

# Delayed Parabolic Oxidation via Transient Thermal Exposures on a Polycrystalline Nickel-Based Superalloy

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## Abstract

Multiple oxides were observed during the transient oxidation stage of the polycrystalline Ni-based superalloy, RR1000, before a protective Cr<sub>2</sub>O<sub>3</sub> scale formed. Thermogravimetric analysis, synchrotron grazing incidence X-ray diffraction, and electron microscopy were performed on samples subjected to isothermal exposures at 800 °C for up to 100 hours. Transient effects governed the early stages up to 40 hours. NiO, spinels (NiCr<sub>2</sub>O<sub>4</sub>, (Ni,Co)(Cr,Co)<sub>2</sub>O<sub>4</sub>), Cr<sub>2</sub>O<sub>3</sub>/(Cr<sub>0.88</sub>Ti<sub>0.12</sub>)<sub>2</sub>O<sub>3</sub>, NiTiO<sub>3</sub>, and CrTaO<sub>4</sub> formed during the initial stage with pseudo-linear kinetics. At the onset of parabolic kinetics, extensive Cr<sub>2</sub>O<sub>3</sub> and TiO<sub>2</sub> growth dominated scale formation with the former emerging as the major passivating oxide.

## Keywords

A. nickel, superalloys

B. X-ray diffraction, SEM

C. oxidation

## 1 Introduction

Historical superalloy development [1] has resulted in many commercially successful polycrystalline Ni-based superalloys, e.g. Waspaloy, IN 718, Udimet 720, Alloy 625, and RR1000. The high temperature oxidation of polycrystalline superalloys typically results in the formation of an external compact scale of Cr<sub>2</sub>O<sub>3</sub>, with discontinuous finger-like intrusions of Al<sub>2</sub>O<sub>3</sub> in the subscale [2–4]. In addition to Cr and Al, the complex compositions of these alloys can lead to the formation of multiple oxides. For example, one study investigated the oxidation of several commercial polycrystalline Ni-based superalloys from 750-1000 °C and showed that Al only formed internal oxides, whilst Cr and Ti formed external oxides that resulted in complex multi-layered oxide scales [2]. Similar results [5] were observed in alloys of varying Al, Co, Cr, and Ti concentrations where internal Al<sub>2</sub>O<sub>3</sub> formed, with significant layers of Co, Cr, and Ti-based oxides on the external surface. The study also showed that the capacity of the alloys to form a continuous Cr<sub>2</sub>O<sub>3</sub> layer could be improved by decreasing Co or Ti and increasing Al or Ni concentrations. For the Ni-based superalloy RR1000, significant enrichment of Cr and Ti in the external scale formed at 600-900 °C has been observed consistently in multiple studies [6–9]. It has also been shown that Cr and Al work synergistically to form a multi-layered oxide scale, with the former promoting the formation of the latter [10]. These studies suggest that Cr and Al concentrations must be carefully selected to achieve optimal environmental resistance. Further studies have also shown that the growth kinetics of Cr<sub>2</sub>O<sub>3</sub>, specifically, can be influenced by other alloying additions via doping, for instance [5,8,11–14], and also by the formation of stable phases that tie up the dopant within the alloy and thus reduce the impact [15,16].

41 Transient oxidation in Ni-based alloys occurs where different oxides form before the eventual ascendancy  
42 of more stable oxides (e.g.  $\text{Cr}_2\text{O}_3$ ) once steady-state isothermal oxidation is achieved after prolonged  
43 exposure to elevated temperatures [17]. This primarily arises due to the competing reactions between the  
44 various alloying elements with adsorbed oxygen anions during initial exposure to the oxidising environment.  
45 In general, identifying the oxides formed during transient oxidation can be difficult given their small  
46 volumes and varying timescales of formation as well as the possible spallation of non-adherent oxide  
47 products. Several studies into the transient oxidation stages on Ni-based alloys have shown the early  
48 formation of NiO on the alloy surface [4,18–20], due to the high Ni concentration in the alloy and the faster  
49 oxidation kinetics relative to the other potential oxides [21]. Another group of oxides that form during  
50 transient oxidation are the spinels, which have the formula of  $\text{AB}_2\text{X}_4$  where A is typically Ni, B is Co, Cr,  
51 Ta or Al, and X is O [17,22]. Several mechanisms leading to the formation of spinels have been proposed  
52 [23–26]. Moreover, the precise role of spinels in the transient and long-term steady-state oxidation regimes  
53 is controversial. While generally considered to be non-protective oxides that form discontinuous scales, it  
54 has been reported that the presence of spinel oxides could also have beneficial effects on the oxidation  
55 resistance of superalloys [27–29]. It can be rationalised that the formation of spinels during the transient  
56 oxidation phase could act as barriers to oxygen ingress, giving sufficient time for a more stable oxide (e.g.  
57  $\text{Cr}_2\text{O}_3$ ) to form as a result of the reduced partial pressure of oxygen at the oxide-metal interface. However,  
58 the absence of a reliable method to monitor transient oxidation processes in real-time makes it challenging  
59 to confirm this theory.

60 It is acknowledged that thin oxide scales formed on the alloy surface, compared to the volume of the  
61 underlying bulk alloy substrate, can be problematic to characterise with conventional X-ray diffractometry  
62 (XRD) due to inherently low volume fractions. Under such circumstances, the early onset and evolution of  
63 oxide products can be difficult to detect. However, a recent study of thin oxide scales with synchrotron X-  
64 ray diffraction [25] has shown promise to successfully characterise the oxide products during transient  
65 oxidation since the high intensities of the incident beam allow for the detection of even low-volume fraction  
66 phases. Moreover, high-sensitivity thermo-gravimetric analysis (TGA) equipment has also been shown [30]  
67 to be capable of detecting subtle changes in kinetics. This level of accuracy has enabled the development  
68 of algorithms to account for the formation of oxides and their interplay [30].

69 It is clear from the literature that the oxidation behaviour of  $\text{Cr}_2\text{O}_3$ -forming polycrystalline Ni-based  
70 superalloys is well-understood. In particular, the commercial superalloy RR1000 has been extensively  
71 studied and therefore provides an excellent opportunity for establishing an understanding of oxidation  
72 dynamics in polycrystalline Ni-based superalloys. The present study outlines the oxidation behaviour of  
73 RR1000 up to 100 hours at 800 °C with a focus on the transient oxidation stage. To study this behaviour,  
74 oxidation tests were performed on samples subjected to systematically increasing exposure times following  
75 a controlled heating stage. The results are compared with data from previous studies.

## 76 2 Material and Methods

### 77 2.1 Materials

78 The composition of coarse-grained RR1000, an established polycrystalline Ni-based superalloy used in  
79 turbine disc applications with a nominal grain size of approximately 30  $\mu\text{m}$  [31], is outlined in Table 1.  
80 Samples were obtained from a test ring of a high-pressure turbine front cover plate forging, provided by  
81 Rolls-Royce plc. The test ring was commercially solution heat treated at 1170 °C for 1 hour, followed by a  
82 precipitation ageing heat treatment at 760 °C for 16 hours.

83 *Table 1 – Nominal and actual compositions of RR1000 (at.%). It was not possible to accurately measure*  
 84 *the actual concentrations for B and C with Energy Dispersive X-ray (EDX) and therefore these values*  
 85 *were omitted from the table. Adapted from [32].*

	Ni	Co	Cr	Al	Ti	Ta	Hf	Zr	Mo	B	C
<b>Nominal</b>	50.96	17.90	16.50	6.30	4.30	0.63	0.16	0.04	3.00	0.08	0.13
<b>Actual</b>	49.82	18.19	17.23	6.15	4.50	0.88	0.13	0.04	3.07	-	-

86  
 87 The actual composition of RR1000, as given in Table 1, was measured with Energy Dispersive X-ray (EDX)  
 88 analysis and averaged over three representative regions with approximate field-of-view dimensions of 23 x  
 89 18  $\mu\text{m}^2$  and an acquisition time of 10 minutes per region. In general, the results showed reasonable  
 90 agreement between the actual and nominal compositions.

## 91 2.2 Oxidation Tests

92 TGA measurements were performed using a Setaram Setsys Evolution 18 apparatus to study the specific  
 93 mass change associated with oxidation for RR1000 at 800 °C for 0 (the experiment was stopped  
 94 immediately after the heating stage), 10, 20, 40, 60, 80, and 100 hours isothermal exposure in air. A testing  
 95 temperature of 800 °C was selected to replicate the upper limit of operating temperatures that are typically  
 96 expected for turbine discs. Samples for TGA analysis were prepared with approximate dimensions of 20 x  
 97 10 x 1  $\text{mm}^3$ . The final sample dimensions were measured using a digital vernier scale to allow specific mass  
 98 changes to be calculated from associated mass change data. All faces and sides of the samples were polished  
 99 to a 1  $\mu\text{m}$  diamond finish. Before the TGA experiments, samples were cleaned in acetone followed by  
 100 ethanol in an ultrasonic bath and dried for at least 24 hours in a drying cabinet. During the heating process,  
 101 the sample was first heated from 20-200 °C at 10 °C/min and held for 10 minutes to stabilise. The sample  
 102 was then heated from 200-775 °C at 20 °C/min. To avoid overshooting the temperature, the sample was  
 103 heated from 775-800 °C at 5 °C/min. During the cooling process, the sample was cooled from 800 °C to  
 104 20 °C at 35 °C/min.

105 For the 100-hour oxidation test, the oxidation rate constant and mass gain exponent were acquired by fitting  
 106 to a generalised form of the classical power law equation using the MATLAB Curve Fitting Tool:

$$107 (\Delta m)^n = k_n t \quad (1)$$

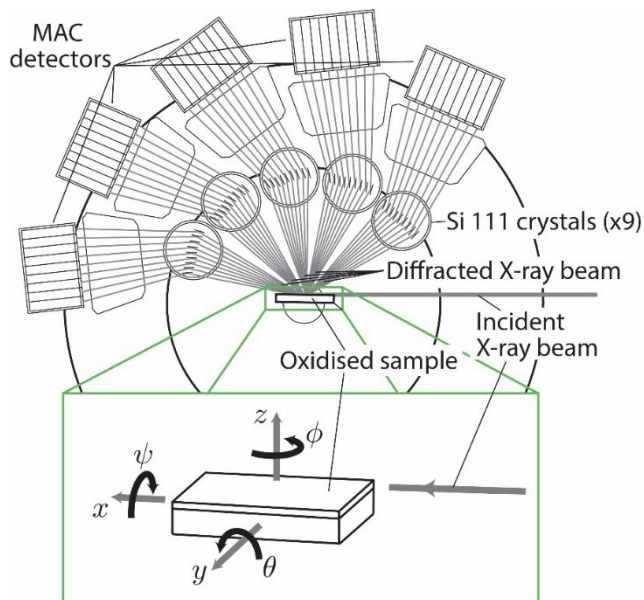
108 where  $\Delta m$  is the specific mass change ( $\text{mg}/\text{cm}^2$ ),  $k_n$  is the oxidation rate constant ( $\text{mg}^n/\text{cm}^{2n}\text{h}$ ), and  $t$  is the  
 109 time (h). Parabolic oxidation behaviour is represented by an  $n \approx 2$ , indicating that the growth is governed  
 110 by diffusion of the oxidising species through the oxide. The 95% confidence bounds on the fitted  
 111 coefficients were also computed with the MATLAB Curve Fitting Tool using Student's cumulative t-  
 112 distribution function.

## 113 2.3 Synchrotron X-ray Diffraction Experiments

114 Synchrotron grazing incidence X-ray diffraction (SGIXD) was used to characterise the thin oxides formed  
 115 during the thermal exposures. This technique provides very high angular resolution, high intensity and low  
 116 instrumental peak broadening compared to conventional laboratory-based diffractometry, which greatly  
 117 facilitates the identification of the phases present even at low-volume fractions. In this study, the procedure  
 118 reported in [25] was employed and a schematic illustration of the setup geometry is provided in Figure 1.  
 119 The incident beam was inclined to the sample surface by an angle of 1 ° so that the resulting diffraction  
 120 signal probes only the top few micrometres of the sample, making it advantageous for oxide analysis on the

121 surface of the RR1000 samples. The SGIXD method was performed at the I11 high-resolution powder  
122 diffraction beamline at the Diamond Light Source, UK.

123 The X-ray measurements were acquired with a monochromatic 15 keV incident beam energy (with an  
124 equivalent wavelength of 0.8264 Å), which was calibrated against a NIST 640c Si standard. Each sample  
125 was mounted onto the sample stage of the diffractometer using a carbon tab. Diffraction patterns were  
126 acquired over a 3-150° 2θ scan range using the high-resolution multi-analysing crystals (MAC) detector  
127 (the primary detector on the I11 beamline). The scan time for each sample was 30 minutes, collected with  
128 an angular increment of 0.001°, determining the angular resolution. To improve the signal-to-noise ratio,  
129 the data was re-binned into 0.02° intervals.



130  
131 *Figure 1 - Schematic illustration of the SGIXD configuration used on the I11 beamline. An incident beam*  
132 *was impinged on the surface of the sample with the diffracted X-rays being fed through Si 111 crystals*  
133 *and received by multi-analysing crystals (MAC) detectors. Directions and rotational axes with respect to*  
134 *the incident beam and sample are also shown. Image adapted from [25] with permission.*

## 135 2.4 Oxide Analyses

136 Samples for oxide examination were Ni-plated for oxide preservation and sectioned with a precision saw  
137 utilising a SiC blade. A low-impact dry-cut method was used to minimise potential damage to the oxide  
138 layers. The sectioned samples were cold mounted in resin and subsequently ground down by at least 1 mm  
139 using SiC grinding papers to ensure the prepared oxide cross-sections were free from any cutting damage.  
140 Samples were polished to a 0.06 μm colloidal silica suspension finish. Samples were attached to an SEM  
141 stub using Cu-tape for enhanced conductivity during Scanning Electron Microscope (SEM) examination.

142 Microstructural examination of the polished cross-sections was carried out in a Zeiss GeminiSEM 300 SEM  
143 operated at 20 kV and a working distance of approximately 8.5 mm. The instrument was also equipped with  
144 an Oxford Instruments EDX detector to facilitate compositional analyses of microstructural features. Oxide  
145 cross-sections were examined in Backscattered Electron (BSE) mode and EDX elemental concentration  
146 maps of the alloy cross-sections were acquired alongside BSE SEM micrographs.

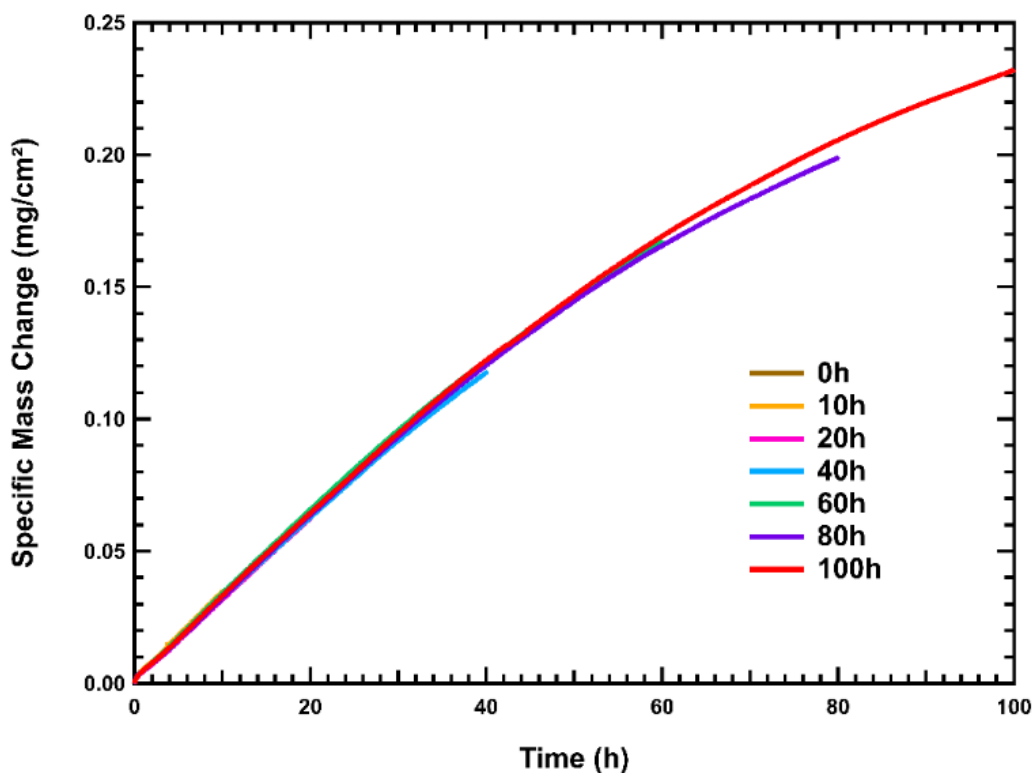
147 To investigate the evolution of the oxides and phases with increasing time, a general Rietveld analysis was  
148 performed on the SGIXD data using TOPAS-Academic V6 software [33]. Rietveld refinement of the data

149 allowed for the determination of individual phase fractions from the detected oxides. To this end, relevant  
150 CIF files were acquired from the Inorganic Crystal Structure Database (ICSD) through the Physical  
151 Sciences Data-Science Service (PSDS) [34]. In addition, the appropriate instrumental parameters for the  
152 I11 beamline were acquired from the Diamond Light Source. The RR1000 sample that was oxidised at  
153 800 °C for 100 hours was expected to possess the greatest quantity of surface oxides and was therefore  
154 deemed to be the most amenable for Rietveld analysis and, as such, was refined first. Once a satisfactory  
155 fit was achieved, its weighted profile R-factor ( $R_{wp}$ ) was used as an approximate guide in the refinement of  
156 subsequent datasets. By subjecting each dataset to a similar level of refinement, it was possible to identify  
157 trends in the data when investigating the transient oxides formed.

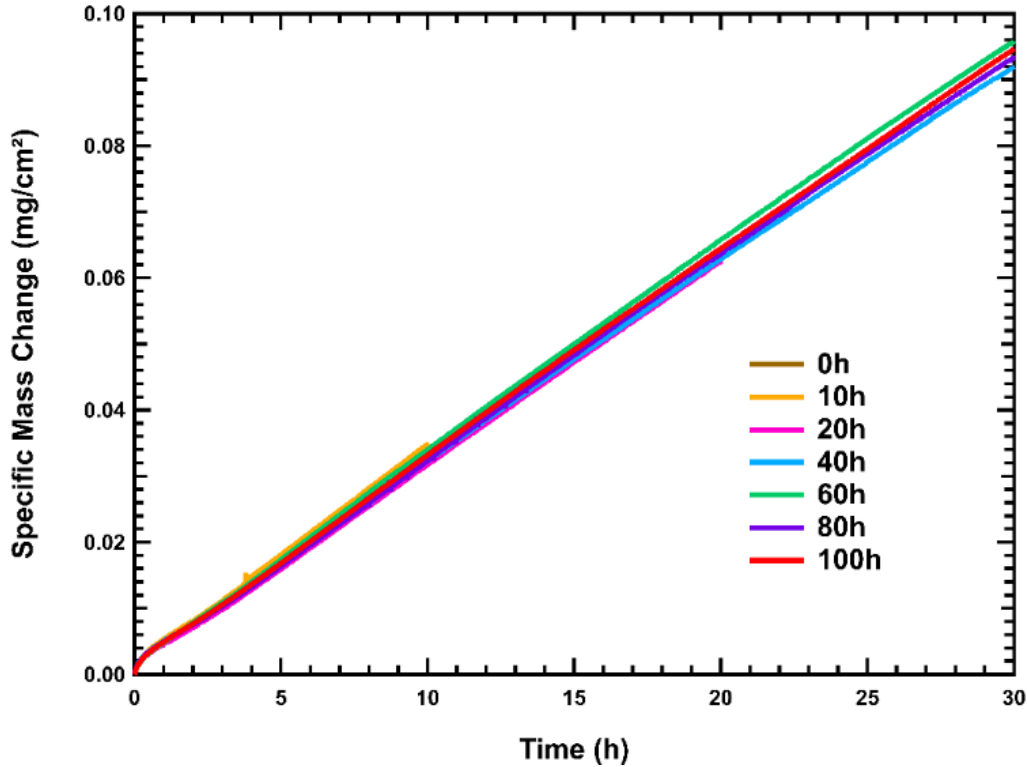
## 158 3 Results

### 159 3.1 Thermo-Gravimetric Analysis

160 The specific mass changes recorded using the TGA instrument for RR1000 during isothermal oxidation at  
161 800 °C for 0-100 hours are presented in Figure 2. A zoomed-in view of the first 30 hours is shown in Figure  
162 3. During the initial 30-40 hours of oxidation, the specific mass change curve for RR1000 had an  
163 approximately linear profile. Beyond ~40 hours, the curves followed a parabolic profile. The specific mass  
164 change curves for RR1000 were reproducible and virtually identical in the early stages.



165  
166 *Figure 2 - TGA specific mass change curves for isothermal oxidation of RR1000 samples for times up to*  
167 *100 hours at 800 °C in air. A separate sample was exposed for each period outlined in the legend. The*  
168 *traces show a period of approximately linear kinetics up to 40 hours followed by a decreasing oxidation*  
169 *rate.*



170

171 *Figure 3 - TGA specific mass change curves for isothermal oxidation of RR1000 samples at 800 °C over*  
 172 *the time period of 0-30 hours in air showing linear or near-linear growth kinetics.*

173 The fitted mass change coefficients from Equation (1) for the 100-hour exposure at 800 °C are given in  
 174 Table 2 with 95% confidence bounds in parentheses. For comparison with literature values, the data were  
 175 also fitted to an  $n = 2$ . Where parabolic kinetics was assumed,  $n$  is expressed as “2.000”. Assuming  
 176 parabolic behaviour, the  $k_n$  value for RR1000 in this study was similar to that reported in the literature, as  
 177 shown in Table 2.

178 *Table 2 - Values of  $n$  and  $k_n$  from Equation (1) determined for RR1000 after oxidation at 800 °C for 100*  
 179 *hours with 95% confidence bounds in parentheses. Literature values are provided for comparison and*  
 180 *sources are given.*

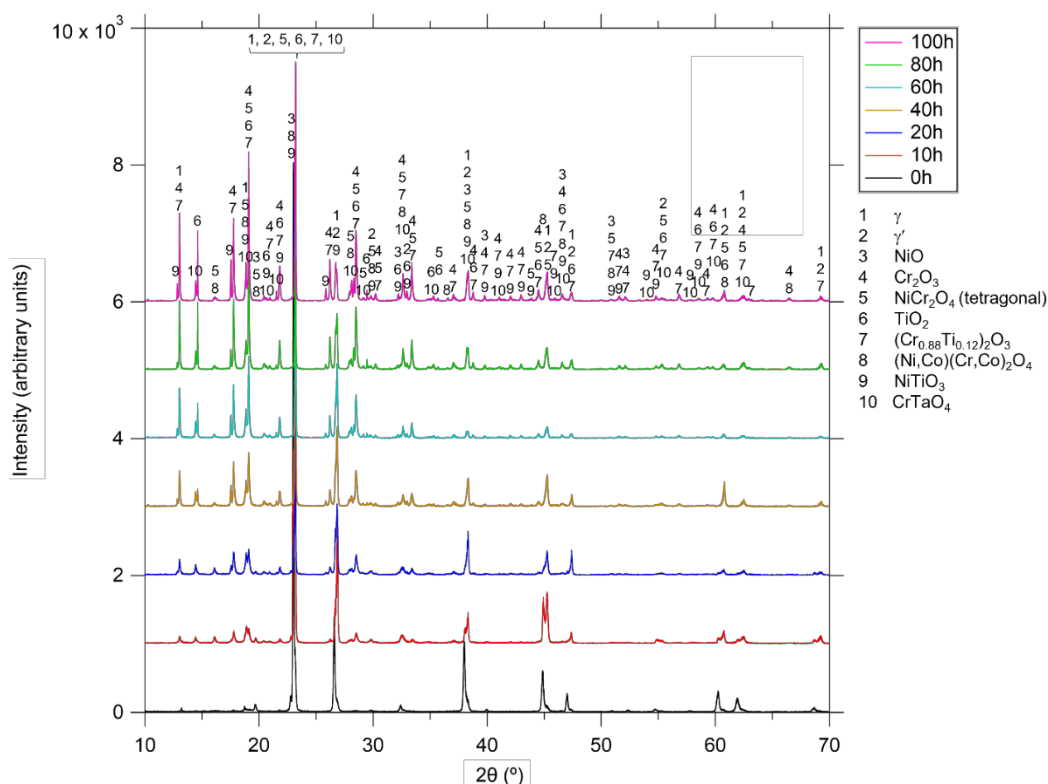
	This Study		Taylor et al. [9]	Encinas- Oropesa et al. [35]	Cruchley et al. [7]	Cruchley et al. [8]
$n$	1.286 (1.284, 1.289)	2.000	2.000	2.000	2.000	2.040
$k_n (\times 10^{-4})$ ( $\text{mg}^n/\text{cm}^{2n}\text{h}$ )	16.23 (16.16, 16.30)	4.560 (4.538, 4.581)	6.696	9.120	4.572	4.392
Test Period (h)	100	100	100	200	2000	2000

181

182 **3.2 SGIXD Analysis**

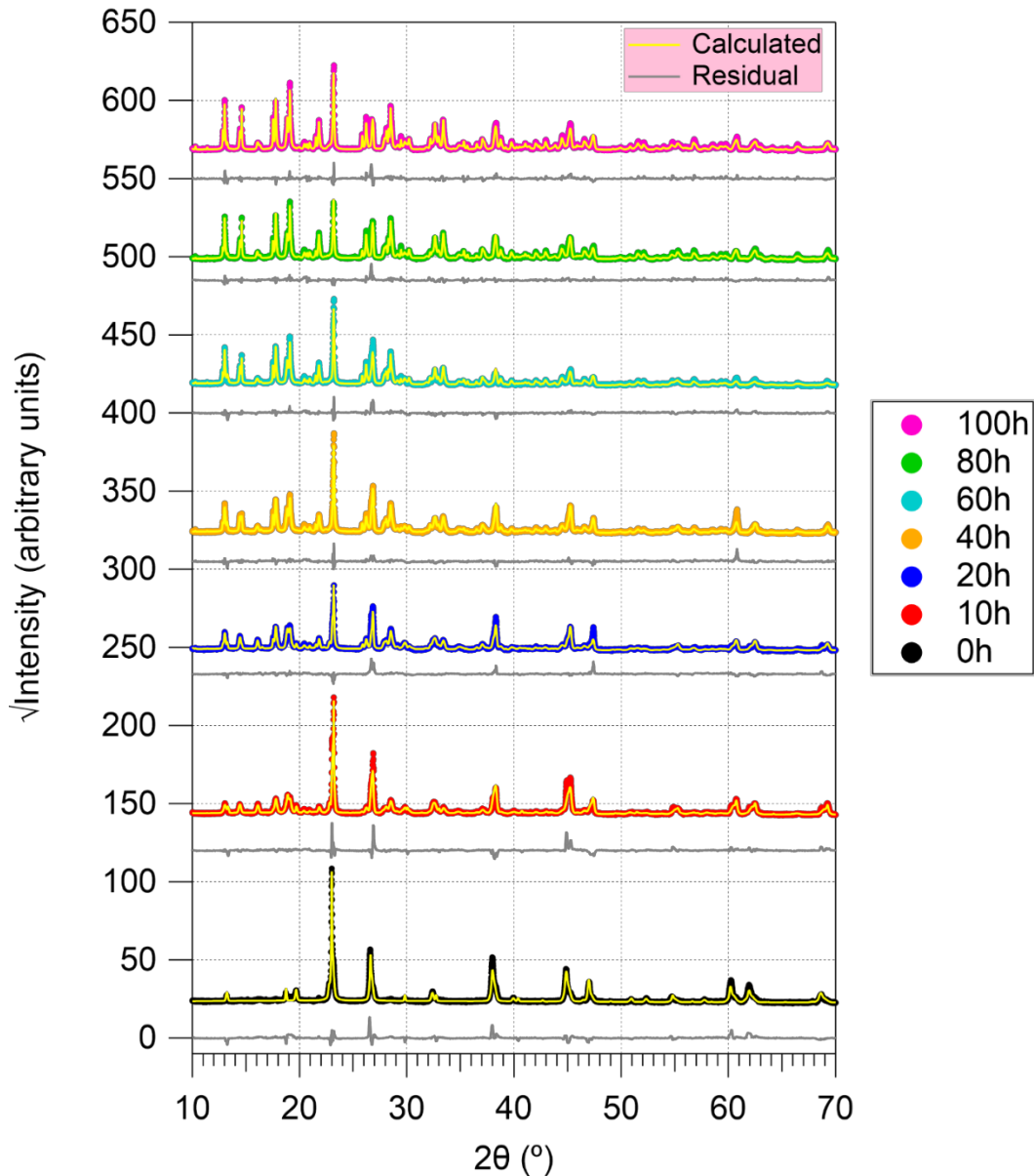
183 The SGIXD diffractograms obtained from the surface oxide scales of RR1000 after exposure at 800 °C for  
 184 0-100 hours following the heating stage are shown in Figure 4. Strong diffraction peaks corresponding to  
 185 the  $\gamma$  matrix and  $\gamma'$  precipitation phases in RR1000 could be identified, which dominated the diffraction  
 186 signal from the 0-hour sample. Peaks associated with NiO, Cr<sub>2</sub>O<sub>3</sub>, NiCr<sub>2</sub>O<sub>4</sub> (tetragonal), TiO<sub>2</sub>,  
 187 (Cr<sub>0.88</sub>Ti<sub>0.12</sub>)<sub>2</sub>O<sub>3</sub>, (Ni,Co)(Cr,Co)<sub>2</sub>O<sub>4</sub>, NiTiO<sub>3</sub>, and CrTaO<sub>4</sub> oxides were also identified. It is noted that  
 188 NiCr<sub>2</sub>O<sub>4</sub> has a cubic structure at high temperatures but transforms to a tetragonal structure below 46°C [36];  
 189 each allotrope can be distinguished from other phases present. Due to the significant similarities in crystal  
 190 structure and lattice parameters for the cubic spinels, these compounds are referred to herein the general  
 191 form (Ni,Co)(Cr,Co)<sub>2</sub>O<sub>4</sub>.

192 The spectra for the oxide phases showed clear and systematic increases in intensity with increasing time of  
 193 exposure, which is most prominent in the approximate 13, 15, 18, 19, 22, and 32.5/33° 2 $\theta$  positions. In  
 194 contrast, the spectra for the  $\gamma$  and  $\gamma'$  phases showed systematic decreases in intensity with increasing time  
 195 of exposure as evidenced by the approximate 38, 45, 47, 60, and 62° peak locations.



196  
 197 *Figure 4 - Synchrotron grazing incidence X-ray diffractograms (SGIXD) from the surface of RR1000 after*  
 198 *oxidation at 800 °C for 0-100 hours in air.*

199 Rietveld refinement was performed on the SGIXD data in Figure 4 and the corresponding fits are shown in  
 200 Figure 5 on a square root intensity scale. To compare the fidelity of the refinements, the difference between  
 201 the experimental data and the calculated fit (i.e. residual) is shown for each experimental run. For some  
 202 peak locations, it was challenging to describe the intensity of the experimental data with the calculated fits  
 203 which resulted in slight underfitting. However, the residuals were considered small and demonstrated good  
 204 agreement between the experimental data and the calculated fits.

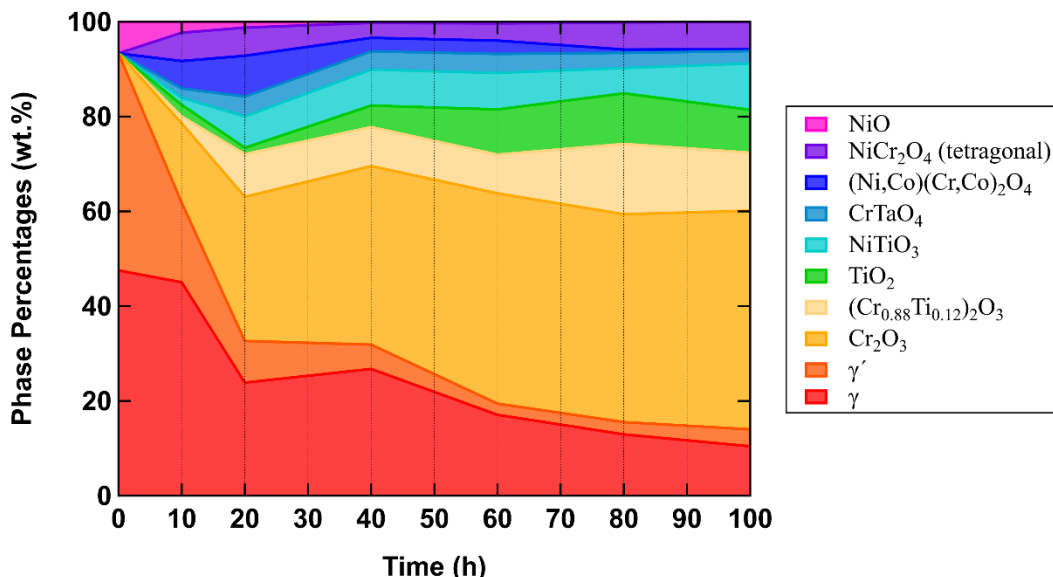


205

206 *Figure 5 - Calculated fits and residuals using Rietveld refinement of synchrotron grazing incidence X-ray*  
 207 *diffractograms (SGIXD) from the surface of RR1000 after oxidation at 800 °C for 0-100 hours in air.*

208 Individual phase fractions (wt.%) obtained from the Rietveld refinements using TOPAS-Academic V6  
 209 software were used to examine the evolution of the identified phases as a function of time at 800 °C and  
 210 are displayed in Figure 6. The SGIXD spectra were initially dominated by signals attributed to the  $\gamma$ ,  $\gamma'$ , and  
 211 NiO phases. The first 0-10 hours were characterised by a reduction of NiO followed by increasing formation  
 212 of spinels ( $\text{NiCr}_2\text{O}_4$  (tetragonal)/ $(\text{Ni},\text{Co})(\text{Cr},\text{Co})_2\text{O}_4$ ) and  $\text{Cr}_2\text{O}_3/(\text{Cr}_{0.88}\text{Ti}_{0.12})_2\text{O}_3$  while  $\gamma$  and  $\gamma'$  fractions  
 213 decreased. From 10-40 hours,  $\text{Cr}_2\text{O}_3/(\text{Cr}_{0.88}\text{Ti}_{0.12})_2\text{O}_3$  grew to become the major oxide while significant  
 214 fractions of  $\text{NiTiO}_3$  and  $\text{NiCr}_2\text{O}_4$  (tetragonal) were also present. The amount of NiO decreased almost  
 215 completely to zero during the first 30 hours. From 40 hours onward,  $\text{Cr}_2\text{O}_3$  and  $\text{TiO}_2$  were the major oxides  
 216 present alongside  $(\text{Cr}_{0.88}\text{Ti}_{0.12})_2\text{O}_3$  and  $\text{NiTiO}_3$ . The cubic spinels,  $(\text{Ni},\text{Co})(\text{Cr},\text{Co})_2\text{O}_4$ , also decreased in

217 fraction over this time period while that of NiCr<sub>2</sub>O<sub>4</sub> (tetragonal) remained stable. It is noted that a separate  
 218 sample was prepared for each oxidation test and therefore some sample-to-sample variation may be present.



219  
 220 *Figure 6 - Evolution of phase fractions (wt.%) calculated with Rietveld refinement of synchrotron grazing*  
 221 *incidence X-ray diffractograms (SGIXD) from the surface of RR1000 after oxidation at 800 °C for 0-100*  
 222 *hours in air.*

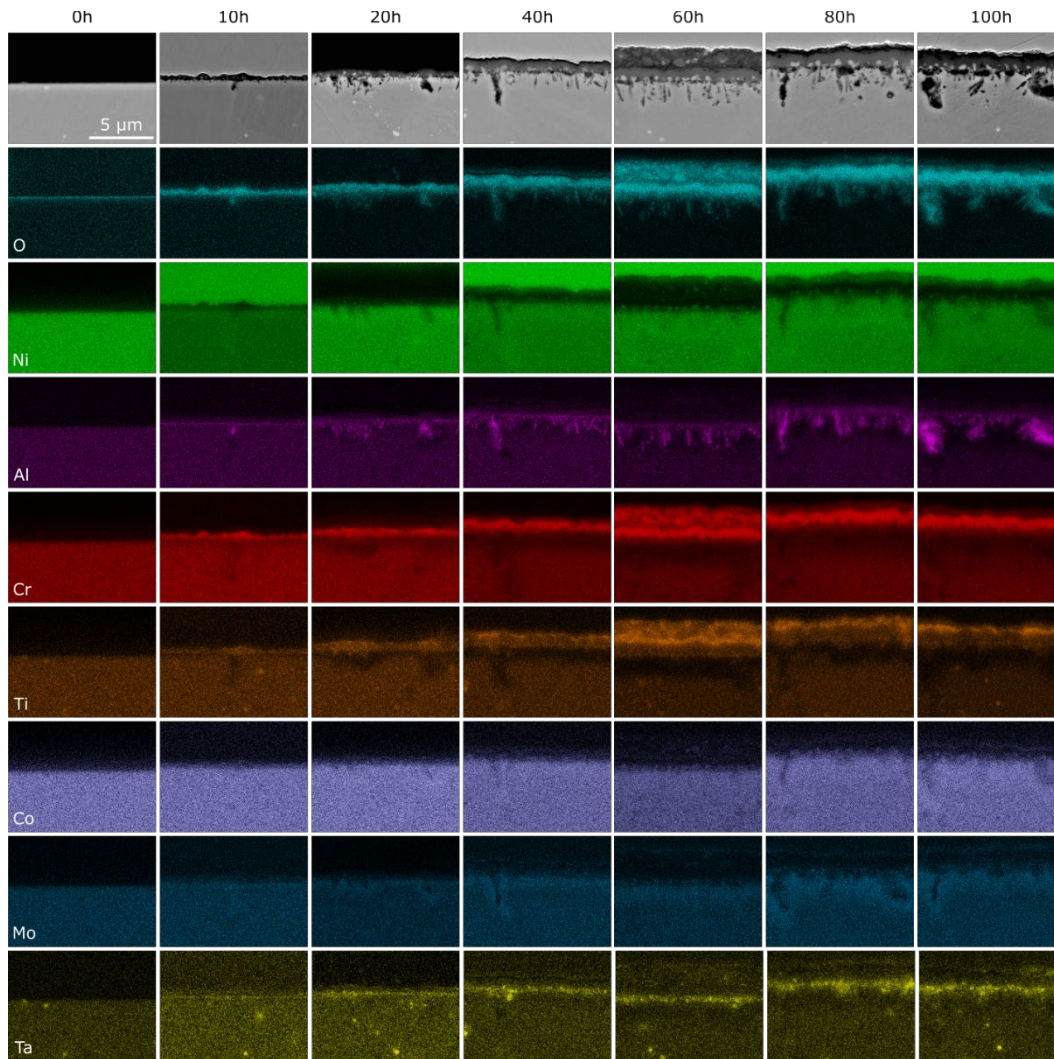
223 The identified phases in Figure 4 and fractions in Figure 6 are tabulated in Table 3 with associated  
 224 uncertainties calculated using TOPAS-Academic V6. In addition, the relevant CIF file code for each phase  
 225 used and the weighted profile R-factor ( $R_{wp}$ ) for each Rietveld refinement are shown.

226 *Table 3 - Identified phases in RR1000 during oxidation at 800 °C for 0-100 hours following the heating*  
 227 *stage. CIF collection codes for each phase are provided. The uncertainties and  $R_{wp}$  values for each*  
 228 *Rietveld refinement calculated in TOPAS-Academic V6 are also shown.*

Phase (wt.%)	CIF Code	0h	+/-	10h	+/-	20h	+/-	40h	+/-	60h	+/-	80h	+/-	100h	+/-
$\gamma$	53807	47.5	0.8	45.0	1.6	23.9	0.8	26.7	0.6	17.1	0.5	13.0	0.3	10.4	0.2
$\gamma'$	58039	45.8	0.8	16.8	0.9	8.8	0.4	5.1	0.3	2.4	0.3	2.6	0.2	3.6	0.2
Cr <sub>2</sub> O <sub>3</sub>	75577	-	-	16.8	1.4	30.4	1.5	37.7	1.1	44.4	1.1	43.9	0.9	46.1	0.8
(Cr <sub>0.88</sub> Ti <sub>0.12</sub> ) <sub>2</sub> O <sub>3</sub>	74195	-	-	1.4	1.4	9.0	1.7	8.2	1.2	8.2	0.9	14.9	0.9	12.2	0.8
NiCr <sub>2</sub> O <sub>4</sub>	280062	-	-	6.0	1.4	5.9	1.1	3.2	0.6	3.6	1.0	5.7	0.5	5.8	0.3
TiO <sub>2</sub>	167955	-	-	2.5	1.0	1.3	0.4	4.5	0.4	9.5	0.5	10.7	0.4	9.1	0.4
(Ni,Co)(Cr,Co) <sub>2</sub> O <sub>4</sub>	8679 61612	-	-	5.8	1.2	8.6	0.9	2.8	0.5	2.8	0.9	0.7	0.3	0.4	0.2
NiTiO <sub>3</sub>	171584	-	-	1.5	0.5	6.6	0.6	7.6	0.4	7.7	0.4	5.3	0.2	9.8	0.3
CrTaO <sub>4</sub>	247441	-	-	2.0	0.5	4.2	0.3	3.9	0.2	4.0	0.3	3.2	0.1	2.6	0.1
NiO	259698	6.6	0.3	2.3	0.5	1.2	0.2	0.2	0.1	0.3	0.3	0.2	0.1	0.0	0.0
$R_{wp}$		27.5		28.7		22.9		19.4		24.8		18.1		20.5	

### 230 3.3 Cross-Sectional Oxide Analysis

231 BSE SEM images of RR1000 cross-sections and associated EDX elemental concentration maps after  
232 exposures at 800 °C in air for 0-100 hours are presented in Figure 7. In the initial case (0 hours of isothermal  
233 exposure following the heating stage), the oxide scale on the surface of RR1000 was too thin to be positively  
234 identified using SEM EDX. It was noted that the Ni plating appeared to have separated from the oxide  
235 surface which could potentially have resulted in some oxide scale loss. Oxygen was detected, however, the  
236 exact composition of the oxide formed could not be measured using SEM EDX. As the relative amounts of  
237 Ni in the alloy and NiO are similar, it is postulated that the most likely oxide formed is that of Ni, as revealed  
238 by the SGIXD above. After 10 hours, early oxidation products were visible near the surface which EDX  
239 showed as predominantly Cr and Ti oxides. The thickness of the oxide formed varied across the surface of  
240 the sample with faster growth noted at emergent grain boundaries, identifiable by the presence of internal  
241 oxidation (finger-like intrusions) of Al. After 20 hours, a more continuous external scale of Cr<sub>2</sub>O<sub>3</sub> was  
242 beginning to form across the surface of the sample with internal Al<sub>2</sub>O<sub>3</sub> intrusions. The thickness of the  
243 surface layer of Cr<sub>2</sub>O<sub>3</sub> after this period of exposure continued to show non-uniform growth, with the faster  
244 oxidation kinetics shown at emergent grain boundaries. The presence of TiO<sub>2</sub> in the external scale was  
245 observed and an obvious Ti-depleted zone developed with the alloy beneath the external scale. Similar to  
246 the 0-hour sample, the Ni plating appeared to have separated from the oxide surface and may have also  
247 resulted in some oxide scale loss. After 40 hours, the external Cr<sub>2</sub>O<sub>3</sub> scale had thickened on the surface of  
248 the alloy. After 60 hours, the Cr<sub>2</sub>O<sub>3</sub> scale remained relatively constant while TiO<sub>2</sub> increased in thickness.  
249 Moreover, the Ni plating appeared to have separated from the oxide scales and a significant amount of  
250 compacted debris from the grinding/polishing procedure was entrapped in the resulting gap. However, this  
251 does not appear to have adversely affected the Cr<sub>2</sub>O<sub>3</sub> and TiO<sub>2</sub> scales as these are still distinguishable from  
252 the debris. The thickness of the Cr<sub>2</sub>O<sub>3</sub>/TiO<sub>2</sub> scale did not increase markedly from 80 to 100 hours, but the  
253 size and depths of the discontinuous Al<sub>2</sub>O<sub>3</sub> intrusions increased and continued to extend into the alloy. While  
254 no specific areas of significant Co and Ni concentrations were observed, faint protrusions enriched in Co  
255 and Ni were detected at the alloy surface. Regions of Mo enrichment were also observed near the surface  
256 of the alloy and surrounding the Al<sub>2</sub>O<sub>3</sub> intrusions. No significant Ta enrichment was observed in the initial  
257 condition but gradually increased in intensity from 10-40 hours, reaching an approximately constant  
258 thickness from 60-100 hours.



259

260 *Figure 7 - Cross-sectional BSE SEM images (top row) and corresponding EDX elemental concentration*  
 261 *maps of RR1000 after oxidation at 800 °C for 0-100 hours in air. It is noted that the Ni plating had*  
 262 *separated from the oxide scales in the 0-hour, 20-hour, and 60-hour samples. The 60-hour sample showed*  
 263 *compacted debris from grinding and polishing procedures entrapped in the gap between the oxide scales*  
 264 *and detached Ni plating.*

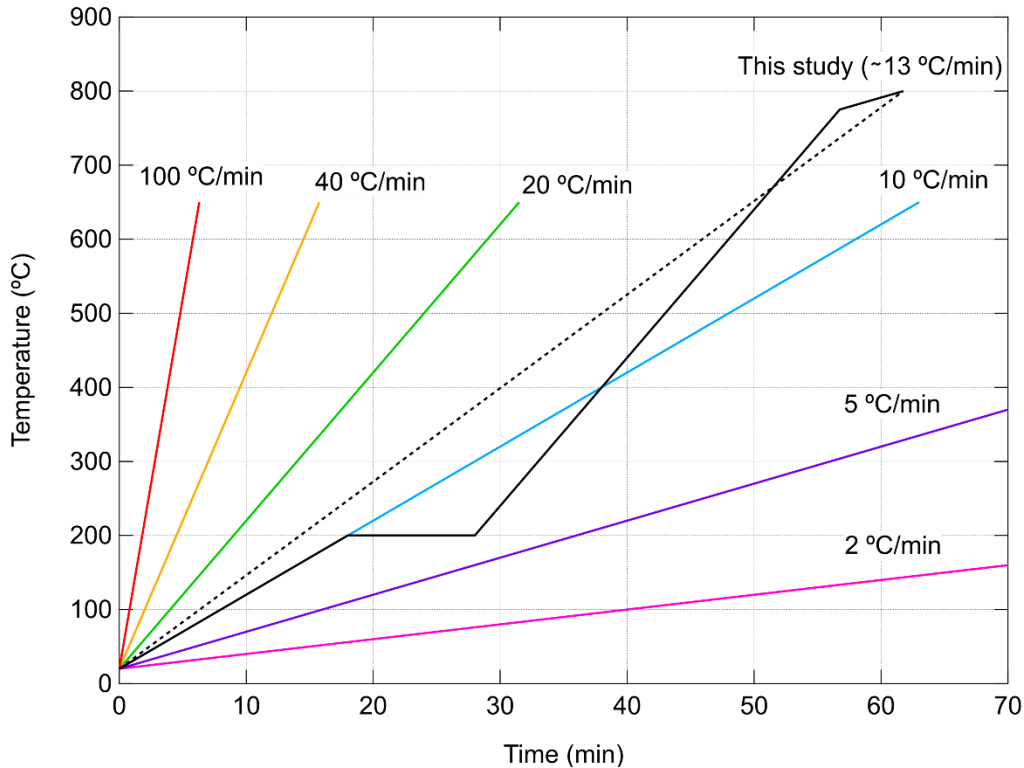
## 265 4 Discussion

### 266 4.1 Thermo-Gravimetric Analysis

267 The TGA results in Figure 2 and Figure 3 showed approximately linear specific mass change kinetics over  
 268 the early oxidation stages of RR1000 at 800 °C (up to 30-40 hours) followed by decreasing oxidation rates.  
 269 This change in kinetic law with increasing time at temperature contrasts with previous studies where  
 270 parabolic kinetics were recorded from the outset of isothermal exposure [9,35]. A value for  $n$  of 1.286 was  
 271 determined for the collected data (Figure 2 and Figure 3) and suggests a kinetics relationship that is closer  
 272 to linear than parabolic. The earlier studies found the alloy, at 800 °C, followed parabolic kinetics over the  
 273 same time period, i.e. up to 100 and 200 hours, respectively [9,35]. The difference between the results  
 274 obtained in this study and those of the similar studies on the same alloy was unexpected. The linear kinetics  
 275 recorded in this study up to 40 hours showed a prolonged period of transient oxidation before the protective

276 and continuous scale of  $\text{Cr}_2\text{O}_3$  had been established. The EDX results in Figure 7 revealed the development  
277 of the oxides on the alloy surface. At 0 hours (i.e., following the heating rate), oxygen was detected at the  
278 surface of the alloy, with SGIXD confirming this to be NiO. At 10 hours, a discontinuous  $\text{Cr}_2\text{O}_3$  scale had  
279 formed along with  $\text{TiO}_2$ , corresponding to the onset of linear kinetics as shown in the TGA results. It is  
280 believed that the formation of these two oxides, the observed differences in growth rate across the surface  
281 of the samples, and the additional identified oxides contributed to the linear kinetic region of the TGA  
282 results. It was also noticeable that the concentration of NiO decreased over this time period. At 40 hours,  
283 the EDX maps showed a continuous Cr-rich oxide had formed at the surface of the alloy and the majority  
284 of the additional oxides were found using SGIXD to be either stable in concentration or decreasing in  
285 intensity. At 40 hours, a classical parabolic profile began.

286 The difference in the value of  $n$  in this study to that reported in [9,35] could be explained by examining the  
287 specific protocol details of the testing performed. The heating rate used in this study is presented in Figure  
288 8 along with the heating rates used in the systematic study by Reynolds et al. [25] which investigated the  
289 effect of heating rates (2, 5, 10, 20, 40, 100 °C/min) from ambient conditions to a test temperature of 650 °C  
290 on the oxidation of RR1000. The average heating rate in this study is approximately 13 °C/min and is  
291 therefore between the 10 °C/min and 20 °C/min heating rates observed in [25]. Thus, in this study it took  
292 approximately 1 hour for the samples to reach the test temperature of 800 °C, enabling a significant quantity  
293 of oxide formation to occur over the thermal transient stage. In this regard, the results agree with [25] where  
294 the formation of NiO and  $(\text{Ni},\text{Co})(\text{Cr},\text{Co})_2\text{O}_4$  spinels were observed. Therefore, the oxides formed during  
295 the heating stage may have contributed to the relatively linear kinetics observed over the time period of up  
296 to 40 hours. However, it is noted that the test temperature was 650 °C in [25] while this study was conducted  
297 at 800 °C. It is possible that a faster heating rate may limit the extent of the transient oxidation stage in this  
298 alloy.



299

300 *Figure 8 - Heating rates of RR1000 in a thermo-gravimetric apparatus. The heating profile used in this*  
 301 *study (black) and the average heating rate (dotted) are shown alongside heating rates investigated in*  
 302 *[25].*

303 In addition to the heating rates, the different surface finishes adopted in other studies compared to this  
 304 investigation must be considered as they may influence the oxidation behaviour. The sources in Table 2  
 305 [7,8,35] had polished samples to either 0.25  $\mu\text{m}$  or 6  $\mu\text{m}$  diamond surface finishes which differ from the  
 306 samples in this study (1  $\mu\text{m}$  diamond finish). However, the final mass gain in Figure 2 shows close  
 307 agreement with the final mass gain at 800  $^{\circ}\text{C}$  in [9], which suggests that the surface roughness range quoted  
 308 for these studies does not significantly affect the overall mass gain. It has been proposed [37] that higher  
 309 surface roughness can reduce oxidation damage and mass gains. It is theorised that the increased  
 310 concentration of defects at the surface due to a higher roughness could create fast diffusion paths for Al or  
 311 Cr, expediting protective oxide formation [37]. This hypothesis could explain why the results in [7,8]  
 312 showed comparatively better (i.e. parabolic) kinetics than the present study. However, the reason why the  
 313 result in [35] also showed parabolic kinetics despite having a smoother surface finish than the present study  
 314 suggests that the different heating rates used may be the cause of the difference in the observed kinetics  
 315 laws.

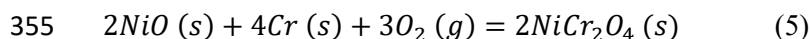
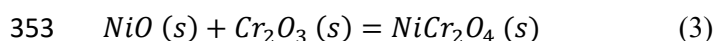
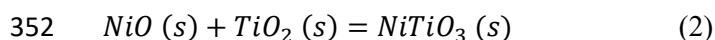
## 316 4.2 Temporal Evolution of Oxides

317 From the studies by Giggins and Pettit [4], the Ni, Cr, and Al content of this alloy (approximately Ni-16Cr-  
 318 3Al wt% or Ni-17Cr-6Al at%) should form a continuous surface scale of  $\text{Al}_2\text{O}_3$  instead of  $\text{Cr}_2\text{O}_3$ . This  
 319 difference in behaviour can be attributed to the lower temperature used in this study, reducing the diffusion  
 320 rate of Al [38]. Indeed, the increased diffusion rate of Al at higher temperatures has been used to promote  
 321  $\text{Al}_2\text{O}_3$  formation on a  $\text{Cr}_2\text{O}_3$ -forming superalloy through high-temperature pre-oxidation treatments [39].  
 322 In addition, the role of the other alloying elements also needs to be considered. Critically, Ti additions have  
 323 been shown to promote  $\text{Cr}_2\text{O}_3$  scale formation in Ni-based alloys and suppress  $\text{Al}_2\text{O}_3$  [15] through

324 accelerated Cr<sub>2</sub>O<sub>3</sub> growth kinetics [8,11–14]. Conversely, in alloys where more stable phases containing Ti  
325 can form, lower Cr<sub>2</sub>O<sub>3</sub> kinetics have been recorded [15].

326 In this investigation, the development of the surface oxides formed over the first 100 hours of exposure to  
327 800 °C has been revealed using SGIXD (Figure 4) showing a gradual formation of various oxides with time.  
328 While peaks associated with NiO, NiCr<sub>2</sub>O<sub>4</sub> (tetragonal), (Cr<sub>0.88</sub>Ti<sub>0.12</sub>)<sub>2</sub>O<sub>3</sub>, (Ni,Co)(Cr,Co)<sub>2</sub>O<sub>4</sub>, NiTiO<sub>3</sub>, and  
329 CrTaO<sub>4</sub> were identified, the peak intensities were relatively low (0-20 hours) until the later stages (80-100  
330 hours) where more reliable identification was possible. The formation of NiO, NiCr<sub>2</sub>O<sub>4</sub>, and  
331 (Ni,Co)(Cr,Co)<sub>2</sub>O<sub>4</sub> was expected and has been reported in similar studies [25,40–45]. This phenomenon is  
332 typically attributed to the alloy forming spinels and/or NiO upon initial exposure to air at high temperatures  
333 and has been shown by Evangelou et al. [44] to predominate at high oxygen partial pressures. Although the  
334 temperatures investigated in [44] were significantly lower than in this study, similar oxidation mechanisms  
335 were proposed for the formation of NiO and spinels. Moreover, the formation of NiCr<sub>2</sub>O<sub>4</sub> may have  
336 contributed to decreased oxidation resistance as it has been previously associated with non-protective  
337 oxidation behaviour [4].

338 The detection and formation of (Cr<sub>0.88</sub>Ti<sub>0.12</sub>)<sub>2</sub>O<sub>3</sub> is not frequently reported in similar studies [8,11] on  
339 RR1000 from 600-900 °C potentially due to the limited resolution of conventional XRD techniques.  
340 However, Reynolds et al. [25] reported the formation of this phase at 650 °C for RR1000, which was  
341 attributed to a Ti-doped Cr<sub>2</sub>O<sub>3</sub> scale. In addition, Wang et al. [46] have also reported the formation of  
342 (Cr<sub>0.88</sub>Ti<sub>0.12</sub>)<sub>2</sub>O<sub>3</sub> in the GH4720Li Ni-based superalloy at temperatures ranging from 750-900 °C. The effect  
343 of Ti-doping on the Cr<sub>2</sub>O<sub>3</sub> scale in Ni-based alloys has also been previously reported by several researchers  
344 [8,11–14] therefore its occurrence in this study is expected given the Ti concentration of RR1000. The use  
345 of SGIXD has thus been able to provide a more detailed investigation of the oxides formed even at short  
346 thermal exposures providing greater detail of this important stage in the oxidation process. Similarly,  
347 NiTiO<sub>3</sub> (nickel titanate) is seldom observed, however, its formation is speculated to result from a chemical  
348 reaction between NiO and TiO<sub>2</sub>, as shown in the reaction quoted in Equation 2 [47–49]. When detected,  
349 NiTiO<sub>3</sub> has been associated with a fast-growing and non-protective scale [48]. In addition, several other  
350 reactions are possible that would result in a decrease and subsequent disappearance of the initially formed  
351 NiO. These include:



356 where *s* indicates a solid phase and *g* indicates a gaseous phase. Equations (2), (3), and (4) are solid-state  
357 reactions with no associated mass change, while reaction (5) would result in a change of mass.

358 The increasing Ta concentrations on the surface in Figure 7 are consistent with the detection of CrTaO<sub>4</sub> in  
359 the SGIXD results. The identification of Ta-rich particles in the oxide layer is consistent with Das et al. [40]  
360 who observed Ta-rich oxide particles in the superalloy CM-247LC after exposure at 1000-1200 °C. The  
361 occurrence of CrTaO<sub>4</sub> aligns well with previous studies [43,50,51] where complex oxides of the form (Cr,  
362 Ti, Al)(Ta, Ti)O<sub>4</sub> tend to form at temperatures below 1100 °C. Pieraggi et al. [51] surmised that CrTaO<sub>4</sub> can  
363 form a continuous solid solution with rutile TiO<sub>2</sub> given that CrTaO<sub>4</sub> also possesses a rutile structure. Indeed,  
364 the addition of Ta was shown to be beneficial for the oxidation performance of Co-Cr-Ta alloys by Irving  
365 et al. [52]. It has also been reported by Jalowicka et al. [15] that Ta and Ti can react with oxygen to form

366 TiTaO<sub>4</sub>, which reduces Ti-doping of Cr<sub>2</sub>O<sub>3</sub> and promotes dense Al<sub>2</sub>O<sub>3</sub> sub-scale formation. However, it is  
367 noted that the alloys in that work (Rene 80 and PWA 1483) had significantly higher Ti and Ta concentrations  
368 than RR1000, which prevents a direct comparison. No evidence of Ta<sub>2</sub>O<sub>5</sub> was detected in this study,  
369 contrasting to studies undertaken at different temperatures [43,53]. However, it can be inferred from the  
370 work of Ren et al. [50] that Ta<sub>2</sub>O<sub>5</sub> may initially form as a precursor oxide that subsequently reacts with  
371 Cr<sub>2</sub>O<sub>3</sub> to form CrTaO<sub>4</sub>. It is therefore possible that any Ta<sub>2</sub>O<sub>5</sub> that may have formed in RR1000 was rapidly  
372 developed into CrTaO<sub>4</sub>. The absence of NiTa<sub>2</sub>O<sub>6</sub> tri-rutiles in RR1000 differs from the work of Nychka et  
373 al. [42] which is likely due to PWA 1484 having a much higher Ta concentration.

374 In general, the SGIXD data (Figure 4) shows that the Cr<sub>2</sub>O<sub>3</sub> peaks become much more prominent after 40  
375 hours, aligning well with the TGA data where the specific mass change curve begins to adopt a parabolic  
376 profile. This agreement suggests that the onset of transient oxidation and the shift towards dominance of a  
377 specific oxide (e.g. Cr<sub>2</sub>O<sub>3</sub>) could be monitored with the use of TGA experiments alone.

378 To summarise, it is proposed that the oxidation behaviour of RR1000 at 800 °C could be broadly divided  
379 into two stages: early and late. The early stage, up to 40 hours, was characterised by approximately linear  
380 growth kinetics with the initial formation of NiO, during the heating stage, followed by a mixture of spinels  
381 (NiCr<sub>2</sub>O<sub>4</sub>/(Ni,Co)(Cr,Co)<sub>2</sub>O<sub>4</sub>) and Cr<sub>2</sub>O<sub>3</sub>/(Cr<sub>0.88</sub>Ti<sub>0.12</sub>)<sub>2</sub>O<sub>3</sub> and other minor oxide phases, without a clear  
382 oxide preference. The formation of NiO subsided due to competing oxide formation and participation in  
383 chemical reactions. As discussed in the literature, the spinels and (Cr<sub>0.88</sub>Ti<sub>0.12</sub>)<sub>2</sub>O<sub>3</sub> in the early stage could  
384 have acted as barriers to oxygen ingress [27], which may have facilitated the formation of Cr<sub>2</sub>O<sub>3</sub> and some  
385 TiO<sub>2</sub>. In the late stage, from 40 hours onward, Cr<sub>2</sub>O<sub>3</sub> emerged as the dominant oxide as well as the continued  
386 formation of TiO<sub>2</sub>. In addition, the formation of spinels decreased while relatively small levels of NiTiO<sub>3</sub>  
387 and CrTaO<sub>4</sub> remained. It was shown in this study that oxide phase characterisation during the transient  
388 oxidation regime was enabled using the high intensity and angular resolution of SGIXD, which was  
389 subsequently able to rationalise the pseudo-linear oxidation kinetics.

## 390 5 Conclusions

391 In this study, three techniques were used to characterise the transient oxidation behaviour of the commercial  
392 polycrystalline Ni-based superalloy, RR1000, in air at 800 °C from 0-100 hours. The main findings are as  
393 follows:

- 394 • RR1000 exhibited approximately linear specific mass change kinetics up to approximately 40 hours  
395 of oxidation, beyond which parabolic kinetics was evident. The  $n$  and  $k_n$  values were 1.286 and  
396  $1.623 \times 10^{-3} \text{ mg}^n/\text{cm}^{2n}\text{h}$ , respectively. When fitted to parabolic kinetics, the values compared well  
397 to literature data. The changes in kinetics observed in this study were rationalised as an extended  
398 period of transient oxidation before a continuous and protective Cr<sub>2</sub>O<sub>3</sub> scale was established.
- 399 • A continuous external Cr<sub>2</sub>O<sub>3</sub> scale formed on the surface of RR1000 with internal Al<sub>2</sub>O<sub>3</sub> finger-like  
400 intrusions, which is characteristic of the oxidation behaviour for alloys of this chemical  
401 composition. TiO<sub>2</sub> was also detected at the surface.
- 402 • SGIXD results showed a clear and systematic evolution of oxide spectra with increasing oxidation  
403 time. Peaks associated with Cr<sub>2</sub>O<sub>3</sub> and TiO<sub>2</sub> increased in intensity with increasing time at  
404 temperature. To a lesser extent, the presence of NiO, NiCr<sub>2</sub>O<sub>4</sub>, (Ni,Co)(Cr,Co)<sub>2</sub>O<sub>4</sub>, (Cr<sub>0.88</sub>Ti<sub>0.12</sub>)<sub>2</sub>O<sub>3</sub>,  
405 NiTiO<sub>3</sub>, and CrTaO<sub>4</sub> was also detected.
- 406 • Rietveld refinement of the SGIXD data revealed two main stages in the oxidation process of  
407 RR1000: The early stage, demonstrated by approximately linear kinetics, was characterised by the  
408 formation of NiO during the heating stage followed by the formation of spinels  
409 (NiCr<sub>2</sub>O<sub>4</sub>/(Ni,Co)(Cr,Co)<sub>2</sub>O<sub>4</sub>), and Cr<sub>2</sub>O<sub>3</sub>/(Cr<sub>0.88</sub>Ti<sub>0.12</sub>)<sub>2</sub>O<sub>3</sub> and a number of minor oxide phases. The

410 late stage, from 40 hours onwards, was characterised by the growth of Cr<sub>2</sub>O<sub>3</sub> and TiO<sub>2</sub> and the  
411 establishment of parabolic oxidation kinetics.

- 412 • The extended transient oxidation regime was attributed to the slower heating rate undertaken in this  
413 study, which delayed parabolic kinetics in RR1000.

## 414 6 CRediT Authorship Contribution Statement

415 **J. W. X. Wo:** Validation, Methodology, Formal Analysis, Investigation, Data Curation, Writing – original  
416 draft, Visualization. **D. M. Collins:** Experimentation, Rietveld Analysis, Data Interpretation, Writing –  
417 review & editing. **M. P. Taylor:** Conceptualization, Experimentation, Rietveld Analysis, Data Interpretation,  
418 Writing – review & editing. **M. C. Hardy:** Resources, Funding Acquisition, Writing – review & editing. **H.**  
419 **J. Stone:** Conceptualization, Supervision, Writing – review & editing, Funding Acquisition, Project  
420 Administration.

## 421 7 Declaration of Generative AI in Scientific Writing

422 GPT-3, OpenAI's large-scale language-generation model (ChatGPT), was used in the writing process to  
423 check grammar and improve conciseness. J.W.X. Wo reviewed, edited, and revised all text that was  
424 generated by ChatGPT to his satisfaction and the authors take ultimate responsibility for the content of this  
425 publication.

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436 532682-2019].

## 437 10 Data Availability

438 The underlying research data required to reproduce these findings are available from the University of  
439 Cambridge repository (<https://doi.org/10.17863/CAM.97061>) [54].

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