

1 **Overview: Harnessing genomics for antimicrobial resistance surveillance**

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110

111 **Summary**

112

113 Nearly a century after the beginning of the antibiotic era, which has been associated with
114 unparalleled improvements in human health and reductions in mortality associated with
115 infection, the dwindling pipeline for new antibiotic classes coupled with the inevitable spread
116 of antimicrobial resistance (AMR) poses a major global challenge. Historically, surveillance of
117 AMR bacteria typically relied on phenotypic analysis of isolates taken from infected individuals,
118 which provides only a low-resolution view of the epidemiology behind an individual infection
119 or wider outbreak. Recent years have seen increasing adoption of powerful new genomic
120 technologies with the potential to revolutionise AMR surveillance by providing a high-
121 resolution picture of the AMR profile of the bacteria causing infections and provide real-time
122 actionable information for treating and preventing infection. However, many barriers remain to
123 be overcome before genomic technologies can be adopted as a standard part of routine AMR
124 surveillance around the world. Accordingly, the Surveillance and Epidemiology of Drug-
125 resistant Infections Consortium (SEDRIC; www.sedric.org.uk) convened an expert working
126 group on Genomics Surveillance for AMR to assess the benefits and challenges of using
127 genomics for AMR surveillance. This overview, and the associated four workshop summaries
128 detail these discussions and provide a series of recommendations from the working group that
129 can help to realise the massive potential benefits for genomics in surveillance of AMR.

130

131 **1. Background**

132 Tackling antimicrobial resistance (AMR) is one of the most important health challenges of the
133 21st century. AMR already causes substantial morbidity and mortality worldwide, with a recent
134 estimate suggesting that AMR was the attributable cause of death for approximately 1.27
135 million people in 2019¹. This toll is expected to rise in the coming decades with estimates that
136 as many as ten million people could die each year as a result of AMR². AMR also carries a
137 substantial economic burden through direct healthcare costs and loss of productivity, with one
138 estimate suggesting that AMR costs >\$4.6 billion annually in the United States alone³.

139

140 In 2015, the World Health Organisation (WHO) adopted a Global Action Plan on AMR, which
141 included objectives in areas such as improving awareness of AMR, reducing disease
142 incidence through sanitation, hygiene, and infection control measures, optimizing antimicrobial
143 stewardship and developing sustainable investment models for new medicines, diagnostics,
144 vaccines, and other interventions. One of the key objectives was to strengthen the knowledge
145 and evidence base around AMR through increased research and surveillance (defined here
146 as systematic data collection to inform action)⁴. As a result, there is substantial momentum in
147 building bacterial isolate-based AMR surveillance around the world, including the development
148 of national action plans and submission of global data to the WHO Global Antimicrobial
149 Surveillance System (GLASS) initiated in 2018.

150

151 Although GLASS has previously considered the relevance of genomics in AMR surveillance⁵,
152 the SARS-CoV-2 pandemic has since transformed the global disease surveillance landscape,
153 particularly with respect to genomic surveillance. The period between March 2020 and
154 December 2022 saw the generation of nearly 14 million publicly available SARS-CoV-2
155 genomes from 215 countries, which helped to inform global public health responses
156 (<https://gisaid.org/submission-tracker-global/>). The concept of a “Variant of Concern” is now
157 established in the public lexicon, and health policy makers increasingly appreciate the
158 potential of genomic surveillance to provide a high-resolution picture of the transmission

159 dynamics and evolution of microbial pathogens that inflict substantial public health burden.
160 Expansion in genomic capacity, combined with evidence for the utility of genomic surveillance
161 of AMR over the last two decades (Figure 1),^{5,6} demonstrate the feasibility and timeliness of
162 adopting this technology as an essential part of routine surveillance programmes. The time is
163 therefore right to build on the political and public understanding and willingness to invest in
164 surveillance capacities to tackle the AMR pandemic.

165
166 Accordingly, the Surveillance and Epidemiology of Drug-resistant Infections Consortium
167 (SEDRIC; www.sedric.org.uk) convened a working group on Genomics Surveillance for AMR
168 to review the evidence base of the benefits and challenges to using genomics for AMR
169 surveillance, and to generate recommendations that could lead to effective implementation.

170

171 **2. How was the working group conducted?**

172 The working group held a series of four workshops with nearly 100 international experts from
173 across the AMR and pathogen genomics fields (Appendix pages 1 - 3), which was followed
174 by a broader community consultation through an online survey (Appendix pages 8 – 10).
175 Group members were identified through a combination of searching the SEDRIC membership
176 list, literature survey, other online content (e.g. grey literature, research profiles), and
177 suggestions from the steering group and core members being conscious to attain the required
178 expertise and be diverse with respect to geography, gender, ethnicity, and career stage. A
179 subset of 'core members' with collective expertise across the domains attended all workshops
180 and bookend meetings to shape the conduct and outputs from the workshops (Figure 2,
181 Appendix 1 -3).

182

183 The first three workshops were on the application of genomics in isolate-based surveillance
184 across different surveillance domains: 1) hospital-based surveillance; 2) public health and
185 international surveillance; and 3) surveillance at One Health interfaces. The breadth of
186 potential surveillance domains led the group to limit the scope to bacterial AMR surveillance,
187 excluding *Mycobacterium tuberculosis* where genomic surveillance is already comparatively
188 well-established⁷. Workshops 1 - 3 comprised two parts. Part 1 was a landscape analysis of
189 the application of genomics for AMR surveillance, a discussion on the value of genomics in
190 the domain, and the development of rated consensus statements from those discussions
191 (Appendix Pages 4, 11 - 13). Part 2 guided members to develop stakeholder owned
192 recommendations for realising the potential of genomics for AMR in the domain, which were
193 then prioritised by polling (Appendix Pages 5 -6, 11 - 13). A list of stakeholders was predefined
194 for consideration by working group members, with encouragement to include un-nominated
195 stakeholder groups (Appendix page 6). A final workshop considered pre-selected innovations
196 in genomics where surveillance would not be based on the sequencing of individual isolates
197 but implementation is farther from routine. Specifically, these were: 1) clinical metagenomics;
198 2) environmental metagenomics; 3) gene and plasmid-based tracking; and 4) machine
199 learning. Participants discussed the potential improvements brought by each of these
200 innovations and the vision for implementation, followed by the development of specific
201 recommendations (Appendix page 7).

202

203 The outcomes from workshops were then used to develop a consolidated position with the
204 core working group and then broader opinion was invited through a community survey
205 (Appendix page 8 -10). The survey was disseminated electronically via social media channels

206 and over email within group member networks. In total, 160 professionals from the AMR
207 community completed the survey (Figure 2), and their responses broadly reflected agreement
208 with the working group (Appendix 10 -13). In sum, the SEDRIC working group developed a
209 series of views and prioritised recommendations for the use of genomics for AMR surveillance
210 ⁸ that captured expert opinion in the field.

211

212 **3. What did we find?**

213 Nine recommendations for harnessing genomics for AMR surveillance are proposed by the
214 group (Box), which are expanded on in four individual workshop reports in this series (D-23-
215 00144, D-23-00145, D-23-00146, D-23-00147). While we have endeavoured to avoid
216 repetition across the four reports, some common themes emerged in terms of advantages and
217 challenges for genomic surveillance of AMR across the workshops and these are summarised
218 below.

219

220 *3.1 Advantages*

221 Genomic AMR surveillance was considered to offer many advantages over current
222 approaches. Genomics enables finely resolved tracking of AMR pathogens at the individual
223 strain level, while the electronic nature of most of the analytic processes downstream of
224 sequencing offers advantages for many aspects of data handling, including sharing, storage,
225 and quality assurance. Although these features are common to genomic surveillance of all
226 pathogens, there are several advantages that are distinct for genomic surveillance of AMR
227 bacteria. These include the ability to assay for genotypes relating to resistance against multiple
228 classes of antimicrobial in parallel; the ability to determine whether AMR has emerged in a
229 previously circulating lineage or represents expansion of a new lineage; and the ability to
230 determine the genetic basis of resistance. Determining the genetic basis for resistance is
231 important as it can support outbreak linkage and has future potential to predict the capacity
232 for AMR spread (e.g., whether the resistance is encoded by chromosomal mutations or by
233 acquired resistance genes). Furthermore, establishing and strengthening an adaptable
234 genomic AMR surveillance infrastructure contributes to pandemic preparedness efforts both
235 by monitoring for new microbial threats and ensuring that adequate facilities and a trained
236 pathogen genomics workforce are available should a new pandemic pathogen emerge.

237

238 *3.2 Applications*

239 The applications for genomic AMR surveillance differed by domain. Briefly, a growing evidence
240 base exists for the use of genomics for AMR surveillance in hospital settings to support the
241 detection of outbreaks and provide actionable information to infection prevention and control
242 (IPC) teams. Genomic insights can also inform clinical decision making at a patient level,
243 although this aspect is comparatively less well developed at present and many challenges
244 remain (e.g. cost-effectiveness evaluations, reductions in turn-around times (Workshop 1, D-
245 23-00144). At a public health level, the detection of emerging threats and the design and
246 assessment of suitable interventions, particularly around supporting treatment
247 recommendations and shaping vaccine formulations, has been well demonstrated (Workshop
248 2, D-23-00145). The use of genomics for AMR surveillance at One Health interfaces has
249 similar applications and is already operating effectively for foodborne diseases in some
250 regions (Workshops 2, D-23-00145, and 3, D-23-00146). However, further applications in
251 transmission risk assessment frameworks, and exploiting environmental monitoring were also

252 identified in the One Health surveillance domain (Workshop 3, D-23-00146). A major finding
253 of the group was the need to define a framework for the application of genomics in AMR
254 surveillance and to identify and advocate for potential use cases. Each workshop report
255 therefore highlights some of the key applications relevant to each domain.

256

257 *3.3 Challenges*

258 The common framework used for each workshop enabled the group to reflect on the shared
259 and distinct barriers to the use of genomics for AMR surveillance. Common issues included a
260 lack of resources and political will, underlining the importance of clear use cases and advocacy
261 in parallel with robust cost effectiveness studies, and the need for more training, particularly
262 around bioinformatics. The Hospital & IPC workshop explored many of the basic practical
263 barriers associated with establishing genomic surveillance; including a lack of meaningful
264 epidemiological surveillance or microbiology infrastructure, poor supply chains and pricing
265 structures, and issues around cooperating effectively in hub and spoke models. Major
266 difficulties in the Public Health and International sphere were the need to build trust and
267 cooperation among stakeholders and work toward harmonised surveillance underpinned by
268 strong data governance. And finally, the challenges facing surveillance at One Health
269 interfaces reflected the even more complex set of relationships required to define common
270 goals and cooperate across national ministries and public and private sectors, which
271 underlined the need to pre-define how surveillance information would be used.

272

273 **4. Where to from here?**

274 Since the clinical introduction of antimicrobials in the 1940s, it has become clear that we will
275 remain locked in an ongoing arms race with bacterial pathogens indefinitely. The generation
276 of actionable AMR surveillance data, particularly at the resolution offered by genomics, will
277 provide invaluable information to support efforts to limit the spread and impact of AMR.

278

279 Many of the recommendations made by the working group overlap between isolate-based
280 AMR surveillance and pathogen genomic surveillance more generally (e.g. Recommendation
281 four; Harmonise and standardise surveillance), highlighting the interconnected nature of this
282 work and the areas from which common solutions to harnessing genomic surveillance for AMR
283 might be drawn. For example, similar themes around Recommendation five (Agree equitable
284 data governance and sharing) are seen in the recent WHO recommendations on pathogen
285 genomic data sharing that were released during the conduct of the working group⁹ (and see
286 further in Workshop 2, D-23-00145).

287

288 Ultimately, the working group recommends these nine activities as central to achieving the
289 potential of genomics for AMR surveillance. Their relative ordering and importance differed by
290 domain and geographical setting, which is further elaborated on in individual workshop reports
291 (see Box). These recommendations should guide ongoing and new discussion among
292 stakeholders in the AMR genomic surveillance space, including those in genomics and AMR
293 research, technology development, bioinformatics, clinical and public health roles, funding,
294 education, and policy (Appendix page 6). We are on the cusp of realising the full potential for
295 genomics in tackling AMR, but much work is still needed.

296

297

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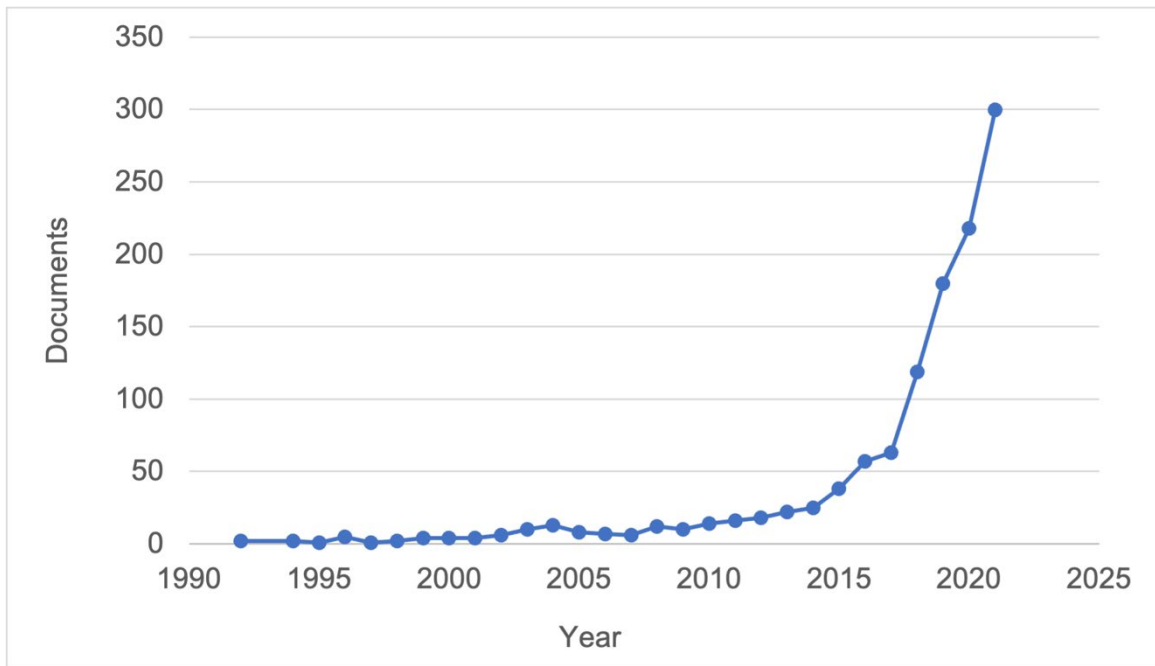
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340 **Author contributions**

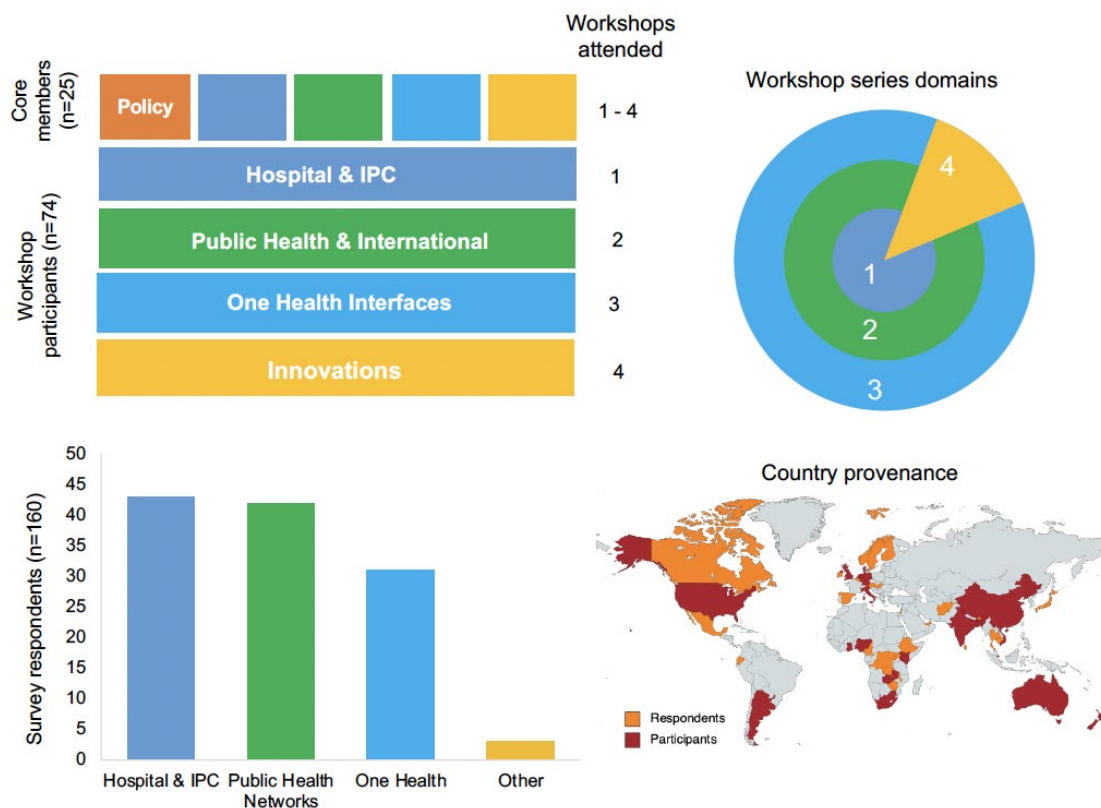
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348

349 **Figure legends**
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352
353 **Figure 1. The building evidence base for the use of genomics in surveillance of**
354 **antimicrobial resistance.** The release date of 1,162 publications between 1992 and 2021
355 retrieved by the following search terms in SCOPUS: (TITLE-ABS-KEY (amr OR antibiotic
356 AND resistan* OR antimicrobial AND resistan*) AND TITLE-ABS-KEY (genomic*) AND
357 TITLE-ABS-KEY (surveillance OR monitoring).
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Figure 2. Composition and workflow of the working group. The core working group (mixed expertise) and workshop participants (drawn on for domain expertise) (**upper left**) participated in a series of three nested workshops relating to those domains (1 – 3), and one cross-cutting, workshop (4) (**upper right**) coloured according to the inlaid labels. Consensus statements and recommendations developed from the workshops were then put to a larger set of members of the AMR community with similar domain expertise (**lower left**). Expertise was drawn from a diverse geographical range (**lower right**).

371 **Box. Prioritised recommendations from the working group***

372

373 **1. Define a framework for use at all levels**

374 The aims, actions, and outcomes of genomic AMR surveillance data need to be clearly
375 defined at all levels; for example, clinical applications rely on robust inference of
376 phenotype from genotype, while clearly defined risk mitigations are needed for One
377 Health.

378

379 **2. Build capacity, including in hub and spoke models**

380 The cost-effectiveness of genomics improves with throughput but differs markedly by
381 geographical region. These barriers can be partly overcome by initiating genomics in
382 regional hub and spoke models to centralise training, infrastructure, and supply chains.

383

384 **3. Develop new training competencies**

385 Competencies in genomic epidemiology are required for health scientists conducting
386 genomic AMR surveillance either as a new workforce or developing/delivering training for
387 existing staff categories.

388

389 **4. Harmonise and standardise surveillance**

390 Agree a common, abbreviated list of bug/drug combinations also informed by local needs;
391 develop clinical standards; support pathogen-specific expert review groups for
392 interpretation guidelines; and develop a single access user portal. Agree sampling
393 frameworks for One Health.

394

395 **5. Agree equitable data sharing and governance**

396 Benefits are maximised with open, immediate data sharing, but concerns exist around
397 stigmatisation and inequitable data contribution and use. Robust governance is critical,
398 and should be based on lessons from SARS-CoV-2 and in line with the WHO global
399 genomic surveillance strategy.

400

401 **6. Improve stakeholder interactions and relationships**

402 Improved trust, communication, and partnerships among stakeholders are particularly
403 important for network and One Health surveillance. Policy makers need to define key
404 questions. Researchers and health deliverers should consolidate and advocate clear use
405 cases.

406

407 **7. Address funding models and evaluate cost effectiveness**

408 Funding models are needed for research and capacity-building programmes, surveillance
409 implementation, and continuous improvement, particularly for One Health surveillance
410 with a breadth of stakeholders. Real-time cost-effectiveness studies are needed.

411

412 **8. Invest in AMR genomic surveillance innovations**

413 Genomic surveillance innovations (clinical and environmental metagenomics,
414 gene/plasmid tracking, and machine learning) offer advantages, but research to address
415 the common barrier of an uncharacterised association with health outcomes is needed.

416

417 **9. Better integrate environmental surveillance**

418 The environment is an under-surveyed potential source of AMR genes. Examples from
419 agriculture where a direct impact of AMR surveillance and interventions have been
420 characterised need to be built upon and expanded.

421

422 * Although many of these themes are cross-cutting across domains, each is given focus in
423 one or more subsequent workshop reports which should be accessed for more information.
424 Specifically, D-23-00143 focuses on recommendations 1 -3, D-23-00144 on

425 recommendations 2 and 4 – 6, D-23-00146 on recommendations 1 and 7, and D-23-00147
426 on recommendations 8 and 9.

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