

19 **ABSTRACT**

20

21 **PURPOSE.** Within the healthy population there is a large variation in the ability to perform
22 smooth pursuit eye movements. Our purpose was to investigate the genetic and physiological
23 bases for this variation.

24

25 **METHODS.** We carried out a whole-genome association study, recording smooth pursuit
26 movements for 1040 healthy volunteers by infra-red oculography. The primary phenotypic
27 measure was root mean square error (RMSE) of eye position relative to target position.
28 Secondary measures were: pursuit gain; frequency of catch-up saccades; frequency of
29 anticipatory saccades. 10% of participants, chosen randomly, were tested twice, giving
30 estimates of test-retest reliability.

31

32 **RESULTS.** No significant association was found with three genes previously identified as
33 candidate genes for variation in smooth pursuit: *DRD3*, *COMT*, *NRG1*. A strong association
34 ($p = 3.55 \times 10^{-11}$) was found between RMSE and chromosomal region 1q42.2. The most
35 strongly associated marker (rs701232) lies in an intron of *KCNK1*, which encodes a two-pore-
36 domain potassium ion channel TWIK-1 (or K2P1) that affects cell excitability. Each
37 additional copy of the A allele decreased RMSE by 0.29 standard deviation. When a
38 psychophysical test of visually perceived motion was used as a covariate in the regression
39 analysis, the association with rs701232 did not weaken ($p = 5.38 \times 10^{-12}$).

40

41 **CONCLUSION.** Variation in the sequence or the expression of the pH-dependent ion
42 channel TWIK-1 is a likely source of variance in smooth pursuit. The variance associated
43 with TWIK-1 appears not to arise from sensory mechanisms, since the use of a perceptual
44 covariate left the association intact.

45 We share with our primate relatives the capacity to track a smoothly moving object with our
46 gaze¹. This phylogenetically recent ability serves to stabilize the object on the fovea – a
47 retinal region that is rich in cones and is coupled to a disproportionately large area of visual
48 cortex.

49
50 Although the neural pathways that underlie smooth pursuit overlap with those that control
51 saccades and although the two systems necessarily collaborate during tracking²⁻⁴, there is
52 evidence for some functional independence between these two types of eye movement.
53 Whereas saccades are primarily driven by position error, smooth-pursuit movements are
54 driven by sensory signals that represent stimulus velocity^{5,6}, signals that possibly derive from
55 low-level motion detectors in the initial stage and from high-level motion systems once
56 tracking is on target⁷. Barbiturate drugs have a disproportionate effect on smooth pursuit:
57 under the influence of barbiturates, tracking tasks are performed by a succession of saccades
58⁵. Conversely, in some cases of idiopathic ocular motor apraxia, smooth pursuit movements
59 may survive when horizontal saccadic movements are lost^{8,9}.

60
61 Smooth pursuit eye movements are impaired, often disproportionately, in several other
62 neurological and psychiatric conditions, including episodic ataxia type 4, Joubert syndrome,
63 Alzheimer's Disease, and posterior cortical atrophy¹⁰⁻¹³. The impairment of smooth pursuit
64 in cases of psychosis is long established¹⁴⁻¹⁷, although, in a healthy German population,
65 Coors *et al*¹⁸ found no consistent relationship between polygenic risk scores for
66 schizophrenia and either the gain of smooth pursuit or the number of saccades made while the
67 participant was tracking.

68
69 Within the non-clinical population, there are, however, large individual differences in smooth
70 pursuit performance^{19,20}, and twin studies suggest that the accuracy of smooth pursuit is
71 substantially heritable^{21,22}. Two early candidate-gene studies were prompted by the
72 dopaminergic theory of schizophrenia. Thus Rybakowski and colleagues²³ reported an
73 association with the Ser-9-Gly polymorphism of the *DRD3* gene, encoding a dopaminergic
74 receptor: The Ser-Ser phenotype was more likely to be accompanied by impaired pursuit,
75 both in healthy controls and in patients with schizophrenia. Similarly, Thaker *et al*²⁴ reported
76 that the Val-158-Met polymorphism of *COMT* was associated with differences in predictive
77 pursuit gain in healthy individuals. Another gene of interest – again on account of its being a
78 candidate gene for schizophrenia – has been *NRG1*, which encodes neuregulin-1. For a large

79 sample of male military conscripts, Smyrnis and colleagues ²⁵ reported an association
80 between root-mean-square error in smooth pursuit and the SNP rs6994992
81 (SNP8NRG243177) in the promoter region of *NRG1*. However, negative results for this and
82 other *NRG1* polymorphisms were reported for a Korean population ²⁶ and for an Icelandic
83 population ²⁷. In a whole-genome study of a mixed cohort of healthy controls and patients
84 with psychotic conditions, Lencer and colleagues ²⁸ found no SNP with genome-wide
85 significance for smooth pursuit gain, but reported an association of *IPO8* (chromosome
86 12p11.21) with initial pursuit acceleration.

87
88 We describe here a whole-genome association study of smooth pursuit in healthy young
89 adults. Many whole-genome association studies offer no formal measure of the test-retest
90 reliability of the phenotypic measurements. Any day-to-day variation in participants or in the
91 measurement procedures will reduce reliability, and this will set an upper limit to any
92 genomic associations that can be obtained ²⁹. In the present study, therefore, we designed the
93 phenotypic measurements to achieve high test-retest reliability; and we report explicit values.

94
95 Our study did not confirm associations reported in the candidate-gene literature (see above),
96 but individual variations in accuracy of smooth pursuit were strongly associated with markers
97 within the gene *KCNKI*, which encodes the two-pore-domain potassium channel known as
98 TWIK-1 or K2P1 ³⁰⁻³².

99

100 **METHODS**

101 **Participants**

102 Oculomotor measures were recorded as part of the PERGENIC project, in which we tested a
103 population of 1058 young adults (413 male) on a 2.5-hour battery of optometric, perceptual and
104 oculomotor tests (e.g. ^{33, 34, 35}). Participants were recruited from the Cambridge area by
105 advertisements within the University and online, and a large proportion were students at the
106 University of Cambridge. Their age range was 16–40, with a mean age of 22.14 (SD: 4.09).
107 To reduce population stratification in our sample, participants were all of European descent, as
108 established by the reported nationality of their four grandparents and by direct checks on
109 genotypes. In order to establish test-retest reliabilities, we asked 10% of participants, randomly
110 selected, to perform the test battery on a second occasion.

111

112 The study received approval from the Cambridge Psychology Research Ethics Committee. All
113 participants gave written consent after having been given information about the study.

114

115 **Phenotypic measures**

116 Measurements of smooth pursuit were available for 1040 participants and for 103 of those
117 participants tested twice. For the latter group, in all but 3 cases, the two testing sessions were
118 at least one week apart: the range of intervals was 103 days and the median was 18.8 days (SD:
119 23.3 days).

120

121 Eye movements and head movements were recorded using the head-mounted JAZZ-novo
122 system (Ober Consulting, Poznan, Poland), which samples at 1 kHz and records horizontal and
123 vertical rotations of the eye using infrared oculography. The output signal represents the
124 average of the two eyes. The noise level (along the horizontal axis) is equivalent to 6 minutes
125 of visual angle. A chin-rest was used to minimize head movements and to maintain a viewing
126 distance of 60 cm.

127

128 Stimuli were presented on a GDM-F520 CRT monitor (Sony, Tokyo, Japan) controlled by a
129 VSG 2/5 graphics card (Cambridge Research Systems, Rochester, UK). The monitor had a
130 refresh rate of 100 Hz and was synchronized with the JAZZ-novo by means of the independent
131 timer present on the VSG card. The synchronization, tested empirically, was accurate to 1 ms.

132

133 The target was a white disk (diameter of 0.3° ; luminance of 75 cd/m^2) and was presented on a
134 grey background (25 cd/m^2). A smooth-pursuit trial began with the target located centrally for
135 a duration chosen randomly from the range 500-1500 ms. The target then moved horizontally
136 (to the left or to the right) at a constant speed ($10^\circ/\text{s}$, $20^\circ/\text{s}$ or $30^\circ/\text{s}$) until it reached an
137 eccentricity of 15° , whereupon it changed direction and moved to the opposite side of the
138 screen, continuing this triangular waveform for 5.5 cycles. There were 8 trials for each target
139 speed. Participants were instructed to fixate the target at all times.

140

141 In order to maximize the reliability of the measurements, a spatial calibration was performed at
142 regular intervals during each recording session: the participant was asked to fixate stationary
143 targets (duration 1000 ms) at 15°, 10°, 5°, 0°, -5°, -10°, -15° relative to the central fixation
144 point. The gain and offset were calculated for each calibration using linear regression of the
145 oculographic signal against the target values; and these factors were applied to the eye-
146 movement data recorded following the calibration.

147

148 In the analysis of the oculomotor data, a saccade was detected if the eye acceleration exceeded
149 a relative threshold value (6 times the median value of the standard deviation of the acceleration
150 signal during the first 80 ms of all trials for a particular participant). As the primary, global
151 measure of tracking performance, we calculated the *root mean square error* (RMSE) of eye
152 position relative to target position in degrees of visual angle. The complete pursuit signal was
153 used excluding blinks. We also extracted three secondary measures: *Pursuit gain*, defined as
154 eye velocity divided by target velocity after removal of saccades and blinks, and excluding
155 regions where the target changed direction, i.e. regions where the eccentricity of the target was
156 $>10^\circ$; *Frequency of catch-up saccades* (defined as saccades in the direction of pursuit that
157 decreased positional error) and *Frequency of anticipatory saccades* (defined as saccades in the
158 pursuit direction that increased positional error and were $>1.5^\circ$ in amplitude; Smyrnis, 2008³⁶).
159 Results for saccades are expressed as average number per second. The secondary phenotypic
160 measures are not, of course, independent of the primary measure, RMSE.

161

162 **Genotyping**

163 DNA was collected from saliva samples taken during the participants' visits, using Oragene
164 OG-500 kits (DNA Genotek Inc, Ottawa). DNA extraction and microarray processing were
165 performed by Cambridge Genomic Services (University of Cambridge, UK) according to
166 manufacturers' protocols. 1008 individuals were genotyped at 733,202 SNPs (single nucleotide
167 polymorphisms) on the Illumina HumanOmniExpress BeadChip. Genotype calling was by
168 custom clustering using the algorithm GenCall implemented in Illumina GenomeStudio.
169 Twenty-eight individuals were excluded from the analysis, on the basis of genetic and
170 phenotypic quality control. Criteria for exclusion were: Inadequate eye-movement data (8
171 individuals), genotypic sex anomalies (3 individuals), low (< 0.97) genotyping call rate (1
172 individual), population outliers (1 individual) and duplicate or related samples (15 individuals).

173 This left 980 individuals in the analysis (599 female). Quality control was also conducted on
174 individual SNPs. Markers with > 2% missing genotypes (12706 SNPs) and markers with < 1%
175 minor allele frequency (77738 SNPs) were excluded, leaving 642,758 SNPs in the analysis.

176

177 **Statistical analysis**

178 Association analysis was conducted using PLINK (v. 1.07)³⁷, assuming an additive genetic
179 effect. To control for any residual population stratification resulting from multiple genetic
180 subgroups or genetic admixture in our population, we used EIGENSOFT (v. 4.2)³⁸ to extract
181 the top three principal components (PCA's) of genetic variation in the sample. The three PCA
182 axes were entered together with sex as covariates in the regression model. At any suggestive
183 ($p < 1 \times 10^{-5}$) loci, 2.5Mb regions centered on these locations were defined for imputation.
184 These regions were imputed using IMPUTE2 (v. 2.3.0)^{39,40} with the phased haplotypes of the
185 1000 genomes project⁴¹. Association analysis of these high-density regions was then carried
186 out on the genotype probabilities using the dosage association feature of PLINK, with the four
187 covariates added to the regression model as before.

188

189 We also used a permutation test to verify potential associations⁴². This method generates
190 empirically derived null distributions and accounts for multiple testing across the genome. It
191 is particularly useful for testing associations where assumptions of parametric tests may be
192 violated. Phenotypic scores were randomly permuted within the cohort to provide a new set of
193 genotype-phenotype pairings sampled under the null hypothesis. Linear regressions were then
194 calculated at each SNP for each permutation. To account for residual stratification, we
195 allowed permutation of phenotypic values only within population groups; these were defined
196 using PLINK's clustering method, which uses complete linkage agglomerative clustering,
197 based on pairwise identity-by-state distance³⁷. This method grouped our cohort into 11
198 clusters. A p -value was calculated for a given SNP as the probability that the p -value for that
199 SNP in the original analysis was larger than the p -value for any SNP over 10,000
200 permutations.

201

202 Finally, regions corresponding to the association signal were defined. These regions are
203 blocks that are in linkage disequilibrium with the most strongly associated marker and contain
204 other "clumped" SNPs that are associated with the phenotype below a specified p -value. The

205 range therefore defines the region likely to contain the gene of interest, where the causal
206 polymorphism associated with the phenotype lies. We used PLINK's clumping function to
207 define the regions, using a significance threshold of index SNPs of 0.00001, a significance
208 threshold for clumped SNPs of 0.01, an LD threshold for clumping of 0.1 and a physical
209 distance threshold for clumping of 1250Kb. For all significant or suggestive SNPs, cluster
210 plots were inspected manually and genotype distributions were evaluated for deviation from
211 Hardy-Weinberg equilibrium. All genomic references are based on NCBI Build 37.

212

213 **Using performance on a phenotypic perceptual task as a covariate.**

214 For the participants in our present cohort, we hold measurements of visual thresholds for
215 detecting coherent motion in an array of moving dots⁴³: Thresholds were expressed as the
216 proportion of dots that must be in coherent motion for the predominant direction of motion to
217 be correctly reported. In the present GWAS of ocular tracking, we used participants'
218 performance on the coherent motion test as a covariate, to test to whether the phenotypic
219 variance associated with *KCNKI* was of perceptual origin.

220

221 **RESULTS**

222 **Phenotypic measures**

223 Within the cohort there were substantial individual differences in smooth-pursuit ability:
224 Figure 1 shows examples of records from participants with very low and very high scores for
225 the primary phenotypic measure, root mean square error (RMSE). Distributions for our
226 phenotypic measures can be found in Bargary *et al*²⁰. High test-retest reliabilities were found
227 for RMSE and for the secondary measures – pursuit gain, anticipatory saccades, catch-up
228 saccades. These values are shown in bold in Table 1. Also shown in Table 1 are the
229 correlations between the phenotypic measures, which are in the expected directions. The
230 values for test-retest reliability are based on the 10% of participants who were tested twice
231 ($N=103$), and the correlations between measures are based on the full cohort who completed
232 the phenotypic tests ($N=1040$). We give Spearman rank correlations, since the measures are
233 not normally distributed. The values shown here are extracted from Tables 1 and 3 of
234 Bargary *et al*²⁰.

235

236 **Genetic associations**

237 Our array included three SNPs, rs6280 (*DRD3*), rs4680 (*COMT*) and rs6994992 (*NRG1*), that
238 have been associated with smooth-pursuit performance in candidate-gene studies of healthy
239 participants (see Introduction). We found no significant association between RMSE and any of
240 these SNPs: for rs6280 the unadjusted p -value was 0.067, for rs4680 it was 0.27, and for
241 rs6994992 it was 0.108. These values were 0.121, 0.258 and 0.125 respectively when
242 performance on the perceptual coherent motion test was used as a covariate.

243

244 At the suggestion of a reviewer, we also asked whether our measure of smooth pursuit RMSE
245 was significantly associated with any of the SNPs that reached genome-wide significance in
246 the COGENT study of general cognitive ability⁴⁴. Only six of the 122 significant COGENT
247 SNPs were directly available on our Illumina BeadChip array but we were able to impute all
248 but two of the remainder (17:44366572:A:G and 17:44364573:G:A were not available). An
249 association run in PLINK (with sex and the first three genetic PCAs as covariates) showed no
250 significant associations ($p > 0.099$).

251

252 A strong genetic association was found between RMSE for smooth pursuit and a locus in the
253 chromosomal region 1q42.2 that includes the gene *KCNK1* (Fig. 2). The most strongly
254 associated genotyped SNP was rs701232 ($p = 3.55 \times 10^{-11}$) and the most strongly associated
255 imputed SNP was rs701233 ($p = 1.06 \times 10^{-10}$). Both SNPs are located in the first intron of
256 *KCNK1*, within a cluster of transcription-factor binding sites. The SNP rs701232 showed
257 associations at the 1.7×10^{-5} and 8.0×10^{-5} levels with number of anticipatory saccades and
258 with pursuit gain, and these associations disappeared when RMSE was included as a covariate
259 ($p = 0.47$ for anticipatory saccades; $p = 0.48$ for gain). Interestingly, there was not a strong
260 relationship with the frequency of catch-up saccades ($p = 0.023$). Table 2 lists all genotyped
261 and imputed SNPs that lie on 1q42.2 and that have p -values smaller than 5×10^{-7} for an
262 association with RMSE.

263

264 The quantile-quantile plot for the analysis (Fig. 3A) and the value of the genomic inflation
265 factor ($\lambda = 1.00$) showed no evidence of increased signals due to technical error or to population
266 stratification. Post-association quality control showed no evidence of departure from Hardy-
267 Weinberg equilibrium (Table 2) and inspection of the signal intensity plots shows that the SNPs
268 were well called (Fig 3B).

269

270 The minor allele frequency for the most strongly associated SNP, rs701232, was 0.49 in our
271 sample, which is similar to the values of 0.46 recorded for the 1000 genome project and of 0.49
272 recorded for the GnomAD database. Each additional copy of the minority A allele at this
273 position was associated with a decrease in RMSE equivalent to 0.29 standard deviation. The
274 power to detect an effect of this magnitude was 95% (Fig. 3C).

275

276 Since the phenotypic data are not normally distributed²⁰, we also conducted the regression
277 analysis using rank orders: The strongest signal was again at rs701232 ($p = 7.74 \times 10^{-10}$). Using
278 the permutation method, which derives significance values without making assumptions about
279 the distribution of the dataset, we again obtained the strongest signal at rs701232, with a
280 genome-wide multiply-corrected p -value of 0.0039.

281

282 **Using coherent motion performance as a covariate**

283 There are moderate, but highly significant, phenotypic correlations between performance on
284 our coherent motion test⁴³ and the present oculomotor tracking measures: The values of
285 Spearman's rho for the correlations of motion sensitivity with pursuit RMSE, with pursuit gain,
286 with frequency of anticipatory saccades and with frequency of catch-up saccades were -0.28,
287 0.24, -0.22, and 0.14 respectively ($p \ll 0.001$ in all cases). Thus approximately 8% of the
288 phenotypic variance is common to motion thresholds and to the RMSE of ocular tracking.

289

290 However, the marker rs701232 – strongly associated with RMSE in oculomotor tracking –
291 shows no sign of association with psychophysical sensitivity for coherent motion (uncorrected
292 $p = 0.70$). We repeated our association analysis for oculomotor tracking, adding coherent
293 motion sensitivity to the covariates previously used (sex, and the first three PCAs of the
294 genetic variation in our sample). The association of RMSE with rs701232 became somewhat
295 stronger ($p = -5.38 \times 10^{-12}$) rather than weaker.

296

297 **Sex differences**

298 Our phenotypic analysis showed large sex differences in smooth-pursuit measures (see Table
299 2 of reference 20): Females showed a 18% higher mean RMS error than did males; their
300 pursuit gain was lower by 4%; they made 30% fewer catch-up saccades, i.e., saccades that

301 reduce the positional error; and they made 18% more anticipatory saccades, i.e., saccades that
302 increase the positional error. Anticipatory saccades are often considered to be predictive and
303 to be produced mistakenly in an attempt to improved tracking⁴⁵.

304

305 These large phenotypic differences prompted us to examine the genetic associations of
306 *KCNK1* separately for males and females (see Figure 4 for violin plots by genotype). The
307 association of rs701232 with RMSE remained very significant within the female cohort alone
308 ($N = 599$; $\beta = 0.69$; $p = 4.30 \times 10^{-10}$) but in males the association was much weaker ($N =$
309 388 ; $\beta = -0.28$; $p = 0.010$). A permutation analysis showed that the effect size was
310 significantly different between males and females: Over 10,000 permutations (where the full
311 sample was split randomly into two cohorts of 388 and 592 to match the numbers of males
312 and females), the probability that a difference in effect size was larger than the observed one
313 was 0.005. The difference was not due to a difference in phenotypic reliabilities: None of the
314 four phenotypic measures of smooth pursuit exhibited a significant sex difference in
315 reliability within the 101 participants (61 female) who performed the measurements twice and
316 whose genetic data were included in our analysis.

317

318 **DISCUSSION**

319 **Phenotypic reliabilities**

320 The strength of any association found in GWAS must depend on the test-retest reliability of
321 the phenotypic measure, since some variance will always be either within-individual or
322 instrumental in its origin²⁹: Ideally the measure should wholly represent trait rather than
323 state. Curiously, the reliability of the phenotypic measure is seldom stated in whole-genome
324 studies of behavioural traits. In the case of the present study, we believe that the high
325 reliabilities are the result of repeated calibration during the oculomotor testing of each
326 participant²⁰.

327

328 **Genetic association**

329 It is perhaps the high reliabilities of our phenotypic measures that allowed the emergence of a
330 strong association between smooth-pursuit tracking performance and the gene *KCNK1* in
331 chromosomal region 1q42.2. The size of the effect is relatively large: 0.29 SD for each
332 additional copy of the A allele. Since we do not have a replication cohort and do not have the
333 resources to carry out further testing, the association must remain provisional.

334

335 However, *KCNKI* encodes an ion channel and is a plausible candidate gene for an effect on
336 smooth pursuit. It is widely expressed in the brain, both in neurons and in astrocytes. There
337 are high levels of expression in the cerebellar granular cell layer, in the thalamic reticular
338 nucleus, in the medial habenula and in the piriform cortex^{46,47}. In the neocortex, it is
339 expressed most highly in layers 2/3 of the motor and frontal cortex.

340

341 The encoded protein, TWIK-1 or K2P1, is a two-pore-domain potassium ion channel
342 ('Tandem of P-domains in a Weakly Inward rectifying K⁺ channel')^{30,31}. The channel itself
343 is a dimer, assembled either from two units of TWIK-1 or a combination of TWIK-1 and
344 another member of the two-pore-domain family, such as TREK-1, TASK-1 or TASK-3^{48,49}.
345 The TWIK-1/TREK-1 heterodimer is common in astrocytes, where it mediates the K⁺ current
346 responsible for background passive conductance but also mediates the release of glutamate
347 from the cell when the heterodimer is bound to the G-protein subunit GNG4 as a result of
348 activation of the heptahelical receptor, cannabinoid receptor 1^{50,51}.

349

350 **Previous associations of *KCNKI* with pathologies**

351 An early linkage study of a Mennonite kindred found that a form of episodic ataxia was
352 associated with the 1q42.2 region containing *KCNKI*⁵²: Disorders of this type are
353 characterised by episodes of cerebellar dysfunction and they typically arise from an inherited
354 defect of an ion channel. Sequencing of the exons of *KCNKI* and adjacent splice sites in this
355 family did not reveal mutations but did not rule out variants that could change the expression
356 of the gene. In two brothers with autism and mild intellectual disability, Crepel and
357 colleagues⁵³ reported a 2 Mb duplication at 1q42.2: one breakpoint was within *KCNKI* and
358 within the present association region, and the other breakpoint was just upstream of *DISC1*.
359 In a study of expression differences in monozygotic twins discordant for bipolar disorder⁵⁴,
360 *KCNKI* showed consistent over-expression in the affected twin. Conversely a meta-analysis
361 by Mistry and colleagues⁵⁵ found that the expression of *KCNKI* is reliably down-regulated in
362 the prefrontal cortices of patients with schizophrenia. However, although 1q42.2 is a region
363 that has been linked with psychotic illness^{56,57} and although the TWIK-1/TREK-1
364 heterodimer has been proposed as a target for anti-depressant drugs⁵⁸, *KCNKI* is explicitly
365 not among the loci that have been associated with schizophrenia by GWAS^{59,60}: indeed, in a
366 2014 GWAS⁵⁶, rs701232 had a thoroughly non-significant *p*-value of 0.2832.

367

368 However, although *KCNKI* is clearly not itself a candidate gene for psychosis in clinical
369 populations, we leave open the possibility that it is a route by which biochemical changes
370 associated with psychosis can lead to alterations in ocular tracking – for example, via
371 activation of cannabinoid receptor 1 (see above). In this context, we note the interesting
372 finding by Sami *et al* ⁶¹ that patients with early psychosis who were heavy cannabis users did
373 not exhibit the reduced gain in smooth pursuit that was seen in comparable patients who were
374 not cannabis users.

375

376 **The site of action of *KCNKI***

377 The introduction of a covariate in GWAS may throw light on how a genetic polymorphism
378 alters the phenotype. In the present study, the use of an independent phenotypic measure
379 allowed us to constrain the probable site of action of *KCNKI*.

380

381 Individual differences in ocular tracking could arise from variation in the visual analysis of
382 motion as well as from variation at different levels of the oculomotor system ^{7, 62-64}.

383 Correlations between psychophysical judgements and oculomotor precision suggest that some
384 of the variance in tracking ability indeed has its origin within the perceptual system. In a
385 sample of 45 college students, Wilmer and Nakayama ⁷ found that pre-saccadic pursuit
386 acceleration correlated with psychophysical estimates of the speed of 'low-level' motion,
387 while the precision of post-saccadic pursuit correlated with judgments of 'high-level' motion.
388 In a sample of 36 healthy observers, Price and Blum ⁶⁵ found that the precision of perceptual
389 judgements of motion direction was correlated with the precision of ocular tracking. For
390 patients with schizophrenia, similar relationships have been found between the gain of smooth
391 pursuit and psychophysical thresholds for detecting coherent motion ⁶⁴ and for discriminating
392 velocity ⁶⁶.

393

394 For our own large population of young, healthy adults, phenotypic correlations of this kind
395 are observed, and we exploited them to test whether the variance due to *KCNKI* is of
396 perceptual origin. When we used as covariate the ability to detect coherent motion in random
397 noise ⁴³, the association of smooth-pursuit RMSE with *KCNKI* was not weakened but instead
398 slightly strengthened. This result suggests that the variance associated with chromosomal
399 region 1q42.2 is unlikely to originate within the perceptual analysis of motion, but is more
400 likely to originate in executive or motor processes – or possibly in the use of re-afferent
401 information during the closed-loop phase of pursuit ⁶⁷. If the variance derived from sensory

402 mechanisms, we should have expected the association with rs701232 to become weaker when
403 coherent motion sensitivity was used as a covariate. The subsidiary association with
404 anticipatory saccades (often considered predictive⁴⁵), but not with catch-up saccades,
405 suggests a relatively central site for the action of TWIK-1.

406

407 **Sex differences**

408 Our study was not explicitly designed to study sex differences (to do so would require truly
409 random sampling of males and females from the total parent population – something that is
410 rarely achieved even in studies explicitly concerned with sex differences). In addition, in our
411 cohort of volunteer participants there were more females than males, in the ratio 645:413.
412 Thus it is conceivable that a sampling difference accounts for the sex differences we observe:
413 our male and female participants may not have been equated with respect to some critical, but
414 unidentified, factor.

415

416 However, our volunteers were drawn from a relatively homogeneous population of young
417 adults in the Cambridge area (many of them were students from Cambridge University).
418 Moreover, there is a further reason for placing these sex differences on record. The expression
419 of *KCNKI* has been reported to be sex-dependent in other systems. In endomyocardial
420 biopsies from patients with new-onset heart failure, *KCNKI* was overexpressed in males⁶⁸.
421 In zone 3 of the mouse liver, phenobarbital leads to the induction of TWIK-1 in males but not
422 in females⁶⁹.

423

424 **TWIK-1 and pH**

425 The ion channel TWIK-1 is pH dependent, and in a complicated way: At a pH of 7.4 the
426 channel is open and is selective for K⁺ ions, but a reduction to a pH of 6 leads to the channel
427 becoming less selective, so that an inflow of Na⁺ opposes the outflow of K⁺ and the net flow of
428 positive charges is reduced^{70, 71 72}. Is it possible that variation in pH, acting via TWIK-1, is the
429 common pathway through which several factors affect smooth pursuit eye movements? We
430 note: (i) Phenobarbital (but perhaps not all barbiturates) has been reported to reduce
431 intracellular pH⁷³; (ii) In *post mortem* brain tissue pH has been found to be lower in female
432 than in male brains⁷⁴ (although see ref⁷⁵); (iii) There are recurrent reports that pH is reduced
433 in the brains of patients with schizophrenia⁷⁶; and genes whose expression is associated with
434 lowered pH are over-represented among the genes that are differentially expressed in
435 schizophrenia and bipolar disorder⁷⁷. Each of these observations would be open to

436 discussion; but we note the interesting possibility that variations in pH, acting via TWIK-1,
437 offer a route by which several factors affect smooth pursuit eye movements.

438

439 **Conclusions**

440 We find no association between the precision of ocular tracking and three traditional
441 candidate genes, *DRD3*, *COMT* and *NRG1*. Our results can be seen in the wider context of
442 the frequent failure of GWAS to confirm candidate-gene studies^{78 79}. None of the three
443 candidates has in fact proved to have a strong association with schizophrenia⁸⁰.

444

445 Our study, however, does find a strong association of smooth pursuit performance and
446 markers within the gene *KCNK1*, which encodes the two-pore-domain potassium channel
447 TWIK-1 or K2P1. The effect is a large one (0.29 SD for each additional copy of the G allele).
448 *KCNK1* is rendered a plausible candidate gene by the ion channel it encodes and by the
449 known pharmacology of the channel. Limitations of our study are that our cohort is small by
450 current standards of GWAS and that we do not have a replication cohort. Strengths of the
451 study are the detailed phenotypic measurements, the explicit estimates of test-retest reliability,
452 and the use of a perceptual covariate to narrow down the route by which *KCNK1* alters the
453 phenotype.

454

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461

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655

656 **Tables**

657

658 Table 1. Test-retest reliabilities (N=103) of the ocular motor measures (in bold) and the
 659 correlations of the measures with each other for the full cohort (N=1040). Values given are
 660 Spearman's rank-order correlation coefficients.

	RMSE	Pursuit gain	Catch-up saccades	Anticipatory saccades
RMSE	0.79	-0.75	-0.41	0.71
Pursuit gain		0.88	0.28	-0.76
Catch-up saccades			0.78	-0.44
Anticipatory saccades				0.83

661

662

663 Table 2. Association results for the SNPs with $P < 5 \times 10^{-7}$. All SNPs are located on
 664 chromosome 1. Locations are GRCh37 coordinates. LD is the r^2 linkage disequilibrium
 665 between each SNP and rs701232. Allele 1 is the minor allele. MAF - minor allele frequency.
 666 HWE - Hardy-Weinberg equilibrium. Beta - change in RMSE per additional minor allele. SE
 667 - standard error of beta. All imputed SNPs have an IMPUTE2 quality score ≈ 1 .

668

669

SNP	location	LD	allele 1	allele 2	MAF	HWE P value	Beta	SE	P value
Genotyped									
rs701232	233791469	1	A	G	0.49	0.57	-0.53	0.08	3.55×10^{-11}
Imputed									
rs701233	233791651	0.973	A	G	0.50	0.37	-0.52	0.08	1.24×10^{-10}
rs12139277	233811896	0.267	A	C	0.24	0.38	-0.51	0.09	2.73×10^{-8}
rs2884332	233815886	0.264	T	C	0.24	0.43	-0.50	0.09	6.36×10^{-7}
rs143752646	233823693	0.228	A	C	0.26	0.28	-0.46	0.09	2.05×10^{-7}
rs1039126	233827013	0.227	C	T	0.26	0.28	-0.46	0.09	3.05×10^{-7}

670

671

672 **Figure Legends**

673

674 **Figure 1.** Examples of eye-movement records from participants who exhibited very good
 675 (above) and very poor (below) tracking performance in the smooth-pursuit task. The broken
 676 line represents the position of the target, which moves horizontally according to a triangular
 677 temporal waveform. The participant for whom a sample record is shown in the upper panel
 678 achieved an overall RMSE of 0.507 degrees of visual angle. The corresponding value was
 679 5.98 for the participant whose sample is shown in the lower panel.

680

681 **Figure 2.** Manhattan plot of the association region. Measured SNPs are identified by outline
 682 diamonds and imputed SNPs are without outlines. Saturation indicates imputation quality.
 683 Recombination rate is plotted with solid blue lines. The vertical blue dashed lines indicate the
 684 region identified by clustering, in which the critical variant is likely to lie. The genomic
 685 context of the region is shown below. Vertical rectangles indicate exons.

686 **Figure 3. A.** Quantile-quantile plot of the P -values resulting from the association test (black
 687 circles $P < \times 10^{-5}$; black line $P > \times 10^{-5}$). The null distribution is illustrated with the red line.
 688 95% confidence intervals are shown in grey. **B.** Cluster plot for the genotyped SNP
 689 rs701232. Individuals included in the analysis are represented by circles, excluded individuals
 690 are represented by crosses. AA homozygous genotypes are blue, AB heterozygous genotypes
 691 are cyan, and BB homozygous genotypes are red. **C.** Power to detect associations with $P < 5$
 692 $\times 10^{-7}$. Effect size is the coefficient of determination (r^2). The red line is for the case where the
 693 causal variant is in perfect LD ($r^2 = 1$) with a genotyped SNP. The dashed lines illustrate the
 694 effect of reduced LD between any genotyped SNP and the causal variant. Power was
 695 calculated as follows: $\text{power} = 1 - FF(F_{crit} | v_1, v_2, \lambda)$ where $FF(\cdot | v_1, v_2, \lambda)$ represents the
 696 cumulative distribution function of the noncentral F distribution and F_{crit} is the 100(1- α)
 697 percentile from a central F distribution with v_1 and v_2 degrees of freedom and α is the α -level. λ
 698 is the noncentrality parameter $\lambda = [r^2/(1-r^2)] v_2$. v_1 was equal to 1, v_2 was equal to 977 and α was
 699 equal to 5×10^{-7} . The effect size of rs701232 is 0.043 and our power to detect an effect of this
 700 magnitude is 95%.

701

702 **Figure 4.** Distributions of RMSE by genotype. Violin plots with embedded box plots
 703 showing the distribution of pursuit RMSE by genotype at rs701232 (a) for the full sample, (b)
 704 separately for males and females.

705