

## Article

### **Agnostic pathway/gene set analysis of genome-wide association data identifies associations for pancreatic cancer**

Naomi Walsh\*<sup>\$1</sup>, Han Zhang\*<sup>2</sup>, Paula L. Hyland<sup>^2,3</sup>, Qi Yang<sup>2</sup>, Evelina Mocci<sup>4</sup>, Mingfeng Zhang<sup>5, 6</sup>, Erica J. Childs<sup>4</sup>, Irene Collins<sup>5</sup>, Zhaoming Wang<sup>5,7</sup>, Alan A. Arslan<sup>8,9,10</sup>, Laura Beane-Freeman<sup>2</sup>, Paige M. Bracci<sup>11</sup>, **Paul Brennan**<sup>23</sup>, Federico Canzian<sup>12</sup>, Eric J. Duell<sup>13</sup>, Steven Gallinger<sup>14</sup>, Graham G. Giles<sup>15,16,17</sup>, **Michael Goggins**<sup>43</sup>, Gary E. Goodman<sup>18</sup>, Phyllis J. Goodman<sup>19</sup>, **Rayjean J. Hung**<sup>14</sup>, Charles Kooperberg<sup>18</sup>, **Robert C. Kurtz**<sup>53</sup>, **Núria Malats**<sup>55,56</sup>, Loic LeMarchand<sup>20</sup>, Rachel E. Neale<sup>21</sup>, Sara H. Olson<sup>22</sup>, Ghislaine Scelo<sup>23</sup>, Xiao O. Shu<sup>24</sup>, Stephen K. Van Den Eeden<sup>25</sup>, Kala Visvanathan<sup>26</sup>, Emily White<sup>18,27</sup>, Wei Zheng<sup>24</sup>, **(for the PanScan and PanC4 consortia)** Stephen Chanock<sup>2</sup>, Patricia Hartge<sup>2</sup>, Robert Hoover<sup>2</sup>, William Wheeler<sup>70</sup> Peter Kraft<sup>35,73</sup>, Donghui Li<sup>74</sup>, Eric J. Jacobs<sup>58</sup>, Gloria M. Petersen<sup>29</sup>, Brian M. Wolpin<sup>28</sup>, Harvey A. Risch<sup>54</sup>, Laufey T. Amundadottir<sup>5</sup>, Kai Yu<sup>2</sup>, Alison P. Klein<sup>4,43</sup>, Rachael Z. Stolzenberg-Solomon<sup>\$2</sup>

#### **For PanScan and PanC4 consortia:**

Demetrius Albanes<sup>2</sup>, Gabriella Andreotti<sup>2</sup>, Ana Babic<sup>28</sup>, William R. Bamlet<sup>29</sup>, Sonja I. Berndt<sup>2</sup>, Ayelet Borgida<sup>14</sup>, Marie Christine Boutron-Ruault<sup>30</sup>, Lauren Brais<sup>28</sup>, Bas Bueno de-Mesquita<sup>31,32,33</sup>, Julie Buring<sup>34,35</sup>, Kari G. Chaffee<sup>29</sup>, Sean Cleary<sup>36</sup>, Michelle Cotterchio<sup>37,38</sup>, Lenka Foretova<sup>39</sup>, Charles Fuchs<sup>40</sup>, J. Michael M. Gaziano<sup>41,42</sup>, Edward Giovannucci<sup>28</sup>, Thilo Hackert<sup>44</sup>, Christopher Haiman<sup>45</sup>, Manal Hasan<sup>46</sup>, Kathy J. Helzlsouer<sup>47</sup>, Joseph Herman<sup>48</sup>, Ivana Holcatova<sup>49</sup>, Elizabeth A. Holly<sup>11</sup>, Vladimir Janout<sup>50,51</sup>, Eric A. Klein<sup>52</sup>, Daniel Laheru<sup>4</sup>, I-Min Lee<sup>34,35</sup>, Lingeng Lu<sup>54</sup>, Satu Mannisto<sup>57</sup>, Roger L. Milne<sup>15,16</sup>, Ann L. Oberg<sup>29</sup>, Irene Orlow<sup>22</sup>, Alpa V. Patel<sup>58</sup>, Ulrike Peters<sup>18</sup>, Miquel Porta<sup>59,60</sup>, Francisco X. Real<sup>56,61,62</sup> Nathaniel Rothman<sup>2</sup>, Howard D. Sesso<sup>34,35</sup>, Gianluca Severi<sup>15,16,30</sup>, Debra Silverman<sup>2</sup>, Oliver Strobel<sup>44</sup>, Malin Sund<sup>63</sup>, Mark D. Thornquist<sup>18</sup>, Geoffrey S. Tobias<sup>2</sup>, Jean Wactawski-Wende<sup>64</sup>, Nick Wareham<sup>65</sup>, Elisabete Weiderpass<sup>66-69</sup>, Nicolas Wentzensen<sup>2</sup>, Herbert Yu<sup>71</sup>, Anne Zeleniuch-Jacquotte<sup>10,72</sup>

1. National Institute for Cellular Biotechnology, Dublin City University, Glasnevin, Dublin, Ireland.
2. Division of Cancer Epidemiology and Genetics, National Cancer Institute, National Institutes of Health, Bethesda, MD 20892, USA.
3. Division of Applied Regulatory Science, Office of Translational Science, Center for Drug Evaluation & Research, U.S. Food and Drug Administration, Silver Spring, MD 20993, USA.
4. Department of Oncology, Sidney Kimmel Comprehensive Cancer Center, Johns Hopkins School of Medicine, Baltimore, MD, USA.
5. Laboratory of Translational Genomics, Division of Cancer Epidemiology and Genetics, National Cancer Institute, National Institutes of Health, Bethesda, MD, USA
6. Division of Epidemiology II, Office of Surveillance and Epidemiology, Center for Drug Evaluation & Research, U.S. Food and Drug Administration, Silver Spring, MD 20993, USA.
7. Department of Computational Biology, St Jude Children's Research Hospital, Memphis, Tennessee, USA
8. Department of Obstetrics and Gynecology, New York University School of Medicine, New York, NY 10016, USA
9. Department of Environmental Medicine, New York University School of Medicine, New York, NY 10016, USA
10. Department of Population Health, New York University School of Medicine, New York, NY 10016, USA
11. Department of Epidemiology and Biostatistics, University of California, San Francisco, CA 94158, USA.
12. Genomic Epidemiology Group, German Cancer Research Center (DKFZ), Heidelberg, Germany
13. Unit of Nutrition and Cancer, Cancer Epidemiology Research Program, Bellvitge Biomedical Research Institute (IDIBELL), Catalan Institute of Oncology (ICO), Barcelona, Spain
14. Lunenfeld-Tanenbaum Research Institute of Mount Sinai Hospital, Toronto, Ontario, Canada.
15. Cancer Epidemiology and Intelligence Division, Cancer Council Victoria, Melbourne, Victoria, Australia.
16. Centre for Epidemiology and Biostatistics, Melbourne School of Population and Global Health, The University of Melbourne, Victoria, Australia
17. Department of Epidemiology and Preventive Medicine, Monash University, Melbourne, Victoria, Australia
18. Division of Public Health Sciences, Fred Hutchinson Cancer Research Center, Seattle, Washington, USA
19. SWOG Statistical Center, Fred Hutchinson Cancer Research Center, Seattle, Washington, USA
20. Cancer Epidemiology Program, University of Hawaii Cancer Center, Honolulu, HI 96813, Hawaii.
21. Population Health Department, QIMR Berghofer Medical Research Institute, Brisbane, Australia
22. Department of Epidemiology and Biostatistics, Memorial Sloan Kettering Cancer Center, New York, NY, 10065, USA.
23. International Agency for Research on Cancer (IARC), Lyon, France.

24. Division of Epidemiology, Department of Medicine, Vanderbilt Epidemiology Center, Vanderbilt-Ingram Cancer Center, Vanderbilt University School of Medicine, Nashville, TN, 37232, USA.
25. Division of Research, Kaiser Permanente Northern California, Oakland, CA 94612, USA
26. Department of Epidemiology, Johns Hopkins Bloomberg School of Public Health, Baltimore, MD 21205, USA
27. Department of Epidemiology, University of Washington, Seattle, Washington, USA
28. Department of Medical Oncology, Dana-Farber Cancer Institute, Boston, MA, 02215, USA.
29. Department of Health Sciences Research, Mayo Clinic College of Medicine, Rochester, Minnesota, USA.
30. Centre de Recherche en Épidémiologie et Santé des Populations (CESP, Inserm U1018), Facultés de Médecine, Université Paris-Saclay, UPS, UVSQ, Gustave Roussy, Villejuif, France.
31. Department for Determinants of Chronic Diseases (DCD), National Institute for Public Health and the Environment (RIVM), 3720 BA, Bilthoven, The Netherlands
32. Department of Epidemiology and Biostatistics, School of Public Health, Imperial College London, London, United Kingdom
33. Department of Social & Preventive Medicine, Faculty of Medicine, University of Malaya, Kuala Lumpur, Malaysia
34. Division of Preventive Medicine, Brigham and Women's Hospital, Boston, MA
35. Department of Epidemiology, Harvard T.H. Chan School of Public Health, Boston, MA
36. Division of Hepatobiliary and Pancreas Surgery, Mayo Clinic, Rochester, MN
37. Cancer Care Ontario, University of Toronto, Toronto, Ontario, Canada.
38. Dalla Lana School of Public Health, University of Toronto, Toronto, Ontario, Canada.
39. Department of Cancer Epidemiology and Genetics, Masaryk Memorial Cancer Institute, Brno, Czech Republic
40. Yale Cancer Center, New Haven, CT 06510, USA
41. Division of Aging, Brigham and Women's Hospital, Boston, MA 02115, USA
42. Boston VA Healthcare System, Boston, MA 02132, USA
43. Department of Pathology, Sol Goldman Pancreatic Cancer Research Center, Johns Hopkins School of Medicine, Baltimore, Maryland, USA.
44. Department of General Surgery, University Hospital Heidelberg, Heidelberg, Germany.
45. Department of Preventive Medicine, Keck School of Medicine, University of Southern California, Los Angeles, California.
46. Department of Epidemiology, University of Texas MD Anderson Cancer Center, Houston, Texas, USA.
47. Division of Cancer Control and Population Sciences, National Cancer Institute, National Institutes of Health, Bethesda, MD 20892
48. Department of Radiation Oncology, Sidney Kimmel Comprehensive Cancer Center, Johns Hopkins School of Medicine, Baltimore, Maryland, USA
49. Institute of Public Health and Preventive Medicine, Charles University, 2nd Faculty of Medicine, Prague, Czech Republic

50. Department of Epidemiology and Public Health, Faculty of Medicine, University of Ostrava, Czech Republic
51. Faculty of Medicine, University of Olomouc, Olomouc, Czech Republic
52. Glickman Urological and Kidney Institute, Cleveland Clinic, Cleveland, Ohio, USA.
53. Department of Medicine, Memorial Sloan Kettering Cancer Center, New York, New York, USA.
54. Department of Chronic Disease Epidemiology, Yale School of Public Health, New Haven, Connecticut, USA.
55. Genetic and Molecular Epidemiology Group, Spanish National Cancer Research Center (CNIO), Madrid, Spain
56. CIBERONC, 28029, Madrid, Spain
57. Department of Public Health Solutions, National Institute for Health and Welfare, 00271, Helsinki, Finland
58. Epidemiology Research Program, American Cancer Society, Atlanta, Georgia, USA
59. CIBER Epidemiología y Salud Pública (CIBERESP), Barcelona, Spain
60. Hospital del Mar Institute of Medical Research (IMIM), Universitat Autònoma de Barcelona, Spain
61. Epithelial Carcinogenesis Group, Spanish National Cancer Research Centre-CNIO, Madrid, Spain
62. Departament de Ciències Experimentals i de la Salut, Universitat Pompeu Fabra, Barcelona, Spain
63. Department of Surgical and Perioperative Sciences, Umeå University, Sweden
64. Department of Epidemiology and Environmental Health, University at Buffalo, Buffalo, New York, USA
65. MRC Epidemiology Unit, University of Cambridge, UK
66. Department of Research, Cancer Registry of Norway, Institute of Population-Based Cancer Research, Oslo, Norway
67. Department of Medical Epidemiology and Biostatistics, Karolinska Institutet, Stockholm, Sweden
68. Genetic Epidemiology Group, Folkhälsan Research Center and Faculty of Medicine, University of Helsinki, Helsinki, Finland
69. Department of Community Medicine, Faculty of Health Sciences, University of Tromsø, The Arctic University of Norway, Tromsø, Norway
70. Information Management Systems, Silver Spring, MD, USA
71. Cancer Epidemiology Program, University of Hawaii Cancer Center, Honolulu, Hawaii, USA
72. Perlmutter Cancer Center, New York University School of Medicine, New York, NY 10016, USA
73. Department of Biostatistics, Harvard School of Public Health, Boston, MA 02115, USA
74. Department of Gastrointestinal Medical Oncology, University of Texas MD Anderson Cancer Center, Houston, TX, 77030, USA.

\*equally contributing first authors

^ Second author

§Corresponding authors

Naomi Walsh, Ph.D., M.P.H  
Senior research fellow  
National Institute for Cellular Biotechnology  
Dublin City University  
Glasnevin  
Dublin 9  
Ireland  
E-mail: [naomi.walsh@dcu.ie](mailto:naomi.walsh@dcu.ie)

Rachael Stolzenberg-Solomon, Ph.D., M.P.H., R.D.  
Senior Investigator  
Division of Cancer Epidemiology and Genetics  
National Cancer Institute, NIH, DHHS  
9609 Medical Center Drive  
NCI Shady Grove, Room 6E420  
Rockville, Maryland 20850  
E-mail: [rs221z@nih.gov](mailto:rs221z@nih.gov)

## **Abstract**

### **Background**

Genome-wide association studies (GWAS) identify associations of individual SNPs with cancer risk but usually only explain a fraction of the inherited variability.

Pathway analysis of genetic variants is a powerful tool to identify networks of susceptibility genes.

### **Methods**

We conducted a large agnostic pathway-based meta-analysis of GWAS data using the summary-based adaptive rank truncated product (sARTP) method to identify gene sets and pathways associated with pancreatic ductal adenocarcinoma (PDAC) in 9,040 cases and 12,496 controls. We performed expression quantitative trait loci (eQTL) analysis and functional annotation of the top SNPs in genes contributing to the top associated pathways and gene sets. All statistical tests were two-sided.

### **Results**

We identified 14 pathways and gene sets associated with PDAC at FDR < 0.05. After Bonferroni correction ( $P$ -value  $\leq 1.3 \times 10^{-5}$ ), the strongest associations were detected in five pathways and gene sets, including maturity onset diabetes of the young (MODY), regulation of beta cell development, role of epidermal growth factor (*EGF*) receptor transactivation by G-protein-coupled receptors in cardiac hypertrophy pathways, and the Nikolsky breast cancer chr17q11-q21 amplicon and Pujana *ATM* Pearson correlation coefficient (PCC) network gene sets. We identified and validated rs876493 and three correlating SNPs (*PGAP3*) and rs3124737 (*CASP7*) from the Pujana *ATM* PCC gene set as eQTLs in two normal derived pancreas tissue datasets.

## **Conclusion**

Our agnostic pathway and gene set analysis integrated with functional annotation and eQTL analysis provides insight into genes and pathways that may be biologically relevant for risk of PDAC, including those not previously identified.

## **Introduction**

Although pancreatic ductal adenocarcinoma (PDAC) only accounts for approximately 3% of all cancer, it's the third leading cause of cancer-related death in the USA, and its incidence is increasing.<sup>1</sup> PDAC is among the most fatal cancers worldwide for which there are few established preventable risk factors beyond cigarette smoking, diabetes, being overweight and obesity.<sup>2</sup> There are no effective screening methods for the detection of PDAC; therefore, most people are diagnosed with advanced disease, which contributes to the dismal five-year survival of 8.2%.<sup>1</sup> Understanding the biology underlying the development of PDAC could be useful in developing new treatments or to identify those at high risk for surveillance or targeted for an intervention.

Over the past decade, genome-wide associations studies (GWAS) have identified 20 genomic loci associated with PDAC susceptibility in European populations.<sup>3-8</sup> Although GWAS have provided valuable insights into the genetic basis of PDAC, the susceptibility loci identified do not fully account for the genetic heritability of this disease because of the relative small effect sizes associated with individual Single Nucleotide Polymorphisms (SNPs) and the multiple testing correction required for GWAS. Thus, it is likely that many important susceptibility genes may remain unidentified. Pathway-based analyses applied to GWAS have the potential to detect associations that may be overlooked by standard single-marker approaches and can be a complementary method to identify groups of genes or biological pathways enriched with disease-associated SNPs.<sup>9,10</sup> Pathway analysis, which jointly considers multiple variants in interacting genes and multiple genes in a pathway, may also allow more meaningful biologic interpretation.



We previously conducted a pathway analysis of genes in 23 candidate biological pathways hypothesized *a priori* to be associated with PDAC.<sup>10</sup> PDAC-associated pathways identified included pancreatic development, Helicobacter pylori factor/neolacto, hedgehog, Th1/Th2 immune response and apoptosis. This study was limited by the number of participants and pathways/genes examined, suggesting a larger study with a more comprehensive approach may detect associations not previously considered in PDAC. Consequently, in the present study, we included 3,795 human canonical pathways and gene sets from the Broad Institute Molecular Signatures Database (MSigDB) using an agnostic data driven approach to identify genes and pathways associated with PDAC susceptibility within the Pancreatic Cancer Cohort Consortium (PanScan I, II, III) and the Pancreatic Cancer Case Control Consortium (PanC4) GWAS.

## **Methods**

### **Study population and data**

Methods for GWAS studies have previously been described.<sup>3-6,8</sup> The study sample included 9,040 primary pancreatic adenocarcinoma cases (ICD-O-3 code C250-C259) and 12,496 control participants of European genetic ancestry from summary data from previous PanScan and PanC4 GWAS.<sup>3-8</sup> All participants gave informed consent and all studies were approved by the Institutional Review Board of each participating institution and the National Cancer Institute.

### **Pathway and gene set based analyses using GWAS summary data**

A total of 3,795 human derived gene sets and canonical pathways from The Broad Institute MSigDB v5.0 database (<http://software.broadinstitute.org/gsea/msigdb/collections.jsp>) were used for the analysis. Canonical pathways (n=1,369) included BioCarta; Hallmark; Kyoto Encyclopedia of Genes and Genomes (KEGG); Pathway Interaction Database (National Cancer Institute and Nature Publishing Group); Reactome; Signaling Gateway (SIG); Signaling Transduction KE and Sigma Aldrich. Gene sets (n=2,426) included studies with expression signatures (or changes in expression levels) following genetic and chemical perturbations. Gene sets represented genes induced (upregulated) and repressed (downregulated) by the perturbation. The size of gene sets and pathways ranged from 2-1668 genes. SNPs were mapped within a genomic region encompassing 20 kb upstream and downstream of each gene.

We conducted a meta-analysis using summary statistics from the four GWAS using an inverse variance fixed effects model ( $\lambda=1.07$ ). An initial analysis on all SNPs resulted in 112 pathways or genes sets associated with PDAC below the Bonferroni statistical significance threshold ( $P$ -value= $1.3 \times 10^{-5}$ ,  $0.05/3,795$ ) (data not shown). Most were driven by one or more previously identified GWAS variant, not a pathway association. Therefore, to identify pathway or gene set associations that were jointly driven by novel SNPs, we excluded previously published GWAS PDAC cancer risk signals at 1q32.1 (*NR5A2*), 2p13.3 (*ETAA1*), 3q29 (*TP63*), 5p15.33 (*CLPTM1L-TERT*), 7p13 (*SUGCT*), chr8q24.21 (*MYC*), 7q32.2 (*LINC-PINT*), 9q34.2 (*ABO*), 13q12.2 (*PDX1*), 13q22.1 (*KLF5/KLF12*), 16q23.1 (*BCAR1*), 17q25.1 (*LINC00673*) and 22q12.1 (*ZNRF3*)<sup>3-7</sup> and SNPs with meta-analysis at GWAS threshold  $P$ -value  $< 5 \times 10^{-8}$  (signal at 7p12 (*TNS3*)) from our analysis plus corresponding genomic regions within +/- 500 kb to eliminate association signals that

could be caused by linkage disequilibrium (LD)<sup>11</sup>. In total, 207 genes were excluded using these criteria. We excluded SNPs with minor allele frequency (MAF) < 1% and applied LD filtering to highly correlated SNP pairs ( $r^2 > 0.81$ ).

We conducted gene- and pathway meta-analyses using the summary based adaptive rank truncated product (sARTP) method, which combines SNP-level associations across SNPs in a gene or a pathway.<sup>11</sup> The signals from up to two of the most associated SNPs in a gene were accumulated. The sARTP method adjusted for the size of genes and pathways (i.e., number of SNPs in a gene and number of genes in a pathway) through a resampling procedure to control for false positives. The *P*-values of gene- and pathway-level associations were estimated from the resampled null distribution generated from one hundred million resampling steps. A panel of 503 European subjects (population codes: CEU, TSI, FIN, GBR, IBS) in the 1000 Genomes Project (phase 3, v5, 2013/05/024) was used in sARTP to estimate the LDs between SNPs. To eliminate the impact of population stratification, the genomic control inflation factor was adjusted by using  $\sqrt{1.07}$  to rescale the standard error of the estimated log odds ratio at each SNP. We considered a false discovery rate (FDR) adjusted pathway-level *P*-value  $\leq 0.05$  statistically significant, however discuss pathways and gene sets below the Bonferroni adjusted  $\alpha$ -level of *P*-value =  $1.3 \times 10^{-5}$  ( $0.05/3,795$ ). All statistical tests are two-sided.

### **Functional annotation and eQTL analysis**

Experimental data from ENCODE<sup>12</sup> custom tracks on the UCSC Genome Browser and Roadmap,<sup>13</sup> and information from Ensembl,<sup>14</sup> RegulomeDB v1.1,<sup>15</sup> LDlink<sup>16</sup> and HaploReg v4.1<sup>17</sup> were used to evaluate the regulatory relevance of SNP (and SNPs in LD) of interest in pancreatic and other tissue types. Expression QTL was

performed to evaluate effects on expression and tissue specificity for the most statistically significant SNPs using publicly available data from the NIH Genotype-Tissue Expression (GTEx) v7<sup>18</sup> in pancreas tissue samples ( $n = 220$ ). Potential eQTLs from this analysis ( $P < 0.05$ ) were then taken forward for further analysis. Expression QTL analysis of selected SNPs ( $n = 53$  SNPs mapped to 69 genes) (identified by one or more features including SNP  $P$ -value, RegulomeDB score, and GTEx eQTL result) were validated using data from histologically normal pancreas tissue samples ( $n = 95$ ) from the Laboratory of Translational Genetics (LTG) as previously described.<sup>19</sup>  $P$ -values for SNP-gene tests were adjusted for multiple comparisons using Bonferroni correction ( $P\text{-value} = 0.05/69 = 7.25 \times 10^{-4}$ ).

## Results

### Pathway and gene set-based analyses

Fourteen pathways and gene sets were associated with PDAC at FDR  $< 0.05$ , of which two gene sets and three pathways remaining statistically significant after the Bonferroni correction (**Table 1**); including Maturity Onset Diabetes of the Young (MODY) ( $P\text{-value} = 5.10 \times 10^{-7}$ ), regulation of beta cell development ( $P\text{-value} = 1.92 \times 10^{-6}$ ), Nikolsky breast cancer 17q11-q21 amplicon ( $P\text{-value} = 2.00 \times 10^{-6}$ ), role of *EGF* receptor transactivation by GPCRs in cardiac hypertrophy ( $P\text{-value} = 3.79 \times 10^{-6}$ ) and Pujana *ATM* PCC network ( $P\text{-value} = 1.25 \times 10^{-5}$ ).

The MODY pathway which contained 1,448 SNPs across 23 genes was the most statistically significant pathway. The genes with the strongest association in this pathway and the corresponding top SNP(s) were *HNF1A* (rs1169296, rs2244608),

*HNF1B* (rs12951345, rs7223387), *HNF4A* (rs1853150), *HNF4G* (rs1913641, rs2943547) and *PAX4* (rs118117270, rs62483175) (**Figure 1, Supplementary Table 1**). Four of 28 genes (2,057 SNPs) contributed to the regulation of beta cell development pathway, *HNF1A*, *HNF1B*, *HNF4A* and *HNF4G*, had the same corresponding SNPs selected by sARTP as in the MODY pathway (**Figure 1, Supplementary Table 1**). This suggests an overlap in signals between these two pathways, however the *PAX4* gene was only present in MODY pathway.

The role of *EGF* receptor transactivation by GPCRs in cardiac hypertrophy pathway included 2,133 SNPs across 17 genes. *EDNRA* (rs35232409, rs6537481) and *AGT* (rs1326889) were the top genes with *P*-values =  $5.47 \times 10^{-6}$  and  $9.21 \times 10^{-5}$ , respectively (**Figure 2, Supplementary Table 2**).

The Nikolsky breast cancer 17q11-q21 amplicon gene set included 131 genes (3,320 SNPs) and the association was driven by 36 genes (**Figure 3, Supplementary Table 3**). The top five genes were *PGAP3*, *PNMT*, *TCAP*, *ERBB2*, and *STARD3* (gene *P*-values  $< 3.00 \times 10^{-5}$ ), all corresponding to two SNPs rs876493 (*P*-value= $1.27 \times 10^{-6}$ ) and rs3764351 (*P*-value= $1.27 \times 10^{-5}$ ). Additional genes contributing to this pathway included *HNF1B* (rs12951345, rs7223387), *IGFBP4* (rs7225411, rs76592685), *TNS4* (rs7225411, rs113557550), *MED24/THRA* (rs8078692, rs113520394), and *FBXL20* (rs62074998, rs12453796) (gene *P*-values  $< 0.001$ ). The SNPs selected by the sARTP method in the top 36 genes (gene *P*-value  $< 0.04$ ) were not all in high LD with each other ( $r^2$  range 0.002 to 0.6), suggesting multiple signals in this region may be associated with PDAC.

In the Pujana *ATM* PCC network, 67 of 1,350 genes were selected by sARTP as contributing the most to the PDAC association (**Figure 4, Supplementary Table 4**). The three top genes (gene *P*-value  $< 7.55 \times 10^{-5}$ ) were *SMC2* (rs7859034), *PNMT*

(rs876493, rs3764351), and *HNF1B* (rs12951345, rs7223387). Other notable genes (gene *P*-value  $\leq 0.01$ ) in this gene set included *HNF1A* (rs1169291, rs1169297), *GRP* (rs57791062), *ACTR2* (rs2160263, rs7579797), *THRA* (rs8078692, rs113520394), *HNF4G* (rs1913641, rs2943547), *HEXA* (rs11636684, rs201611588), *TAB1* (rs34825318), *MED1* (rs113897737, rs7212868) and *CASP7* (rs3124737). The full list of genes and sARTP selected SNPs which contributed to the Bonferroni statistically significant pathways/ and the overlap across pathways/gene sets are summarized in **Supplementary Table 5**.

Some of our findings were supported by recent consortia effort in conducting GWAS meta-analysis on PDAC risk.<sup>8</sup> By combing the four GWAS used in our study with an additional replication data of selected SNPs (2,737 cases; 4,752 controls), four new GWAS signals were identified.<sup>8</sup> As our analysis was conducted prior to this, we further excluded these GWAS signal regions at 1p36.33 (*NOC2L*), 8q21.11 (*HNF4G*), 17q12 (*HNF1B*), and 18q21.32 (*GRP*).<sup>8</sup> Eight of the 14 FDR statistically significant pathways or gene sets included these regions and were attenuated after their exclusion (**Supplementary Table 6**, *P*-values  $\leq 0.01$ ); however, the Nikolsky breast cancer chr17q11-q21 amplicon gene set remained statistically significant (*P*-value =  $5.71 \times 10^{-6}$ ) after Bonferroni correction. The *ATM* PCC network, *MODY* and regulation of beta cell development had *P*-values of  $3.59 \times 10^{-4}$ ,  $5.49 \times 10^{-4}$ , and 0.001, respectively. The other six pathways/gene sets did not include these regions and were unaffected.

### **Expression QTL and functional annotation**

We present the functional annotations and eQTL results from GTEx tissues and replication in an independent eQTL histologically normal pancreas dataset (LTG)<sup>19</sup>

for the five Bonferroni statistically significant pathways and gene sets (**Table 2** and **Supplementary Tables 7 and 8**). Top SNP rs876493-A was present in both the Nikolsky breast cancer chr17q11-q21 amplicon and Pujana *ATM* network gene sets, and was associated with lower *PGAP3* expression in normal pancreatic tissue in GTEx ( $P$ -value =  $3.9 \times 10^{-7}$ ,  $\beta = -0.24$ ) and in the LTG ( $P$ -value =  $1.16 \times 10^{-5}$ ,  $\beta = -0.43$ ). In addition, we identified and validated three additional SNPs, rs3764351-A, rs4795393-T and rs12453507-G in LD with rs876493-A that also act as eQTLs for *PGAP3* in both data sets (**Table 2**). These SNPs were also associated with lower *PGAP3* expression in other tissues (**Supplementary Table 7**). The linkage disequilibrium (LD) between these four SNPs on chr17 may indicate the same signal is contributing to the associations.

In the Pujana *ATM* PCC network, we observed that the risk allele rs3124737-G (*CASP7*) was associated with higher expression of the *CASP7* gene in normal pancreatic tissue using GTEx ( $P$ -value =  $2.5 \times 10^{-8}$ ;  $\beta = 0.48$ ) and LTG ( $P$ -value = 0.02;  $\beta = 0.28$ ) (Table 2). We also observed this eQTL effect in multiple tissues from GTEx including thyroid, subcutaneous adipose, and whole blood (**Supplementary Table 7**). The two SNPs (rs876493-A (*PGAP3*) and rs3124737-G (*CASP7*) supported by eQTL were not identified or in LD with signals from the recent GWAS meta-analysis and remained statistically significant after exclusion of the new GWAS regions.<sup>8</sup>

## Discussion

We identified fourteen pathways and gene sets associated with PDAC, five of which met the Bonferroni correction for multiple testing. The strongest pathways associated with PDAC included genes involved in susceptibility to MODY, pancreatic beta cell

development, cardiac hypertrophy, breast cancer chr17q11-q21 amplicon, and a network of genes correlated with *ATM* gene expression.

Our PDAC associations for the MODY and pancreatic beta cell development pathways add evidence and reinforce previous epidemiologic findings based on candidate genes, pleiotropy, and GWAS approaches<sup>5,7,8,10,20–22</sup>. The genes in these pathways are important components for transcriptional networks governing embryonic pancreatic development, differentiation and pancreatic homeostasis<sup>23,24</sup>. MODY accounts for 2% of all diabetes and is caused by genetic mutations that affect islet beta-cell function.<sup>25</sup> We found no evidence that the variants linked to the genes in these pathways act as eQTLs in normal adult pancreas tissues. However, functional annotation showed that rs2244608-G (*HNF1A*) maps to an active transcription start site (TSS) in normal pancreas and islet cell tissue. *PAX4* identified in the MODY pathway has not previously been implicated in PDAC. *PAX4* is essential for islet development and adult  $\beta$ -cells survival and expansion.<sup>24</sup> Mutations and germline polymorphisms in *PAX4* are associated with Type 1 and Type 2 diabetes and MODY type 9.<sup>25</sup>

The *EDNRA* (endothelin receptor type A) and *AGT* (angiotensinogen) genes were the top genes in the *EGF* cardiac pathway, a pathway which has not previously been implicated in PDAC susceptibility. This pathway describes cardiac hypertrophy (thickening of the heart muscle) through activation of the *EGFR* (epidermal growth factor receptor) by GPCRs (G protein-coupled receptors). GPCRs transactivate *EGFR* in numerous cell types and cancers, resulting in downstream activation of biological processes.<sup>26,27</sup> *EDNRA* is a GPCR for endothelin (*ET-1*), a potent vasoconstrictor that may play a role in obesity and insulin resistance.<sup>28</sup> Overexpression of *EDNRA* has been associated with many cancers.<sup>29–32</sup> Inhibition of



*EDNRA* demonstrated anti-angiogenic and anti-proliferative activity in pancreatic cancer cell lines.<sup>33</sup> *AGT* encodes an angiotensin precursors, a potent vasoconstrictor involved in blood pressure regulation and a potential cell growth stimulator.<sup>34,35</sup> Variant rs1326889 in *AGT* (SNP  $P$ -value= $4.21 \times 10^{-7}$ ) is associated with renal cell carcinoma, with stronger associations observed for overweight/obese or hypertensive participants.<sup>34</sup>

The Nikolsky breast cancer chr17q11-q21 amplicon gene set represents genes that exhibit copy number alterations in 191 breast carcinomas.<sup>36</sup> The strongest associated SNP rs876493 ( $P$ -value=  $1.27 \times 10^{-6}$ ) and three additional correlated SNPs ( $r^2$  range 0.3-0.6) rs3764351, rs4795393 and rs12453507 were associated with decreased *PGAP3* (post GPI attachment to proteins 3) expression in both the GTEx and LTG pancreas tissue datasets and all four variants may represent the same signal (**Table 2**). *PGAP3*, also known as *PERLD1*, is an oncogene in breast and gastric cancer, and is frequently co-amplified with *ERBB2* and *CDK12*.<sup>37</sup> Co-silencing of *STARD3*, *GRB7*, *PSMD3*, *PGAP3* together with *ERBB2* led to an additive inhibition of cell viability and apoptosis *in vitro*.<sup>38</sup> The SNPs rs876493 and rs3764351 were also present in the Pujana *ATM* PCC network gene set. Our observed PDAC association for this breast cancer derived gene set may suggest common genetic susceptibility for both cancers.

The Pujana *ATM* PCC network gene set is based on gene expression integrated with functional genomic and proteomic data from human tissues and cell lines to classify networks associated with *ATM*.<sup>39</sup> Numerous genes across multiple chromosomes contributed to the statistical significance of this gene set with PDAC, including but not limited to the MODY genes *HNF1A*, *HNF1B*, *HNF4G*, and *PAX4*. The *ATM* protein is a serine/threonine kinase involved in repair of DNA double-

strand breaks.<sup>40</sup> Mutations in *ATM* are responsible for ataxia-telangiectasia.<sup>40</sup> Germline mutations in *ATM* are known to be associated with 2-3% of familial PDAC<sup>41–43</sup> and have recently been found in a case series of sporadic PDAC patients.<sup>44</sup> Our analysis identified rs7859034 (*SMC2*) (SNP  $P$ -value= $3.07 \times 10^{-7}$ ) as top signal in this gene set. *SMC2* is a central component of the condensin complex required for converting interphase chromatin into mitotic-like condensed chromosomes. *SMC2* in cooperation with *MYCN* can transcriptionally regulate DNA damage response genes.<sup>45</sup> In this gene set, we additionally identified eQTL and functional annotation evidence for variants in the *CASP7* gene (rs3124737, SNP  $P$ -value= $3.329 \times 10^{-5}$ ) in pancreas tissue. *CASP7* is critical in apoptosis induction, acts as a candidate for susceptibility to insulin-dependent diabetes; inactivating mutations in *CASP7* have been reported to contribute to the pathogenesis of some human solid cancers.<sup>46</sup> This gene set represents a biologic network not previously considered for sporadic PDAC that should be further researched and could have clinical application for classifying those at high risk.

Four of the 5 Bonferroni statistically significant pathways or gene sets that we observed contained recently published GWAS signal regions.<sup>8</sup> When we excluded these regions, the Nikolsky chr17q11-q21 gene set remained Bonferroni statistically significant and the others had  $P$ -values  $< 0.001$ . Although we do not have a replication study for our analysis, the fact that the four SNPs in these regions replicated in the meta-analysis GWAS study,<sup>8</sup> adds to the validity of our pathway findings. Klein *et al.*<sup>8</sup> also identified four suggestive variants, rs6537481 (*EDNRA*), rs2417487 (*SMC2*), rs1182933 (*HNF1A*) and rs6073450 (*HNF4A*) that did not reach genome-wide statistical significance however, were in LD with signals (or

the same SNP (*EDNRA*) which contributed to the biologically relevant pathways and gene sets of multiple smaller association signals observed in our study.

Strengths of our study are its large sample size for PDAC and agnostic pathway and gene set-based statistical approach using GWAS data. Combined with a sophisticated statistical method, sARTP maximized our ability for detecting genetic associations that would not be discovered by the single-marker analysis conducted in conventional GWAS. The sARTP method also uses GWAS summary data, which facilitates consortia collaboration in sharing data for large-scale pathway analyses. We excluded reported GWAS SNPs, signals with  $P$ -value threshold  $< 5 \times 10^{-8}$  and regions within  $\pm 500$  kb to identify genetic contributions to PDAC susceptibility beyond the traditional GWAS threshold. The strongest contributing genes and selected SNPs observed within the statistically significant pathways and gene sets in our study may be identified in future GWAS with larger sample sizes.

Limitations of our study include the LD filtering threshold of  $r^2 > 0.81$  used to exclude highly correlated SNPs, meaning potential variants in LD ( $r^2 < 0.81$ ), could be selected for a gene that represents one signal. However, the sARTP method identifies SNPs and genes contributing the most to the overall pathway associations in a data-driven manner which may help with biologically meaningful result interpretation and has proven to be a powerful and effective strategy to analyze pathways.<sup>11</sup> An additional limitation may be the distance (kb) used to map SNPs to genes. Although, there is no agreed exact distance to assign SNPs to their relevant genes<sup>47</sup>, it is known that some genetic variants can affect RNA expression through *cis* or *trans* mechanisms.<sup>48</sup> In our study, we mapped SNPs 20 kb upstream and downstream of each gene in order to identify candidate SNPs that may play a regulatory role in gene expression. We and others have previously used this

distance in annotating SNPs-genes in pathway analysis,<sup>11</sup> as studies have shown functional variants are located approximately 16-20 kb within transcription start sites.<sup>47-49</sup> Ultimately, approaches using causal SNPs based on chromatin interactions, *cis* or *trans*-eQTL functional data for assigning SNPs to genes will increase the precision of the associations and understanding of the biology beyond the functional annotations we performed using publicly available data and eQTL analyses in two normal pancreas tissue independent datasets.

In conclusion, translating GWAS data into biologically relevant pathways and gene sets expands our knowledge of the potential mechanisms underlying PDAC carcinogenesis, as well as providing evidence for the future development of clinically-relevant multi-genic predictors for identifying individuals at high risk. Further population, clinical and laboratory research is needed to confirm our findings. Strategies to accelerate functional biological follow-up may include replication, fine mapping, experimental studies such as whole transcriptomic sequencing, reporter assays and DNA methylation/epigenetic regulations on gene expression<sup>50</sup> to fully understand the biology and functional nature of the loci contributing to the pathways and gene sets associated with PDAC.

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**Table 1: Pathways and gene sets associated with risk of pancreatic ductal adenocarcinoma (false discovery rate, FDR < 0.05)\***

Gene set	Pathway Description	Pathway source	No. of Genes	No. of SNPs	P-value†	FDR	Genes contributing to the association of pathway and PDAC development
Maturity onset diabetes of the young‡	MODY, is a monogenic form of type II diabetes. Mutations of MODY genes lead to dysregulation of genes involved in pancreatic islet development and metabolism.	KEGG	23	1448	5.10x10 <sup>-7</sup>	0.002	<i>HNF1A, HNF1B, HNF4G, HNF4A, PAX4</i>
Regulation of Beta cell development‡	Genes involved in Regulation of beta-cell development	REACTOME	28	2057	1.92x10 <sup>-6</sup>	0.003	<i>HNF1A, HNF1B, HNF4G, HNF4A</i>
Breast Cancer chr17q11-q21 amplicon‡,	Genes within amplicon chr17q11-q21 identified in a copy number alterations study of 191 breast tumor samples.	NIKOLSKY	131	3320	2.00x10 <sup>-6</sup>	0.003	<i>PGAP3, TCAP, ERBB2, PNMT, STARD3, HNF1B, IGFBP4, TNS4, MED24, THRA, FBXL20, STAC2, CSF3, CDK12, PPP1R1B, NEUROD2, PSMD3, RPL19, CRYBA1, CACNB1, CCR7, IKZF3, SGK494, TMEM98, GSDMB, C17orf63, ZBP2, FOXN1, MIEN1, SEZ6, ERAL1, PIPOX, GRB7, ORMDL3, TIAF1, FLOT2, SPAG5, SLC13A2</i>
Role of EGF Receptor Transactivation by GPCRs in Cardiac Hypertrophy‡	Genes participating in cardiac hypertrophy through activation of the <i>EGF</i> by GPCRs	BIOCARTA	17	2133	3.79x10 <sup>-6</sup>	0.004	<i>EDNRA, AGT</i>
ATM PCC NETWORK‡	Gene network transcripts whose expression positively correlated ATM in normal tissues	PUJANA	1350	77404	1.25x10 <sup>-5</sup>	0.01	<i>SMC2, PNMT, HNF1B, HNF1A, ACTR2, GRP, THRA, HNF4G, TAB1, HEXA, MED1, HIPK3, GHRH, CASP7, MED6, TPP2, KHDRBS2, FCHSD2, OIP5, CSF3, PHOX2B, GRIA1, HNRNPL, HNRNPAB, PMS2P1, PFKFB4, GSR, AOC2, MAPK8, BCL2L11, E2F4, PFDN6, NPHP1, PCF11, LDHB, BTF3, SIKE1, PAX4, NMI, TMEM123, ANGEL1, RPL19, PIBF1, POP4, PSMD3, USP19, GPR3, SP2, CD47, RPL30, KIF20B,</i>

Developmental biology	Genes involved in the process of developmental biology including processes of transcriptional regulation and differentiation.	REACTOME	367	37631	1.40x10 <sup>-5</sup>	0.01	<i>BRCA1, NSL1, SNRNP27, BAZ1A, TAF5, ITGBL1, NR2C1, ATXN3, TACR3, TEO2, TRA2B, NAE1, FBL, USP4, CDC123, FAM53B, CR1, PDAP1, CD52, TNFAIP8, F2RL3, ATP5G2, CAMK4, RHOH, PPIP5K2, CHAF1B, XRCC2, KIAA0922, CDH8, GPR171, RAB30, SGPL1, PPM1A, ARID1A, PAICS, DRD1, MR1, SPI1, CYP2C18, TTYH2, ROBO1, POLE, ELF1, IFI44, ERBB2, HNF1A, HNF1B, MED24, HNF4G, MED1, MED6, HNF4A, SRGAP1, NCOR1, EZR, EVL, ROCK1P1, RHOC, HFE2, SMA3E, FES, FURIN, UNC5A, CACNB1, NR2F2, MYL8P, PLXND1, TCF4, MET, KIAA1598, MED21, SDCBP, MED13L, CACNA1I, DPYSL4, ROBO1, HSP90AB1</i>
Response to prostaglandin E2 down	Genes down-regulated in CD4+ T lymphocytes after stimulation with prostaglandin E2.	CHEMNITZ	365	36942	1.93x10 <sup>-5</sup>	0.01	<i>ARL6IP6, PIK3C3, DEGS2, NUS1, ZFP3, WDR48, CNKSR3, PRR15L, BBS4, EZR, LMO7, ANXA11, PKHD1, GORASP1, CD200, FAM60A, OSBPL10, TXNIP, RND2, GALNT11, CHDH, RBMS2, TNFSF13, C19orf46, SENP3, IFT140, CNTN4, MET, FUT3, SLC35E2B, CDKN1A, MITF, PPM1A, CRAMP1L</i>
Breast Cancer ERBB2 UP	Genes up-regulated in the erbb2 subtype of breast cancer samples, characterized by higher expression of ERBB2	SMID	139	9279	3.89x10 <sup>-5</sup>	0.020	<i>PNMT, PGAP3, ERBB2, STARD3, MED24, CDK12, MED1, GRB7, ELL2, PSMD3, CAMP, LBP, DUSP6, GSDMB, FUT3, SCGB2A2</i>
Breast cancer cluster8	Cluster 8: selected ERBB2 amplicon genes clustered together across breast cancer samples	FARMER	7	159	4.40x10 <sup>-5</sup>	0.02	<i>PNMT, PGAP3, ERBB2, CDK12, MED1, GRB7, GSDMB</i>
Regulation of gene expression in beta cells	Transcriptional network controlling pancreatic development and beta cell function.	REACTOME	19	1244	4.80x10 <sup>-5</sup>	0.02	<i>HNF1A, HNF4G, HNF4A</i>

Breast cancer basal down	Genes down-regulated in basal subtype of breast cancer samples	SMID	668	60051	$6.14 \times 10^{-5}$	0.02	<i>PGAP3, IGFBP4, PNMT, STARD3, ERBB2, GRP, MED24, CAPN9, CDK12, CXXC4, BBS4, EVL, SLC19A2, KRT8, CANT1, BAI2, IFT140, CAMP, ITGA7, HPGD, SLC48A1, CSF3R, C9orf116, RAPGEF3, ITGBL1, TMEM143, UBA7, C5orf30, RARA, RHOH, MED13L, RAB27B, SCGB2A2, VAV3, SIX1, CNR1</i>
PGR positive meningioma down	Genes down-regulated in meningioma samples positive for PGR compared to those without the receptor	CLAUS	12	1195	$8.32 \times 10^{-5}$	0.03	<i>EDNRA, HEG1</i>
Prostate Cancer HCP with H3K27ME3	Genes with high histone H3 trimethylation mark at K27 (H3K27me3) in PC3 cells (prostate cancer) by ChIP-chip assay on a 12K CpG array (high-CpG-density promoters, HCP)	KONDO	93	10820	$9.39 \times 10^{-5}$	0.03	<i>HNF1B, NKX2-3, SAMD11, LINC00273, LIMCH1, KIAA1109, TRIM16, ZNF438, RNASE11</i>
Breast Cancer copy no. up	Genes from common regions of gains observed in more than 15% of 148 primary breast cancer tumors	CHIN	25	1778	$1.71 \times 10^{-4}$	0.05	<i>ERBB2, THRA, MED1, CYP24A1</i>

\*Pathway-based meta-analysis used the sARTP method which combines SNP-level association statistics across SNPs in a gene or a pathway. Each statistically significant contributing gene includes up to two SNPs. The sARTP method adjusts for the size of genes (i.e., number of SNPs in a gene) and the size of pathways (i.e., number of genes in a pathway) through a resampling procedure. sARTP, summary based adaptive rank truncated product; SNP, single nucleotide polymorphism; FDR, false discovery rate; PDAC, pancreatic ductal adenocarcinoma; EGF, epithelial growth factor; GPCR, G protein-coupled receptor; PGR, Progesterone; HCP, high CpG-density promoters;

† The pathway-level association *P*-values were estimated from the resampled null distribution through up to one hundred million resampling steps as described in methods section. All statistical tests were two-sided.

‡ Statistical significant pathways/gene sets at Bonferroni adjusted  $\alpha$ -level of *P*-value =  $1.3 \times 10^{-5}$  (0.05/3795)

**Table 2: Expression quantitative trait loci (eQTLs) for pathway SNPs in normal pancreatic tissue from GTEx and an independent replication set**

Pathway Gene	Chr	R <sup>2</sup> with rs876493*	SNP	eQTL gene	GTEx pancreas (n=220)		Independent LTG pancreas (n=95)	
					P-Value†	Effect size ‡	P-Value†	Effect size ‡
<i>ERBB2, PGAP3</i>	17	N/A	rs876493 §,	<i>PGAP3</i>	3.9x10 <sup>-7</sup>	-0.24	1.16x10 <sup>-5</sup>	-0.43
<i>TCAP, STARD3, PNMT</i>	17	0.636	rs3764351 §,	<i>PGAP3</i>	5.7x10 <sup>-9</sup>	-0.30	9.70x10 <sup>-5</sup>	-0.47
<i>GRB7, MIEN1</i>	17	0.3508	rs4795393 §	<i>PGAP3</i>	4.0x10 <sup>-10</sup>	-0.30	3.83x10 <sup>-5</sup>	-0.45
<i>GSDMB, ZBP2</i>	17	0.3046	rs12453507§	<i>PGAP3</i>	2.7x10 <sup>-7</sup>	-0.22	2.80x10 <sup>-5</sup>	-0.45
<i>CASP7</i>	10	-	rs3124737	<i>CASP7</i>	2.5x10 <sup>-8</sup>	0.42	0.02	0.28

\*Linkage Disequilibrium r<sup>2</sup> values are derived from LDLink EUR population data. Chr, chromosome; SNP, single nucleotide polymorphism; eQTL, expression quantitative trait loci; LTG, Laboratory of Translational Genomics; FDR, false discovery rate; TSS, transcription start site.

† eQTL in pancreas FDR ( $\leq 0.05$ ) using +/- 1 Mb *cis*-window around TSS. Statistical test was two-sided.

‡ eQTL directional effect for risk allele.

§ SNP in the Nikolsky breast cancer chr17 amplicon gene set

|| SNP in the Pujana *ATM* PCC network gene set

## Figure legends

**Figure 1: Genes associated with PDAC in the KEGG maturity onset diabetes of the young (small circles,  $P$ -value= $5.10 \times 10^{-7}$ ) and Reactome regulation of beta cell development (large circles,  $P$ -value= $1.92 \times 10^{-6}$ ).** Red highlighted circles are genes selected by sARTP as contributing to the most to each pathway-PDAC association. *HNF1A*, *HNF1B*, *HNF4G* and *HNF4A* contributed to both pathways (gene  $P$ -value  $\leq 0.006$ ) and *PAX4* (gene  $P$ -value= $0.02$  contributed to the MODY pathway. All statistical tests were two-sided.

PDAC, pancreatic ductal adenocarcinoma; sARTP, summary based adaptive rank truncated product.

**Figure 2: Genes associated with PDAC in the Biocarta Role of EGF receptor transactivation by GPCRs in cardiac hypertrophy ( $P$ -value =  $3.79 \times 10^{-6}$ ).** *EDNRA* and *AGT* genes (highlighted in red,  $P$ -value  $< 9.21 \times 10^{-5}$ ) were selected by sARTP as contributing the most to the pathway-PDAC association. All statistical tests were two-sided.

PDAC, pancreatic ductal adenocarcinoma; EGF, epithelial growth factor; GPCRs, G protein-coupled receptors; sARTP, summary based adaptive rank truncated product.

**Figure 3: Genes associated with PDAC in the Nikolsky breast cancer chr17q11-q21 amplicon gene set ( $P$ -value= $2.00 \times 10^{-6}$ ).** 36 genes (red circles,  $P$ -value  $< 0.04$ ) were selected by sARTP as contributing the most to the gene set-PDAC association. All statistical tests were two-sided.

PDAC, pancreatic ductal adenocarcinoma; sARTP, summary based adaptive rank truncated product.



**Figure 4: Genes associated with PDAC in the Pujana *ATM* Pearson correlation coefficient (PCC) network gene set ( $P\text{-value}=1.25\times 10^{-6}$ ). 67 genes (red circles,  $P\text{-value} \leq 0.02$ ) were selected by sARTP as contributing the most to the gene set-PDAC association. All statistical tests were two-sided.**

PDAC, pancreatic ductal adenocarcinoma; sARTP, summary based adaptive rank truncated product.