (Chang, 2000; Fouché, Claassens, & Maboeta, 2020; Kew, 2003;  
430 Rehermann & Nascimbeni, 2005). In contemporary populations, the presence of HBV DNA in blood is  
431 associated with poor outcomes, such as progression to liver fibrosis and cirrhosis, with the greatest  
432 risk in adults over the age of 40 (Iloeje et al., 2006; Mendy et al., 2010). Perinatal transmission of HBV  
433 in particular is associated with poor health outcomes (Trépo et al., 2014) and reduced ability to clear  
434 a HBV infection (McMahon, 2009). Two possibilities therefore present themselves: either perinatal  
435 HBV infection was common or even endemic (leading to widespread chronic carriage and increased  
436 risk of poor outcomes ten-thirty years after infection (Fattovich, 2003; Indolfi et al., 2019)); or primary  
437 infection in adult life was either endemic or epidemic, with age at first infection influencing the risk of  
438 disease progression. HBV is not a human heirloom pathogen (Harper, Zuckerman, Turner, &  
439 Armelagos, 2013), based on current evidence (Kocher et al., 2021). Therefore it may have had an  
440 epidemic or pandemic phase during its emergence as a human pathogen, rather than achieving  
441 endemicity immediately. Introductions of HBV into remote communities have been known, in  
442 contemporary societies, to reach hyperendemicity within the course of one-three generations (for  
443 example, in parts of Nigeria (Forbi et al., 2010)), so an epidemic or pandemic phase may have been  
444 brief but nevertheless significant.

445 Malaria is another important candidate but the evidence for its antiquity comes from studies of the  
446 genomes of extant *Plasmodium* species and the genomes of living people. We don't yet have ancient  
447 genomes to refine molecular dating or provide direct evidence of malaria in the Pleistocene, nor do  
448 we know for certain the geographic range of different malarial parasites from palaeopathology alone.  
449 It is also unknown whether malaria was always endemic in some regions (an heirloom pathogen), or  
450 whether an epidemic period preceded the endemicity seen in parts of sub-Saharan Africa today  
451 (Harper et al., 2013; Ryan, Lippi, & Zermoglio, 2020).

452 We are at an exciting moment in our understanding of Pleistocene infectious diseases, thanks to the  
453 addition of ancient biomolecules to existing data from palaeopathology. Further ancient pathogen  
454 genomes from the Pleistocene and early Holocene will enrich our understanding of infectious disease  
455 in the deep past, and help us to understand the evolution of pandemics on a range of timescales. This  
456 includes the evolution of the pathogen over time, and the change in the impact of the pathogen on  
457 populations because of host factors (Valtueña et al., 2022).

#### 458 Indirect evidence of ancient epidemics and pandemics from the human genome

459 Where ancient pathogen biomolecules or skeletal evidence have not survived, we can also look to  
460 human and ancient hominin genomes for evidence of intense selection pressure due to infectious  
461 diseases in prehistory, including the portions of our genomes which derive from other hominin  
462 ancestors through introgression, allowing us to further unpick the complex history of human disease  
463 dynamics in the past.

464 Living people with predominantly non-African recent ancestry derive approximately 1-2% of their  
465 genome from a Neanderthal ancestor; Denisovan ancestry is found at a frequency of <1% in the  
466 genomes of people from south and south-east Asia, reaching 5% in people of Papuan ancestry in New  
467 Guinea (Choin et al., 2021; Sankararaman, Mallick, Patterson, & Reich, 2016; Vespasiani, Jacobs,  
468 Brucato, Cox, & Romero, 2020). This introgressed DNA has consequences for human health. We and  
469 others (Barreiro & Quintana-Murci, 2020; Houldcroft & Underdown, 2016; Kerner, Patin, & Quintana-  
470 Murci, 2021) have previously reviewed the role of adaptively introgressed Neanderthal and Denisovan  
471 loci, discussing both specific loci with functions in immunity to pathogens, and broader trends such as  
472 an over-representation of Neanderthal-like sites involved in immune functions in the genomes of  
473 living Asian populations (Khrameeva et al., 2014). Studies comparing electronic medical records and  
474 human genotype data have uncovered further associations between Neanderthal variants and their  
475 impacts on immunity and auto-immunity in different human populations (Dannemann, 2021; Simonti  
476 et al., 2016). Subsequent studies have shown that in Pacific populations, Denisovan ancestry is  
477 enriched in genetic pathways associated with protection from infection and other immune functions,  
478 with consequences for gene expression in relevant immune cell subtypes (Vespasiani et al., 2020;  
479 Zammit et al., 2019). We cannot, however, tell whether these introgressed Neanderthals and  
480 Denisovans variants are providing protection from the same pathogens, or types of pathogens, in  
481 living populations as in our ancestors (anatomically modern, Neanderthal or Denisovan).

482 We are able to study proteins which interact with pathogens more broadly, increasing our ability to  
483 study the host genomic footprint of unknown infections which have been selective agents in human  
484 evolution. This set of proteins can then be cross-checked against regions of the human genome which  
485 have been inherited from other hominins, i.e. Neanderthals and Denisovans. This approach has been  
486 applied to viral pathogens to identify virus-interacting proteins (VIPs) within the human genome. VIPs  
487 which give protection from RNA virus infection and disease are enriched for Neanderthal ancestry  
488 (Enard & Petrov, 2018). This suggests that Pleistocene hominins were under immunological and  
489 evolutionary pressure from RNA virus infections, and that some of these variants have evolutionary  
490 advantages for living humans, following introgression from Neanderthals. What is much harder to  
491 discern is which specific RNA viruses drove the evolution of human and Neanderthal immunity genes.

492 We can also examine the effect of Neanderthal and Denisovan ancestry on susceptibility to specific  
493 infections when large, genotyped patient cohorts exist, as is the case for SARS-CoV-2. Analysis of  
494 human genetic variants which modulate the risk of severe COVID-19 have identified Neanderthal  
495 variants which both protect against and predispose infected individuals to more severe SARS-CoV-2  
496 symptoms. A Neanderthal isoform of 2'-5' oligoadenylate synthetase 1 (*OAS1*) found in some

497 Europeans is associated with protection from infection, hospitalisation, the need for mechanical  
498 ventilation and death (Zhou et al., 2021). A further region on chromosome 12 has been found to be of  
499 Neanderthal origin and is associated with reduced risk of severe SARS-CoV-2 outcomes (Zeberg &  
500 Pääbo, 2021). In contrast, variants on chromosome 3 which are associated with increased risk of  
501 severe SARS-CoV-2 symptoms are also of likely Neanderthal origin, and are found at high (50%)  
502 frequency in some Asian populations, and in 16% of Europeans (Zeberg & Pääbo, 2020). There has  
503 been considerable interest in why humanity's admixed ancestry may contribute to the range of  
504 symptom severity caused by this coronavirus, as estimates of the age of circulating Sarbecovirus  
505 diversity are still tens of thousands of years too young to suggest these were Neanderthal or  
506 Denisovan pathogens (Ghafari et al., 2021b). The Neanderthal variants identified by these authors  
507 have known (Kristiansen et al., 2010; Sams et al., 2016) or likely (Zeberg & Pääbo, 2020) pleiotropic  
508 roles in response to other infections, or to differing roles within the human immune system, making  
509 it difficult to directly link these variants to a specific ancient coronavirus epidemic.

510 There is evidence, however, of an ancient coronavirus epidemic preserved in the genomes of today's  
511 East Asian populations. Souilmi and colleagues studied VIPs which are involved in the response to  
512 coronavirus infection, and then further analysed whether these coronavirus interacting proteins had  
513 evidence of being under selective pressure (Souilmi et al., 2021). Across 26 human populations,  
514 multiple East Asian populations had evidence of selective sweeps at coronavirus interacting protein  
515 loci, but a similar pattern was not seen in the genomes of other populations, including those from  
516 South Asia. The most likely explanation for this trend is that a coronavirus or a virus which interacts  
517 with a highly similar set of VIPs was a strong selective pressure on the ancestors of people living in  
518 East Asia, estimated to have taken place 25kya (870 generations ago). This may even have been a  
519 series of different coronaviruses or repeated coronavirus epidemics. These methods are unable to  
520 reliably detect selective sweeps taking place before 30kya; it is therefore possible that earlier selective  
521 sweeps in response to viral outbreaks have also impacted the genomes of human, Neanderthals and  
522 Denisovans, but we do not currently have the tools to detect them (Souilmi et al., 2021).

523 Human genomic diversity within Africa can help us to understand the pathogen selection pressures  
524 experienced by modern humans both before and after populations began to migrate out of Africa.  
525 Malaria (particularly malaria caused by *P. falciparum*) is a significant selection pressure in Africa  
526 (Kwiatkowski, 2005), because most of the burden of mortality is experienced by children, and serious  
527 childhood infections have implications for the development of an effective adult immune system and  
528 may lead to lifelong health consequences, for example reduced growth (Halcrow, Warren, Kushnick,  
529 & Nowell, 2020). It may even be the most significant selection pressure humans experienced during  
530 our evolution (Kwiatkowski, 2005). Comparing the frequencies of human genetic mutations which are  
531 protective against malaria in different African groups points towards a Pleistocene origin for the most  
532 famous host genetic response to malaria: the sickle cell trait. Research by Laval and colleagues  
533 suggests that the HBB (beta-globin)  $\beta$ S sickle cell-causing mutation arose in the ancestor of African  
534 agriculturalist groups 22kya and subsequently spread to rainforest hunter gatherers during the  
535 Holocene (Laval et al., 2019). This would be compatible with the estimates of the timing of the  
536 emergence of *P. falciparum* as a human-specific pathogen discussed above (Otto et al., 2018). As more  
537 African ancient genomes become available, dating the timing and spread of these mutations across  
538 the continent will become easier. It is crucial that this aDNA is not only ethically collected and fully  
539 integrates and acknowledges the expertise of local researchers and community stakeholders (and not  
540 just as names buried in the middle of dozens of authors on a paper), but that it is also properly plugged  
541 into models of human-disease coevolution.

542 The way we respond immunologically to infectious diseases may also have changed since the onset of  
543 the Neolithic (Domínguez-Andrés et al., 2021). Over time, we might hypothesise that both the specific  
544 infectious diseases we have been exposed to, and the *intensity* of that exposure, has changed. We  
545 have some evidence that during human evolution, the same types of pathogens have elicited different  
546 immune responses from modern humans as we have evolved towards traits such as immune tolerance  
547 or increased inflammation towards specific infections compared to our ancestors (Domínguez-Andrés  
548 et al., 2021). Domínguez-Andrés and colleagues characterised the cytokine response to infectious  
549 stimuli of hundreds of individuals of European ancestry, and established genotype-phenotype  
550 correlations between particular genetic loci and the cytokines produced in response to stimuli from  
551 different kinds of pathogen. This could then be used to predict whether the response of extinct  
552 individuals who shared these loci was skewed towards inflammation or tolerance to that type of  
553 pathogen. They found changes in the predicted immune responses of individuals who lived before and  
554 after the Neolithic. After the onset of the Neolithic, individuals were predicted to have more tolerant  
555 immune responses (decreased inflammatory cytokine production) towards intracellular pathogens of  
556 likely zoonotic origin (*Coxiella* and TB); but to have pro-inflammatory responses, associated with a  
557 robust immune response, towards extra-cellular infections such as the fungal pathogen *Candida*  
558 *albicans* and bacterium *Staphylococcus aureus*. The authors interpret this data as showing that  
559 “human immune responses need to adapt to a new landscape of infectious agents depending on the  
560 geographical location and types of microbe encountered” (Domínguez-Andrés et al., 2021). Further  
561 analysis of predicted genetic susceptibility to infectious diseases suggests that selection pressure from  
562 infectious diseases became more intense during the Bronze Age (Kerner et al., 2022). This suggests  
563 that dividing diseases into pre and post farming or pre and post industrialisation is no longer sensible,  
564 because we are not comparing like with like immunologically: we have evolved, our pathogens have  
565 evolved, *and* specific infectious disease packages in distinct geographical regions have changed, on a  
566 variety of time scales (Kerner et al., 2022).

#### 567 What can ancient infectious diseases teach us about emerging infectious diseases?

568 The SARS-CoV-2 global pandemic that began in 2019, and at the time of writing continues, is a salutary  
569 reminder of the power that infectious disease can have on humanity. A small piece of genetic code  
570 surrounded by a protein coat effectively shut down the planet for two years. While the  
571 interconnectivity of life in the 21st century was unimaginable even 75 years ago, let alone 75,000, the  
572 impact of a modern epidemic like SARS-CoV-2 can be measured in relatively small degrees of  
573 difference, not types, from those during the Pleistocene. The impact of SARS-CoV-2 at the continental  
574 level is arguably less than the impact of, for example, a haemorrhagic fever on a Pleistocene human  
575 group numbering a few hundred. Infectious disease matters now, infectious disease mattered then.

576 2022 has seen the increased global spread of mpox virus (MPXV) outside of endemic regions of west  
577 and central Africa (Zumla et al., 2022). MPXV is an orthopoxvirus related to variola virus (VARV), the  
578 causative agent of smallpox; VARV aDNA can give us valuable insights in to the long-term evolution  
579 of human poxviruses as we witness the apparent emergence of a poxviruses with the capacity to  
580 become globally endemic (Sklenovská & Van Ranst, 2018).

581 Genetic analysis of historical preserved African striped squirrel museum specimens dating back to  
582 1899 has already pushed back the date of the earliest identified MPXV (Tiee, Harrigan, Thomassen, &  
583 Smith, 2018). Similarly, sequencing of historical samples from museums and pathological specimens  
584 with characteristic lesions or associated contextual data has allowed multiple VARV genomes from  
585 smallpox cases to be successfully sequenced, covering a period of ~400 years (Duggan et al., 2016;  
586 Ferrari et al., 2020; Porter, Duggan, Poinar, & Holmes, 2017). The most recent common ancestor of  
587 these VARV genomes is estimated to date to the late 16<sup>th</sup> to early 17<sup>th</sup> centuries (Duggan et al., 2016;

588 Porter et al., 2017). However, metagenomic sequencing of human remains dating to the Northern  
589 European Viking era revealed the presence of an unknown, extinct VARV clade (Mühlemann et al.,  
590 2020). The Viking VARV and smallpox-associated VARV clades are estimated to have diverged around  
591 1700 years ago. This Viking VARV clade experienced different gene inactivating mutations to the  
592 smallpox-associated clade, and it is unknown whether it caused the same human disease as later VARV  
593 genomes which caused smallpox. We do not know why or when this Viking clade went extinct, and  
594 whether there was competition between VARV clades. Studies such as this should reinforce the need  
595 for caution when trying to predict the evolution of MPXV as it spreads globally in new populations,  
596 particularly where virulence is concerned. We do not argue, based on current evidence, that either  
597 MPXV or VARV were human pathogens in the Pleistocene (Duggan et al., 2016; Forni, Molteni,  
598 Cagliani, & Sironi, 2022; Mühlemann et al., 2020; Patrono et al., 2020), but they are important  
599 examples of bringing together historical and ancient pathogen genomes to better understand current  
600 disease outbreaks or infectious diseases with pandemic potential.

601 Taken together, these studies show that we need to consider the history and evolution of epidemics  
602 and pandemics holistically: pathogen, person, place. Where specific identification and even genomic  
603 analysis of a pathogen is possible, this provides complementary data to studies of endemic, epidemic  
604 or pandemic disease which may previously have only been identifiable through changes in mortuary  
605 practices or burials, non-specific skeletal lesions, or syndromic descriptions (Bianucci, Araujo, Pusch,  
606 & Nerlich, 2015; C. A. Roberts, Davies, Blevins, & Stone, 2022; C. Roberts, Scollard, & Fava, 2022;  
607 Schuenemann, Kumar Lankapalli, et al., 2018). By thinking about the person affected, this can include  
608 a genomic and bioarchaeological 'biosketch' of the person (Barquera et al., 2020; Guellil, van Dorp, et  
609 al., 2022; Guichón et al., 2015; Guzmán-Solís et al., 2021), which takes into account known genetic risk  
610 factors for infectious diseases, co-infections and 'health' writ large. 'Place' encapsulates not just  
611 geographic location, but also environment, climate conditions and biome (Crowl, Crist, Parmenter,  
612 Belovsky, & Lugo, 2008; Gottdenker, Streicker, Faust, & Carroll, 2014). Models of this type have been  
613 important in understanding infant and child health, disability and mortality in prehistory (Halcrow et  
614 al., 2020), or the collapse of particular societies (Wright & White, 1996), and aDNA will add further  
615 dimensions to our understanding. It is almost impossible to fully understand the impact of infectious  
616 diseases in the Pleistocene without a clearer picture of the variation and interaction of these three  
617 factors. Ancient and modern DNA studies have also made evident that it is unreasonable and lazy to  
618 think that epidemics (or even pandemics) are an exclusive feature of the Neolithic onwards. Many  
619 independent lines of evidence from host and pathogen suggest they were experienced by our hunter-  
620 gatherer ancestors too (Houldcroft & Underdown, 2016; Souilmi et al., 2021). The selective pressures  
621 faced by humans in the past were diverse and largely immediate (Kwiatkowski, 2005; Shlaes &  
622 Bradford, 2018). The continued obsession within the field of trying to correlate millennial scale  
623 patterns to the lives of our ancestors is reductive and removes nuance from the equation. Rainfall  
624 patterns changing over a period of ten thousand years would have been of far less importance than,  
625 for example, tick borne diseases or a festering cut in the everyday lives of our Pleistocene ancestors.  
626 The demographic impact of this type of stochastic infection would have been profound but, because  
627 they lack a palaeopathological signature, are invisible and thus excluded from explanations of the past.

628 Another important aspect of disease ecology that needs to be considered when understanding ancient  
629 and emerging epidemics is the impact of age at primary infection. Multiple studies have shown that  
630 SARS-CoV-2 infection outcomes, morbidity and mortality are strongly negatively affected by age  
631 (O'Driscoll et al., 2021). This is not a unique aspect of SARS-CoV-2 immunobiology: for many diseases,  
632 bacterial and viral, there is a 'J' shaped relationship between the age at primary infection and the  
633 mortality rate. Infection is least serious in children between the ages of approximately 4-10 years of  
634 age (Glynn & Moss, 2020), with typically higher mortality in infants, and increasing mortality rates in

635 older children, adolescents and adults. This is not immunosenescence, the waning of immunity with  
636 older age (Aw, Silva, & Palmer, 2007), but an apparently wider pattern of increasing disease severity  
637 with age following the onset of puberty. Delaying the age at primary infection of even ancient  
638 pathogens such as Epstein-Barr virus (Fourcade et al., 2017) and varicella-zoster virus (Malavige et al.,  
639 2008) may lead to mild childhood infections becoming severe diseases of adulthood (Glynn & Moss,  
640 2020). Vaccination is a powerful tool to ameliorate the disease burden of infections, diminishing  
641 disease risk in all age groups and protecting in particular the very youngest and the very oldest.  
642 Developing effective vaccines against pathogens such as respiratory syncytial virus would be  
643 particularly appealing for this very reason (Shi et al., 2021, 2017), but it is important that strategies for  
644 vaccinating the elderly do not delay infection to an even more vulnerable age group (Malloy, Falsey,  
645 & Ruckwardt, 2013).

646 Multiple studies of the genetics underlying the human immune system suggest that human  
647 populations have experienced multiple episodes of selection pressure from infectious diseases  
648 (Benton et al., 2021; Deschamps et al., 2016; Fumagalli et al., 2011; Klunk et al., 2022), some intense  
649 enough to leave putative signals of selection tens of thousands of years later (Souilmi et al., 2021).  
650 These signals of intense selection should remind us of the vital importance of technologies like  
651 vaccination and improved hygiene, which we hope will spare us the mortality associated with survival  
652 of the fittest (Galvani & Slatkin, 2003; Immel et al., 2021; Karlsson, Kwiatkowski, & Sabeti, 2014;  
653 Laayouni et al., 2014).

#### 654 Conclusions

655 Ancient DNA and the study of human and other hominin genomes has profoundly challenged our  
656 understanding of infectious disease before the genesis of agriculture and pastoralism. While many  
657 pathogens may be older than we think (Ghafari et al., 2021b), direct dating of ancient pathogen  
658 genomes has also revealed surprises, such as significant question marks over the ages of *M.*  
659 *tuberculosis* and *M. leprae* as human pathogens (Gagneux, 2018; Schuenemann, Avanzi, et al., 2018)  
660 . Some questions can only be answered by integrating modern and ancient pathogen genomes into a  
661 shared analytical framework. Finally, studying ancient and modern human genomes has shown the  
662 importance of including immune system evolution in our models of ancient infectious diseases,  
663 considering whether the balance between inflammation and tolerance of certain pathogens has  
664 changed over time, as well as the package of diseases.

665 Infectious disease is, and has always been, a fellow traveller of humanity. Yet its near complete  
666 dismissal from any pre-Neolithic setting has massively reduced our ability to understand human  
667 evolution during the Pleistocene. Ultimately, without proper focus on the triumvirate of pathogen,  
668 person and place, and the proper application of ancient and modern disease data we cannot properly  
669 understand humans. To slightly paraphrase Hippocrates, *“It is more important to know people suffered*  
670 *from disease than to know what sort of disease people suffered from.”*

671

#### 672 **DATA AVAILABILITY STATEMENT**

673 **Data sharing is not applicable to this article as no new data were created or analysed in this study.**

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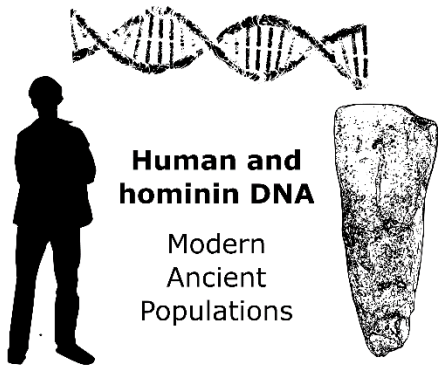
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#### 1371 **Figure legends**

1372 **Figure 1:** Sources of evidence for studying infectious diseases of humans and other Pleistocene  
1373 hominins. From top to bottom: DNA analysis of humans and hominins, modern and ancient, including  
1374 the analysis of genomes at a population scale; palaeopathology, such as osteolytic skeletal lesions  
1375 resulting from infection, and the study of mummified tissues or palaeo-faeces; and pathogen  
1376 genomes, including bacteria, viruses, parasites and fungi encompassing the diversity of currently  
1377 circulating lineages and ancient genomes.

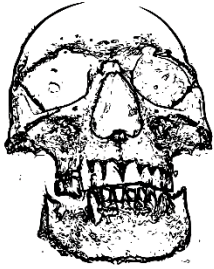
#### 1378 **Figure 1**

1379



**Human and hominin DNA**

Modern  
Ancient  
Populations



**Palaeopathology**

Skeletal lesions  
Mummified tissues  
Palaeo-faeces



**Pathogen genomes**

Bacteria  
Viruses  
Parasites  
Fungi



**Infectious disease in the Pleistocene**