

1 **ER β -mediated induction of cystatins results in suppression of TGF β signaling and**
2 **inhibition of triple negative breast cancer metastasis**

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4 Jordan M. Reese^{1,2}, Elizabeth S. Bruinsma², Adam W. Nelson³, Igor Chernukhin³, Jason S.
5 Carroll³, Ying Li⁴, Malayannan Subramaniam², Vera J. Suman⁴, Vivian Negron⁵, David G.
6 Monroe⁶, James N. Ingle⁷, Matthew P. Goetz^{1,7}, John R. Hawse^{2*}

7
8 ¹Department of Molecular Pharmacology and Experimental Therapeutics, Mayo Clinic,
9 Rochester, MN, USA.

10 ²Department of Biochemistry and Molecular Biology, Mayo Clinic, Rochester, MN 55905, USA.

11 ³Cancer Research UK Cambridge Institute, University of Cambridge, Cambridge CB2 1TN, UK.

12 ⁴Department of Biomedical Statistics and Informatics, Mayo Clinic, Rochester, MN 55905,
13 USA.

14 ⁵Department of Pathology, Mayo Clinic, Rochester, MN 55905. USA.

15 ⁶Robert and Arlene Kogod Center on Aging and Endocrine Research Unit, Mayo Clinic,
16 Rochester, MN, USA

17 ⁷Department of Oncology, Mayo Clinic, Rochester, MN 55905, USA.

18
19 **Corresponding author and person to who reprint requests should be addressed:*

20
21 John R. Hawse, Ph.D.
22 Department of Biochemistry and Molecular Biology
23 Mayo Clinic
24 200 First St. SW
25 Guggenheim Building, Room 13-21B
26 Rochester, MN 55905
27 Ph. 507-284-4268
28 Fax 507-284-2053
29 hawse.john@mayo.edu

30
31 **Abstract**

32
33 Triple negative breast cancer (TNBC) accounts for a disproportionately high number of deaths
34 due to lack of targeted therapies and increased likelihood of distant recurrence. Estrogen receptor
35 beta (ER β), a well characterized tumor suppressor, is expressed in 30% of TNBCs and its
36 expression is associated with improved patient outcomes. We demonstrate that therapeutic
37 activation of ER β elicits potent anti-cancer effects in TNBC through the induction of a family of
38 secreted proteins known as the cystatins, which function to inhibit canonical TGF β signaling and
39 suppress metastatic phenotypes both *in vitro* and *in vivo*. These data reveal the involvement of

40 cystatins in suppressing breast cancer progression and highlight the value of ER β targeted
41 therapies for the treatment of TNBC patients.

42 **Significance Statement**

43 Triple negative breast cancer (TNBC) is the most aggressive form of breast cancer and patients
44 exhibit high rates of recurrence and mortality in part due to lack of treatment options beyond
45 standard of care chemotherapy regimens. In the subset of TNBCs that express estrogen receptor
46 beta (ER β), ligand-mediated activation of ER β elicits potent anti-cancer effects. We report here
47 the elucidation of the ER β cistrome and transcriptome in TNBC and identify a novel mechanism
48 whereby ER β induces cystatin gene expression resulting in inhibition of canonical TGF β
49 signaling and blockade of metastatic phenotypes. These findings suggest that ER β -targeted
50 therapies represent a new treatment option for the subset of women with ER β expressing TNBC.

51 **/body**

52 **Introduction**

53 In the United States, breast cancer is the second most common cancer among women and is
54 responsible for over 40,000 deaths each year (1). As with all cancers, breast cancer is a
55 heterogeneous disease composed of genomically distinct subtypes defined clinically by the
56 presence of three key biomarkers: estrogen receptor alpha (ER α), the progesterone receptor (PR)
57 and human epidermal growth factor receptor 2 (HER2) (2, 3). Triple negative breast cancer
58 (TNBC) accounts for 10-20% of all breast cancers and is defined by the absence of these three
59 biomarkers (4). TNBC typically presents in younger women, is more prevalent in individuals of
60 African-American and Hispanic ancestry, and is clinically unique due to its aggressive and
61 metastatic nature (2, 4-7). The standard of care for early stage TNBC patients includes
62 chemotherapy, most commonly delivered prior to surgery. For patients with residual disease after

63 chemotherapy, upwards of 50% will develop recurrent disease (8) and nearly all patients who
64 develop metastases succumb to their disease. For these reasons, identifying novel therapeutic
65 strategies for these patients is of critical importance.

66

67 Estrogen receptor beta (ER β) is highly expressed in normal breast tissue; however, during the
68 process of breast carcinogenesis, ER β levels typically decrease (9-15). In cohorts of women with
69 breast cancer, tumoral ER β expression is associated with smaller tumor size, lymph-node
70 negativity and lower histological grade (16, 17), supporting a tumor-suppressive role for this
71 hormone receptor. ER β has also been shown to decrease proliferation and to inhibit epithelial to
72 mesenchymal transition (EMT) in TNBC cells (18-22).

73

74 Using an antibody validated in a number of laboratories (23-26), we and others have identified
75 that 30% of TNBCs express ER β (21, 27, 28). ER β expression in TNBC is associated with
76 prolonged disease free survival and overall survival relative to patients with ER β negative
77 disease (27). These findings indicate that therapeutically targeting ER β is a relevant strategy that
78 should be further explored for TNBC patients. However, the mechanisms by which ER β elicits
79 tumor-suppressive effects in TNBC is not well understood. Such information is critical for
80 monitoring response to therapy and for identifying sub-sets of patients that are most likely to
81 benefit from ER β targeted therapies.

82 **Results**

83 **Identification of the ER β cistrome in triple negative breast cancer cells**

84 Given our previous findings that approximately 30% of all triple negative breast cancers
85 (TNBCs) express ER β and that estrogen (E2) treatment of ER β expressing TNBC cell lines

86 substantially inhibits cell growth (21), we first sought to assess the distribution of ER β
87 expression amongst the known molecular subtypes of TNBC: basal-like 1 and 2, mesenchymal
88 and luminal androgen receptor (29). Using a cohort of TNBC patients extensively characterized
89 at the DNA and RNA level (30), our data suggest that ER β is expressed across all TNBC
90 subtypes (SI Appendix, Table S1).

91
92 We then sought to elucidate the mechanisms by which ER β elicits its tumor-suppressive effects
93 in TNBC cells. As a first step, we utilized ChIP-Seq to delineated the ER β cistrome in MDA-
94 MB-231 cells that stably express ER β following three hours of treatment with ethanol vehicle, 1
95 nM E2, or 10 nM LY500307, an ER β -selective agonist. Results of these studies identified 2,911
96 ligand-independent ER β binding sites in MDA-MB-231-ER β cells (Figure 1A and B). A total of
97 26,896 and 10,059 sites were identified following E2 and LY500307 treatment, respectively
98 (Figure 1A and B). ER β binding sites were distributed throughout the genome with the majority
99 of sites localizing within introns followed by intergenic regions and transcriptional start sites
100 (Figure 1C). Very few ER β binding sites were localized within exons (Figure 1C). Ligand-
101 independent ER β -bound chromatin regions showed significant enrichment of N-Myc and ER
102 motifs, while the top two motifs enriched within both E2- and LY500307-induced ER β binding
103 sites were ER and AP1 response elements (Figure 1D). Comparison of all ER β bound chromatin
104 regions in vehicle, E2 and LY500307 treated cells demonstrated that nearly all of the ligand-
105 independent binding sites were also identified in ligand treated cells (Figure 1E). As expected,
106 nearly all of the LY500307-induced ER β binding sites were conserved within the sites identified
107 following E2 treatment (Figure 1E). However, 5,762 ER β bound regions were only present

108 following E2 treatment (Figure 1E). Similar patterns were observed when comparing ER β bound
109 regions that were located specifically within gene promoters and enhancers (Figures 1F & G).

110

111 **ER β mediated gene expression profiles in TNBC cells**

112 In parallel with the ChIP-Seq experiments, we sought to characterize changes in the gene
113 expression profiles of MDA-MB-231-ER β cells following E2 treatment. Microarray analyses
114 were performed using Illumina HT-12 BeadChips and the complete list of genes that met a p-
115 value ≤ 0.05 and an absolute fold change of 1.5 cut off between vehicle and E2 treated cells is
116 provided in SI Appendix (Table S2). In total, 976 genes were differentially expressed in MDA-
117 MB-231-ER β cells following estrogen treatment, with 578 genes being up-regulated and 398
118 genes down-regulated (Figure 2A). Heat map analysis of the top 20 most induced and repressed
119 genes following E2 treatment are depicted in Figure 2B. Multiple interleukins and other
120 inflammation-related factors were enriched in the group of genes shown to be the most inhibited
121 by E2 treatment while four members of the cystatin superfamily of cysteine proteases were
122 among the top 20 most estrogen-induced genes (Figure 2B). Confirmation of the microarray
123 dataset was performed using genes chosen at random (SI Appendix, Figure S1). A Venn diagram
124 was constructed to reveal the overlap between the ChIP-Seq and microarray results (Figure 2D).
125 Once replicate genes (i.e. genes containing multiple ER β binding sites) were removed from the
126 ChIP-Seq data set, a total of 11,401 genes were assigned as being associated with at least one
127 ER β binding site. Of the 976 genes shown to be regulated by estrogen treatment, 585 were
128 shown to have a nearby ER β binding site (Figure 2D). Gene set enrichment analysis (GSEA) was
129 performed using a pre-ranked gene list comprising the 976 genes identified in the microarray
130 analysis and revealed significant associations with phenotypes pertaining to breast cancer grade

131 and cancer metastasis (Figure 2C). Specifically, genes induced by E2 in MDA-MB-231-ER β
132 cells were also shown to exhibit increased expression in non-basal like breast cancer, low-grade
133 breast cancer and non-metastatic cancers (Figure 2C). All four of the cystatins shown to be
134 induced by estrogen in ER β -expressing cells were found at the leading edge of each of the gene
135 sets identified by GSEA. A dendrogram depicting the relationship between cystatins 1, 2, 4, and
136 5 within the cysteine protease family is shown in Figure 2E as well as their expression levels in
137 vehicle and estrogen treated MDA-MB-231-ER β cells as determined by microarray analysis
138 (Figure 2F). Regulation of cystatin gene expression by E2 was confirmed by RT-PCR in MDA-
139 MB-231-ER β cells, as well as in a second ER β -expressing TNBC cell line (Hs578T), and
140 compared to the effects elicited by the ER β -selective agonist LY500307 following 5 days of
141 treatment (Figure 2G and H). In both models, all 4 cystatins were significantly induced by
142 estrogen and LY500307 and there were no appreciable differences between the two ligands.
143 However, the fold-increase for all 4 cystatin genes was approximately 10-fold less in the
144 Hs578T-ER β cells (Figure 2G and H). To examine the basis for this difference, we first assessed
145 the basal expression levels of the 4 cystatins between these two cell lines in the absence and
146 presence of doxycycline (dox) treatment. As shown in SI Appendix (Figure S2A), the basal
147 expression levels of the cystatins were extremely low in the absence of dox in both cell lines.
148 Following dox treatment, the expression levels increased approximately 2-fold in MDA-MB-
149 231-ER β cells and 10-50-fold in Hs578T-ER β cells. Interestingly, ER β mRNA levels follow dox
150 treatment were actually 10-fold lower in Hs578T-ER β cells (SI Appendix, Figure S2B)
151 suggesting that the apparent differences in cystatin induction by ER β in these two models is
152 explained by the more robust ligand-independent effects observed in the Hs578T-ER β cell line.
153

154 **Cystatins are highly induced by ER β and correlate with relapse-free survival in TNBC**

155 Given the above findings, we next performed a time-course analysis of cystatin gene expression
156 following E2 treatment of MDA-MB-231-ER β cells. Cystatins 1, 2, 4 and 5 were shown to be
157 significantly induced by E2 within 2-8 hours of exposure (Figure 3A), and continued to increase
158 during extended treatment times of 1-5 days (Figure 3B). To confirm these findings at the protein
159 level, immunohistochemistry for the most highly-induced cystatin, cystatin 5, was performed in
160 MDA-MB-231-ER β cell line pellets. Cystatin 5 staining was absent in vehicle treated cells,
161 slightly positive following dox-induced ER β expression and highly positive in the setting of E2
162 treatment (Figure 3C). These ligand-independent effects of ER β on cystatin gene expression
163 were confirmed at the mRNA level, where dox treatment of MDA-MB-231-ER β cells was
164 shown to significantly induce the expression of cystatins 1, 2, 4 and 5 relative to no dox treated
165 cells even in the absence of a ligand (Figure 3D). In addition, the E2-mediated induction of
166 cystatin 1, 2, 4, and 5 expression was completely abolished by the pure anti-estrogen, fulvestrant
167 (ICI 182,780) (Figure 3E). To determine if the induction of cystatins by E2 was unique to ER β ,
168 we also analyzed their expression levels in ER α + MCF7 and T47D breast cancer cells after 24
169 hours of treatment (Figure 3F). Cystatins 1, 4 and 5 were completely undetectable by RT-PCR in
170 both MCF7 and T47D cells while cystatin 2 demonstrated basal expression in T47D cells that
171 was repressed with estrogen treatment (Figure 3F). Further, estrogen treatment of ER α -
172 expressing MDA-MB-231 and Hs578T cells had no effect on the expression levels of cystatins 1,
173 2, 4 and 5 with the exception of a slight induction of cystatin 1 in the MDA-MB-231 model (SI
174 Appendix, Figure S3). To determine the potential relevance of these cystatins in breast cancer,
175 we examined their association with patient outcomes using the online Kaplan Meier plotter
176 program in the breast cancer dataset (31). Using the multigene classifier for cystatins 1, 2, 4 and

177 5, high cystatin expression was significantly associated with improved relapse-free survival
178 (RFS) in TNBC patients but not in ER⁺/PR⁺ patients (Figure 3G). Together these data indicate
179 that cystatins are highly induced by E2 in an ER β -specific manner and are positively correlated
180 with improved RFS in TNBC patients.

181

182 **Direct regulation of cystatin gene expression by ER β**

183 To identify the mechanisms by which ER β induces cystatin gene expression, we interrogated the
184 ChIP-Seq data and identified ER β -bound regions within the promoter of all four cystatins as well
185 as the first intron of cystatins 1, 4 and 5 (Figure 4A). An ER β -bound region far upstream of the
186 transcriptional start site of cystatin 2 was also indicated (Figure 4A). ER β was shown to be
187 associated with the promoter of cystatin 4 and 5 both in the absence and presence of a ligand,
188 while its association with the cystatin 1 and 2 promoter was ligand-dependent (Figure 4A). ER β
189 association with intron 1 was also ligand-dependent for cystatins 4 and 5 but ligand independent
190 for cystatin 1 (Figure 4A). These binding sites were centered over estrogen response elements
191 (EREs) and a schematic showing the homology of a consensus ERE with the identified cystatin
192 specific EREs are shown in Figure 4B. ChIP-PCR using the ER β specific MC10 antibody was
193 used to confirm ER β association with selected EREs (Figure 4C). Given the nearly identical
194 sequence homology between cystatins 1, 2 and 4, and their divergence from cystatin 5, we
195 focused on cystatins 4 and 5 in future analyses. E2 treatment was shown to enhance ER β
196 association on the cystatin 4 and 5 promoters with only a trend towards increased binding in the
197 setting of E2 on the ERE within intron 1 of cystatin 5 (Figure 4C).

198

199 To assess the activity of the identified EREs, a 500 base pair region centered on the ER β binding
200 site in the cystatin 4 and 5 promoter and intron were cloned into a luciferase reporter construct.
201 With the exception of the cystatin 4 promoter construct, E2 treatment was shown to induce
202 luciferase activity following transfection into MDA-MB-231-ER β cells, effects that were
203 completely abolished by ICI (Figure 4D). Site-directed mutagenesis was used to eradicate the
204 EREs identified in the cystatin 5 promoter and cystatin 4 and 5 introns. Mutation of these EREs
205 was also shown to abolish estrogen-induced luciferase activity (Figure 4E) confirming the
206 involvement of these sites in ER β -mediated induction of cystatin gene expression.

207

208 **Biological effects of cystatins in TNBC cells**

209 Given these findings, we sought to better understand the potential biological effects of cystatins
210 in TNBC cells. Ingenuity pathway analysis of our microarray data revealed significant changes
211 in multiple canonical signaling pathways (SI Appendix, Table S3). The top pathway identified
212 pertained to fibrosis and consisted of numerous genes known to be involved in TGF β signaling.
213 Furthermore, upstream regulator analysis identified core components of the TGF β signaling
214 pathway including Smad4 and TGF β 1 (SI Appendix, Table S4) suggesting that ligand-mediated
215 activation of ER β impacts the TGF β signaling pathway in TNBC cells. Based on these findings,
216 we constructed a heat map consisting of known TGF β pathway genes from the microarray data
217 (Figure 5A). We also examined the effects of 24 hours or 5 days of estrogen treatment on the
218 TGF β signaling pathway using a TGF β pathway PCR array. These data confirmed that estrogen
219 treatment of ER β expressing MDA-MB-231 cells significantly alters the expression of multiple
220 genes within the canonical TGF β pathway (Figure 5B). In order to determine if estrogen-
221 mediated induction of cystatins impacted TGF β signaling, we next analyzed the phosphorylation

222 levels of Smad2 and Smad3 following TGF β stimulation in the presence and absence of a
223 combination of recombinant cystatins 1, 2, 4 and 5. Pre-treatment of non-ER β expressing
224 parental MDA-MB-231 cells with recombinant cystatins resulted in blockade of Smad2 and
225 Smad3 phosphorylation by TGF β (Figure 5C). In parallel, recombinant cystatins were also
226 shown to suppress the ability of TGF β to induce a Smad-binding element (SBE) luciferase
227 reporter construct (Figure 5D), demonstrating that cystatins inhibit TGF β signaling.
228 Interestingly, TGF β R2 and cystatin 5 were shown to co-localize in MDA-MB-231-ER β cells
229 following E2 treatment (Figure 5E). An interaction between cystatin 5 and TGF β R2 in the
230 setting of estrogen treatment was confirmed using a duolink proximity assay as indicated by the
231 punctate red staining (Figure 5F). Taken together, these data indicate that cystatins interact with
232 TGF β R2 to inhibit canonical TGF β signaling in TNBC cells.

233

234 **ER β -mediated induction of cystatins inhibit TNBC cell invasion and migration**

235 In light of the invasive and migratory properties of TNBC, and the known roles of TGF β
236 signaling in driving breast cancer cell invasion and migration (32-35), we analyzed the impact of
237 ER β on these cellular properties. Invasion and migration of MDA-MB-231-ER β and Hs578T-
238 ER β cells were analyzed using transwell assays following treatment with no dox vehicle control,
239 dox vehicle, dox + 1 nM estrogen, or dox + 10 nM LY500307. Dox-induced expression of ER β
240 resulted in suppression of both MDA-MB-231-ER β and Hs578T-ER β cell invasion and
241 migration, even in the absence of a ligand (Figure 6A-H). These inhibitory effects were
242 significantly magnified following treatment with either E2 or LY500307 (Figure 6A-H).

243

244 Since cystatins are secreted proteins that are highly induced by ER β and are capable of inhibiting
245 canonical TGF β signaling, we sought to determine their ability to suppress TNBC cell invasion.
246 siRNA-mediated knockdown was optimized for each individual cystatin and all siRNAs were
247 shown to block E2-mediated induction of the cystatins in MDA-MB-231-ER β cells (Figure 6I).
248 Combinatorial knockdown of all 4 cystatins was shown to block the ability of E2 to suppress cell
249 invasion (Figure 6J). Treatment of parental MDA-MB-231 cells with recombinant cystatins was
250 also shown to completely suppress TGF β -mediated invasion, effects that were significantly
251 greater than that of a TGF β -specific inhibitor, SB431542 (Figure 7A and B). Combined, these
252 data show that ligand-mediated activation of ER β substantially inhibits the invasive and
253 migratory properties of TNBC cells in part through the actions of cystatins and suppression of
254 TGF β signaling.

255

256 **ER β activation prevents lung metastasis of MDA-MB-231 cells *in vivo***

257 A common site of TNBC metastasis is the lung; therefore, we sought to determine the effect of
258 ER β activation on the ability of TNBC cells to establish lung colonization *in vivo* as a model of
259 metastasis. MDA-MB-231-ER β -Luc cells were injected into the tail vein of ovariectomized
260 athymic nude mice and randomized to one of three treatment arms: normal chow/placebo pellet,
261 dox chow/placebo pellet or dox chow/estrogen pellet with eight mice in each group. Mice were
262 monitored for the development of lung metastases via IVIS2000 xenogen imaging.
263 Representative images from animals in each treatment arm are shown prior to sacrifice (**Error!**
264 **Reference source not found.** 8A). Following sacrifice, metastatic lesions were quantitated in the
265 lungs under a dissecting scope, and representative images of tumor nodules in the no dox placebo
266 and dox placebo groups (10x magnification) are shown (Figure 8B). No macroscopic lung

267 nodules were observed in any of the animals in the dox estrogen group, effects that were
268 confirmed following histological analysis for microscopic lesions (Figure 8C). Percent tumor
269 incidence (Figure 8D), number of lung nodules (Figure 8E) and average tumor volume (Figure
270 8F) were also evaluated for each treatment group. These studies confirm the *in vitro* findings
271 presented above and demonstrate that ligand-mediated activation of ER β is capable of preventing
272 the development of metastatic lesions *in vivo*.

273 **Discussion**

274 In this study we sought to characterize the biological effects of targeting ER β in TNBC cells and
275 to elucidate the mechanisms of action through which it functions in this form of the disease. For
276 the first time, we have characterized the ER β cistrome in TNBC cells and have determined the
277 effects of E2 on the global gene expression profiles of MDA-MB-231-ER β cells. Microarray
278 analysis revealed estrogen-mediated induction of a family of genes known as cystatins and ChIP-
279 Seq analysis identified ER β binding sites within the promoter and intronic regions of these
280 genes. These ER β binding sites were confirmed to be occupied by ER β and were shown to be
281 transcriptionally active following exposure to ER β agonists. Ligand-mediated activation of ER β
282 with estrogen or LY500307 resulted in decreased invasion and migration of TNBC cells *in vitro*
283 and prevented the formation of lung metastasis *in vivo*. Taken together, we propose a novel
284 mechanism through which ER β elicits tumor-suppressive effects, particularly with regard to
285 suppression of metastatic phenotypes, which is characterized by the induction of cystatins and
286 the subsequent inhibition of canonical TGF β signaling.

287

288 ChIP-Seq analysis identified nearly 30,000 ER β binding sites across the genome of which the
289 large majority were ligand-dependent. Estrogen treatment of MDA-MB-231-ER β cells resulted

290 in significantly more enrichment of ER β on DNA compared to the ER β specific agonist
291 LY500307. This discrepancy could be a result of differential receptor conformation when these
292 two ligands are bound and/or alterations in co-factor recruitment. However, it is worth noting
293 that nearly all of the LY500307-induced ER β binding sites were conserved among the E2-
294 induced binding sites suggesting that these differences could also be explained by a difference in
295 the IC₅₀ for these two ligands. As is the case with ER α (36, 37), the large majority of ER β
296 binding sites were located in introns or intergenic regions of chromatin indicating that ER β
297 primarily occupies enhancer regions across the genome.

298

299 In parallel to the ChIP-Seq studies, microarray analysis was performed to identify genes
300 differentially regulated by ER β following estrogen treatment. Through this analysis, we
301 identified over 900 genes that were significantly induced or repressed and demonstrated that
302 genes whose expression was up-regulated by ER β were associated with less aggressive breast
303 cancer phenotypes and decreased metastatic potential. Of the most highly induced transcripts,
304 four were members of a superfamily of genes known as the cystatins, specifically cystatins 1, 2,
305 4 and 5. These four genes were shown to be specifically induced by E2 in an ER β -specific
306 manner as their expression levels were either completely absent or repressed by E2 in ER α
307 positive breast cancer cells and since they were not induced by estrogen in ER α -expressing
308 MDA-MB-231 or Hs578T cells. Cystatins are small secreted proteins that have previously been
309 shown to function as cysteine protease inhibitors (38-40). While extensive research has been
310 performed on a closely related family member, cystatin 3 (41), relatively little is known about
311 cystatins 1, 2, 4 and 5. Further, nothing is known about the expression levels or function of these
312 four cystatins in breast cancer.

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ER β has previously been shown to be a prognostic factor in TNBC, as high expression of this receptor is associated with improved overall survival (OS), disease-free survival (DFS), and distant metastasis-free survival (DMFS) (27). Given our findings that ligand mediated activation of ER β results in substantial increases in cystatin gene expression in TNBC, we analyzed the association of cystatin 1, 2, 4 and 5 expression levels with relapse-free survival of TNBC patients. Intriguingly, high expression of all four cystatins correlated with improved relapse-free survival, but only in TNBC patients and not in breast cancer patients expressing ER α and PR. These findings are in agreement with the results of the present study implicating important tumor-suppressive effects of cystatins in TNBC. Furthermore, these data suggest that monitoring cystatin expression levels may have prognostic and/or predictive value in this form of the disease.

Utilizing our ChIP-Seq dataset, we were able to identify ER β binding sites within the promoter and intronic regions of each of the four cystatin genes shown to be induced by E2 treatment in TNBC cells. These binding sites encoded functional EREs that were activated by ER β in a ligand-dependent manner. ER β was also shown to occupy some of these binding sites in a ligand independent fashion. This likely explains our findings that expression of ER β alone, even in the absence of a ligand, is capable of causing a slight induction of cystatin gene expression. These findings demonstrate that ER β directly associates with these regulatory elements to enhance cystatin gene expression in TNBC cells.

335 Although the cystatins were among the most highly regulated genes following E2 treatment of
336 TNBC cells, it was not immediately obvious as to what effect, if any, they may have on TNBC
337 cell biology. We therefore returned to our gene expression dataset and identified alterations in
338 the TGF β signaling pathway through the use of Ingenuity Pathway Analysis. Furthermore, these
339 analyses predicted that our gene expression signature correlated with decreased TGF β ligand
340 activity. TGF β signaling is a known driver of metastasis, disease progression, and resistance to
341 chemotherapy in TNBC (42, 43) and activation of TGF β signaling is associated with worse
342 outcomes for breast cancer patients (33, 44). Given that a previous study has linked the closely
343 related family member, cystatin 3, with decreased TGF β pathway activity (45) we speculated
344 that increased expression of cystatins by ER β may result in suppression of TGF β signaling in
345 TNBC cells. Indeed, we demonstrated that cystatins block canonical TGF β signaling in breast
346 cancer cells likely due to their ability to directly interact with the TGF β R2 resulting in inhibition
347 of TGF β ligand occupancy.

348

349 Given that activation of the TGF β pathway drives invasiveness in TNBC (42, 43), and in light of
350 our findings that ER β induces the expression of cystatins capable of inhibiting canonical TGF β
351 signaling, we sought to determine the effects of ER β on TNBC cell invasion and migration. Our
352 data demonstrate that the presence of ER β , even in the absence of a ligand, suppresses both
353 invasion and migration of TNBC cells, effects that are dramatically magnified by treatment with
354 E2 or the ER β selective agonist, LY500307. ER β -mediated inhibition of invasion and migration
355 is dependent on the biological activities of the cystatins since suppression of cystatin gene
356 expression reverses these effects. Furthermore, we demonstrated that cystatins are capable of
357 blocking TGF β -induced cell invasion, effects that were even stronger than a TGF β inhibitor.

358 These *in vitro* effects were confirmed in an *in vivo* metastatic mouse model, where ligand
359 mediated activation of ER β was shown to completely block lung colonization of TNBC cells.

360 **Conclusion**

361 In conclusion, our data elucidate the ER β cistrome in TNBC and identify and characterize the
362 functional roles of cystatins in this disease. The present report builds upon prior data that ER β is
363 expressed in approximately 30% of TNBC patients and that ligand mediated activation of ER β
364 suppresses TNBC cell proliferation (21) and tumor progression (18, 46). Our results lend further
365 support to the notion that therapeutic targeting of ER β may elicit clinical benefit for TNBC
366 patients, and that this effect is dependent on ER β to induce tumoral expression of cystatins.
367 These results lay the foundation for future studies aimed at analyzing the anti-tumor activity of
368 estrogen and ER β selective agonists in ER β positive TNBC patients.

369

370 **Materials and Methods**

371 Detailed information regarding the cell lines, chemical, reagents and procedures utilized in these
372 studies are described in SI Appendix, SI Materials and Methods.

373

374 **Authors' contribution**

375 Concept and design: JMR, MS, JNI, MPG, and JRH. Collection and assembly of data: JMR,
376 ESB, VJS, AWN, IC, MS, MPG, and JRH. Data analysis and interpretation: JMR, ESB, VJS,
377 AWN, IC, DGM, JSC, MPG, and JRH. Manuscript writing: JMR and JRH. All authors read and
378 approved the final manuscript.

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499

500 **Figure Legends**

501 **Figure 1. Identification of the ER β cistrome in MDA-MB-231 TNBC cells.**

502 (A) Heat map of ER β tag densities in MDA-MB-231-ER β cells treated with vehicle, estrogen or
503 LY500307 for 3 hours. (B) Total peaks called for each treatment. (C) Global distribution of
504 binding sites among treatments. (D) Top 2 motifs identified, and their peak distributions, for
505 each condition. Venn diagrams indicating overlap of all binding sites (E), promoter region-
506 specific binding sites (F) and enhancer regions (G) between treatment conditions.

507 **Figure 2. ER β regulated gene expression signatures in TNBC cells.**

508 (A) Pie chart depicting the number of estrogen regulated genes in MDA-MB-231-ER β cells
509 following 5 days of treatment. (B) Heat map depicting expression levels of the top 20 most
510 highly up- and down-regulated genes following estrogen treatment. (C) Gene Set Enrichment
511 Analysis of the microarray data indicating associations of ER β specific gene signatures with
512 multiple cancer related phenotypes. (D) Venn diagram indicating overlap between genes
513 identified as having nearby ER β binding sites via ChIP-Seq and genes significantly regulated by
514 estrogen treatment from the microarray data sets. (E) Dendrogram indicating relationship of
515 cystatins identified to be significantly up-regulated by ER β in response to estrogen treatment. (F)
516 RT-PCR confirmation of the microarray data for cystatins 1, 2, 4 and 5 in response to estrogen
517 treatment of MDA-MB-231-ER β cells. (G & H) Independent RT-PCR confirmation of cystatin
518 induction following 1 nM E2 or 10 nM LY500307 treatment for 5 days in MDA-MB-231-ER β
519 and Hs578T-ER β cells. Data are represented as average \pm SEM. *denotes p-value <0.05 relative
520 to vehicle treated control cells.

521 **Figure 3. ER β specific regulation of cystatins in TNBC cells.**

522 (A-B) RT-PCR analysis of cystatin 1, 2, 4 and 5 expression levels following estrogen treatment
523 of MDA-MB-231-ER β cells for indicated times. (C) Immunohistochemistry analysis of cystatin
524 5 protein levels in MDA-MB-231-ER β cell pellets treated as indicated for 5 days. (D) mRNA
525 expression levels of cystatin 5 as detected by RT-PCR in MDA-MB-231-ER β cells treated as
526 indicated for 5 days, images taken at 40x magnification. (E) RT-PCR analysis demonstrating
527 blockade of estrogen induced cystatin gene expression by the pure anti-estrogen, ICI. (F) RT-
528 PCR analysis of cystatin expression levels in ER α positive MCF7 and T47D cells following
529 vehicle and estrogen treatment for 24 hours. (G) Kaplan-Meier plots depicting relapse-free
530 survival (RFS) as a function of high and low cystatin 1, 2, 4 and 5 expression levels in ER/PR
531 positive breast cancer vs TNBC. Data are represented as average \pm SEM. * denotes p-value
532 <0.05 relative to vehicle control treated cells. δ denotes p-value <0.05 between indicated
533 treatments.

534 **Figure 4. Identification of ER β regulatory elements responsible for mediating estrogen**
535 **induced expression of cystatins 1, 2, 4 and 5.**

536 (A) Screen shots from the UCSC genome browser of ER β signals from ChIP-Seq experiments on
537 the promoters and first introns of indicated cystatin genes in MDA-MB-231-ER β cells. (B)
538 Comparison of a consensus ERE half-site to the EREs identified in the promoters and first
539 introns of cystatins 1, 2, 4 and 5 via ER β ChIP-Seq. (C) ChIP-PCR confirmation of ChIP-Seq
540 data for indicated sites following pulldown with an ER β -specific antibody (MC10) in MDA-MB-
541 231-ER β cells. (D) Luciferase assays indicating basal and estrogen induced (24 hours) activity of
542 indicated cystatin promoter and intronic regions encoding identified ER β binding sites in MDA-
543 MB-231-ER β cells. (E) Luciferase assays depicting the estrogen mediated activity of indicated
544 cystatin promoter and intronic elements following site-directed mutagenesis of the identified

545 EREs relative to wild type controls. Data are represented as average \pm SEM. * denotes p-value
546 <0.05 compared to vehicle treated control cells. δ denotes p-value <0.05 compared to estrogen
547 treated cells.

548 **Figure 5. Estrogen and cystatin mediated regulation of canonical TGF β signaling in TNBC**
549 **cells.**

550 (A) Heat map analysis of known TGF β pathway genes shown to be differentially regulated by
551 estrogen treatment in MDA-MB-231-ER β expressing cells. (B) Heat maps generated from a
552 TGF β qPCR array indicating the effects of 24 hours or 5 days of estrogen treatment on indicated
553 genes in MDA-MB-231-ER β cells. (C) Western blot for phospho-Smad2, phospho-Smad3, total
554 Smad2/3 and tubulin in MDA-MB-231 cell extracts. Cells were pre-treated with a combination
555 of recombinant cystatins 1, 2, 4 and 5 for 6 hours followed by TGF β (2ng/mL) or vehicle
556 treatments for 30 minutes. (D) Luciferase assays indicating the activity of a Smad Binding
557 Element reporter construct in parental MDA-MB-231 cells treated as indicated. (E)
558 Immunofluorescent analysis of TGF β R2 (red) and cystatin 5 (green) proteins in MDA-MB-231-
559 ER β cells treated as indicated for 24 hours. Representative images are shown at 20x
560 magnification. (F) Proximity based duolink assay indicating interaction between cystatin 5 and
561 TGF β R2 proteins (red dots) in MDA-MB-231-ER β cells treated as indicated for 24 hours.
562 Representative images are shown at 20x magnification.

563 **Figure 6. Cystatins mediate ER β suppression of TNBC cell migration and invasion.**

564 Cell migration and invasion assays were performed with MDA-MB-231-ER β and Hs578T-ER β
565 cell lines. Representative images following indicated treatments are shown (A, C, E and G) and
566 quantification of triplicate experiments \pm SEM are indicated (B, D, F, H). (I) RT-PCR analysis
567 indicating the efficacy of cystatin 1, 2, 4 and 5 siRNAs with regard to suppressing estrogen

568 induced cystatin gene expression in MDA-MB-231-ER β cells. (J) Effects of siRNA mediated
569 silencing of cystatin 1, 2, 4 and 5 expression on MDA-MB-231-ER β cell invasion in the
570 presence of indicated treatments. Data are represented as average \pm SEM. * denotes p-value \leq
571 0.05 relative to -Dox/Veh controls. ** denotes p-value \leq 0.01 relative to Dox/Veh cells
572 following adjustment for multiple comparisons.

573 **Figure 7. Cystatins inhibit TGF β -induced invasion in TNBC cells.**

574 (A) Representative images of parental MDA-MB-231 cell invasion assays following treatment
575 with TGF β ligand (2ng/mL), TGF β + recombinant CST proteins (125 ng/ml of each recombinant
576 cystatin), or TGF β + SB431542 (10 μ M/ml), a TGF β specific inhibitor. (B) Quantification of
577 triplicate invasion experiments \pm SEM following indicated treatments. * denotes p-value \leq 0.05
578 relative to vehicle control treated cells. ** denotes p-value \leq 0.01 relative to TGF β treated cells
579 following adjustment for multiple comparisons.

580 **Figure 8. Estrogen treatment inhibits lung colonization of ER β -positive TNBC cells.**

581 MDA-MB-231-ER β -Luciferase cells were injected into ovariectomized nude mice via the tail
582 vein and randomized to indicated treatments. (A) IVIS2000 xenogen imaging indicating the
583 presence of lung metastasis in mice randomized to the no dox placebo and dox placebo groups,
584 but not in the dox estrogen group. (B) Gross images of lung nodules from representative animals
585 in the indicated treatment groups (10x magnification). (C) Representative images depicting
586 histological analysis of FFPE mouse lungs from animals in each treatment group following H&E
587 staining and IHC analysis for ER β at 40x magnification. Quantification of the incidence of lung
588 nodules (D), the number of lung nodules (E) and the tumor volume of lung nodules (F) in mice
589 randomized to the indicated treatment groups. * denotes p-value \leq 0.01 relative to between
590 indicated treatment groups following adjustment for multiple comparisons.