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3 **1 Title: Spinal Cord Bioelectronic Interfaces: Opportunities in Neural Recording and Clinical**
4 **2 Challenges**
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25 **13 Abstract**

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27 Bioelectronic stimulation of the spinal cord has demonstrated significant progress in the restoration
28 of motor function in spinal cord injury (SCI). The proximal, uninjured spinal cord presents a viable
29 target for the recording and generation of control signals to drive targeted stimulation. Signals have
30 been directly recorded from the spinal cord in behaving animals and correlated with limb kinematics.
31 Advances in flexible materials, electrode impedance and signal analysis will allow spinal cord
32 recording (SCR) to be used in next-generation neuroprosthetics. In this review, we summarize the
33 technological advances enabling progress in SCR and describe systematically the clinical challenges
34 facing spinal cord bioelectronic interfaces and potential solutions, from device manufacture, surgical
35 implantation to chronic effects of foreign body reaction and stress-strain mismatches between
36 electrodes and neural tissue. Finally, we establish our vision of bi-directional closed-loop spinal cord
37 bioelectronic bypass interfaces that enable the communication of disrupted sensory signals and
38 restoration of motor function in SCI.
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43 **27 1.1 Introduction**

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45 Spinal cord injury (SCI) is an acute disabling condition resulting from the disruption of neurological
46 pathways between the brain and the peripheral nervous system, which can lead to irreversible loss
47 of motor and sensory function in severe cases. SCI is estimated to affect 250 000 to 500 000
48 individuals annually¹, preferentially affecting young adults at their peak of occupational productivity
49 with estimated lifetime costs ranging from \$1.1 to \$4.5 million US dollars². Apart from the economic
50 burden, individuals with SCI are profoundly affected by the loss of motor, autonomic and sexual
51 function, with variability in their priorities for recovery based on the severity and chronicity of their
52 injuries³⁻⁵.
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56 Despite recent advances in neuroprotective and cell-based therapies in the exploratory management
57 of motor function loss in SCI, these strategies have yet to demonstrate consistent clinical
58 outcomes^{6,7}. Readers are referred to comprehensive reviews in these fields by Onose et al⁸ and Fan
59 et al⁶ as the focus of this review is on bioelectronic strategies in SCI. Neural bioelectronic devices
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3 40 provide an alternative approach that enables the recording of electrical signals generated by
4 41 neuronal depolarization activity or the activation of functional neural structures via electrical
5 42 stimulation. By epidural stimulation of functionally intact spinal networks distal to the site of injury,
6 43 this technology has been able to restore ambulatory function in human pilot trials⁹⁻¹¹. Likewise,
7 44 these devices can interpret electrical signals generated by the user's brain cortical activity to direct
8 45 robotic devices¹² or enable functional muscle activation¹³. These neural signals can also be recorded
9 46 from the spinal cord above the site of injury, which may represent an advantageous site given that
10 47 volitional motor intent from the brain is processed via multiple circuits before descending in the
11 48 spinal cord.

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15 49 The recording of neural signals is also an important tool in neuroscience to study the connectivity of
16 50 cortical processes, spinal networks and peripheral nerve conduction. Electrophysiology has
17 51 progressed from the pivotal muscle reanimation studies described by Galvani¹⁴ in the 18th century to
18 52 novel electrodes enabling wide-scale recording of brain activity¹⁵, expanding our understanding of
19 53 neural connectivity. This has resulted in the translation of electrophysiology in widespread clinical
20 54 use, ranging from the diagnosis of epilepsy¹⁶⁻¹⁸, nerve conduction disorders¹⁹ to real-time intra-
21 55 operative neuromonitoring, allowing surgeons to safely perform complex cranial^{20,21} and spinal
22 56 surgery^{22,23}.

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25 57 Whilst cortical brain and peripheral nerve electrophysiological recordings have been studied
26 58 extensively, recording motor and sensory signals from the spinal cord is only gaining traction in the
27 59 recent decade with improvements in electrode technology and signal processing methods. The
28 60 proximal, uninjured spinal cord is a logical target when designing bioelectronic devices that can
29 61 record motor volition as control signals for powering the patient's motor units or external assistive
30 62 devices. As such, we aim to describe the differences between cortical brain and spinal cord recording
31 63 (SCR), focusing on the advantages of recording signals directly from the spinal cord in applying
32 64 neuroprosthetics to SCI management. We will then review the recent advances in SCR, partitioning
33 65 our analysis into the motor and sensory decoding opportunities offered by SCR, as well as
34 66 foundational developments in the field of electrophysiology and neuromonitoring. Our review will
35 67 then focus on the clinical challenges facing SCR in neuroprosthetics, progressing systematically from
36 68 design to implantation to post-operative surveillance. For each of the challenges, we have provided
37 69 potential solutions based on current advances in electrode design, surgical implantation and
38 70 modulation of chronic foreign body responses. We conclude with a vision for a chronic SCR device
39 71 that can be potentially deployed to achieve a functional, bi-directional bioelectronic bypass in SCI.

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45 73 **1.2 Fundamentals of bioelectronic neural interfaces**

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48 74 Neurons typically comprise of dendrites, cell bodies and axons. In particular, axons serve an
49 75 important function in the motor pathway, projecting from upper motor neurons to lower motor
50 76 neurons located in the brainstem and spinal cord, which further project axons to the effector
51 77 muscles to produce movement²⁴. Neurons, with their projecting axons, can perform these
52 78 specialized functions due to the electrical excitability of their selectively permeable bilipid layer
53 79 membrane embedded with ion channels that activate in response to changes in electrical activity.
54 80 The activation of these voltage-gated ion channels leads to changes in the membrane electrical
55 81 potential, otherwise known as action potentials²⁵ (AP). It has been demonstrated that the
56 82 generation of APs lead to transient changes in both extracellular and intracellular electrical
57 83 potentials in the pioneering studies performed by Alan Hodgkin and Andrew Huxley²⁶.

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3 84 Understanding of the underlying electrophysiological mechanism of nerve conduction has advanced
4 85 since then, facilitated by techniques such as patch-clamp electrophysiology allowing investigation of
5 86 individual ion channels²⁷ as well as multiple unit recordings sampling populations of neurons²⁸.
6 87 These advances in electrophysiological techniques were accompanied by developments in the
7 88 analysis of data collected from recording neuronal activity, such as in the prediction of limb
8 89 movement and trajectory from single neuron recordings as demonstrated in the classic primate
9 90 centre-out task described by Georgopoulos et al²⁹.

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12 91 Our understanding of the fundamental conduction pathways between the premotor areas in the
13 92 cortex to the neuromuscular junction has been coupled with advances in electrode fabrication.
14 93 These electrodes can be classified according to their level of invasiveness³⁰, the anatomical location
15 94 along the conduction pathway at which they are deployed and whether they serve a primary
16 95 stimulating or recording function³¹. The level of invasiveness is a clinically relevant method of
17 96 classification as it relates to the surgical risk that a patient will experience with the implantation of
18 97 the electrode device, the potential for acute and chronic neural injury and also the selectivity of
19 98 neural signal recording and stimulation³². Invasiveness can be categorized broadly by the anatomical
20 99 barriers in the way of the neural interfaces, with intraparenchymal devices penetrating the
21 100 parenchyma to directly sample populations of neurons, followed by perineural interfaces which can
22 101 be deep or superficial to the dura/epineurium and lastly surface electrodes in which signals are
23 102 further attenuated by surrounding bony structures, connective tissue and skin³². The concept of
24 103 invasiveness can be applied to both central (CNS) and peripheral nervous system (PNS) interfaces:
25 104 surface interfaces can record cortical local field potentials via electroencephalography (EEG) and
26 105 peripheral nerve action potentials via electroneurography (ENG); more invasive devices allow
27 106 perineural recordings via electrocorticography (ECoG) in the brain and nerve cuffs in the PNS; and, at
28 107 the most invasive of the spectrum, intraparenchymal devices such as microelectrode arrays (MEAs)
29 108 for the CNS and PNS.

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36 110 **Surface electrodes**

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38 111 Surface electrophysiological recording methods allow for the least invasive means of recording
39 112 neural signals and pose minimal risk of neural tissue injury. However, because of the layers of tissue
40 113 (bone, connective tissue, skin) that the neural signals have to traverse before they are received by
41 114 the electrodes, the signals are characterized by a high proportion of noise³³, assessed by the signal-
42 115 to-noise ratio (SNR). In addition, the tissues attenuate high-frequency signals, limiting the analysis of
43 116 EEG to low-frequency activities³⁴. It is believed that the low-frequency signals captured by EEG
44 117 reflect volume-conducted temporally summated synaptic activity in the cortex termed local field
45 118 potentials (LFPs), although the origin and locality of these signals remain a source of debate³⁵. The
46 119 intrinsic low-pass filtering observed in EEGs mean that high-frequency signals from groups of
47 120 neurons may not be reliably captured. Additionally, the spatial resolution of EEG is limited and
48 121 spatial filters are required to enhance focal activity. Despite these limitations, EEG decoding has
49 122 been able to decode motor cortical signals which are then fed into a functional electrical stimulation
50 123 (FES) system targeting lower limb muscles to recreate the firing seen in a gait cycle, allowing a non-
51 124 invasive neurorehabilitation system to improve motor outcomes in individuals with paralysis¹³. This
52 125 pilot study necessitated an extensive period of rehabilitation according to a strict training protocol
53 126 over 2 years and further trials are required to demonstrate if EEG decoding of motor signals is robust
54 127 enough when applied to a larger population.

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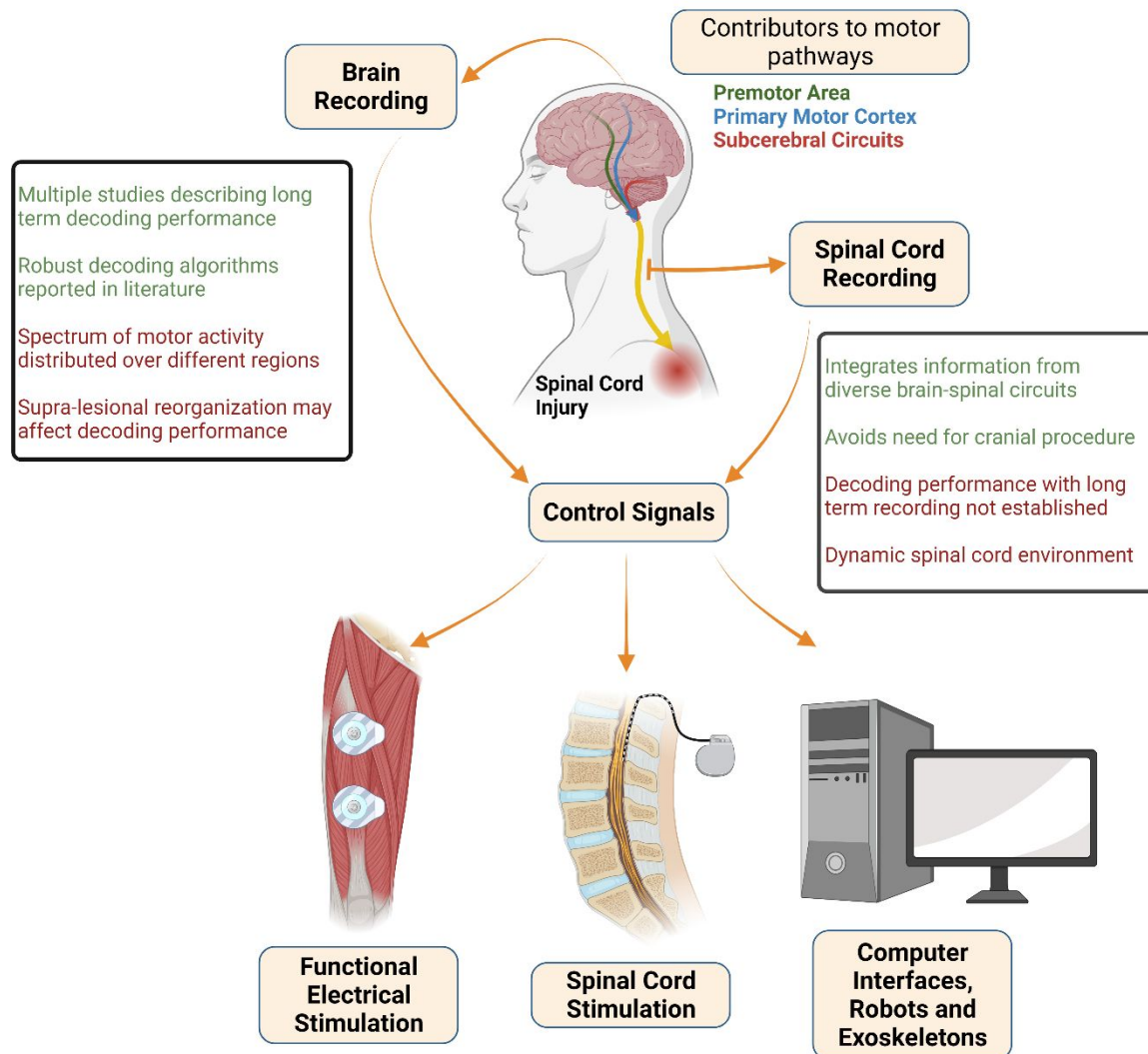
129 **Epidural and subdural electrodes (Invasive, non-penetrating)**

130 The dura is a protective tissue layer encasing the central nervous system in a bath of cerebrospinal
131 fluid. Electrodes that are placed either epidural or subdural provides close-proximity interfacing with
132 the underlying neural tissue, allowing for superior SNR and higher spatial resolution at the cost of
133 increased invasiveness of the procedure. In the brain, electrocorticography (ECoG) devices were first
134 used to localize epileptic seizures¹⁸ but have since been applied as a brain-machine interface (BMI),
135 demonstrating the ability to record motor cortical activity^{29,36-38}. ECoG devices, by virtue of their
136 extra-neural placement, elicit a lower biological response than intraparenchymal devices, allowing
137 for safer chronic implantation of the electrodes³². When comparing extradural versus subdural
138 implantations, the conductivity of the cerebrospinal fluid (CSF)³⁹ and dura⁴⁰ should be modelled prior
139 to in vivo studies as this will affect the quality and selectivity of neural recordings. This is especially
140 pertinent when designing spinal cord devices as the relative volume of the CSF is greater⁴¹ and is
141 subject to dynamic changes from vascular pulsations⁴² as well as eccentric positioning of the spinal
142 cord in the subarachnoid space⁴³.

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144 **Intraparenchymal electrodes**

145 The use of intraparenchymal devices in the recording of cortical signals allows for the highest spatial
146 selectivity, enabling the decoding of activity generated by populations of neurons in the brain as well
147 as action potential spikes generated by multiple or single units³⁴. The enhanced resolution comes at
148 the cost of perineural injury as well as the chronic inflammatory response termed the foreign body
149 reaction (FBR) (see **Biological Reactions**). The Utah Intracortical Electrode Array⁴⁴ is currently the
150 only BMI approved by the United States Food and Drug Administration (FDA), allowing the control of
151 a robotic arm using cortical signals⁴⁵ as well as high-performance brain-to-text communication⁴⁶ with
152 demonstration of chronic interfacing at over 5 years⁴⁷. Intraparenchymal electrodes have also been
153 applied to the peripheral nerves in devices such as the Utah Slanted Electrode Arrays⁴⁸ and
154 transverse intrafascicular multichannel electrodes⁴⁹ as well as the spinal cord, although there are
155 specific mechanical challenges that have to be addressed before clinical use (see **Material**
156 **Considerations**).



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158 *Figure 1: Comparison between cortical and spinal cord recording. Both spinal cord and cortical recordings can be decoded*
 159 *to provide control signals for functional electrical stimulation, spinal cord stimulation or external devices. Spinal cord*
 160 *recording has the potential to record motor intent directly from the spinal cord which integrates motor information from*
 161 *various regions of cortical processing and modulation. Features of cortical versus spinal cord recording are highlighted and*
 162 *further explained in section 2.1. Created with BioRender.com.*

163 2.1 Comparison between cortical and spinal cord recording

164 Whilst motor decoding has been established with cortical interfaces, decoding motor and sensory
 165 signals from the spinal cord is emerging as an alternative target or adjunct for emerging
 166 neuroprosthetics. Although chronic recording from the motor cortical areas have been established in
 167 human trials, there are inherent biological and technological challenges in providing consistent
 168 chronic recordings from the brain (Fig. 1). Firstly, motor activity is represented over a wide area of
 169 the cortex, characterized by the phenomenon of fractured somatotopy⁵⁰ in which representations of
 170 motor activity are not discretely organized but are instead interspersed across different cortical
 171 regions. This means that recording cortical motor activity limited to the traditional motor and
 172 premotor cortical areas may not capture the full spectrum of volitional motor activity as described
 173 by the distributed-coding principle⁵¹.

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3 174 Secondly, cortical motor topography following SCI is characterized by dynamic processes in which
4 175 cortical areas representing a specific motor function adapt to injury and reorganize⁵²⁻⁵⁴. This could
5 176 lead to possible variations in the decoding abilities of brain-machine interfaces sampling the activity
6 177 of a limited population of neurons over time following SCI. These limitations can theoretically be
7 178 addressed with more extensive brain-machine interfaces allowing near whole-brain sampling, but an
8 179 increase in the extent of sampling and device implantation would lead to attendant risks of
9 180 intracranial haemorrhage, infection and introducing seizure foci⁵⁵. These concerns regarding the
10 181 risks of neurosurgery are reflected in a survey of individuals with paralysis⁵, in which half of the
11 182 participants would avoid having intracranial electrodes. This risk of iatrogenic injury above the injury
12 183 site similarly applies to the spinal cord and thus the risk-benefit analysis in the selection of spinal
13 184 cord recording level will be crucial, as the potential motor loss with complications arising from a
14 185 cervical spinal cord level implant exceeds that of a thoracic level implant.

18 186 The concerns of undergoing intracranial surgery are especially relevant in individuals with SCI where
19 187 the site of injury is at the spinal cord and not their brain. Recording motor and sensory signals
20 188 directly from the spinal cord thus presents an alternative target for these individuals. From a
21 189 systems neuroscience perspective, the spinal cord receives complex motor volition integrating
22 190 abstract planning from the frontal and posterior parietal cortex⁵⁶, motor sequencing in the premotor
23 191 area⁵⁷, filtering by the basal ganglia pathways⁵⁸ and finally to the primary motor cortex⁵⁰. The motor
24 192 signals from the primary motor cortex are then transmitted to the corticospinal, reticulospinal⁵⁹ and
25 193 rubrospinal tract⁶⁰, with the former being the dominant motor tract in humans. Sampling directly
26 194 from the spinal cord thus bypasses the complex architecture of the motor planning pathway and in
27 195 an anatomical structure more densely represented by motor volitional signals, albeit also with
28 196 closely located sources of noise such as autonomic and sensory signals. Additionally, the
29 197 sensorimotor signals transmitted by the major tracts are located more peripherally in the white
30 198 matter of the spinal cord compared to the grey matter, potentially allowing the recording of
31 199 compound action potentials without deep implantation of electrodes.

36 200 Cortical decoding of motor intent, on the other hand, benefits from a longer history of
37 201 experimentation with both penetrating³⁴ and non-penetrating⁶¹ electrodes. Various algorithms for
38 202 cortical motor decoding have been developed, including strategies that can adapt to neural control
39 203 mapping changes after learning for more predictive chronic decoding⁶². These adaptive decoding
40 204 algorithms are particularly useful as supra-lesional reorganization occurs throughout the brain and
41 205 spinal cord following SCI⁵³. Spinal cord recording, in comparison, had a shorter runway of
42 206 development and is only just starting to make the leap from animal models to pilot clinical studies⁶³,
43 207 with specific algorithms developed to improve the accuracy and speed of motor decoding⁶⁴.
44 208 Currently, there is significant variability in the signal processing strategies to decode motor
45 209 information from the dorsal and dorsolateral spinal cord, ranging from low-frequency local field
46 210 potentials⁶⁵ to higher-frequency signals⁶⁴, and more studies are required to characterize the source
47 211 of these signals and how they evolve with time following SCI. It is hoped that adapting decoding
48 212 strategies presently used in cortical motor decoding can advance the accuracy of spinal cord
49 213 recording to a comparable level in the future.

53 214 From a clinical perspective, individuals with SCI often require spinal stabilization and
54 215 decompression⁶⁶, presenting a surgical opportunity for the early implantation of spinal cord
55 216 bioelectronic interfaces to aid in the rehabilitation of motor function after SCI. The proximity of
56 217 spinal cord recording and stimulating devices also allow for a wired connection providing higher
57 218 rates of information transfer, enabling the implantation of closed-loop spinal cord bioelectronic
58 219 bypass interfaces to restore volitional motor function. We must be cognizant, however, that

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3 220 implantation of spinal cord interfaces will necessitate surgical exposure beyond the site of potential
4 221 decompression and stabilization with increased surgical risk and operating duration. Although there
5 222 may be concerns that SCI induces proximal degeneration of the axons in a phenomenon termed
6 223 dieback, studies have demonstrated that the dieback is limited to approximately 1-3mm from the
7 224 proximal site of injury and that the process stabilizes after 4 weeks^{67,68}, allowing for most of the
8 225 proximal spinal cord to remain a viable recording target.
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12 13 227 **2.2 Anatomy of the spinal cord**

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15 228 To efficiently target the spinal cord for electrophysiological recording, it is important to understand
16 229 the underlying functional anatomy, details of which have been described in authoritative
17 230 reviews^{69,70}. In summary, the spinal cord comprises the outer white matter containing vertically
18 231 oriented myelinated axons and an inner grey matter comprising of interneurons and modulatory
19 232 fibres in a laminar pattern⁷¹ transversely. The axons are grouped into ascending and descending
20 233 tracts. The major descending tracts are the corticospinal tract, which is dominant in humans, the
21 234 rubrospinal and reticulospinal tract⁵⁹, transmitting and modulating volitional motor signals via upper
22 235 motoneurons from the cortex to the spinal cord. Lower motoneurons, receiving modulatory signals
23 236 from intraspinal networks^{72,73}, then project axons to innervate muscle fibres, exiting the spinal cord
24 237 via the ventral root. The major ascending tract comprises the dorsal column, transmitting
25 238 proprioceptive and light touch sensation, and the spinothalamic tract transmitting pain and
26 239 temperature sensation. The sensory fibres enter the spinal cord through the dorsal root,
27 240 characterized by a fusiform enlargement housing the neuronal soma in the dorsal root ganglion.
28 241 Finally, the spinal cord is covered by layers of pia, providing mechanical support to the surface of the
29 242 spinal cord⁷⁴, and the arachnoid and dura, which together form a protective layer preventing the
30 243 leakage of cerebrospinal fluid⁷⁵. Spinal cord interfaces can potentially record from and stimulate the
31 244 columns of the spinal cord or from the dorsal and ventral roots (Fig. 2). These columns are arranged
32 245 in an ordered structure in the spinal cord, in contrast to peripheral nerves which contain bundles of
33 246 both sensory and motor fibres without a specific order. Recording from the spinal cord thus has the
34 247 advantage of adding spatial information, as signals obtained from an electrode with a known
35 248 location can provide specific sensory or motor information based on which tract it is interfacing with.
36 249 This is demonstrated practically in primate studies in which ventrally placed electrodes stimulated
37 250 motor activity at lower thresholds than dorsal electrodes⁷⁶, possibly due to the proximity to
38 251 descending motor tracts with ventral electrodes.

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44 252 When applying functional anatomy to the design of spinal cord neural interfaces, we must be
45 253 cognizant of the fact that the tracts are not arranged in a circumjacent pattern. Critically, there is
46 254 significant radial overlap with the lateral column tract, with the descending corticospinal tract
47 255 residing deeper than the ascending spinocerebellar tracts⁷⁷. This implies that non-penetrating
48 256 electrode arrays targeting motor information from the corticospinal tract will receive unwanted
49 257 signals from the more superficially located tracts and penetrating electrodes have to be precisely
50 258 placed to prevent mis-sampling. Further, the corticospinal tracts obey a somatotopic organization,
51 259 with upper limb tracts located deeper than the lower limb tracts in the proximal cervical spinal
52 260 cord⁷⁸. This presents difficulties with the targeting of deeper white matter tracts with non-
53 261 penetrating electrodes that may require advanced decoding algorithms to accurately localize the
54 262 source of signals and exclude contributions from neighbouring axons.
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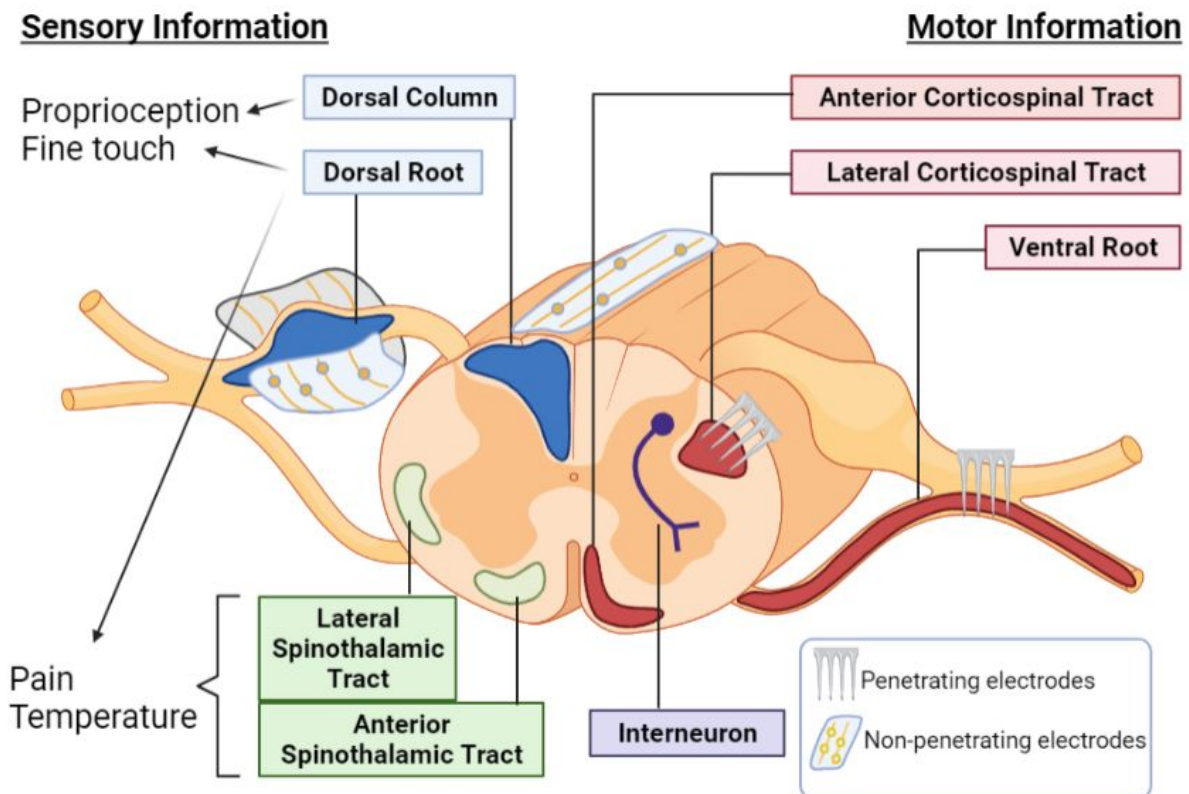


Figure 2: Potential Spinal Cord Recording Targets: The spinal cord is arranged in ascending and descending tracts carrying predominantly sensory and motor information respectively. Targeting these tracts with both penetrating and non-penetrating electrodes could allow the recording and decoding of sensorimotor activity. Created with BioRender.com.

3 Progress in Spinal Cord Recording Devices

3.1 Historical developments in spinal cord recording

Investigations in electrophysiology have been performed since the seventeenth century⁷⁹, but it was not until the 1930s did Gasser and Graham started extracting neural signals directly from the spinal cord using chloride-coated silver wires⁸⁰. Eccles further explored the spinal circuitry, recording synaptic potentials with triggering of the reflex arc⁸¹. Frank and Fuortes were able to focus on the microcircuitry of the spinal cord using intracellular microelectrodes to discern specific neuronal structures⁸². Advances in experimental techniques enabled the next phase of spinal cord electrophysiology, allowing recording of spinal cord and dorsal root ganglion potentials in awake and behaving cats⁸³ and sheep⁸⁴. Chronic recordings from the spinal cord, with the ability to extract multiple measurements across time and to correlate with animal behaviour, was established as a sophisticated electrophysiological method, although early electrode designs were beset by issues of breakage and inadvertent spinal cord injury⁸⁵.

3.2 Evolving role of spinal cord recording in electrophysiology

Before spinal cord recording was devised as a form of neural interface in spinal cord injury, it was initially developed as an electrophysiological technique, demonstrating the ability to study evoked local field potentials in the feline spinal cord⁸⁶ and defining the activity of interneurons in the spinal cord grey matter^{87,88}. These studies were important as interneurons served a modulatory role in volitional motor control and reacting to sensory feedback loops. Further insight into how

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3 287 interneurons modulate motor function was provided by Prut and Perlmutter, using
4 288 intraparenchymal electrodes to investigate interneuron activity in non-human primates (NHP)
5 289 performing wrist movement tasks^{89,90}. Their findings described how interneurons function to
6 290 regulate spinal premotor networks and suggest the role of this regulation in preventing undesirable
7 291 motoneuron synchrony in states such as physiological tremor⁹¹. Additionally, Yanai et al⁹² built upon
8 292 the hypothesis that the cortico-motoneuron path undergoes further processing at the level of the
9 293 spinal cord^{93,94} by interneuronal networks⁹⁵, showing that interneurons transform cortical
10 294 commands into muscle control signals that associate with specific coordinate frames. Interneurons
11 295 also receive inputs from reticulospinal pathways to achieve their modulatory effect, as
12 296 demonstrated by using electrodes implanted in the brainstem pyramidal and reticulospinal
13 297 pathways to correlate with forelimb movements⁹⁶. Further methods in chronic recording for
14 298 electrophysiology were explored⁸⁵ and although early techniques led to complications of meningitis
15 299 and spinal cord injury, it established the precedent for chronic recording of spinal cord signals.
16 300 Recordings in awake, behaving subjects are important as they allow the correlation of spinal cord
17 301 signals with motor volition instead of artificially evoked potentials.

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19 302 Aside from investigating local spinal circuitry and firing characteristics, spinal cord recording enabled
20 303 our understanding of the information flow from premotor cortex to activity at the level of alpha
21 304 motoneurons⁹⁷. Signals generated during volitional locomotion have also been investigated by Berg
22 305 et al⁹⁸, using a customized frame to anchor extracellular recording arrays that could investigate
23 306 lumbar spinal cord signal during rat treadmill locomotion. More recently, using modified spinal cord
24 307 stimulators in individuals with postherpetic neuralgia, Wang et al were able to record spinal cord
25 308 electrophysiological signals and correlate them with EEG signals⁶³, providing an opportunistic tool for
26 309 studying corticospinal connections in humans. The use of spinal cord recording will continue to play
27 310 a role in systems neuroscience, investigating the connectivity between cortical and peripheral
28 311 signals.

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30 313 **3.3 Spinal cord recording: volitional motor signals**

31 314 Advances in electrode and circuitry miniaturization paved the way for research into chronic spinal
32 315 cord recording implants that can decode volitional motor activity. The group led by Mesut Sahin
33 316 provided the foundation for spinal cord motor recording, first establishing the information capacity
34 317 and transfer rate of spinal cord recording interfaces in cats^{99,100} before demonstrating that elbow
35 318 joint movements can be reconstructed with spinal cord recordings in freely behaving rats^{101,102}. The
36 319 electrode design was subsequently modified from non-penetrating to intraparenchymal electrode
37 320 arrays, possibly to better target the deeper regions of the rubrospinal tract in rats¹⁰³. This protocol
38 321 allowed the correlation of spinal cord recordings with trained forelimb activity in a reach-to-grasp
39 322 task via video-recorded coordinates of shoulder, elbow and paw positions¹⁰⁴. Whilst Prasad and
40 323 Sahin were able to demonstrate the long-term recordings of spinal cord signals, they were unable to
41 324 record for more than 3 months, owing to the development of glial scarring and microwire breakage.
42 325 In addition, it was reported that some of the animals sustained a SCI and could not be included in
43 326 the study, emphasizing the risks associated with intraparenchymal electrode array implantations. To
44 327 prolong the longevity of implanted electrodes, carbon fibre electrodes were also explored in the
45 328 spinal cord in a pilot study¹⁰⁵, describing the SNR characteristics as well as possibly reduced
46 329 microglial encapsulation.

47 330 Besides correlating spinal cord recordings with kinematic data, Sahin's group were able to
48 331 reconstruct forelimb isometric forces¹⁰⁶ as well as electromyography signals¹⁰⁷ using computational

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3 332 methods developed within their lab^{64,108}. Although forelimb kinematic data had the greatest
4 333 correlation coefficient, analyzing force and EMG data provides additional dimensionality to
5 334 prediction models.

7 335 Increasing interest in BMIs in the management of SCI paralysis has led to more studies in the field of
8 336 spinal cord motor recording. Spinal cord recordings were obtained from a common marmoset NHP
9 337 model and correlated with upper limb kinematic data in a centre-out task¹⁰⁹. The use of NHP models
11 338 is advantageous when translating spinal cord motor recording to human trials as the corticospinal
12 339 tract is more prominent in NHPs and humans compared to smaller animals. NHP models also allow
13 340 for better validation of spinal cord interfaces in upper limb motor restoration due to their dexterity
14 341 which cannot be replicated in smaller animal models. The use of larger animals also provides an
15 342 anatomical dimension that is closer to that in humans which is especially valuable in juxta-clinical
17 343 studies. Apart from investigating upper limb movements, Fathi and Erfanian decoded hindlimb
18 344 kinematics in a treadmill ambulation task, comparing the predictive value of a dorsal column and
19 345 lateral column recordings⁶⁵. Studies investigating chronic spinal cord motor signal recordings are
21 346 summarized in Table 1, including both electrophysiological and application-focused studies.
22 347 However, we excluded studies that sacrificed the animal immediately after awake recordings, as the
23 348 documentation of electrode survival and chronic complications was imperative to our analysis. It is
24 349 interesting to note that although studies in spinal cord motor recordings have progressed both in the
25 350 electrophysiological and engineering fields, there appears to be limited cross-pollination of ideas
27 351 across both fields. This presents an opportunity for collaboration and integration, in which
28 352 techniques used to harvest signals from the spinal cord for brain-machine interfaces can also be
29 353 used to study corticospinal electrophysiology.

31 354 Besides interfacing directly with the spinal cord, the ventral root, carrying lower motor neuron axons
32 355 to neuromuscular junctions, is also a potential target for recording and stimulating motor function.
33 356 Though recordings from the ventral root have been obtained by Hoffer et al in locomoting cats¹¹⁰, it
34 357 was not until the recent decade that chronic, stable recordings could be obtained¹¹¹. This could be
35 358 due to the anatomical inaccessibility of the ventral root, residing deeper compared to the dorsal root
36 359 ganglion. Whilst stimulation of the ventral root may play a role in SCI when placed distal to the level
37 360 of SCI, recording from the ventral root is plausibly more useful in the setting of therapy in persons
38 361 with amputated limbs, with neuroprosthetics that can be powered by motor volition¹¹².

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Table 1: Chronic SCR in animal models (N/A: not applicable, N/R: not reported, CST: Corticospinal Tract, RST: Rubrospinal Tract, LCST: Lateral Corticospinal

Tract)

<i>Ref</i>	<i>Target site</i>	<i>Electrode design</i>	<i>Animal</i>	<i>Observed</i>	<i>Results</i>	<i>Complications</i>
<i>Year</i>				<i>behaviour</i>		
Ondrejčák et al ⁸⁵ 2005	C3/4, T11/12 dorsum	Epidural silver electrode	11 Wistar rats (300-330g)	Open-field locomotion	Increasing stimulation voltage required over time to elicit evoked potential response in spinal cord	paralysis (1), broken electrode (2)
Prasad et al ¹⁰² 2006	C5/6 LCST, RST	Penetrating array with silicon electrodes and platinum tips	4 Long-Evans rats (350-400g)	Face cleaning behaviour	CST and RST can both be used to reconstruct elbow angles	N/R
Berg et al ⁹⁸ 2009	T11-L3 dorsum	Penetrating array with stainless steel wires	6 Sprague-Dawley Rats (280-290g)	Treadmill locomotion	Demonstrated multi-unit recording of spinal cord signals during locomotion	Death (1), Failure of recording (1)
Prasad et al ¹⁰³ 2010	C5/6 LCST, RST	Penetrating microelectrode array with platinum tips	4 Long-Evans rats (350-400g)	Reaching or face cleaning behaviour	RST SCR correlated with timing of forelimb behaviour	N/R
Prasad et al ¹⁰⁴ 2012	C5/6 LCST, RST	Penetrating microelectrode array with platinum tips	4 Long-Evans rats (350-400g)	Reach and grasp task	Signal quality over time ranged from 50%-100% of original. Able to decode forelimb joint coordinates with SCR.	Wire breakage, connector failure, neural injury
Kim et al ¹¹³ 2013	L2/3	Penetrating array with braided nichrome wires and polyimide insulation	Bullfrogs	N/A	Demonstrated ability of braided electrodes to obtain chronic SCR	N/R
Guo et al ¹⁰⁶ 2014	C3/4 LCST/RST	Penetrating PDMS/polyimide array with gold contacts	6 Long-Evans rats (350-400g)	Reach and lever press task	Correlation of SCR with forelimb forces (R ² : 0.58-0.77 in vertical direction)	N/R
Debnath et al ¹¹¹ 2014	L6/7 ventral root	Penetrating floating array with platinum-iridium electrodes	9 cats (3-6kg)	Treadmill walking	Demonstrated ability to record action potentials from the ventral root. Challenging insertion of electrode arrays	Broken leads (2), immune reaction (1), slow signal degradation (3), hardware/connector failure (1)
Gok et al ¹¹⁴	C4 CST/RST	Flexible polyimide	2 Long-Evans	Reach and	Prediction accuracy for EMG	N/R

2016		array with platinum contacts and PEDOT:TFB	rats	lever pull task	ranged from 0.5 in triceps to 0.88 in biceps	
<i>Cetinkaya et al</i> ¹⁰⁵ 2018	C4 CST/RST	Penetrating carbon-fibre filaments	2 Long-Evans rats (400g)	Face cleaning behaviour	Demonstrated suitability of carbon-fibre electrodes for chronic SCR	Microglial encapsulation (mild)
<i>Prins et al</i> ¹⁰⁹ 2020	C4 CST/RST	Penetrating, floating array with platinum-iridium electrodes	5 marmosets	nine-target reaching, two-target robot, touch screen tasks	Feasibility of chronic SCR in a small non-human primate model, ability to correlate with forelimb kinematics	Mechanical failure (3), Signal degradation (1)
<i>Fathi et al</i> ⁶⁵ 2021	L3-4 DC and lateral column	Penetrating array with Teflon-insulated tungsten wires	5 cats (3.1-4.2kg)	Treadmill walking	Described spatial correlation of recording sites with hindlimb kinematics	N/R

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3.4 Spinal cord recording: sensory and pain signals

Motor signals represent only a facet of the sampling opportunities that spinal cord recording can provide. Sensory signals are also transmitted through the spinal cord dorsal column and spinothalamic tract, via the dorsal root and dorsal horn. Borisoff et al, using intraparenchymal electrodes in the dorsal horn of the spinal cord, correlated these signals with rat forepaw stimulation, demonstrating close to 100% accuracy in prediction of the time at which a sensory stimulus was presented¹¹⁵.

Apart from interfacing with the dorsal spinal cord, the dorsal root ganglion represents a potential site for recording afferent sensory activity. Adapting flexible nerve cuff electrodes used in peripheral nerve recording, Sperry et al recorded activity in the DRG with the ability to perform simple source localization of the sensory stimuli¹¹⁶. This approach of using epineural electrodes was reproduced by Kashkoush et al who further demonstrated the recording of single-unit activity in the DRG¹¹⁷. Proprioceptive information can also be decoded from the DRG, enabling the decoding of joint position sense^{118,119} and movement timing¹²⁰, possibly allowing for the design of neuroprosthetics with real-time sensory feedback¹²¹.

The DRG also presents a valuable target in the study of nociception with the realization that intraspinal gate-control mechanisms modulate central pain perception¹²². DRG electrophysiology allows for the study of how different nociceptive peptides modulate DRG activity in a nerve section model¹²³ and chronic recordings by correlating with activity in the paraventricular hypothalamic nucleus¹²⁴ which is a source of neuropeptides. Protocols established by Urch et al allow for the recording of DRG signals with peripheral mechanical and electrical stimuli¹²⁵ whilst Zhao et al described the use of DRG recording in various neuropathic pain models¹²⁶.

Understanding of the role of local spinal cord circuitry in pain transmission has led to the clinical use of spinal cord stimulators (SCS) for neuropathic pain¹²⁷, with the mechanism postulated to be through local spinal cord gamma-aminobutyric acid (GABA) inhibitory mechanisms interacting with supraspinal mechanisms¹²⁸. Using chronically implanted SCS devices in humans also enabled the recording of evoked compound action potentials, providing further insight into the type of sensory fibres recruited by SCS in pain modulation¹²⁹ as well as its longitudinal activation pathways¹³⁰. This approach has also been applied in clinical use, using the evoked potentials generated by SCS to provide feedback in modulated doses that account for changes in impedance due to contact of the SCS devices with the spinal cord¹³¹.

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3.5 Spinal cord recording: bladder distension

Apart from the oft-studied loss of movement and sensation in SCI, bladder control issues afflict up to 80% of individuals with SCI¹³², affecting not just self-esteem but also causing urologic complications such as infections and renal calculi. As the afferent signals from bladder filling also pass through the spinal tracts, the spinal cord and sacral roots represent a viable target for the detection of bladder filling. This has been demonstrated with intraoperative recordings of sacral root activity¹³³ and correlated with intravesical pressure measurements^{134,135}. Recording these signals can be paired with sacral nerve stimulation to create closed-loop bladder emptying neuroprosthetics¹³⁶, improving on current open-loop designs such as the Finetech-Brindley stimulator¹³⁷ (Vocare® system, USA).

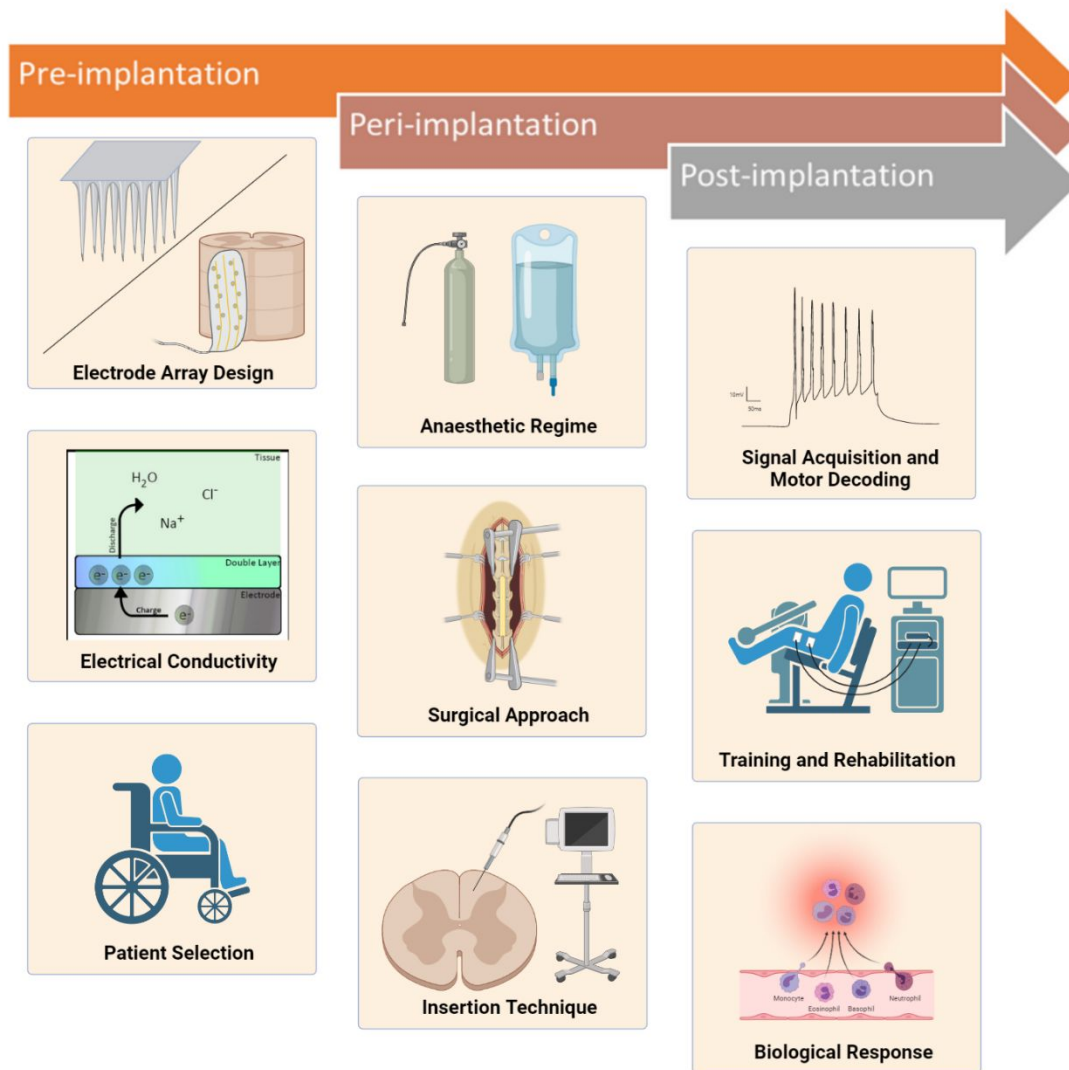
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3.6 Role of spinal cord recording in neuromonitoring

Whilst spinal cord recording has progressed in experimental chronic animal implantations, it should be highlighted that the technology already has a widespread clinical role in the form of neuromonitoring. Neuromonitoring in the real-time evaluation of spinal cord functional connectivity via the observation of baseline activity and evoked potentials. These evoked potentials can follow either motor or sensory tracts¹³⁸ and be used to evaluate spinal cord function either indirectly or directly on the spinal cord via D (direct) waves. This allows the surgeon to reverse any step that may injure the spinal cord and is especially useful during deformity correction²² and intradural surgery²⁰ with its ability to increase the safety margin of spine surgery established²³. Spinal cord recording also serves to provide information on the level of injury in SCI and help to prognosticate the degree of motor recovery¹³⁹. However, current modalities of direct spinal cord recording using D waves only capture information from the corticospinal tract fast-conducting fibres¹⁴⁰, leaving sectors of the spinal cord that could be monitored with more extensive mapping approaches¹⁴¹ or more sophisticated time-frequency analysis of acquired signals¹⁴². In contrast to SCR applications in SCI, neuromonitoring focuses mainly on evoked potentials in a controlled, mostly static environment, which could explain why, despite its widespread clinical use, SCR paradigms in neuromonitoring have not driven advances in SCI neuroprosthetics.

4 Challenges in the clinical use of spinal cord bioelectronic interfaces

Whilst advances have been made in the use of chronic spinal cord recording devices in animal models, several obstacles must be overcome before these devices can be implanted in human trials. These challenges can be considered systematically from the device production line to surgical implantation and finally to precise processing of the acquired signals. We can thus compartmentalize the factors in several phases (Fig. 3): 1) Pre-implantation involving the consideration of electrode design and patient selection; 2) Peri-implantation where the clinician needs to respect anatomical boundaries during implant insertion and prevent acute complications; 3) Post-implantation in the chronic phase where biological reaction and the ability to consistently record signals become dominant issues. It is important to note the extensive interplay of factors: for example, the choice of electrode material can lead to repercussions across phases, such as how introducing stress-strain mismatch during implant insertion can also lead to eventual fatigue failure when used in the chronic phase. We further discuss the importance of preclinical studies investigating the safety and efficacy of potential SCR devices before translation into clinical studies. The ethical and regulatory issues surrounding the implantation of neuroprosthetics in the spinal cord will also be considered.



442

443 *Figure 3: Clinical challenges facing spinal cord recording devices at each phase of implementation, from pre-implantation to*
 444 *post-implantation. It is important to recognize that pre-implantation decisions, such as the choice electrode array material*
 445 *and design, can have downstream implications on the insertion technique, signal acquisition and decoding. Created with*
 446 *BioRender.com.*

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449 4.1 Electrode conductivity

450 On a fundamental level, neural interfaces record electrical currents which have contributions from
 451 all excitable membranes, originating from various sources¹⁴³ from synaptic activity to voltage-
 452 dependent events and action potentials propagating along the axon as modelled by Hodgkin and
 453 Huxley²⁶. Although recording electrodes can be placed intracellularly⁸¹ and adjacent to neuronal
 454 membranes to isolate single-unit activity, these methods are less viable in chronic spinal cord
 455 recording due to micro- and macro-motion. The signals obtained in most studies are multi-unit and
 456 best described as compound action potentials (CAP), although lower frequency local field potentials
 457 (LFP) have also been correlated with movement kinematics⁶⁵ and bladder pressures¹³⁴. The quality of

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3 458 these recorded signals is paramount to the success of a spinal cord bioelectronic interface and in
4 459 turn, this depends heavily on the electrical properties of the electrode. The ideal electrical properties
5 460 are high conductivity, low impedance combined with material characteristics of flexibility and
6 461 various materials have been used to achieve these goals.

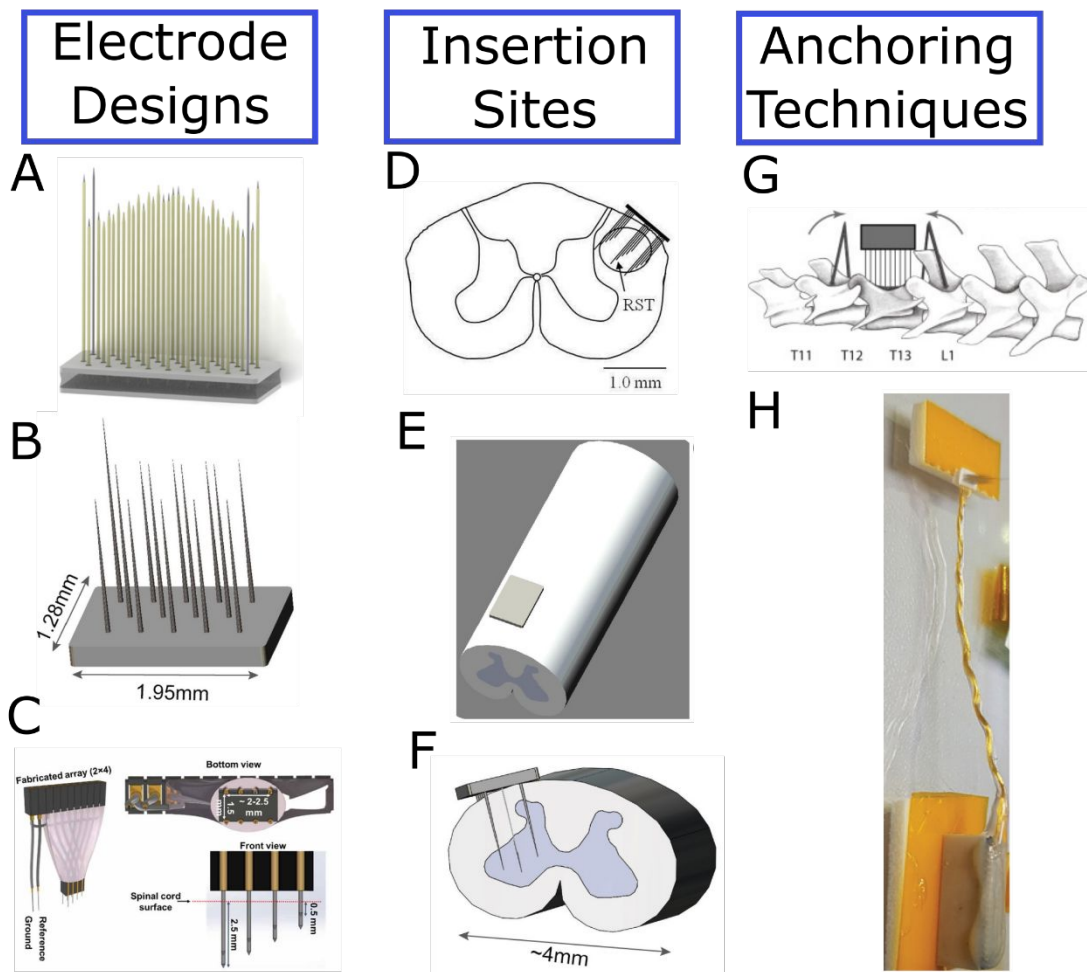
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9 462 The ideal impedance of an electrode depends on its function, whether it is a recording or stimulating
10 463 electrode, the electrode array configuration, the amplifier characteristics, and the level of both noise
11 464 and waveform distortion that is acceptable for a specific application. Generally accepted targets for
12 465 recording electrodes measured at 1kHz lie in the ohm to kilohm range, as they produce acceptable
13 466 SNR levels^{144,145}. However, polytrodes operating in the low megaohm range have been shown to
14 467 have a negligible impact on SNR, providing an appropriate input impedance is used on the
15 468 amplifier¹⁴⁶. Within this range, it may also be important to consider impedance consistency across
16 469 multiple electrodes as variability may lead to waveform modification and downstream signal
17 470 processing problems. Impedance also affects the operation of stimulating electrodes. The two most
18 471 important impedance associated measures of a stimulating electrode are how power efficient the
19 472 system is and whether unacceptably high voltages will cause tissue or electrode damage¹⁴⁷. Again,
20 473 there is an acceptable impedance value range based on the specific application, primarily how much
21 474 charge is being passed through each electrode but usually targeted in the ohm to kilohm range.
22 475 Before designing a device engineers can use the Shannon criteria^{147,148} to estimate the impedance
23 476 needed for an electrode of a particular size to ensure that damaging effects are mitigated.

27 477 Metals were the first materials to be used as neural electrodes due to their excellent electrical
28 478 conductivity although chronic implantation of some metals may lead to chemical dissolution and
29 479 concerns of metal toxicity¹⁴⁹. To avoid this problem and further improve the biocompatibility of the
30 480 electrodes, semiconductors and conductive polymers (CP) have been developed. In particular, CPs
31 481 such as 3,4-ethylenedioxythiophene (PEDOT) can be further doped to allow compensation of holes
32 482 in PEDOT by poly(styrene sulfonate) (PSS) ions¹⁵⁰, creating a PEDOT: PSS material with greater
33 483 electrical conductivity, allowing for superior signal-to-noise recordings¹⁵¹ whilst maintaining the
34 484 flexibility required in conforming electrode. On the other spectrum, carbon-based materials have
35 485 also been developed as electrodes, possessing high tensile strength and stiffness, and have been
36 486 trialed in spinal cord recording¹⁰⁵. For a comprehensive technical review on electrode materials in
37 487 neural interfaces, the reader is referred to the detailed analysis by Wellman et al¹⁵².

41 488 Besides the choice of electrode material, the geometry of electrodes affects their electrical
42 489 characteristics. Ideally, a spinal cord recording device will have decreased electrode contact area to
43 490 increase the selectivity of the recording, however, this comes at the cost of increased impedance¹⁵³
44 491 and thus lower SNR. To overcome this, CPs can be deposited on the electrode surface to decrease
45 492 impedance¹⁵⁴, at the same time increasing the biocompatibility of the electrode due to their
46 493 corrosion resistance¹⁵⁵. It is also ideal to have a large number of electrodes to increase topographical
47 494 resolution¹⁵⁶ and classification accuracy. There, however, appears to be an optimal density of
48 495 electrodes depending on decoding algorithms, as additional electrodes can increase computational
49 496 burden and paradoxically decrease the classification accuracy by introducing noise¹⁵⁷ when observed
50 497 in EEGs. Additionally, electrode density must be balanced with the consideration of electrode size, as
51 498 having a high density may mean smaller contact areas leading to greater impedance and thus lower
52 499 SNR¹⁵⁸. Lastly, larger electrode arrays are associated with more extensive implantation risk especially
53 500 with penetrating electrodes which can cause neural injury¹⁵⁹.

57 501 The design of the electrode array must critically consider its intended area of sampling (see **Anatomy**
58 502 **of Spinal Cord**) as well as downstream repercussions with chronic implantation and long-term
59 503 micromotion. Similar to cortical recording, electrodes in the spinal cord can be classified into

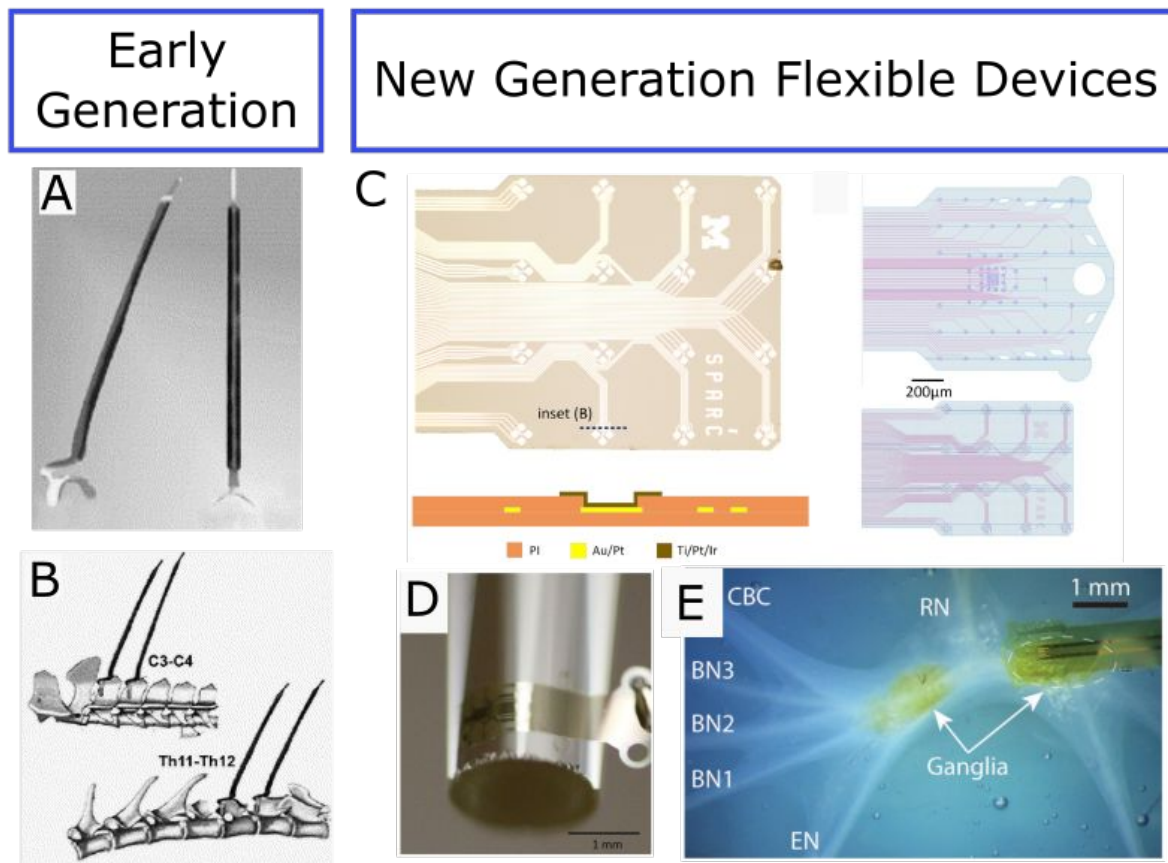
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3 504 intraparenchymal and perineural devices (subdural and epidural), with non-invasive transcutaneous
4 505 devices trialed^{160,161} but demonstrating significantly lower SNR¹⁶² due to noise from paraspinal EMG
5 506 and the thick laminae protecting the spinal cord. Intraparenchymal arrays (Fig. 4) allow for targeting
6 507 of deep structures in the spinal tracts such as inter-neuronal networks¹⁶³ and central pattern
7 508 generators, spinal circuits responsible for producing autonomous rhythmic movements such as
8 509 walking¹⁶⁴⁻¹⁶⁷, although the significance of these circuits in the human are still a source of active
9 510 discussion¹⁶⁸. Implanting an intraparenchymal array, however, comes with attendant risks of neural
10 511 injury even with the decreasing size of electrodes¹⁵⁹. This may be mitigated with nanoscale¹⁶⁹ and
11 512 flexible¹⁷⁰ devices but their insertion would invariably cause some degree of local trauma (see
12 513 **Surgical Considerations**). Alternatively, non-penetrating electrodes (Fig. 5) can be used in the spinal
13 514 cord, similar to ECoG arrays designed for cortical recording, to avoid issues with local tissue trauma.
14 515 This strategy comes at the expense of limiting the areas of the spinal cord that can be sampled,
15 516 although it is propitious that the white matter carrying the major tracts of the spinal cord resides
16 517 peripherally and can be targeted via non-penetrating designs. This is facilitated by soft, pliant arrays
17 518 that minimize the risk of chronic spinal cord deformation¹⁷¹. In using ultra-thin arrays, however,
18 519 clinicians need to be cognizant of the practical considerations of intra-operative handling, as thin
19 520 arrays tend to buckle during insertion¹¹⁶ or may be susceptible to inadvertent damage such as tears.
20 521 In this regard, redundancy in number of electrodes can be built into array design to prevent loss of
21 522 critical sampling areas, similar to bipolar pacemakers with extra electrodes that can be used in case
22 523 the primary electrode fails¹⁷².
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525 *Figure 4: Intraparenchymal SCR designs. The basic components of an intraparenchymal array consist of microelectrodes*
 526 *arranged in a uniform pattern^{109,111} to increase the sampling coverage of a region in the spinal cord (A, B). Electrodes of*
 527 *varying depths can be used to provide additional dimensionality to the sampled area in the spinal cord, such as in the*
 528 *devices described by Fathi et al⁶⁵ (C). Microelectrode arrays have generally been used to target RST and lateral CST, both of*
 529 *which communicate motor signals^{104,109} (D, E). To target areas of the spinal cord beyond the peripheral dorsal white matter,*
 530 *longer electrodes can be used to access the central grey matter, at the risk of greater penetrating trauma¹⁰⁹ (F). Previous*
 531 *techniques have used rigid anchors to hold the arrays in place, although this leads to neural injury and electrode breakage*
 532 *with chronic motion⁹⁸(G). This has led to the development of floating array designs, allowing the array to move with the*
 533 *spinal cord in motion while remaining connected to the digital components through flexible wires¹⁰⁹(H). Figure permissions:*
 534 *(A) reproduced from Debnath et al¹¹¹ under the terms of CC-BY licence. (B,E,F,H) reproduced from Prins et al¹⁰⁹ under an*
 535 *Institute of Physics (IOP) publishing licence. (C) reproduced from Fathi et al⁶⁵ under an Institute of Physics (IOP) publishing*
 536 *licence. (G) reproduced from Berg et al⁹⁸ under Science, Technical and Medical (STM) permissions guidelines.*

537



538

539 *Figure 5: Epineural SCR designs. Early designs used rigid materials to achieve spinal cord recordings but this led to chronic*
 540 *neural injury as well as electrode fracture as described in the electrophysiological experiments using silver epidural*
 541 *electrodes by Ondrejčák et al⁸⁵(A, B). To mitigate the stiffness mismatch, lessons gathered from peripheral nerve and brain*
 542 *interfaces has inspired the designs of flexible electrodes. Sperry et al designed a flexible polyimide (PI)-based electrode array*
 543 *(C) that can curve over the surface of a 2mm diameter rod (D) and conform to neural structures such as the dorsal root*
 544 *ganglia to reduce the risk of chronic neural injury¹¹⁶(E). Figure permissions: (A, B) reproduced from Ondrejčák et al⁸⁵under*
 545 *Science, Technical and Medical (STM) permissions guidelines. (C-E) reproduced from Sperry et al¹¹⁶ under an Institute of*
 546 *Physics (IOP) publishing licence.*

547

548 A key component of an ideal electrode is the insulating layer, blocking unwanted electrical
 549 conduction whilst retaining good adhesion to the underlying electrode. Common insulating elements
 550 include polyimide¹⁷³, parylene^{174,175}, and silicone derivatives¹⁷⁶ with newer insulators possessing
 551 lower stiffness and better biocompatibility. The fabrication process is especially vital as arrays obtain
 552 higher electrode density, as even small defects in insulation can lead to electrical cross-talk¹⁷⁷.
 553 Adhesion of the insulator is especially important in the manufacture of planar arrays via
 554 microlithography, as imperfections may allow ingress of cerebrospinal fluid, leading to delamination
 555 of insulator from the electrode¹⁷⁸.

556 A full-fledged neuroprosthetic used in SCI is not complete without digital subcomponents such as
 557 analogue-to-digital converters and power sources, all of which are subjected to the same biological
 558 and mechanical stresses as the electrode interface. Packaging of these components must be
 559 meticulous to prevent fluid ingress¹⁷⁹ and flexural junctions should be protected to prevent fatigue
 560 failure. Another consideration is how the electrode array is anchored to the surrounding bony

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3 561 structures. We envision that a rigid anchor will not be able to tolerate the movement of the spinal
4 562 cord during physiological movement¹⁸⁰ and thus a floating array may be more suitable¹⁰⁹, although
5 563 this translates to motion along the flexible interconnectors which must be balanced. Wireless spinal
6 564 cord interfaces could reduce device failure at connecting junctions¹⁸¹, although issues in information
7 565 transfer rate and power supply have to be resolved before clinical deployment.

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11 567 **4.2 Material considerations**

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13 568 In long-term implantations, the mechanical characteristics of the electrode get increasingly
14 569 significant in allowing continued recording and preventing neural injury. The Young's modulus (E) of
15 570 the spinal cord is several orders lower than current flexible electrodes¹⁸², with neural tissues
16 571 exhibiting a Young's Modulus in the kPa range, common polymer substrates in the range of 0.5 MPa
17 572 to >1GPa, and ubiquitous conducting metal electrodes exhibiting a Young's Modulus of 10s to 100s
18 573 of GPa¹⁸³. These differences will thus lead to stiffness mismatches between biological tissue and
19 574 electrical interfaces which has been shown to drive biological tissue responses¹⁸⁴ with glial reaction,
20 575 eventually leading to diminished recording capabilities. To compound this difficulty, the spinal cord
21 576 is an anisotropic structure with the grey matter two times stiffer than white matter¹⁸⁵, which means
22 577 that electrodes optimally designed for grey matter recording may prove too stiff for the white
23 578 matter.

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27 579 In chronic implantations, fatigue failure of the electrodes must also be considered¹⁸⁶, although
28 580 advances in flexible materials can address this obstacle adequately¹⁸⁷. Dissolvable materials and
29 581 carrier vessels may help to reconcile the need for adequate stiffness during insertion yet prevent
30 582 chronic mechanical mismatch¹⁸⁸. Additionally, advances in materials such as hydrogels, cross-linked
31 583 polymers that bear mechanical similarities with biological tissue^{189,190}, can potentially decrease
32 584 modulus mismatch complications yet possess the ability to conduct ionically and function in
33 585 bioelectronic recording/stimulating¹⁹¹.

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37 586 Spinal cord bioelectronic interfaces have adopted advances in both brain-machine interfaces and
38 587 peripheral nerve interfaces¹⁹². Whilst it is tempting to consider the mechanical environment of the
39 588 spinal cord to be on a spectrum between the stable brain and mobile peripheral nerve, some
40 589 characteristics are specific to the spinal cord. The spinal cord resides in a dynamic environment with
41 590 movement within the subarachnoid space attributed to vascular pulsations⁴², eccentric positioning⁴³
42 591 and physiological flexion¹⁸⁰. In addition, unlike peripheral nerves which can be mobilized safely and
43 592 are resistant to a minor injury, the spinal cord is particularly sensitive to trauma. Thus, strategies
44 593 such as Flat Interface Nerve Electrode¹⁹³ cannot be safely considered in the spinal cord as it relies on
45 594 compressing the nerve to gain proximity and maximize spatial resolution, whilst the adoption of
46 595 nerve cuff designs must be approached with caution to prevent chronic spinal cord constriction.
47 596 When designing devices that can last the lifetime of the patient, we must also be cognizant of the
48 597 changes in spinal cord dimensions with age¹⁹⁴ that can affect the precise location of electrodes,
49 598 although emerging stretchable neural interfaces may help adapt to these changes¹⁹⁵.

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54 600 **4.3 Patient selection**

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57 601 As exploratory bioelectronic devices in SCI transit to early human trials^{196,197}, we anticipate that
58 602 neuroprosthetics will gain widespread acceptance as a standard in SCI management in the near
59 603 future. Once the technology and accompanying evidence start to mature, it will become imperative

604 for clinicians to select the right individuals for this treatment to maximize clinical outcomes. The
605 factors to consider can be divided into disease, patient and institutional factors.

606

607 Disease factors

608 SCI is a heterogenous condition, with varying levels and completeness of injury according to the 5
609 grade American Spinal Injury Association (ASIA) Impairment Scale¹⁹⁸ (AIS), with AIS A indicating a
610 complete SCI with no sensory or motor function below the level of injury and AIS E referring to intact
611 neurological function. Individuals with AIS C-E SCI have a good prognosis, with 70-90% predicted to
612 regain ambulatory capacity^{199,200} and the risk of implantable neuroprosthetics may not outweigh the
613 benefits, although this group have demonstrated good response to spinal cord interfaces in
614 rehabilitation⁹. The cost-benefit ratio of neuroprosthetics is much more significant in individuals with
615 AIS A/B SCI, as their grade of injury is unlikely to improve especially after 3 months²⁰¹ and less than
616 10% are expected to walk at 1 year²⁰².

617 The level of injury is another major predictor of SCI functional outcomes as it dictates the motor
618 units preserved, with mid-thoracic injured individuals depending on wheelchair and 5th cervical
619 segment injured patients losing independence in activities of daily living²⁰³. More importantly,
620 individuals with upper cervical injuries lose the function of respiratory muscles and depend on long-
621 term ventilators²⁰⁴. This presents issues with motor rehabilitation capacity and the priority for these
622 individuals may instead be neuroprosthetics that can electronically pace the diaphragm, weaning
623 them off ventilators²⁰⁵. Additionally, SCR may not have a viable surgical target in high cervical injury
624 and motor volition may need to be extracted from the cortex.

625 The duration following SCI and neuroprosthetic intervention is also key in choosing individuals who
626 have the best chance of improvement. Given that a small proportion of individuals do improve from
627 an AIS A/B injury²⁰¹, it is reasonable for clinicians to observe the plateauing of functional progress
628 before offering neuroprosthetic implantation surgery²⁰⁶. With better acceptability and clinical
629 evidence of neuroprosthetic success in the future, however, individuals with AIS A/B injury may be
630 willing to undergo spinal cord neural interface implantation at the time of surgery to reduce the risk
631 of secondary spine surgery. Muscle atrophy sets in rapidly after SCI, with individuals losing 18-46% of
632 muscle cross-sectional area 6 weeks after injury²⁰⁷. This is of great concern to clinicians, as the
633 success of potential spinal cord bioelectronic bypass devices depends on functioning motor units to
634 restore motor function. Fortunately, long-term studies have found that muscle atrophy stabilizes in
635 individuals with SCI at 14-20 years of follow-up²⁰⁸. Further, with recognition of the role of
636 rehabilitation in spinal cord injury²⁰⁹ in preserving muscle volume²¹⁰, coupled with the use of
637 exoskeletons to preserve ambulatory motor memory²¹¹, we believe that duration after SCI should
638 not be a strict contraindication to neuroprosthetic implantation and that an individual assessment of
639 potential motor recovery will be more appropriate. Likewise, the age of the patient should not
640 preclude potential recipients of neuroprosthetics, as older individuals can improve similarly with
641 rehabilitation, albeit at a slower pace¹⁹⁹. Additionally, individuals with SCI develop debilitating joint
642 contractures²¹² that will compromise movement recovery, necessitating regular physiotherapy
643 sessions for passive range-of-motion exercises and appropriate anti-spasmodic injections²¹³.

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645 Patient factors

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3 646 The selection of an ideal candidate will be essential to the initial success of neuroprosthetics in SCI,
4 647 and it is usually with the maturation of the clinical use of a novel medical device that the selection
5 648 criteria can be expanded. The recent human pilot trials⁹⁻¹¹ describe an intense rehabilitation
6 649 schedule, with participants committing up to 5 days a week over 43 weeks to achieve the desired
7 650 response. Adherence to such a regime requires highly motivated individuals who can persevere
8 651 despite the near 50% incidence of mental health issues following SCI^{214,215}, likely with the help of
9 652 facilitative socioeconomic conditions²¹⁴. Besides the psychological demands, clinicians need to assess
10 653 the physical fitness of candidates, as SCI is associated with increased cardiovascular²¹⁶ and
11 654 respiratory²¹⁷ complications that can worsen with overexertion.

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13 656 Institutional factors

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16 657 The management of a SCI patient requires a multidisciplinary effort²¹⁸ and this will be compounded
17 658 with the mainstream use of neuroprosthetics. The acute implantation will require a surgeon who
18 659 understands the downstream effects of implantation trauma and poor sampling, working in concert
19 660 with a neuromonitoring team who can communicate accurate placement of devices intraoperatively.
20 661 Post-operatively, the patient will need to work with rehabilitation therapists as well as signal
21 662 processing scientists to decode the patient's intended movements. Lastly, the team needs to work in
22 663 an environment equipped with real-time electrophysiological monitoring, gravity-assist devices for
23 664 effective rehabilitation and a video-capture set-up for monitoring progress. Implanted
24 665 neuroprosthetics is capital and labour-intensive endeavour, though healthcare policymakers need to
25 666 understand that even preliminary reports on neuroprosthetics demonstrate good health care
26 667 value^{219,220}.

27 668

28 669 **4.4 Surgical Considerations**

29 670 Even before accessing the spine, implanting neuroprosthetics require individuals to be under general
30 671 anaesthesia to allow for safe surgical exposure. However, anaesthetic agents perturb neurological
31 672 activity²²¹ and the choice of an optimal agent and dose is vital especially if position of the electrodes
32 673 is confirmed via intraoperative electrophysiology. Whilst urethane is commonly used in small animal
33 674 electrophysiological studies, its use is precluded in humans due to its carcinogenicity²²². It is likely
34 675 that an experimental procedure involving neuroprosthetic implantation will follow current
35 676 guidelines in neuromonitoring for neurological surgery and that Total Intravenous Anaesthesia may
36 677 be preferred due to the ability to rapidly titrate the depth of anaesthesia when intra-operative
37 678 electrophysiological implant placement checks are required²²³.

38 679 The spinal cord is a deep structure, protected by layers of skin, subcutaneous tissue, paraspinal
39 680 muscle and bony laminae. Spine surgeons are adequately trained to avoid risks of spinal cord injury,
40 681 haemorrhage during exposure, but haemostasis must be even more meticulous as blood can
41 682 adversely affect the quality of implant performance. Depending on the intended design of the
42 683 electrode array, the dura may require incisional exposure, and it is worth noting that the human
43 684 cerebrospinal fluid under the dura occupies a greater relative volume than small animals and may
44 685 perturb signal recording due to their conductive effects³⁹. Also, implants that breach the blood-brain
45 686 barrier may lead to local ischemia and infiltration of neurotoxicity and pro-inflammatory factors that
46 687 degrade electrode performance²²⁴.

688 The next challenge is accurate placement of intraparenchymal electrodes whilst avoiding the rich
689 peri-spinal capillary network²²⁵, a delicate procedure that would certainly be performed under
690 microsurgical guidance, although emerging approaches by Neuralink are exploring the use of
691 robotics and advanced imaging to better identify and avoid blood vessels for accurate and safe
692 electrode placement²²⁶. To prevent local vertebral motion accelerating electrode failure, adjacent
693 vertebrae can be surgically fused. The surgeon would also need to decide on a means of anchoring
694 the device and protecting these electronic devices with specialized housing.

695 Strict antiseptic protocols must be adhered to, as any infection of such an experimental
696 neuroprosthetic device would be devastating²²⁷. Risks associated with surgical exposure may be
697 mitigated with minimally invasive techniques developed for existing spinal cord stimulators^{228,229},
698 especially when coupled with minimally-invasive expandable neuroprosthetics to allow greater
699 coverage of recordings through smaller incisions²³⁰. Nevertheless, surgeons implanting these novel
700 devices must consider the technical aspects of implant removal which may be needed for infection,
701 device failure or for reasons such as Magnetic Resonance Imaging incompatibility²³¹. The basic
702 principles of revision spine surgery can be applied, understanding that scar formation can distort
703 normal anatomy and that this process occurs at the electrode-tissue interface and that improper
704 removal techniques can cause harm to underlying neural tissues. In this respect, non-penetrating
705 devices may be more easily explanted without causing damage to underlying tissues.

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707 **4.5 Signal Acquisition and Processing**

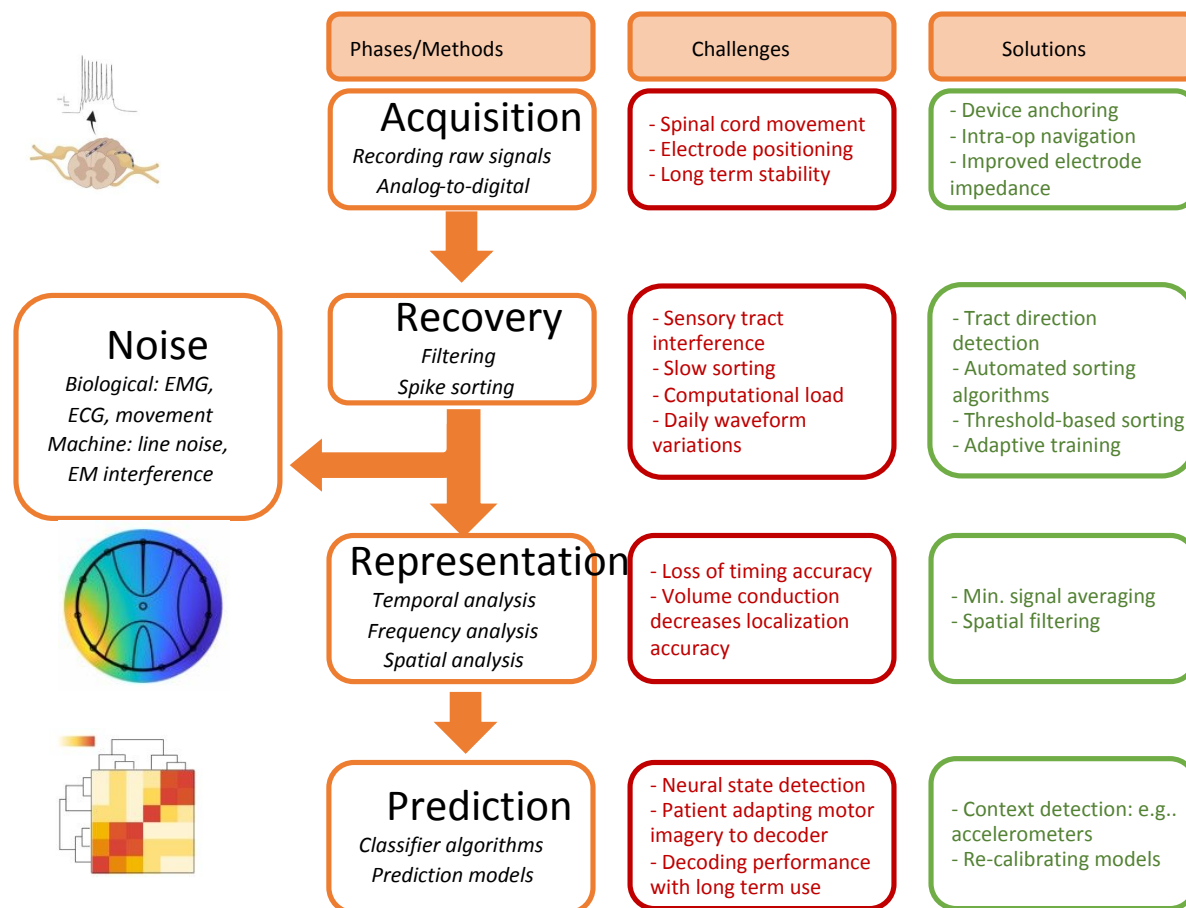
708 Whilst recording of evoked potentials in an acute, non-recovery animal model is well-
709 established^{232,233}, the challenge of securing stable, chronic recordings in a behaving animal or human
710 is increased exponentially and is a function of many variables, some of which are beyond the
711 clinicians' control. Even before acquiring the signal, the selection of spinal cord level at which to
712 record signals is imperative to the success of stable chronic recording. Whilst the dieback of axons in
713 SCI is limited to millimetres⁶⁷, the clinician must be cognizant of other pathological processes that
714 may impede the survival of proximal axons. SCI in humans is characterized by cystic degeneration²³⁴,
715 similar to that observed in rat models²³⁵. In some individuals with SCI, this degeneration follows an
716 ascending course, leading to further functional impairment²³⁶. To complicate the clinical assessment,
717 syringomyelia, in which a fluid cleft forms in the spinal cord, is associated with SCI and may lead to
718 rapidly deteriorating sensory and motor function that, in certain cases, require surgical alleviation.
719 Ideally, recording just above the site of SCI would provide the greatest volume of neural information,
720 but these complex post-traumatic complications affect the suitability of recording adjacent sites in
721 SCI. Fortunately, the advances in imaging have provided a high fidelity means to assess these
722 complications²³⁷ and it will be important that any SCI patient receiving a recording implant
723 undergoes pre-operative imaging to acutely define the extent of SCI and surrounding areas of injury.
724 Imaging can be further enhanced with intraoperative localization techniques^{238,239} to confirm that
725 the right level of device implantation is performed.

726 The principles of neural signal processing²⁴⁰ can be applied to spinal cord recording, although there
727 are unique challenges accompanying each phase of processing (Fig. 6). In the signal acquisition and
728 recovery phase, recording from the spinal cord has the advantage of being insulated from paraspinal
729 muscle EMG artefacts due to the surrounding bony structures. However, other biological sources of
730 artefacts such as echocardiograms (ECG) and vessel pulsations are likely to persist²⁴¹. More
731 prominently, the displacement of the spinal cord during physiological flexion¹⁸⁰ is likely to generate
732 movement artefacts which must be accounted for when filtering the signals. To better minimize

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3 733 these sources of noise, neural devices require a stable interface with the spinal cord which may
4 734 require anchoring to the dura. The housing for the electrical assembly should also serve to minimize
5 735 external sources of noise as even the leads and cables are susceptible to external noise²⁴². Bandpass
6 736 filtering has been used in most of the chronic animal studies with the lower band filtering ECG,
7 737 power supply noise whilst the upper band can address spikes from unintended changes in contact of
8 738 the bioelectronic interface, especially with non-penetrating electrodes. When interpreting motor
9 739 signals, however, caution must be applied in filtering signals as the process alters the temporal
10 740 accuracy of the signals²⁴³, leading to movement that does not respond to volition in an
11 741 appropriately-timed manner.

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14 742 As described (see **Anatomy of Spinal Cord**), the overlap between ascending and descending tracts in
15 743 the lateral column can pose a significant challenge when recording motor signals. This issue was
16 744 highlighted by Prasad et al¹⁰¹, severing the overlying spinocerebellar tract to eliminate sensory
17 745 activity in the recordings. Despite this, the presence of multiple peaks and observing the
18 746 directionality of electrode activation indicated that ascending fibres were still activated, reducing the
19 747 accuracy of motor decoding. This was subsequently addressed by the authors by choosing a
20 748 reference electrode away from the spinocerebellar tract¹⁰³. With improved multimodal spike-sorting
21 749 algorithms²⁴⁴, morphological characteristics of motor signals could potentially be extracted and
22 750 isolated to reduce sensory contamination.

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753 *Figure 6: Basic phases in spinal cord neural processing, challenges and possible solutions. Challenges can be encountered*
 754 *with each phase of signal acquisition and decoding. Importantly, stability of decoding performance with long term use of*
 755 *spinal cord recordings has not been demonstrated yet.*

756 The next challenge is the accurate representation and decoding of spinal cord signals. Spike sorting
 757 can be performed to extract signals of interest, with automated spike sorting algorithms being
 758 especially accurate^{245,246} in cortical recordings. However, spike sorting may be computationally
 759 intensive and threshold detection may be a more efficient method of identifying signals of
 760 interest²⁴⁷. The computational intensity will be more relevant as the number of recording channels
 761 increases. To discern the motor signals of interest from noise generated by sensory signals,
 762 spatiotemporal patterns can be studied since the sensory and motor fibres have varying conduction
 763 velocities and spatial representation in the spinal cord, extending concepts from similar decoding in
 764 peripheral nerves²⁴⁸. More importantly, to function well over the course of a patient's daily
 765 activities, the device must decode the signals in the context of activity, whether the patient is at rest
 766 or performing a task²⁴⁹. This is especially practical during sleep states, where dreamed activity have
 767 been demonstrated to elicit sensorimotor cortex signals²⁵⁰, although whether this activity is
 768 transmitted downstream to the spinal cord or inactivated in the midbrain is still not established.
 769 Integrating accelerometer data with recorded signals has been suggested as an adjunct to
 770 differentiating between resting and active states²⁵¹.

771 It is important to note that signal processing in clinical use devices will not be a static process and
 772 that it is difficult to track the activity of a specific population of neurons chronically as evident in
 773 studies on cortical motor neurons²⁵². This problem may be compounded by the increased movement

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3 774 in the spinal cord and inconsistencies in electrode contact. User feedback may help to alleviate this
4 775 issue with re-calibration of the decoder during each training session if operated in a closed-loop
5 776 fashion. However, when training is applied in an open-loop manner, it has been suggested that the
6 777 user may respond in anticipation to what he thinks the decoder requires, leading to changes in the
7 778 acquired neural features^{34,253}. A re-calibrating training model proposed by Gilja et al⁶² incorporates
8 779 the user's intention to complete task goals with adaptive decoding to improve prediction, leading to
9 780 stable signal representation over time.

12 781 Signals recorded from the spinal cord must be able to predict the users' intended movement and
13 782 generate corresponding control signals for the stimulating devices. Spinal cord recordings have been
14 783 demonstrated to predict for limb position¹⁰⁴, motor force¹⁰⁶ and EMG¹⁰⁷ with correlational
15 784 coefficients ranging from above 0.7 for limb prediction to 0.67 for limb forces. Whilst this degree of
16 785 correlation is commendable for animal trials, we envision that use in humans requires a much higher
17 786 prediction accuracy, possibly with the training of robust machine learning algorithms²⁵⁴. Relating to
18 787 implementing closed-loop spinal cord bioelectronic bypass, the information transfer rate of control
19 788 signals is also an area of academic debate, with rates of 6.5 bits/second achieved by current
20 789 neurotechnology²⁵⁵, although Lebedev et al argue that information transfer rate should not be the
21 790 ultimate matrix in assessing the success of neural interfaces²⁵⁶. It is also important that the decoding
22 791 performance remains stable over the long term which has been demonstrated in cortical-based
23 792 interfaces²⁵⁷ but has yet to be shown in spinal cord recording devices.

27 793 Wireless devices increase patient acceptability⁵ and are likely to be the model for human
28 794 implantation. Fortunately, improvements in power capacity have enabled the use of wireless neural
29 795 recording devices^{258,259}, without compromising the bandwidth of transmitted data²⁶⁰. Even with
30 796 advances in wireless charging technology, however, deterioration in battery capacity in the long
31 797 term must be anticipated. This is seen in clinical-use deep brain stimulator devices²⁶¹ and the future
32 798 need for surgical procedures to change the battery of spinal cord bioelectronic bypass devices must
33 799 be explained to prospective individuals.

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38 801 **4.6 Biological considerations**

40 802 Beyond acute biological reactions of metal hypersensitivity²⁶² and infections, the clinician implanting
41 803 neural interfaces must be aware of long-term implant-related complications that can compromise
42 804 chronic recording, through a comprehensive understanding of the underlying cellular processes that
43 805 occur after a foreign body is introduced into neural tissue. The sequence of these biological
44 806 processes is similar to the body's wound healing response^{263,264}, although there are differences
45 807 specific to the cellular response of neural tissue injury^{265,266}, termed foreign body reaction (FBR). The
46 808 acute response is initially characterized by cellular injury and haemorrhage from disruption of the
47 809 blood-brain barrier, mediated by astrocytes and microglia interacting with cytokines as well as a
48 810 coagulation response activated by platelets and plasma proteins. The extent of this phase is a
49 811 function of insertion trauma as well as electrode tip morphology and dimension¹⁵⁹, with slow
50 812 insertion shown to improve quality of recordings²⁶⁷ due to increased neuronal survival along the
51 813 insertion track. The use of image guidance to avoid blood vessels can also limit the haemorrhagic
52 814 response²⁶⁸, whilst incorporating surgical robots could enhance the precision of implantation and
53 815 reduce the creation of false tracts²²⁶.

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58 816 Following the acute trauma, increased capillary permeability and chemotactic factor release permit
59 817 the recruitment of neutrophils able to release degradative enzymes to remove debris. This results in
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3 818 a challenging environment for biocompatibility, as pH can be as low as 4²⁶⁹. The impact of the acute
4 819 inflammatory phase can be potentially reduced with the use of non-penetrating electrodes²⁷⁰,
5 820 although the foreign body reaction still exists and could lead to a layer of fibrosis underlying the
6 821 array²⁷¹.

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9 822 The acute phase transits over a week into a chronic inflammatory phase with alterations in cellular
10 823 milieu, with astrocyte and reactive glial activity increasing, depositing extracellular matrix around the
11 824 implant. The course of the acute phase can be dependent on the stability of the electrode as well as
12 825 its stiffness²⁷², especially since micromotion is unavoidable in any chronic application and stiff
13 826 electrodes will incite a greater degree of ongoing neural injury compared to soft materials. The glial
14 827 response extends beyond encapsulation, as more recent research has demonstrated that it can
15 828 influence protein phosphorylation and lead to local neurodegeneration²⁷³, further deteriorating the
16 829 long-term recording capability of electrodes. The impact of glial scarring and signal recording
17 830 impedance is a complex interaction between cellular and mechanical processes and still not yet
18 831 completely understood²⁷⁴. It is known that a mismatch in stiffness of electrodes and neural tissue is a
19 832 driver of persistent foreign body reaction, which can be minimized with the use of flexible
20 833 electrodes^{187,275}. To balance the technical difficulty of inserting a soft flexible electrode with
21 834 consideration of long term stiffness mismatch, dissolvable insertion shuttles have been proposed,
22 835 allowing precise control during insertion yet avoiding long-term issues of stiff electrodes²⁷⁶. Of
23 836 greater concern, the FBR can be exuberant in rare cases, leading to mass compression of the spinal
24 837 cord which has been reported in stimulators implanted for pain control²⁷⁷. The eventual formation of
25 838 fibrotic tissue as a consequence of FBR also leads to degradation of recorded signals due to
26 839 increased impedance²⁷⁸ and loss of selectivity in both stimulation and recording. The fibrotic tissue
27 840 can however be useful in spinal cord intraparenchymal electrodes, where it can serve to anchor the
28 841 position of the devices²⁷⁹.

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33 842 Strategies to minimize the biological foreign body reaction can target the cellular mediators of
34 843 inflammation and fibrosis. Whilst factors such as electrode coating with hydrogels²⁸⁰ and roughness
35 844 can influence the degree of inflammatory response, the use of smooth microgel coatings on its own
36 845 is not sufficient to modulate foreign body reaction²⁸¹ and anti-inflammatory agents may be required.
37 846 Dexamethasone, a synthetic steroid with anti-inflammatory properties, has been used in the coating
38 847 of electrodes^{282,283} and flexible substrates²⁸⁴, reducing the thickness of glial encapsulation²⁸⁵. Besides
39 848 dexamethasone, non-steroidal anti-inflammatory agents such as aspirin have also been shown to
40 849 decrease foreign body reaction²⁸⁶, although the use of these agents must be balanced with the
41 850 increased risk of bleeding especially in the early post-traumatic period following SCI²⁸⁷. Following the
42 851 success of cytokine modulators in human autoimmune disease, Interleukin-1 receptor antagonists
43 852 have been used with conductive polymer coatings to create sustained-release anti-inflammatory
44 853 properties²⁸⁸, showing reduced cell adhesion, although it remains to be seen whether this translates
45 854 to improvements in chronic neural recording.

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50 855 Foreign body response can also be modulated downstream of the inflammatory cascade by using
51 856 anti-fibrotic agents. Transforming Growth Factor-beta and its downstream pathways feature in
52 857 fibroblastic activation and present a target for modulation²⁸⁹. Recently, colony-stimulating factor-1,
53 858 implicated in the differentiation of macrophages, has been identified as a target for inhibition and
54 859 limiting the fibrotic response²⁹⁰. We must be cognizant, however, that the fibrotic response differs in
55 860 cortical and spinal cord neural tissue versus other tissues in terms of cellular response²⁹¹ as well as
56 861 the extracellular matrix, most notably with the absence of collagen deposition²⁹². Addressing the
57 862 fibrosis alone without understanding the adjacent neurodegeneration caused by the fibrotic
58 863 process²⁷³ will not resolve the chronic deterioration²⁷³ in spinal cord signal acquisition.

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3 864 By incorporating cell transplantation on to electrode interfaces, biohybrid implants²⁹³ can serve as a
4 865 bridge between the host neural tissue and the foreign electrode interface. These biohybrid implants
5 866 can minimize FBR through a stable cell-electrode interface that prevents degradation by the host
6 867 immune response, leading to increased peri-implant neuronal survival for better chronic
7 868 recording²⁹⁴. In addition, the double interface between cell-electrode and host tissue-cell promotes
8 869 better integration of the electrode with cells that are directed by chemotactic factors to grow into
9 870 the surrounding host tissue, thereby also reducing acute modulus mismatches between host tissue
10 871 and electrode. Biohybrid devices can be created via direct seeding of neural stem cells onto the
11 872 electrode surface²⁹⁵, although early studies demonstrated more than 90% cell viability loss post-
12 873 implantation. Alternatively, cultured neurons can be carried within degradable hydrogels and
13 874 conductive polymers²⁹⁶, with the degradation of the hydrogel reducing stiffness with time yet
14 875 allowing high initial neuron survival rates. This technology, though promising, is still in its infancy and
15 876 challenges regarding cell adhesion, survival and autoimmune reaction will have to be overcome
16 877 before clinical use.

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22 879 **4.7 Translating from preclinical to clinical studies**

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24 880 Acquiring knowledge from the anticipated challenges in clinical SCR will aid the design of robust
25 881 preclinical studies. Whilst pioneering work by Prasad¹⁰⁴, Fathi⁶⁵ and colleagues have provided initial
26 882 data on the viability of SCR in decoding limb kinematics, more preclinical work needs to be done to
27 883 evaluate the safety and efficacy of potential SCR-based neuroprosthetics before pilot clinical trials.
28 884 Fortunately, the development of these devices can parallel the preclinical investigation phases used
29 885 by previous neural interfaces summarized in a review by Shepherd et al²⁹⁷, and it is important to
30 886 note that ongoing feedback from preliminary results is vital to allow the continual advancement of
31 887 device prototypes.

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33 888 Regardless of the choice of device material, in vitro safety and reliability has to be demonstrated.
34 889 The electrodes used should undergo tensile tests to demonstrate its stability in a dynamic
35 890 environment and impedance characterization to document long term electrical stability²⁹⁸.
36 891 Evaluation of devices using accelerated ageing protocols are also useful to extrapolate the long-term
37 892 degradation of devices²⁹⁹. The development of quality standards for SCR devices can be aided by
38 893 definitions provided in International Organization for Standardization (ISO) documents such as ISO
39 894 10993-1³⁰⁰ "Biological evaluation of medical devices".

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44 895 In vivo studies are essential in preclinical testing of neural interfaces and the selection of an
45 896 appropriate animal model is important. Previous animal models used have included rats¹⁰⁴, cats⁶⁵
46 897 and non-human primates¹⁰⁹, and whilst these animal models have been established as models for
47 898 SCI³⁰¹, specific anatomical differences such as in the location of spinal cord tracts have to be
48 899 accounted for in preclinical testing³⁰². The safety and efficacy of SCR devices can be broadly
49 900 evaluated in acute and chronic settings, and several key features must be demonstrated. Firstly, the
50 901 spinal cord is susceptible to iatrogenic injury, and neuromonitoring during insertion of experimental
51 902 SCR devices in animals may help detect and mitigate this risk³⁰³. Secondly, SCR devices must
52 903 demonstrate the ability to record neural signals in the acute setting, which can be validated by
53 904 intraoperative stimulation of the motor cortex³⁰⁴. The more pressing challenge, however, is the
54 905 demonstration that reliable recordings can be achieved in the chronic setting, ideally throughout the
55 906 lifespan of a patient with SCI. Whilst Prasad et al demonstrated that SCR signals can be used to
56 907 decode forelimb movement up to 3 months post implantation¹⁰⁴, the initial data suggests that signal
57 908 amplitude begins to deteriorate at 4 weeks post implantation and is attributed by the authors to the

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3 909 formation of reactive scar tissue around the electrodes. Additionally, the quality and stability of SCR
4 910 signals is further complicated by possible reorganization of local spinal motor circuits above the level
5 911 of injury⁵³ and the long term stability of SCR signals has yet to be demonstrated by in vivo models,
6 912 for durations longer than 3 months. Last but not least, the biological safety of implanted SCR device
7 913 must be demonstrated with both behavioural data describing locomotor deficits and
8 914 immunohistochemistry to characterize the degree of glial reaction and astrocyte activation in and
9 915 around the spinal cord²⁷⁷.

12 916 Concurrently, SCR device prototypes can be developed for clinical use through human cadaveric
13 917 studies. These studies are critical to adapt implants to specific human spinal cord dimensions³⁰²,
14 918 review the surgical techniques as well as anticipate possible anatomical obstacles to device
15 919 implantation. Prior to clinical testing, cadaveric studies are also essential for surgeons to revise the
16 920 operative procedures, especially for such experimental devices to increase the margin of safety³⁰⁵.

19 921 Given that the development of SCR devices is still in its infancy, we are aware of only one registered
20 922 clinical trial by Borton et al using bi-directional epidural electrical stimulation to record and stimulate
21 923 the spinal cord in patients with SCI¹⁹⁶. However, adapting existing spinal cord stimulator devices
22 924 implanted for pain in existing patients to perform a recording function, proof-of-concept recording
23 925 studies have been performed⁶³ in clinical patients⁶³.

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27 927 **4.8 Ethical and regulatory considerations**

29 928 As high fidelity neuroprosthetics make the leap from science fiction to clinical use, the ethical issues
30 929 surrounding the ability to interact with neural systems will gain greater prominence as it enters
31 930 public discourse. Chiefly, ethicists are concerned about brain-machine interfaces, as it provides an
32 931 unprecedented ability to “read” a person’s mind, and perhaps even more concerning, the ability to
33 932 “write” data and introduce new memories. These concerns are further reinforced by recent studies
34 933 connecting multi-brain neural interfaces³⁰⁶ to show the ability to communicate decisions with neural
35 934 interfaces, prompting the Neurotechnology Ethics Taskforce to release guidelines for the responsible
36 935 development of neurotechnologies³⁰⁷. In this regard, SCR has the additional advantage of better
37 936 societal acceptance, as recording from the spinal cord will not violate a patient’s cognitive privacy.
38 937 Nonetheless, neuroprosthetics has a profound potential to change an individual’s idea of self³⁰⁸, as
39 938 seen in individuals with Parkinson’s disease who become entirely dependent on their Deep Brain
40 939 Stimulator devices to establish their identity^{309,310}. Lessons can be learnt from the Freehand System
41 940 (NeuroControl Corporation, Valley View, OH, USA) which demonstrated the ability to improve grasp
42 941 function in C5-C6 lesion tetraplegic individuals³¹¹. Scientific success is, unfortunately, no guarantee
43 942 for financial viability as a product and support for the device ceased, leaving previously satisfied
44 943 individuals the unfortunate experience of being “paralyzed again” when they do not have a
45 944 consistent manufacturer to service their prosthetics³¹².

50 945 While neuroprosthetics promise a revolution in the treatment of SCI, the potential risks with these
51 946 novel devices is unclear and regulatory agencies have a duty to protect individuals from
52 947 unacceptable risks. Besides the challenges raised in this review, there are yet unknown side effects
53 948 with longer implantation of spinal cord interfaces, including intractable pain from electrical
54 949 discharge that cannot be rectified, as well as deficient data on long-term effectiveness, and
55 950 enhancements have been suggested to the Federal Drug Administration’s existing processes³¹³ to
56 951 introduce stringent post-market surveillance for neuroprosthetic devices. Recognizing the need for
57 952 specialized regulation, the FDA has released a draft guidance document specifically for Brain-

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3 953 Computer Interfaces, setting standards for device components such as leads, connectors, electrodes
4 954 and batteries³¹⁴. At the same time, neuroprosthetics could represent the only opportunity for
5 955 individuals with SCI to regain function with no suitable alternatives, and this is where programmes
6 956 such as the FDA Breakthrough Devices Designation allow an opportunity for regulatory issues to be
7 957 efficiently addressed and the access to market expedited safely³¹⁵.

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10 959 **5.1 Vision: Spinal cord bioelectronic bypass**

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13 960 The confluence of advances in flexible multielectrode interfaces, signal decoding and biological
14 961 modulation has enabled recording from neural interfaces at an unprecedented scale and duration.
15 962 Next-generation SCR devices will incorporate soft, flexible electronics³¹⁶ to minimize the degree of
16 963 insertion trauma as well as chronic stiffness mismatches. The emergence of PEDOT as a low
17 964 impedance coating³¹⁷ will improve signal acquisition while its flexible characteristics allow for arrays
18 965 that can conform to the spinal cord surface. The acquired motor volition signals can be decoded,
19 966 either via thresholding or spike sorting methods, as control signals for downstream spinal cord
20 967 stimulators, allowing for the implantation of closed-loop spinal cord bioelectronic bypasses.
21 968 Advances in power distribution and wireless charging²⁵⁸ will also increase the acceptance of these
22 969 devices as viable treatment options for SCI.

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26 970 We believe that direct spinal cord bioelectronic bypass has potential as a neuroprosthetic treatment
27 971 strategy in patients with SCI, decoding the rich motor information from multiple volitional and
28 972 regulatory circuits in the brain. At the same time, this strategy avoids the need for cranial surgery
29 973 and the problems with cortical motor recording such as distributed representation. There are also
30 974 advantages over current open-loop neuromodulation approaches¹⁰ or closed-loop devices triggered
31 975 by inertial moment units⁹ as SCR can potentially translate the patient's motor volition into
32 976 movement command signals based on animal studies^{65,104}, leading to physiological restoration of
33 977 motor intent. This potentially creates a low-latency communication between motor intention and
34 978 actuated movement, which can improve rehabilitation outcomes³¹⁸ and influence cortical
35 979 reorganization to compensate for motor impairments³¹⁹.

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39 980 For spinal cord neuroprosthetics to be safely used in patients who are interacting with the
40 981 environment, the ability to stop movement in response to dangers such as passing vehicles is crucial.
41 982 Ideally, these stop signals should have a minimal error rate of environmental threat detection and
42 983 incorporate inputs from internal and external sources to respond to various threats. Whilst Prasad
43 984 and Sahin have demonstrated that movement timing can be decoded from SCR¹⁰³, the error rate of
44 985 SCR in decoding motor states has not been objectively studied or developed for it to solely function
45 986 as stop signals in neuroprosthetics for motor restoration. Until a robust strategy to generate stop
46 987 signals can be developed, users of neuroprosthetics for motor restoration should limit their activities
47 988 to safe environments, relying on user-controlled interfaces such as smartwatches³²⁰ to start and stop
48 989 movement algorithms.

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52 990 Beyond transmission of volitional motor intention, spinal cord bioelectronic bypass approaches have
53 991 the potential to become bidirectional devices, as ascending sensory signals can similarly be decoded
54 992 and stimulated above the site of injury. The restoration of touch represents another goal of
55 993 neuroprosthetics in SCI and recent brain interfaces have demonstrate the ability to evoke cortical
56 994 sensory areas to provide feedback to stimulated hand grip³²¹. Similar to the complexity of cortical
57 995 motor circuits, there is increasing interest in the multisensory integration that occurs in the brain³²²
58 996 as well as the diverse areas involved, implying that simple electrical stimulation of a select sensory

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3 997 area may not be able to represent the sensory spectrum ranging from fine touch to temperature. In
4 998 the spinal cord, the dorsal column is topographically distinct from the spinothalamic tract, providing
5 999 an opportunity for separate bioelectronic bridging of these sensory modalities for a more complex
6 1000 representation of peripheral touch. The ability to record proprioceptive information will also provide
7 1001 an additional dimension to the prediction of limb position for accurate sensorimotor control, aided
8 1002 by the use of neural networks to improve our understanding of the sensorimotor interactions in
9 1003 behaviour generation³²³. Incorporating sensory feedback into efferent motor activity requires
10 1004 complex computations³²⁴ that take into account the conduction delay in sensorimotor conduction,
11 1005 as well as uncertainties present in sensory interaction with the environment. Additionally, the
12 1006 transformation of the descending motor command to endpoint movement undergoes a nonlinear
13 1007 process, affected by muscle properties, position and tendon properties³²⁵.

14 1008 Given the parallel advances in targeted spinal cord stimulation^{9,10,326} restoring motor function in
15 1009 human trials, we contemplate the reasons why control signals generated from SCR devices have not
16 1010 been coupled with spinal stimulation to functionally bypass SCI in animal models, by evaluating the
17 1011 implementation of brain-spine interfaces. The group led by Gregoire Courtine has demonstrated that
18 1012 rehabilitation with epidural spinal stimulation (ESS) modulated by cortical signals improved the
19 1013 functional outcomes in rat³²⁷ and primate³²⁸ models. In particular, the use of cortical activity to time
20 1014 an ESS sequence improved gravity-assisted locomotion and even reducing fall events in simulated
21 1015 staircase climbing³²⁸. We believe a key component to the success of this strategy is the low latency
22 1016 between cortical signals achieved at 5ms, allowing the transmission of brain-spine information at
23 1017 speeds approaching physiological spinal cord conduction velocity³²⁹. The authors attribute it to their
24 1018 “ecological” approach to neural decoding³³⁰, using summated multiunit activity to trigger
25 1019 stimulation, reducing the computational burden. Additionally, the simplicity of this approach allows
26 1020 rapid calibration to account for daily variations in neural representation. In contrast, despite
27 1021 adequate prediction of movement, computational latency was not reported in recent SCR
28 1022 studies^{65,109}. This suggests that simple decoding algorithms may perform better in real-time settings
29 1023 for rhythmic lower-limb locomotion, at least until computational capabilities catch up with
30 1024 physiological demands. For more complex directed upper-limb movements, however, a dedicated
31 1025 mapping of signal source to target movement will be required. This increases the computational
32 1026 complexity and simple global spike summation is likely insufficient, requiring more complex spatial
33 1027 analysis approaches³³¹. We expect that future studies working towards a spinal cord bioelectronic
34 1028 bypass will need to experiment with the complexity of decoding algorithms, striking a balance
35 1029 between computational demands and accurate representation of volition.

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37 1031 **5.2 Conclusion**

38 1032 Recording neural signals directly from the spinal cord enable decoding of motor volition and
39 1033 improvements in electrode materials, especially with flexible designs and low-impedance electrode
40 1034 coatings, will allow for safer implantation, better signal acquisition and reduced FBR. To translate
41 1035 SCR to clinical use in SCI neuroprosthetics, further studies into the ability of SCR to decode motor
42 1036 intent with long term use are required. When used in a closed-loop neuroprosthetic device in SCI,
43 1037 recording from the spinal cord can potentially sample integrated motor signals from multiple regions
44 1038 in the brain, allowing for a comprehensive representation of motor volition. Spinal cord interfaces
45 1039 can potentially function as bidirectional systems, transmitting sensory data to the uninjured spinal
46 1040 cord above a site of injury. Clinical challenges must be considered systematically before spinal cord
47 1041 interfaces can be safely deployed in individuals with SCI. These challenges are likely to be faced by

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3 1042 the pioneering neuroprosthetic devices entering SCI human trials^{196,197} but lessons acquired from the
4 1043 implementation of spinal cord interfaces in larger cohorts will serve to improve future device
5 1044 permutations. We envision that SCR will form an integral component of next-generation spinal cord
6 1045 interfaces, allowing for the real-time decoding of motor volition and sensory data for truly closed-
7 1046 loop spinal cord bioelectronic bypasses, irrevocably altering the paradigm of SCI prognostication and
8 1047 management.

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1049 **Review criteria**

15 1050 Scopus (Elsevier), Web of Science (Clarivate Analytics) and PubMed (United States Library of
16 1051 Medicine) were searched for articles published in English from the start of publication to 25 June
17 1052 2021. Search terms included “neuroprosthetics”, “bioelectronics”, “brain-machine interface”, “brain-
18 1053 computer interface”, “neural interface” and “spinal interface” specific to the “spinal cord” and
19 1054 applied in a “recording”, “sensing” or “reading” capacity. Abstracts were filtered to focus on devices
20 1055 used in spinal cord recording followed by a review of the articles and their relevant references.

22 1056

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