

1 **Study of mirtazapine for agitated behaviours in dementia (SYMBAD): a randomised,**
2 **double-blind, placebo-controlled trial**

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45 **Background:** Agitation is common in people with dementia and impacts negatively on the
46 quality of life of both people with dementia and carers. Non-drug patient-centred care is the
47 first-line treatment, but there is a need for other treatment when this fails. Current evidence
48 is sparse on safer and effective alternatives to antipsychotics. We assessed efficacy and
49 safety of mirtazapine, an antidepressant prescribed for agitation in dementia.

50
51 **Methods:** Parallel-group, double-blind, placebo-controlled trial, the Study of Mirtazapine for
52 Agitated Behaviours in Dementia trial (SYMBAD) in 26 UK centres. Participants had
53 probable or possible Alzheimer's disease, agitation unresponsive to non-drug treatment, and
54 a Cohen-Mansfield Agitation Inventory (CMAI) score ≥ 45 . They were randomly allocated 1:1
55 to mirtazapine titrated to 45 mg or placebo. The primary outcome was reduction in CMAI
56 score at 12 weeks. ISRCTN17411897, ClinicalTrials.gov NCT03031184.

57
58 **Findings:** Between January 2017 and February 2020, 204 participants were recruited and
59 randomised. Mean CMAI scores at 12 weeks were not significantly different between
60 participants allocated to receive mirtazapine and placebo (adjusted mean difference -1.74,
61 95% CI -7.17 to 3.69, $p=0.53$). The number of controls with adverse events (65/102 [64%])
62 was similar to that in the mirtazapine group (67/102, 66%). However, there were more
63 deaths in the mirtazapine group ($n=7$) by week 16 than in the control group ($n=1$), with post-
64 hoc analysis suggesting this was of marginal statistical significance ($p=0.065$).

65
66 **Interpretation:** This trial found no benefit of mirtazapine compared with placebo and we
67 observed a potentially higher mortality with use of mirtazapine. The data from this study do
68 not support using mirtazapine as a treatment for agitation in dementia.

69
70 **Funding:** UK National Institute of Health Research Health Technology Assessment
71 Programme.

72 **Introduction**

73

74 Dementia is one of the most common and serious public health issues of our time.¹ Over 46
75 million people have dementia worldwide, a figure set to double in the next 20 years.² The
76 commonest cause of dementia is Alzheimer’s disease, it causes irreversible and progressive
77 decline in memory, reasoning, communication skills and the ability to carry out daily
78 activities. Alongside this cognitive and functional decline, individuals may develop
79 neuropsychiatric symptoms (NPS) such as agitation, sleep disturbance, depression, and
80 psychosis.³ These are common, occurring in up to 90% of people with dementia, with
81 agitation, one of the most persistent symptoms.⁴ Agitation is defined as inappropriate verbal,
82 vocal or motor activity that is not thought to be caused by unmet need; it encompasses
83 physical and verbal aggression and is particularly problematic.⁵ It affects nearly half of
84 people with Alzheimer’s disease over a month⁶ and 80% of those with clinically significant
85 symptoms will have them six months later.⁷ Agitation is associated with deteriorating
86 relationships with family and professional carers, care home admission, increased costs of
87 care, carer burden and burnout, and decreased quality of life.^{5,7,8}

88

89 Agitation in dementia is therefore a legitimate target for therapeutic intervention, but it has a
90 number of possible causes, including: pain, physical or psychological distress, misperception
91 of threat (for example during personal care), and response to hallucinations or delusions.
92 Using non-pharmacological interventions that investigate aetiology and provide a tailored
93 response as a first-line treatment for agitation in dementia, such as the DICE approach
94 (Describe the problem, Investigate the cause, Create a plan, Evaluate its effectiveness), is
95 recommended as best practice.^{1,9} However, given the clinical significance of agitation, there
96 is a need for second-line treatments when no underlying causes are found or when
97 correction of these has not resulted in improvement. The mainstay of drug treatment is
98 antipsychotic medication. These drugs however, have low efficacy, with the American
99 Psychiatric Association guideline group reporting they “demonstrate minimal or no efficacy
100 with strong placebo effects”.¹⁰ They also cause particular harms in those with dementia,
101 including excess dementia-specific mortality. In 2009, in the UK there were an estimated
102 1,800 deaths and 1,620 cerebrovascular adverse events attributable to the use of
103 antipsychotics in dementia.¹¹ While their rate of prescription to people with dementia has
104 decreased,¹² they are still commonly used; such treatment is largely unlicensed. In most
105 countries, few or no treatments have regulatory approval for such use. In the UK, the only
106 drugs with a relevant license are risperidone and haloperidol and these are highly restrictive.
107 Risperidone is indicated for “short-term treatment (up to six weeks) of persistent aggression
108 in patients with moderate to severe Alzheimer’s dementia unresponsive to non-

109 pharmacological approaches and when there is a risk of harm to self or others” and
110 haloperidol for “persistent aggression and psychotic symptoms in moderate to severe
111 Alzheimer's dementia and vascular dementia [when non-pharmacological treatment is
112 ineffective and there is a risk of harm to self or others]”.

113

114 Other drug treatments considered for agitation in dementia, such as the acetylcholinesterase
115 donepezil¹³ and the NMDA inhibitor memantine¹⁴ they have been tested in randomised
116 controlled trials and not demonstrated efficacy. In a large multicentre trial, the anticonvulsant
117 sodium valproate did not delay or prevent NPS in dementia.¹⁵ Benzodiazepines are used
118 short term clinically, but there are no trials and adverse effects such as falls are common
119 and of concern.¹⁶ Antidepressants have also been investigated as an alternative to
120 antipsychotics. The CitAD trial of citalopram for agitated behaviours provided evidence that a
121 target dose of citalopram 30mg per day had a small positive effect on agitation in dementia¹⁷
122 in those who were less agitated and less cognitively impaired.¹⁸ Adverse cardiac and
123 cognitive effects identified in the trial limit its clinical use. Antidepressants are not mentioned
124 as a potential treatment for agitation in the English National Institute for Health and Care
125 Excellence (NICE) guideline on dementia assessment and management,¹⁹ but they are
126 increasingly used as a treatment of agitation in dementia. This substitution strategy to avoid
127 antipsychotic prescription was reported in a large US nursing homes study where mood
128 stabilisers such as sodium valproate, carbamazepine and particularly gabapentin
129 prescription rates increased as antipsychotics decreased.^{20,21} Such prescribing of
130 antidepressants is part of the common polypharmacy seen in people with dementia in the
131 community.²²

132

133 Mirtazapine, a noradrenergic and specific serotonergic antidepressant, is widely used in
134 older people; from 2009-2014, in a study of 4.8 million antidepressant initiations in Europe, it
135 was the antidepressant most commonly prescribed for older people and those with
136 dementia.²³ We examined it as a treatment for depression in dementia in the HTA-SADD trial
137 and found no evidence of efficacy for depression.²⁴ However in secondary analyses of this
138 population defined with a depressive illness and probable or possible Alzheimer's dementia,
139 there was a possible positive effect of mirtazapine on decreasing NPS (Neuropsychiatric
140 Inventory (NPI) score at 13 weeks). For those with above median raw NPI scores there was
141 a 7.1 point difference in NPI score (95%CI -0.50 to 14.68; p=0.067) between mirtazapine
142 and placebo and 13.2 between mirtazapine and sertraline (95%CI 4.47 to 21.95; p=0.003).²⁵
143 Mirtazapine is a centrally active presynaptic α_2 -antagonist, increasing central noradrenergic
144 and serotonergic neurotransmission via 5-HT₁ receptors and the histamine H₁-antagonistic
145 activity of mirtazapine is associated with sedative properties, suggesting possible

146 mechanisms for action in NPS. It has less anticholinergic activity than many other
147 antidepressants; unlike citalopram, and at therapeutic doses, it has been reported to have
148 minimal effects on the cardiovascular system, suggesting that it may not have the safety
149 concerns associated with other compounds.

150

151 In this study we aimed to establish the clinical effectiveness and safety profile of mirtazapine
152 in reducing agitation in Alzheimer's disease relative to placebo.

153

154

155 **Methods**

156

157 **Trial design and participants**

158 We undertook a multicentre, parallel-group, double blind, placebo-controlled, randomised
159 trial of participants recruited from 26 UK National Health Service clinical centres with six and
160 12-week follow-up, with the 12-week data the primary outcome. Assessments were carried
161 out in-person by research workers in participants' own homes or other agreed setting, except
162 for the very last individuals followed up in the COVID-19 lockdown who were assessed by
163 telephone. Inclusion criteria mirrored clinical practice. Eligible participants met National
164 Institute of Neurological and Communicative Diseases and Stroke (NINCDS) – Alzheimer's
165 Disease and Related Disorders Association (ADRDA) criteria for probable or possible
166 Alzheimer's disease²⁶ (ascertained by referring psychiatrist) and co-existing agitation defined
167 as a Cohen Mansfield Agitation Inventory²⁷ (CMAI) score of 45 or more. This was chosen as
168 the most commonly used instrument in trials for agitation in dementia, with robust
169 psychometric properties including responsiveness to change. We also required evidence
170 that the aetiology of agitated behaviours had been investigated and not responded to non-
171 pharmacological management according to the Alzheimer's Society/Department of Health
172 algorithm.²⁸ Participants were ineligible for inclusion if they were considered clinically too
173 critically unwell for participation (e.g., suicide risk), had absolute contraindications to trial
174 drugs (hypersensitivity to mirtazapine, hypersensitivity to carbamazepine or structurally
175 related drugs, second degree atrioventricular block, use of monoamine oxidase inhibitors, or
176 a history of bone marrow depression or hepatic porphyria), were already taking
177 antidepressants or antipsychotics, were in another Investigational Medicinal Product trial,
178 women under the age of 55 of childbearing potential, or had no family or professional carer
179 informant available. Further safety data were collected at 16 weeks. The study was
180 approved by the Hampshire A South Central Research Ethics Committee (15/SC/0606), and
181 the MHRA. It received local NHS Trust approvals and consent or assent (with legal

182 representative consent) was obtained from all participants (see trial protocol for more
183 details). This study is registered, ISRCTN17411897, ClinicalTrials.gov NCT03031184.

184

185 **Randomisation and masking**

186 After baseline assessment and consent, participants were allocated in a 1:1 ratio to receive
187 placebo or mirtazapine, together with treatment as usual. Random allocation was block
188 stratified by centre and type of residence (care home versus own household) with random
189 block lengths of two or four. The Norwich Clinical Trials Unit generated the randomisation
190 sequence using ASP.net software. The trial was double-blind, with drug and placebo
191 identically encapsulated. Referring clinicians, participants, the trial management team, and
192 the research workers completing baseline and follow-up assessments were masked to group
193 allocation.

194

195 **Procedures**

196 The target dose was 45 mg per day for mirtazapine. Participants could take up to three
197 capsules orally once a day (up to three doses of mirtazapine 15 mg or matched placebo).
198 Participants started on one capsule, increasing the dose to two at two weeks, and three at
199 four weeks. The research worker telephoned carers at weeks two and four and completed
200 questionnaires concerning adverse effects and adherence. Those with dose-limiting issues,
201 such as side-effects, either remained on the current dose or stopped the study drug. The
202 remaining participants moved to the next dose level. Thereafter, clinicians were free to
203 adjust the dose.

204

205 The primary outcome was clinical effectiveness of mirtazapine in terms of reduction of
206 agitation, measured by CMAI score at 12 weeks. Secondary outcomes (for references see
207 Supplementary Information) were: CMAI score at six weeks; disease-specific health related
208 quality of life (DEMQOL and DEMQOL-proxy); generic health-related quality of life (EQ-5D-
209 5L assessed by the carer for the participant and themselves); neuropsychiatric symptoms
210 (NPI); carer mental health (General Health Questionnaire, GHQ-12); carer burden (Zarit
211 Carer Burden Inventory, CBI); cognition (standardised mini-mental state examination,
212 sMMSE). Safety outcomes included death, withdrawal, drug adherence, adverse events, and
213 Columbia Suicide Severity Rating Scale (C-SSRS) score. The cost-effectiveness of the
214 intervention, using data collected with the Client Service Receipt Inventory (CSRI), will be
215 reported elsewhere. All outcomes were assessed at six and 12 weeks. Adverse events were
216 recorded up to four weeks after the last dose of medication. Percentage compliance was
217 estimated as the proportion of tablets taken compared with number of tablets returned at six

218 or 12 week visits. Carer telephone interviews including the CMAI were completed at 26 and
219 52 weeks and these long-term follow up data will be reported elsewhere.

220

221 **Protocol changes**

222 SYMBAD was designed as a three-arm trial, including carbamazepine and mirtazapine arms
223 with randomisation on a 1:1:1 basis. Due to slower than projected recruitment, the trial
224 protocol was reviewed with the funder, and through consultation with the Data Monitoring
225 Committee and Trial Steering Group. The Data Monitoring Committee considered efficacy
226 data (the primary endpoint, CMAI at 12 weeks), safety data (frequency of adverse events
227 and serious adverse events on an individual basis) and treatment compliance (drop outs and
228 compliance with the prescribed amount of treatment medication). This was done subgroup-
229 blind but with knowledge of placebo arm identity. They recommended discontinuation of the
230 carbamazepine arm on the basis of efficacy and safety data. It was closed in August 2018
231 after 40 randomisations to it. The data from this arm are not reported here but will be
232 presented in our final funder report which will be published as an NIHR-HTA monograph.

233

234 **Statistical analysis**

235 We aimed for an overall sample of 222 (randomised 1:1) to provide 80% power using two-
236 sided 5% significance tests to detect a drug versus placebo mean difference in CMAI score
237 at 12 weeks of six points, assuming attrition of less than 10%. Assuming a common standard
238 deviation of 15 points, this equates to a Cohen's Effect Size of 0.4 or a 30% decrease in
239 CMAI from placebo to active drug, both of which we defined as clinically significant.

240

241 The trial Steering and the Data Monitoring Committees finalised and approved the statistical
242 analysis plan. Statistical significance was set at a two-sided 5% for all analyses. Analyses
243 were based on intention-to-treat (all participants were analysed according to the group to
244 which they were randomised, irrespective of the treatment or dose received). The primary
245 outcome (CMAI at 12 weeks) was analysed using a general linear regression model
246 including baseline CMAI score as a covariate, place of residence as a fixed effect, and
247 recruitment centre as a random effect. Treatment group was added as a fixed effect, with
248 two levels (placebo versus mirtazapine). Model assumptions were checked by use of
249 diagnostic plots. The primary analysis used complete cases (excluding those with missing
250 values). Imputation was done under the MAR assumption. A sensitivity analysis imputed
251 missing values using multiple imputation with chained equations approach (the *mi impute*
252 *chained* command in Stata). Analysis of secondary outcomes followed an analogous
253 approach using general linear regression models including baseline outcome, stratification

254 variables, and treatment group. We completed a post hoc analysis comparing death rates in
255 the groups using Fisher's exact test. All analyses were completed with Stata version 16.1.

256

257 **Role of the funding source**

258 The funder (NIHR) and the sponsor (University of Sussex) had no role in study design, data
259 collection, data analysis, data interpretation, or writing of the report. All authors had full
260 access to all the study data and had final responsibility for the decision to submit for
261 publication.

262

263

264 **Results**

265

266 Figure 1 shows the trial profile. We recruited participants between January 2017 and
267 February 2020 and completed follow up interviews by May 2020. Table 1 shows baseline
268 demographic and clinical characteristics of participants and carers. Groups were similar at
269 baseline except for sex with more females randomised to mirtazapine (n=77, 75%) than
270 placebo (n=60, 58%). In light of this difference, sex was included in an additional model as a
271 sensitivity analysis. By week 12, similar numbers remained in the mirtazapine (80/102, 78%)
272 and the placebo group (89/102, 87%).

273

274 Severity of agitation decreased in both groups at six weeks by around 10 points and
275 continued to be lower than baseline scores at 12 weeks (Figure 2), this change between
276 baseline and six and 12 week outcomes is illustrated by the separation in 95% confidence
277 limits. At no point was the unadjusted or adjusted CMAI difference between the groups
278 statistically significant (Table 2). Table 2 presents the results from the general linear mixed
279 modelling for the primary outcome. There was no evidence that mirtazapine improved
280 agitation relative to placebo. The estimated adjusted effect on the CMAI was -1.74 (95% CI:
281 -7.17 to 3.69 p=0.530). This changed little with the addition of sex into the model. Table 2
282 shows the effect of mirtazapine compared with placebo on secondary outcomes in
283 participants and Table 3 in carers. Again, there was no evidence of difference between the
284 groups, apart from: a single statistically significant difference in the Zarit CBI at 12 weeks
285 which indicated higher carer burden in the mirtazapine group (adjusted difference 5.01
286 points, 95%CI 0.80 to 9.23, p=0.020); weaker evidence at six weeks (3.76, -0.03 to 7.83),
287 p=0.069) in the same variable; and a weak association between higher proxy-rated ED-5D
288 quality of life in the placebo group at six weeks (-0.07, -0.13 to 0.00, p=0.061) that was not
289 maintained at 12 weeks (-0.01, -0.08 to 0.07, p=0.822).

290

291 The mean overall dosage (including participants who withdrew from medication) was 30.5
292 mg per day for mirtazapine and compliance with study medication did not differ between
293 groups (Table 4). The use of permitted “rescue medication” (lorazepam 0.5mg or risperidone
294 0.5-1mg) was similar in both groups with 10 doses prescribed to 9 individuals in the
295 mirtazapine group and 18 to 9 in the placebo group.

296
297 Adverse events and severe adverse events were ascertained to 16 weeks or four weeks
298 after last dose of IMP; deaths were recorded up to 16 weeks after randomisation. Examining
299 adverse events by week 16, there were 192 in 102 participants in the placebo group, of
300 whom 65 (64%) individuals had at least one adverse event, compared with 225 events in
301 102 participants in the mirtazapine group of whom 67 (66%) had at least one. There were 35
302 serious adverse events in 18 individuals in the placebo group, compared with 13 in eight
303 individuals in the mirtazapine group. Mortality differed between groups with a potentially
304 higher rate in the mirtazapine group (seven deaths in the mirtazapine and one in the placebo
305 group by 16 week safety follow up). Post hoc statistical analysis suggested weak evidence of
306 a mortality difference between groups (Fisher’s exact test $p=0.065$). Causes of death coded
307 with MedDRA (Medical Dictionary for Regulatory Activities) terms showed no consistent
308 pattern with the one death in the placebo group attributed to (i) dementia, and the seven in
309 the mirtazapine group to: (i) dementia; (ii) pneumonia, aspiration; (iii) emphysema, dementia,
310 pneumonia, aspiration; (iv) dementia Alzheimer’s type; (v) cardiac failure; (vi) pelvic fracture,
311 osteoporosis, vascular dementia; and (vii) chronic kidney disease, dementia, congestive
312 cardiac failure,

313
314

315 **Discussion**

316 This is a trial with negative findings, but these have important clinical implications for
317 practice. Our results indicate that mirtazapine, given with normal clinical care, is not clinically
318 effective compared with placebo for the treatment of clinically significant agitation in people
319 with dementia. This finding implies a need to change the present practice of prescription of
320 mirtazapine, and possibly other sedative antidepressants, for agitation in dementia. In this
321 study there were clear decreases in agitation scores overall, with a clinically and statistically
322 significant 10-point drop in the first six weeks of treatment, which was then maintained from
323 six to 12 weeks; however, this drop was not attributable to mirtazapine since it was also
324 seen in the placebo group. It is concerning that while the total number of adverse events did
325 not differ between the groups, mortality *did*, with seven deaths in the mirtazapine group
326 compared with one in the placebo group. While we do not know whether the deaths were
327 mirtazapine-related, in the absence of clinical benefit attributable to mirtazapine, these

328 potential harms mean that mirtazapine cannot be recommended for the treatment of
329 agitation in dementia.

330

331 Our study has important potential limitations. First, there was a major adjustment to the initial
332 trial protocol. We dropped the proposed carbamazepine arm from the trial in response to
333 slower-than-anticipated recruitment, which means we are unable to test hypotheses
334 concerning the clinical effectiveness of carbamazepine in the treatment of agitation in
335 dementia. Stopping recruitment to this arm did not affect our ability to compare the clinical
336 effectiveness of mirtazapine with placebo. However, the data from this trial apply only to
337 mirtazapine and it is possible that other antidepressants from other classes might have a
338 different effect; in the CitAD trial¹⁷ citalopram, an SSRI, was reported to have had a modest
339 positive effect, though with concerning adverse effects.

340

341 Second, the difference in mortality observed may have been by chance. This study was not
342 powered to investigate a mortality difference between the groups. The analysis was post hoc
343 and its statistical significance marginal; in our previous study of depression in dementia,
344 there were no more deaths in 108 randomisations to mirtazapine than in 111 randomised to
345 placebo.²⁴ We therefore need to be careful in the interpretation of the mortality data in this
346 study. Third, recruitment beyond February 2020 was constrained by health research
347 restrictions secondary to the COVID-19 pandemic. We only recruited 204 (92%) of our target
348 of 222, but the closeness of the findings in both groups makes it highly unlikely that the
349 results we found would have been different had there been another 18 randomisations as
350 planned.

351

352 Finally, there are potential limits in generalisability that come from our having recruited most
353 participants from old-age psychiatry services and care homes; outcomes might possibly
354 have been different in those living in the community treated by primary care services alone.
355 However, in the UK, those with significant agitation at home are likely to be referred to
356 psychiatric services and would represent those for whom drug treatment might be indicated.
357 In terms of generalisability, participants were not drawn only from specialist research clinics
358 or tertiary care, but from 26 geographically diverse areas with a correspondingly high
359 number of clinicians who therefore are likely to cover the range of services in general.
360 SYMBAD was designed to match real clinical populations and interventions closely. We kept
361 exclusion criteria to a minimum and had permissive inclusion criteria, but the findings will not
362 apply to individuals who are too critically ill to risk random allocation (such as those with high
363 risk of harm to themselves or others). Only two potential participants were excluded for this
364 reason, but there will have been others who were not referred to the trial.

365

366 The three main strengths of our study were high follow-up and compliance rates, large
367 sample size, and the broad nature of the study group (in terms of severity of agitation and
368 severity and type of dementia). We were able to follow up 81 (79%) of the mirtazapine group
369 and 90 (88%) of the placebo group at 12 weeks and complete primary outcome assessment.
370 Our data suggest that over half of each group reached the target dose of medication and
371 that compliance was high at over 80% at six weeks and over 70% at 12 weeks. However our
372 pragmatic trial design of effectiveness, with primary analyses and inference on an intention
373 to treat basis, and the relatively high level of missing data on compliance, limits any post-hoc
374 analysis of outcome by compliance. Dropouts might introduce bias if those not followed up
375 had a different response to mirtazapine or placebo compared with those completing the trial.
376 However, our rates of follow-up are relatively high, and the difference between the groups
377 seems attributable to the six additional deaths in the mirtazapine group compared with
378 placebo. We included individuals with probable and possible Alzheimer's disease, not just
379 narrowly defined Alzheimer's disease; this is important since agitation can affect dementia of
380 all causes and most people with dementia have mixed aetiology. Participants were therefore
381 close to populations encountered in clinical practice, in which there is often mixed dementia.
382 However, our inclusion criteria mean that we should restrict generalisation of our findings to
383 Alzheimer's disease and mixed dementia and be cautious in applying them to other subtypes
384 (e.g., vascular, Lewy body or frontotemporal dementia).

385

386 The US National Health and Nutrition Examination Survey showed that the highest rates of
387 antidepressant use between 2015 and 2018 were in people aged over 60, where 19.0%
388 were prescribed such medication.²⁹ Mirtazapine is commonly prescribed for older adults. In a
389 study of people living in long-term care facilities in Helsinki, there was a marked increase in
390 use of mirtazapine between 2003 and 2017: from 15.7% to 22.7% in nursing homes, and
391 14.0% to 23.8% in assistive living facilities, both settings with very high prevalence of
392 residents with dementia.³⁰ In the MEDALZ cohort of 70,718 community dwelling people with
393 Alzheimer's disease in Europe, mirtazapine was responsible for most new prescriptions
394 (n=6,462, 39.2%).³¹ One reason for high rates of prescription of mirtazapine in later life is to
395 avoid the use of antipsychotics.³² The influential NICE dementia guideline for the
396 management of dementia is clear that antipsychotics should only be used in "agitation,
397 aggression, distress and psychosis" when the person with dementia is at risk of harming
398 themselves or others or where the agitation or psychosis is causing the person with
399 dementia severe distress.¹⁹ The only other medication advice is that valproate should not be
400 offered; there is no mention of antidepressants.

401

402 This absence of guidance on the use of alternative medications for agitation in all but the
403 most extreme clinical situations means that clinicians will consider other medications.
404 Sedative antidepressants such as mirtazapine, with which they are familiar, may appear an
405 attractive and safe alternative to proscribed antipsychotics. However, there are reports that
406 this may not be the case. Analyses of a primary care cohort showed increased all-cause
407 mortality in people aged 20-64 prescribed mirtazapine.³³ Taken together, the reports of
408 potentially serious adverse effects of citalopram in the CitAD trial,^{17,18} of increased falls in
409 trials of dextromethorphan-quinidine,³⁴ and the potentially higher mortality in the mirtazapine
410 group in this trial, present growing evidence that substituting antidepressants, or other novel
411 compounds, for antipsychotics for the treatment of agitation in dementia is not a safe
412 alternative.

413

414 In terms of secondary outcomes, the absence of any positive effects on participant and carer
415 quality of life, on participant cognition, or on broader neuropsychiatric symptoms as
416 measured by the NPI is striking. The potential positive effects for people with agitation in
417 dementia and for their family carers observed in secondary analyses of our HTA-SADD²⁵
418 study of people with depression in dementia were not found in this definitive study of people
419 with agitation in dementia. Our study provides strong evidence that the overall improvement
420 seen over the 12 weeks of the study is not attributable to mirtazapine, but SYMBAD cannot
421 tell us what has caused it. The improvement may be a function of the potential therapeutic
422 value of the non-drug 'treatment-as-usual' provided by old-age psychiatric and primary care
423 services, or it could be part of the natural course of agitation in dementia where symptoms
424 may wax and wane. The latter is perhaps less likely given the observed persistence of
425 agitation.^{7,35} It might also be due to artefacts such as regression to the mean, a placebo
426 effect, or the Hawthorne effect, though the magnitude of the effect means that these are
427 unlikely to be the whole reason for the changes observed.

428

429 In current systems, the data therefore suggest that waiting for a six-week period (by which
430 the improvement was noted), with reassessment following that might be a reasonable and
431 safe course of action for agitation in dementia. A policy of such 'active monitoring' without
432 the prescription of medication is recommended in the NICE guideline for depression as part
433 of its stepped care model for the treatment of depression.³⁶ As with our earlier study of the
434 treatment of depression in dementia (HTA-SADD),²⁴ our data suggest that finding agitation in
435 dementia may be an appropriate trigger for referral to specialist services in which detailed
436 assessment can be completed and non-drug treatments and active monitoring deployed,
437 perhaps avoiding the use of medication.

438

439 Overall, this study adds to the evidence base that shows pharmacological interventions for
440 agitation in dementia are limited in their effectiveness^{37, 38} and associated with significant risk
441 of harm. An important limitation in trials of drug and non-drug interventions for agitation is
442 that the causes of agitation are heterogeneous and multifactorial. The syndrome may be
443 caused by any combination of reasons as varied as: unmet needs (e.g., hunger, thirst, pain);
444 medical episodes (e.g., infections, hypothyroidism); prescribed medication (e.g.,
445 anticholinergics, steroids); and the environment (over- or under-stimulation), as well as the
446 illness causing dementia. Even with initial investigation of the causes of the agitation and
447 treatment with non-drug management as in this trial, any "one size fits all" intervention
448 whether drug or non-drug for a heterogeneous syndrome like agitation will have a high
449 likelihood of failure due to lack of specificity. The fundamental presumption that there is a
450 single neurobiological basis for agitation and therefore a specific drug that will target it, even
451 in people with narrowly defined Alzheimer's disease or those with closely defined symptom
452 clusters, seems particularly weak. Those drugs where there has been a signal of effect, such
453 as risperidone and citalopram, appear to have done so through general sedative side
454 effects, which also drive much of the harm from such medication in the frail population with
455 dementia.

456

457 We need to challenge the dominant simple target-based paradigm for the development and
458 testing of interventions for complex challenges such as agitation in dementia. Approaches
459 that are inclusive of the heterogeneity of causation and tailor an individualised programme of
460 investigation and management including social and psychological as well as
461 pharmacological interventions may be of greater value. The implications of this study are not
462 just that mirtazapine does not work and is potentially harmful. There are also reasons to be
463 positive that 'treatment as usual' by current primary and secondary health care services may
464 well enable people with agitation and dementia to recover from that agitation without the use
465 of medication and its potential harms.

466

467

468 **Panel: Research in context**

469

470 **Systematic review**

471 We searched PubMed and the Cochrane Library databases up to 19 February 2021, using
472 the following terms (dement* OR Alzheimer) and (agitat* OR aggress*) and (RCT OR
473 random*). Only studies that had a pharmacological treatment arm and an outcome measure
474 of agitation or aggression in people with dementia were included. Studies were required to
475 be randomised controlled trials, or reviews and systematic reviews that reported the results
476 of these trials. There was no restriction on the language. A systematic review investigating
477 pharmacological treatments of agitation in people with dementia included 36 RCTs (5,585
478 participants).³⁷ Dextromethorphan/quinidine [OR 3.04; 95% CI, 1.63 to 5.66], risperidone
479 (1.96; 1.49 to 2.59) and selective serotonin reuptake inhibitor antidepressants (SSRIs, 1.61;
480 1.02 to 2.53) were found to be more efficacious than placebo. However, both antipsychotics
481 and SSRIs are associated with serious potential harms and the dextromethorphan/quinidine
482 data are derived from a single study. Subsequently a single paper describing two trials of the
483 atypical antipsychotic brexpiprazole has reported mixed results.³⁹

484

485 **Added value of this study**

486 This paper demonstrates that the NASSA mirtazapine, one of the most widely prescribed
487 antidepressants for older people, is no more effective than placebo in the treatment of
488 agitation in dementia. The observation of potentially higher mortality in the group prescribed
489 mirtazapine compared with placebo, while not definitive, provides further reason for caution
490 in its use for this indication.

491

492 **Implication of all the available evidence**

493 The first line of management for agitation in dementia is a full assessment to identify if there
494 is a modifiable cause for the behaviour. In all but the most urgent of situations, the next line
495 is non-pharmacological treatment since such approaches have been shown to be at least as
496 effective as drug treatment.³⁸ The data from this study provide support for 'active monitoring'
497 of agitation in dementia without the prescription of medication as recommended in guidelines
498 for depression. Antipsychotics and SSRI antidepressants are associated with significant
499 harms when used for the treatment of agitation in dementia. This study suggests that
500 substituting the sedative antidepressant mirtazapine in order to avoid such harms is not a
501 clinically effective strategy.

502

503

504 **Contributors**

505 SB was the chief investigator for the study and designed and managed the study with input
506 from the group. SS and LS carried out the statistical analyses. All authors had access to
507 data and participated in data interpretation. JH, SS, LS, CH and SB have verified the
508 underlying data. SB drafted the first and subsequent versions of this paper with input and
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510

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534

535 **Data sharing**

536 Deidentified participant data will be available with investigator support from nine months after
537 publication of the final project reports via sube.banerjee@plymouth.ac.uk by researchers
538 whose proposed use of the data has been approved by the Trial Management Committee for

539 meta-analyses or analyses that have been approved. The trial protocol will be available as a
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541

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