

1 **SMER28 binding to VCP/p97 enhances both autophagic and proteasomal**
2 **neurotoxic protein clearance**

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20 **Abstract**

21 The ability to maintain a functional proteome by clearing damaged or misfolded proteins is
22 critical for cell survival, and aggregate-prone proteins accumulate in many neurodegenerative
23 diseases, such as Huntington, Alzheimer, and Parkinson diseases. The removal of such
24 proteins is mainly mediated by the ubiquitin-proteasome system and autophagy, and activity
25 of these systems decline in disease or with age. We recently found that targeting VCP/p97
26 with compounds like SMER28 enhances macroautophagy/autophagy flux mediated by the
27 increased activity of the PtdIns3K complex I. Additionally, we found that SMER28 binding to
28 VCP stimulates aggregate-prone protein clearance via the ubiquitin-proteasome system. This
29 concurrent action of SMER28 on both degradation pathways resulted in the selective decrease
30 in disease-causing proteins, but not their wild-type counterparts. These results reveal a
31 promising mode of VCP activation to counteract the toxicity caused by aggregate-prone
32 proteins.

33 **Keywords:** Aggregate-prone proteins, autophagy activation, PI3P, SMER28, ubiquitin-
34 proteasome system, VCP/p97

35 In mammalian cells, misfolded proteins are efficiently removed by quality control
36 systems, including the ubiquitin-proteasome system (UPS) and autophagy. Soluble
37 monomeric proteins are mainly targeted to the UPS and oligomeric and smaller aggregated
38 species are degraded by autophagy. The accumulation of misfolded and aggregated
39 cytoplasmic proteins is a hallmark of many neurodegenerative diseases, such as Huntington,
40 Alzheimer, and Parkinson diseases. Therefore, enhancing the degradation of misfolded
41 proteins by modulation of the UPS and autophagy activity is an attractive therapeutic strategy.

42 Induction of autophagy using small molecules reduces the accumulation of toxic
43 proteins and importantly ameliorates signs of neurodegeneration in diverse animal models.
44 Many of these compounds induce autophagy by inhibiting MTOR complex 1 or through
45 activation of AMPK. However, direct modulation of these central kinase complexes may not
46 be ideal due to their broad impact on cellular homeostasis.

47 Previously, our lab had identified a small molecule, SMER28, as an MTOR-
48 independent inducer of autophagy, which enhances clearance of autophagic substrates, like
49 mutant HTT (huntingtin) and A53T SNCA/ α -synuclein in cellular and fly disease models.
50 Subsequent studies showed that SMER28 treatment also accelerates clearance of the APP
51 (amyloid beta precursor protein)-derived fragment in cell lines and primary neuronal cultures
52 and is neuroprotective in the Parkinson disease rat model. SMER28 is well tolerated by
53 various animal models and crosses the blood-brain barrier; however, its molecular target was
54 unknown.

55 We recently identified VCP/p97 (valosin containing protein) as a binding target for
56 SMER28 [1]. VCP is an abundant hexameric ATP-driven chaperone which governs key steps
57 in protein quality control networks, including the UPS and autophagy. To better understand
58 SMER28 binding to VCP, we employed limited proteolysis-coupled mass spectrometry (LiP-
59 MS) and showed that SMER28 binds VCP in the cleft formed between its substrate-binding
60 domain and ATPase domain 1. The binding of SMER28 causes a selective increase in the
61 ATPase activity of the D1 domain without affecting VCP D2 domain activity or its hexameric
62 structure. Using a panel of SMER28 analogs we further confirmed that the modulation of VCP
63 ATPase activity in the D1 domain upon binding correlates with the autophagy-inducing
64 properties of SMER28.

65 The induction of autophagy by SMER28, measured for example by the increased
66 formation of LC3-positive structures, is dependent on VCP and VCP ATPase activity. We
67 confirmed these findings using a novel autophagic-flux reporter, SRAI-LC3B, which we
68 recently developed and characterized in this study. Interestingly, SMER28 enhances the

69 recruitment of early autophagic markers, including WIPI2 (WD repeat domain,
70 phosphoinositide interacting 2) and ATG16L1.

71 In our previous study, we revealed a novel role for VCP in the early events of
72 autophagosome formation, where VCP ATPase activity enables proper assembly of the
73 PtdIns3K complex I to produce PtdIns3P. We found that SMER28 binding to VCP enhances
74 PtdIns3P synthesis in a PtdIns3K complex-dependent manner (Figure 1). The observed
75 increase in PtdIns3P upon SMER28 treatment is caused by enhanced assembly and activity
76 of the PtdIns3P-producing PtdIns3K complex I, composed of BECN1, ATG14, NRBF2,
77 PIK3C3/VPS34 and PIK3R4/VPS15.

78 In addition, we showed that SMER28 binding to VCP enhances degradation of soluble
79 misfolded proteins through the UPS. Using a ubiquitin fusion degradation (UFD) proteasome
80 reporter (Ub-G76V-GFP), we found that SMER28 treatment enhances proteasome-dependent
81 clearance without affecting intrinsic proteasome activity. This indicates that SMER28
82 treatment enhances the clearance of the misfolded species by both the proteasome and
83 autophagy routes, most probably by concurrent targeting of the monomeric substrates for
84 proteasome degradation and oligomers/aggregates via autophagy.

85 SMER28 treatment induces degradation of polyQ expanded mutant proteins in mouse
86 striatal cells and in fibroblasts derived from Huntington disease and spinocerebellar ataxia
87 type 3 patients. Importantly, SMER28 does not reduce the levels of wild-type HTT or ATXN3,
88 suggesting that SMER28 binding to VCP enables preferential clearance of the
89 mutant/misfolded species and preserves the levels of the wild-type/normally-folded
90 counterparts. Enhancing the selective removal of aggregate-prone toxic proteins is a desirable
91 therapeutic strategy. Thus, we think this mode of VCP activation may be a very attractive
92 target for number of neurodegenerative diseases.

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94 **References:**

95 [1] Wrobel, L., Hill, S.M., Djajadikerta, A. *et al.* Compounds activating VCP D1 ATPase
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107 Mindrank AI, Nido Biosciences, Drishti Discoveries and PAQ Therapeutics. None of the
108 other authors have conflicts of interests.

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110 **Figure 1.** SMER28 acts by binding VCP and selectively stimulating ATPase activity of its D1
111 domain. SMER28 binding to VCP stimulates assembly and activity of the PtdIns3K complex I
112 to increase the levels of PtdIns3P, which results in enhanced autophagosome biogenesis. In
113 addition, SMER28 binding to VCP stimulates clearance of soluble misfolded proteins through
114 the ubiquitin-proteasome system.

