

A photoredox reaction for the selective modification of 5-carboxycytosine in DNA

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1 **Abstract**

2 Covalent epigenetic modifications contribute to the regulation of important cellular processes during
3 development and differentiation, and changes in their genomic distribution and frequency are linked to
4 the emergence of genetic disease states. Chemical and enzymatic methods that selectively target the
5 orthogonal chemical functionality of epigenetic markers are central to the study of their distribution and
6 function, and considerable research effort has been focused on the development of non-destructive
7 sequencing approaches which preserve valuable DNA samples. Photoredox catalysis enables
8 transformations with tunable chemoselectivity under mild, biocompatible reaction conditions. We report
9 the reductive decarboxylation of 5-carboxycytosine *via* a novel iridium-based treatment, which represents
10 the first application of visible-light photochemistry to epigenetic sequencing *via* direct base conversion.
11 We propose that the reaction involves an oxidative quenching cycle beginning with single-electron
12 reduction of the nucleobase by the photocatalyst, followed by hydrogen atom transfer from a thiol. The
13 saturation of the C5-C6 backbone permits decarboxylation of the non-aromatic intermediate, and
14 hydrolysis of the N4-amine constitutes a conversion from a cytosine derivative to a T-like base. This
15 conversion demonstrates selectivity for 5-carboxycytosine over other canonical or modified nucleoside
16 monomers, and is thereby applied to the sequencing of 5-carboxycytosine within modified
17 oligonucleotides. The photochemistry explored in this study can also be used in conjunction with
18 enzymatic oxidation by TET to profile 5-methylcytosine at single-base resolution. Compared to other
19 base-conversion treatments, the rapid photochemical reaction takes place within minutes, which could
20 provide advantages for high-throughput detection and diagnostic applications.

21 **Introduction**

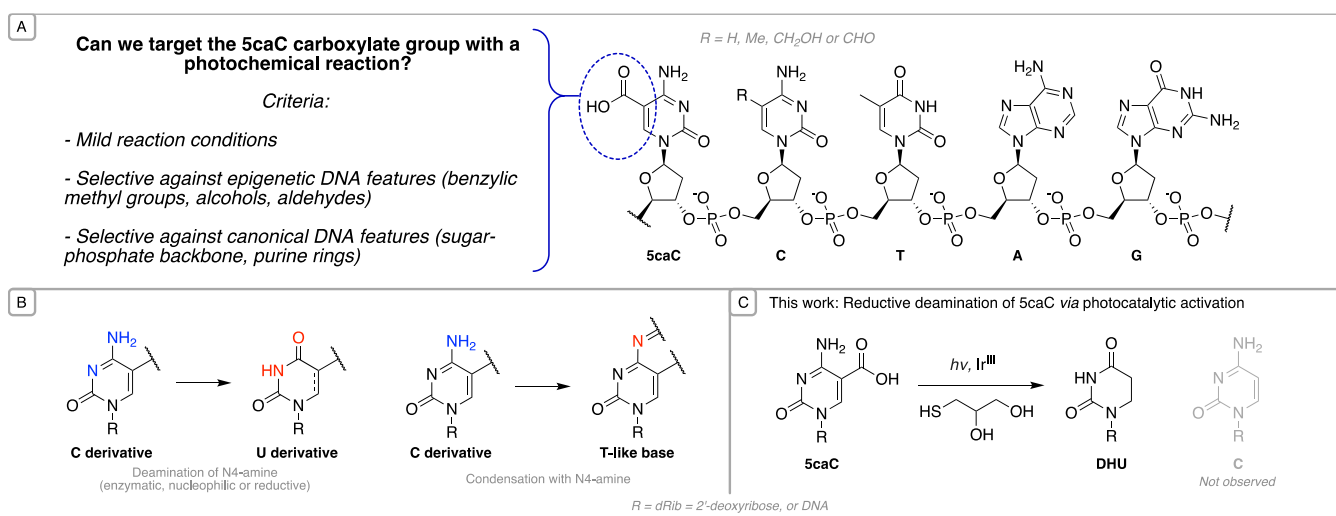
22 DNA-encoded information is fundamental to the development and function of living things. The DNA
23 nucleobases which comprise the canonical four-letter code can be modified *in vivo* through the addition
24 of covalent functional groups. These constitute the epigenetic code, a secondary layer of information
25 which dynamically and reversibly modulates gene expression *via* molecular interactions with DNA-

26 binding proteins, without altering the primary DNA sequence.¹ 5-Methylcytosine (5mC) is the most
27 abundant modified base in the mammalian genome, representing up to 5% of total cytosine sites, and is
28 important to the biology of normal function and disease.² In particular, cytosine methylation regulates key
29 processes such as cell differentiation, X-inactivation and genomic imprinting, while aberrant methylation
30 patterns are linked to the emergence of cancer states.^{3,4} Ten-eleven translocation (TET) dioxygenases can
31 successively oxidize 5mC to 5-hydroxymethylcytosine (5hmC), 5-formylcytosine (5fC) and 5-
32 carboxycytosine (5caC).⁵ 5fC and 5caC are targeted for base excision repair (BER) by thymine DNA
33 glycosylase (TDG), which provides a pathway for demethylation of 5mC. The oxidized cytosine bases
34 can also exist at stable levels within DNA,^{6,7} are enriched within regulatory enhancer and promoter
35 regions^{8,9} and may constitute epigenetic marks in their own right with distinct regulatory roles. For
36 example, potential readers of 5fC and 5caC have been identified^{10,11} and these modifications have been
37 observed to transiently inhibit transcription by RNA Pol II.¹² However, 5caC in particular remains poorly
38 understood, and the relatively low genomic abundances of 5fC and 5caC (fewer than one base in 10⁵ and
39 10⁶, respectively) make it difficult to investigate their functions. Efficient chemical methodologies for the
40 labelling, detection, imaging, and editing of 5caC and other modified bases are required to address this
41 challenge and to better understand their roles in regulation, development and disease.¹³

42 The natural epigenetic modifications expand the spectrum of orthogonal chemical functionalities within
43 a DNA molecule, thereby offering targets for the development of chemoselective reactions for the
44 selective manipulation of base derivatives (Figure 1A). Chemistries that alter the hydrogen-bonding
45 pattern of the Watson-Crick face of bases are of particular interest, as they allow epigenetic modifications
46 to be profiled at single-nucleotide resolution.

47 The most widely used example of this approach is bisulfite treatment, which enables the detection of 5mC
48 *via* the selective deamination of cytosine to uracil.¹⁴ When paired with sequencing, unmodified cytosine
49 can subsequently be distinguished from 5-methylcytosine. This technique has also been incorporated into
50 a range of methodologies which can differentiate between the remaining oxidized cytosines.¹⁵ However,
51 the bisulfite treatment can degrade more than 95% of input material¹⁶ and reduces DNA sequence

52 complexity to a three-letter alphabet (*via* deamination of unmodified cytosines), hindering bioinformatic
 53 analysis. Therefore, bisulfite-free approaches which selectively alter base identity while preserving the
 54 structure and complexity of DNA fragments are therefore of interest. Such strategies have included
 55 deamination of 5mC & 5hmC by AID/APOBEC enzymes,^{17,18} reduction & deamination of 5fC/5caC by
 56 pyridine borane,¹⁹ and Friedländer condensation of 5fC with indandione or malononitrile²⁰ (Figure 1B).
 57 Herein, we describe a novel photochemical reaction that is specific to the non-canonical base 5caC and
 58 demonstrate its application to the detection of 5caC and 5mC in oligonucleotide and DNA contexts. In
 59 addition to this unique chemoselectivity, this is the first example of photoredox chemistry enabling
 60 epigenetic sequencing of a nucleotide at single-base resolution.



61

62

63 **Figure 1. Context for the selective photoredox modification of 5caC.** (A) Structure of canonical bases
 64 and modified cytosines within a DNA strand. (B) The base pairing preferences of cytosine derivatives are
 65 altered selectively upon deamination or condensation. (C) A new reaction for the formation of 5,6-
 66 dihydrouridine (DHU) from 5caC, involving photochemical activation in the presence of a thiol to achieve
 67 reduction & decarboxylation under non-forcing conditions.

68

69 Results & discussion

70 Rationale for reaction design

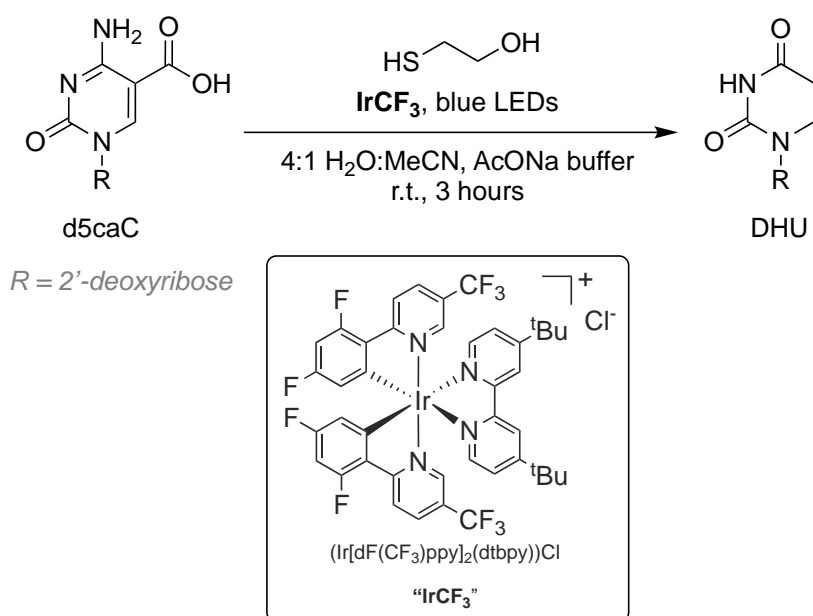
71 Visible light-mediated photoredox chemistry can catalyse rapid transformations with high selectivity
72 under mild conditions, including in aqueous solvents at physiological pH.²¹ It is therefore well-suited to
73 the manipulation of complex biomolecules, and methods for the targeted labelling of proteins have
74 exemplified the utility of single-electron chemistry in this context.^{22,23} Indeed, we recently developed a
75 selective photochemical C-H functionalization of an adenine modification in DNA.²⁴ Since photocatalytic
76 transformations of biomolecules are viable in aqueous conditions, we considered the unique functionality
77 of 5caC in DNA to be a promising target for a similar chemistry (Figure 1A). Single-electron oxidation
78 of a carboxylate group by a photoactivated species can enable rapid and favourable extrusion of CO₂ to
79 generate a carbon-centred radical.²⁵ Decarboxylation of aliphatic substrates produces a relatively stable
80 sp³ radical, which can either abstract a hydrogen atom or undergo coupling with a range of electrophiles
81 such as Michael acceptors. In comparison, the direct decarboxylation of aromatic compounds is difficult;
82 few examples of these transformations have been reported and rely on non-biocompatible prior
83 derivatizations *via* silver salts^{26,27} or hypobromite intermediates.²⁸ Although an aromatic carboxylate
84 group itself may undergo single-electron exchange with a suitable catalyst, subsequent decarboxylation
85 to an unfavourable sp² radical takes place at a slower rate than regeneration of the starting material *via*
86 back-electron- or hydrogen atom transfer.²³

87 Canonical DNA nucleosides possess single-electron standard reduction potentials ranging from below -
88 2.74 V to -2.12 V vs NHE.²⁹ However, due to electron withdrawal by the carboxylate group, a single
89 electron reduction of the nucleobase was expected to be more accessible for 5-carboxycytosine than for
90 unmodified cytosine (E = -2.21 V vs NHE), providing a potential window for a chemoselective reaction.
91 Thus, we sought to explore the reactivity of 5caC in combination with a photoredox catalyst and a thiol
92 hydrogen atom donor (Figure 1C).

93 **Identification of novel 5caC reactivity**

94 2'-Deoxy-5-carboxycytosine (d5caC) was synthesized for use in reaction screening based on reported
95 procedures.³⁰ 2-Mercaptoethanol was initially selected as the thiol for its high aqueous solubility. A partial
96 consumption of 5caC (7.4% reduction in UV-vis absorption by LC-MS, Figure S1) resulted from a 3 hour

97 incubation using photocatalyst $[\text{Ir}(\text{dF}(\text{CF}_3)\text{ppy})_2(\text{dtbbpy})]\text{Cl}$ (0.25 M thiol, 100 mM/pH 4.5 sodium
98 acetate buffer). Reaction efficiency was moderately improved at higher thiol concentrations (31.2%
99 consumption using 1.0 M thiol, Figure S2). The further addition of a small amount of organic co-solvent
100 (20% v/v acetonitrile) serving to increase catalyst solubility resulted in near-quantitative reaction within
101 3 hours (>98%; Figure S3). The observation of a single new nucleoside species with a molecular weight
102 change of -41 Da and weak 260 nm absorbance (Figure S4) suggested the formation of 2'-deoxy-5,6-
103 dihydrouridine (DHU). This result was confirmed upon isolation of the product and characterization using
104 HRMS and NMR spectroscopy, and represents conversion of the C-derivative to a T-like base (Figure 2).



105
106
107

Figure 2. Photocatalytic conversion of 5-carboxycytidine to 5,6-dihydrouracil.

108 Other photocatalysts displayed poor solubility and limited reactivity in the aqueous solvent system, thus
109 the chemistry was explored further using photocatalyst $[\text{Ir}(\text{dF}(\text{CF}_3)\text{ppy})_2(\text{dtbbpy})]\text{Cl}$ and 2-
110 mercaptoethanol. No deglycosylation of the nucleoside was detected by LC-MS analysis and, notably,
111 nitrogen sparging to remove oxygen was not necessary for the reaction to proceed smoothly. Alternative
112 co-solvents were also found to be suitable for the reaction (Table S1).

113 In order to evaluate the chemoselectivity of the reaction, other canonical and modified bases were treated
114 under identical conditions. LC-MS analysis after illumination for 3 hours detected no change in mass or
115 the intensity of UV-vis absorbance for 2'-deoxythymidine (dT) or 2'-deoxyadenosine (dA) (Table 1). The

116 deamination and base-pairing change induced by the photoreaction demonstrated excellent selectivity for
117 5-carboxycytosine over unmodified cytosine and its other derivatives.

118

Entry	Starting material	Change in base-pairing properties ^[a] (%)
1	dA	n.d
2	dG	n.d
3	dT	n.d
4	dC	2.3
5	d5mC	2.3
6	d5hmC	<1
7	d5fC	<1
8	d5caC	99

119

120 **Table 1. Reactivity of canonical and modified nucleosides under the photochemical conditions.**

121 ^[a]Nucleoside concentrations calculated based on ratio of integrated UV-Vis absorbance (260 nm) before
122 and after three-hour incubations, relative to an internal dA standard. Reactions were repeated twice. n.d:
123 not detected (Figures S5-12, Table S2). Entries 5-8 represent the 2'-deoxynucleosides of 5-methylcytidine
124 (d5mC), 5-hydroxymethylcytidine (d5hmC), 5-formylcytidine (d5fC) and 5-carboxycytidine (d5caC).

125

126 Mechanistic insights

127 The conversion of 5caC to DHU takes place *via* a combination of C5-C6 reduction, C5 decarboxylation,
128 and N4 deamination. Control experiments demonstrated that the reaction does not occur in the absence of
129 the photocatalyst, light, or 2-mercaptoethanol. A substrate analogue, 5-methylcarboxy-2'-deoxycytidine
130 (d5mecaC), underwent C5-C6 reduction without ester cleavage (Figure S13), indicating that the reduction
131 is an independent dearomatizing step that permits subsequent decarboxylation of 5caC. Stern Volmer
132 analysis in the reaction solvent in the absence of 2-mercaptoethanol determined that the d5caC nucleobase
133 is a quencher of the excited iridium photocatalyst, and a similar quenching effect observed with d5mecaC
134 ruled out interactions with the carboxylic acid moiety (Figures S14-15). On the other hand, catalyst
135 fluorescence was not detectably decreased by dC (Figure S16), which is considerably less reactive in the
136 photoreaction than d5caC. The quantitative correlation between quenching interactions and the reactivity

137 of substrates suggests that the reduction is initiated by an interaction between the nucleobase and excited
138 photocatalyst, which is independent of the thiol and results from electronic properties shared between
139 d5caC and d5mecaC.

140 A computational investigation provided insight into the chemoselectivity between 5-carboxycytosine/5-
141 methylcarboxycytosine and unmodified cytosine nucleobases. DFT calculations (see Supplementary
142 Information) predicted electron transfer to be more accessible in the case of 5-carboxycytosine and 5-
143 methylcarboxycytosine compared to cytosine (Table 2, Table S3). Single-electron reduction potentials of
144 nucleobases were measured experimentally using cyclic voltammetry and correlated with the predicted
145 values. Overall, a reduction of 5-carboxycytosine (or 5-methylcarboxycytosine) is approximately 0.5V
146 more accessible (less negative) than that of unmodified cytosine, and is qualitatively separate from the
147 range reported for other canonical nucleobases.²⁹ DFT calculations also indicated the C6 position of the
148 radical anions to be most susceptible to further reaction, in line with experimental observations (Figures
149 S18-19).

	Experimental value ^[a]	Calculated value ^[b]
dC	-2.25	-2.52
d5caC	-1.71	-1.76
d5mecaC	-1.78	-1.92

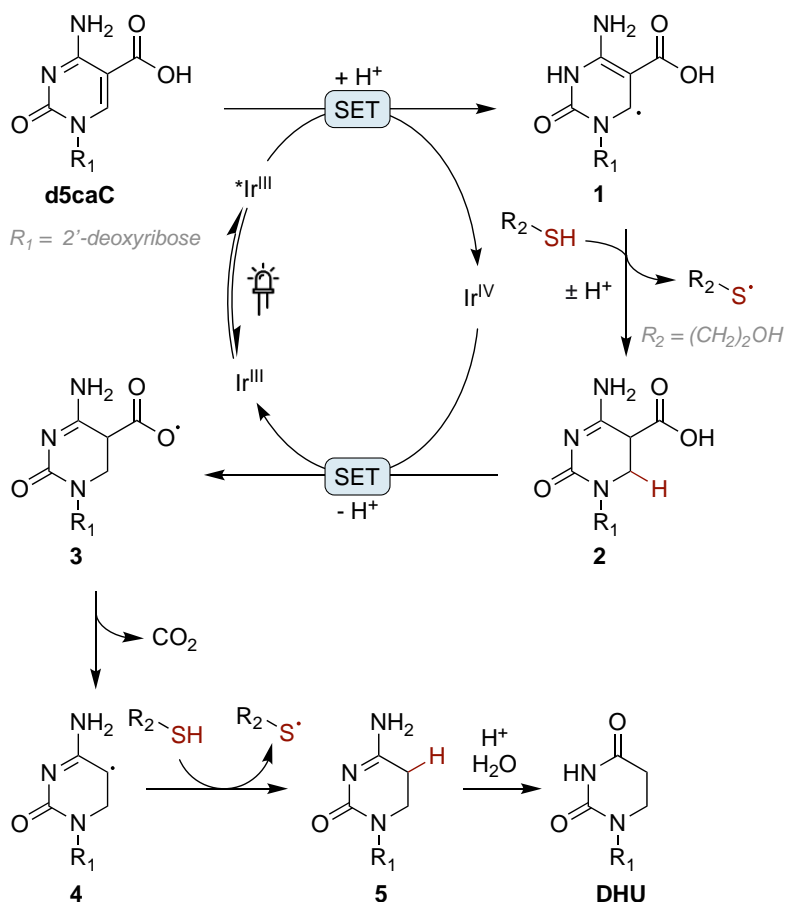
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151 **Table 2. Experimental and calculated reduction potentials (V vs NHE).** ^[a]Reduction potentials of 2'-
152 deoxyribonucleosides (N/N^{•-}) were measured by cyclic voltammetry in dry, degassed DMF and are
153 reported as half-peak potentials relative to Fc⁺/Fc. ^[b]1-methyl-pyrimidine nucleobases were modelled to
154 obtain calculated values.

155

156 On the basis of these observations, we propose the following pathway as a plausible mechanism for the
157 reaction (Figure 3).

158



159
160 **Figure 3. Proposed mechanism for the photochemical conversion**

161 The iridium photocatalyst is converted to a single-electron reductant upon absorption of blue light and
 162 intersystem crossing to the triplet-excited state.^{31,32} Delocalized radical **1** is formed by electron transfer
 163 from the catalyst to the unsaturated carbonyl, representing an oxidative quenching cycle; in aqueous
 164 solution with 2-mercaptoethanol, this reduction may be further facilitated at pH 4.5 by protonation at the
 165 N3 nitrogen (pK_a = 4.2).³³ Hydrogen atom transfer (HAT) to this C6 carbon radical from 2-
 166 mercaptoethanol (calculated S-H BDE = 84.9 kcal mol⁻¹) results in reduction to nucleoside **2**; a high
 167 concentration of the thiol is necessary to compete with back electron transfer and drive the reaction
 168 forwards. Faster nucleoside reaction rates were observed with electron-deficient thiols cysteamine and
 169 thioacetic acid (Figure S20), which may be due to their lower S-H BDEs (83.5 (with protonated amine)
 170 and 83.6 kcal mol⁻¹ respectively) or improved polarity-matched HAT³⁴ with nucleophilic radical **1** (Table
 171 S4).

172 The catalytic cycle is closed upon electron transfer to the oxidized iridium complex and formation of
173 carboxyl radical **3**, which undergoes extrusion of CO₂ (**4**) followed by hydrogen atom transfer to complete
174 the reduction to intermediate **5**; alternatively, an ionic decarboxylation of nucleoside **2** may be stabilized
175 by the conjugated π -system. Finally, N4-deamination of intermediate **5** to form 2'-deoxy-5,6-
176 dihydrouridine is spontaneous under the mildly acidic reaction conditions. The disulfide of 2-
177 mercaptoethanol was identified by LC-MS as a by-product of the reaction, and is likely to result from the
178 coupling of thiyl radicals produced during hydrogen atom transfer. Although the conjugate addition of 2-
179 mercaptoethanol has been reported to catalyse an alternative decarboxylation of d5caC,³⁵ no conjugate
180 adduct or accumulation of unreactive dC is observed in reaction mixtures, thus any such side reactions
181 are not competitive with the photocatalytic pathway.

182

183 **Application of reaction to DNA oligonucleotides**

184 Encouraged by the selectivity observed in nucleoside reactions, we next explored whether the chemistry
185 could be applied to DNA oligomers. The relatively complex structure of DNA poses additional
186 chemoselectivity challenges: the DNA backbone contains phosphate linkages and a variety of C-H bonds
187 which provide opportunities for off-target reactivity or degradation. The reactivities of the DNA
188 nucleobases inside a strand are also modulated by electronic π -stacking and steric effects. Furthermore,
189 non-destructive chemical conditions are desirable as the small quantities of DNA available from some
190 biological samples can be a limiting factor in sequencing applications. A single-stranded 10-mer
191 containing one 5caC base was incubated at lower reagent concentrations (0.1 mM photocatalyst, 0.5 M
192 thiol, Figure S21). Gratifyingly, after illumination for just 10 minutes, this treatment induced a molecular
193 weight reduction of 41 Da, representing conversion of 5caC to DHU within the oligonucleotide strand
194 (Figure 4). The accompanying peak-to-single-peak shift in the mass spectra and UV chromatograms
195 indicated qualitative conversion without detectable off-target reactivity, while the UV-vis absorbance
196 showed no significant change in oligomer concentration. These results were reproducible using other
197 oligomer sequences covering the remaining three CpN dinucleotide contexts.

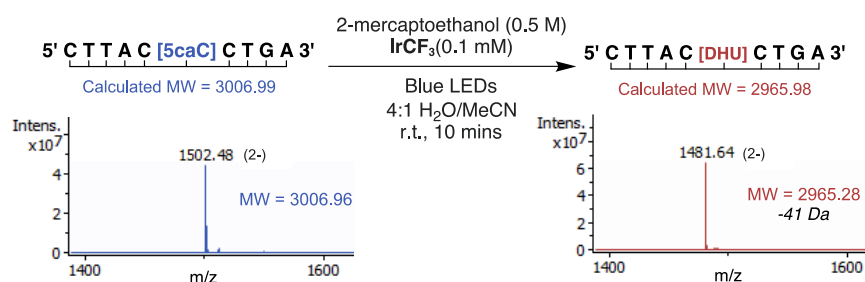


Figure 4. ESI-MS characterization of the conversion of a modified DNA 10mer.

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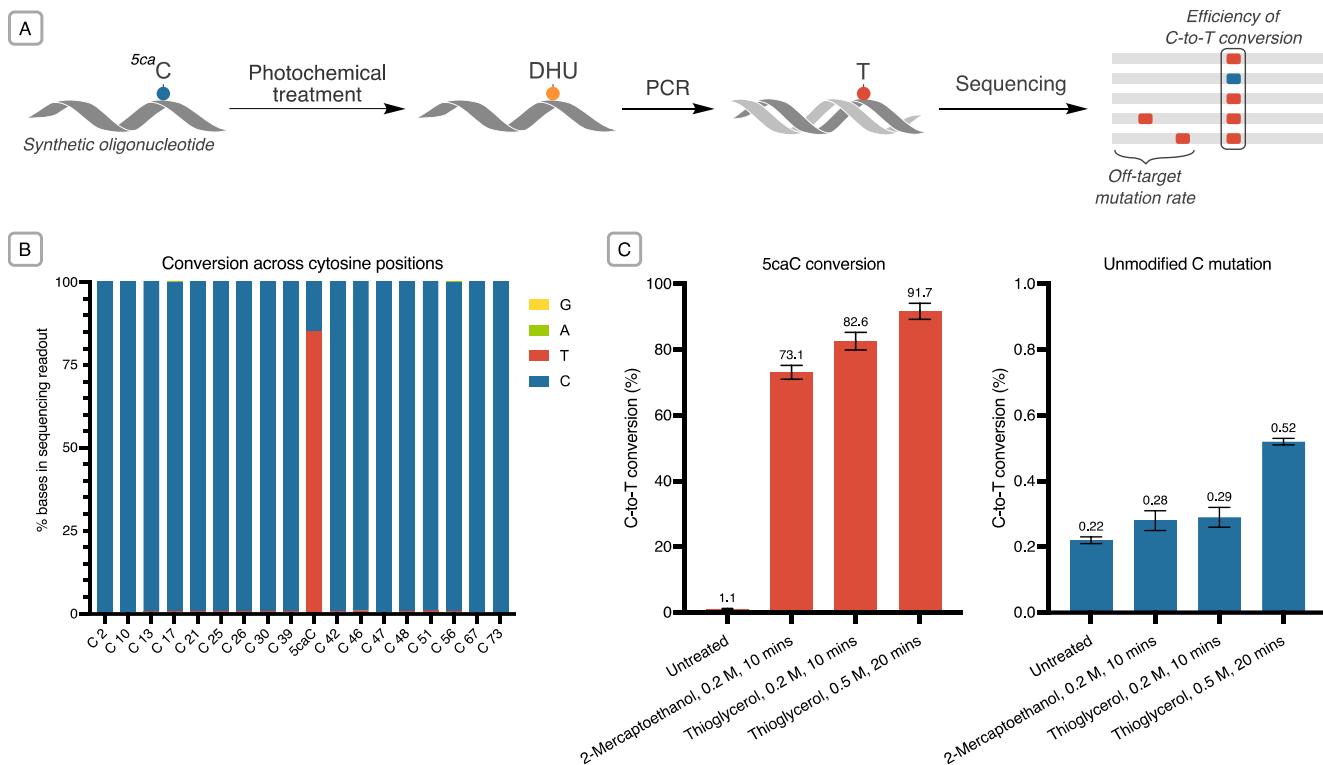
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203 **Detection of 5caC via photochemistry and next-generation sequencing**

204 We explored the feasibility of detecting 5caC using the photochemical treatment in conjunction with next-
 205 generation sequencing in two systems of increasing complexity: (A) a synthetic 74-base oligonucleotide
 206 containing one 5caC modification, and (B) bacteriophage- λ genomic DNA in which 5caC modifications
 207 were artificially introduced at defined positions. As uracil-tolerant DNA polymerases are able to
 208 efficiently bypass DHU while incorporating a complementary adenosine base, converted 5caC positions
 209 are detected as C-to-T mutations following DNA replication.³⁶

210 In the first system (Figure 5A), the photochemical conversion efficiency of 5caC to DHU was detected as
 211 the fraction of aligned reads containing T at the target base (position 41, Figure 5B) following PCR
 212 amplification and sequencing of each sample. This workflow was used to explore reaction conditions for
 213 sequencing performance. Thioglycerol was identified as the thiol providing the highest conversion of
 214 5caC and selectivity over canonical bases in the oligonucleotide context (Figures S22-23). An average C-
 215 to-T conversion efficiency of 82.6% was observed at the 5caC position following treatments for 10
 216 minutes using 0.2 M thiol (Figure 5C). The average conversion of unmodified cytosines throughout the
 217 oligonucleotide was 0.29% (versus 0.22% in untreated controls).



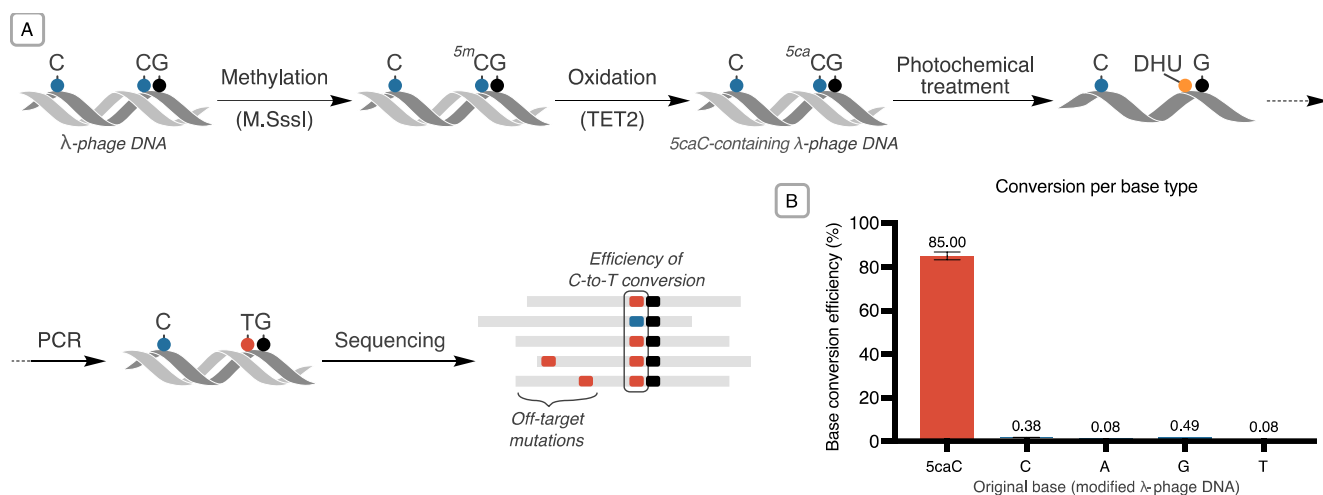
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219 **Figure 5.** (A) The photochemical conversion was applied to single-stranded DNA oligonucleotides and
 220 evaluated *via* next-generation sequencing. (B) Stacked barplot of the C-to-T conversion in sequencing
 221 readout of different cytosine bases across a modified oligomer (0.2 M thioglycerol, 0.1 mM
 222 [Ir(dF(CF₃)ppy)₂(dtbbpy)]Cl, 10 minutes' illumination; base 41 = 5caC). (C) Barplot of mean conversion
 223 rates (\pm std deviation, n = 3) of 5caC (position 41) and unmodified cytosine to T, detected in sequencing
 224 following various chemical treatments.

225

226 Secondly, we applied the photochemistry to a small modified genome (bacteriophage- λ , 48.5 kb). 5caC
 227 bases were installed enzymatically at CpG dinucleotides (a C base followed by a G) *via* M.SssI
 228 methylation followed by oxidation with TET2, which is able to oxidize 5mC to 5caC (Figure 6A).¹⁸ The
 229 proportion of 5caC modifications introduced at CpG dinucleotides was determined analytically *via*
 230 digestion and LC-MS analysis (Table S5). As single-stranded DNA is required for this chemical
 231 conversion, oxidized λ -DNA samples were denatured at 95°C immediately prior to the photochemical
 232 treatment. Sequencing reads from treated DNA were processed and aligned to the reference λ genome.
 233 The efficiency of the 5caC conversion step was calculated as the percentage of on-target C-to-T transitions

234 in aligned sequencing reads relative to the 5caC content of CpG dinucleotides in the input DNA.
235 Following further exploration of photochemical conditions *via* this workflow, a C-to-T conversion
236 efficiency of up to 85.0% of 5caC bases was achieved in sequencing, versus 0.38% off-target conversion
237 of unmodified C (Figure 6B, Table S6). These results demonstrate that the photochemical reaction can be
238 successfully applied to the detection of 5-methylcytosine in the context of a genomic DNA workflow.



239

240 **Figure 6.** (A) Modified λ -phage DNA was subjected to various photochemical conditions and the results
241 evaluated *via* next-generation sequencing. (B) Barplot of mean base conversion rates (\pm std deviation, n
242 = 2) resulting from photochemical treatment of the modified genome (0.5 M thioglycerol, 0.1 mM
243 photocatalyst, 20 minutes' illumination followed by 2 hours' hydrolysis at 37°C; Table S6, entry 8). The
244 rate of on-target 5caC-to-T transitions observed at CpG sites during base calling was corrected to account
245 for unmethylated and unoxidized bases.

246 Conclusions

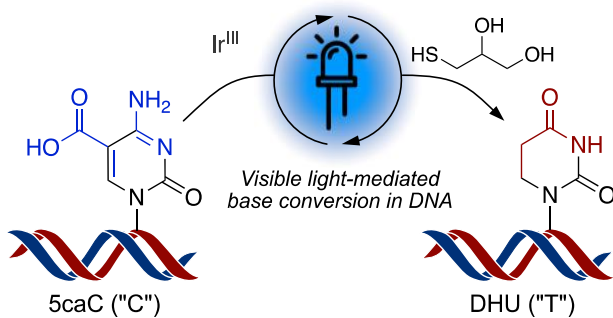
247 We have described, to the best of our knowledge, the first direct chemical conversion of the identity of a
248 DNA base *via* photoredox chemistry. The reaction implements a novel radical reduction and
249 decarboxylation of an aromatic compound, and is selective for 5-carboxycytosine over other canonical
250 and modified DNA bases. Applications of the bisulfite-free chemistry include a single-step detection of
251 5-carboxycytosine, and also the two-step sequencing of 5-methylcytosine. We envisage considerable

252 potential for further applications of photoredox chemistry in the chemical manipulation of nucleic acids

253 for detection and sequencing.

254

255 **For table of contents only:**



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257 ASSOCIATED CONTENT

258 **Supporting Information**

259 Materials & methods, experimental procedures, ^1H & ^{13}C NMR spectra, LC-MS data, HR-MS data, Stern-
260 Volmer analysis, cyclic voltammetry, DFT & computational analysis, sequencing data (PDF)

261

262 **Author Information**

263 See cover page.

264

265 **Notes**

266 The authors declare the following competing financial interest(s): S.B is an advisor and shareholder of
267 *biomodal*. A patent application relating to this work is pending.

268

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