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Relating Structure to Evolution in Class II Viral Membrane

Fusion Proteins

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Short title: *Structure & Evolution of Class II Viral Fusion Proteins*

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4 **Abstract**
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6 Enveloped viruses must fuse their lipid membrane to a cellular membrane to deliver the viral
7 genome into the cytoplasm for replication. Viral envelope proteins catalyze this critical
8 membrane fusion event. They fall into at least three distinct structural classes. Class II fusion
9 proteins have a conserved three-domain architecture and are found in many important viral
10 pathogens. Until 2013, class II proteins had only been found in flaviviruses and alphaviruses.
11 However, in 2013 a class II fusion protein was discovered in the unrelated phlebovirus genus,
12 and two unexpectedly divergent envelope proteins were identified in families that also contain
13 prototypical class II proteins. The structural relationships of newly identified class II proteins,
14 reviewed herein, shift the paradigm for how these proteins evolved.
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28 **Introduction**
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31 Viral envelope proteins are the principal effectors of virus assembly and cell entry. Enveloped
32 viruses must fuse their lipid membrane with a host-cell membrane in order to deliver their
33 genome into the cytoplasm for replication. This membrane fusion event is catalyzed by viral
34 envelope proteins. Viruses also rely on their envelope proteins to recognize host cells by
35 binding cellular receptors. Envelope proteins shield viruses from the immune system and bear
36 most of the neutralizing antibody epitopes against any given virus. The envelope proteins of
37 many viruses form a rigid outer structural shell, which usually takes the form of a quasi-spherical
38 icosahedral assembly.
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49 Viral membrane fusion proteins fall into at least three distinct structural classes. The
50 influenza virus hemagglutinin (HA) is the prototype of “class I” fusion proteins [1], which
51 encompass those of other orthomyxo- and paramyxoviruses, retroviruses, filoviruses, and
52 coronaviruses [2]. The unifying structural feature of class I fusion proteins is a core consisting of
53 three bundled α -helices [3,4]. Class II fusion proteins are a structurally unrelated class found in
54 flaviviruses, alphaviruses, and most recently in rubella virus (sole member of the rubivirus
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4 genus) and Rift Valley fever virus (from the phlebovirus genus) [4, 5**, 6**]. Class II proteins
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6 share a three-domain architecture consisting almost entirely of β -strands, with tightly folded
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8 “fusion loops” in the central domain serving as the anchor in the cellular membrane targeted for
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10 fusion (Fig. 1). Class III fusion proteins, found in herpesviruses, rhabdoviruses and
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12 baculoviruses, possess structural features from both class I proteins (a core three-helix bundle)
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14 and from class II proteins (a central β -stranded fusion domain) [7].
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18 Until recently, class II proteins had only been found in flaviviruses and alphaviruses (in
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20 the *Flaviviridae* and *Togaviridae* families, respectively), which share many key characteristics.
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22 Indeed viruses from these two genera all have positive-stranded RNA genomes of 11-12
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24 kilobases with similar gene organizations, icosahedral outer protein shells with a diameter of
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26 approximately 500 nm, and lifecycles that alternate between vertebrates and arthropod vectors
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28 [8]. The most plausible evolutionary model had thus been one in which flaviviruses and
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30 alphaviruses evolved from a common ancestor virus. However, a class II fusion protein was
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32 recently discovered in the unrelated *Bunyaviridae* family [5**]. Conversely, divergent fusion
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34 protein architectures have emerged within the *Flaviviridae* and *Togaviridae* families in which the
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36 prototypical class II proteins were first identified [6**,9**,10**]. Together, these recent
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38 discoveries shift the evolutionary paradigm from a divergent model (common ancestor virus), to
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40 a model in which viruses with class II fusion proteins evolved independently by borrowing from a
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42 common (or related) ancestral class II cellular membrane fusion protein.
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49 **Unifying structural features of class II envelope proteins**

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51 The class II fusion protein fold was first discovered in glycoprotein E from tick-borne encephalitis
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53 virus, a member of the *Flaviviridae* family [11]. The E proteins from other flaviviruses were
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55 subsequently found to have very similar structures [12-18], and the E1 proteins from three
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57 alphaviruses (Semliki Forest, Sindbis and Chikungunya viruses) have the same fold despite a
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59 lack of sequence similarity to flavivirus E proteins (Fig. 1) [19-21]. The envelope proteins from
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4 flavi- and alphaviruses assemble into icosahedral outer shells, but the mode of assembly differs
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6 in the two families, with alphaviruses forming canonical ($T = 4$) quasi-equivalent assemblies
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8 [19,22*,23*] and flaviviruses forming unusual non-equivalent icosahedral assemblies [24-26*].
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10 Class II proteins are anchored in the viral membrane via a C-terminal transmembrane anchor,
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12 which is linked by a flexible “stem” region to the ectodomain (Fig. 2). The ectodomain consists
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14 of three domains: a β -barrel (domain I); an elongated, mostly β -stranded domain bearing a
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16 tightly folded “fusion loop” that inserts into the target cellular membrane (domain II); and an IgC-
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18 like module that bears the epitopes responsible for cellular tropism and efficient antibody
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20 neutralization (domain III) [11,27-29]. Remarkably, despite evidence that domain III is directly
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22 involved in cellular attachment of flaviviruses [30,31], no receptors that bind to class II proteins
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24 in flavi- or alphaviruses have yet been identified. However, protein-glycan interactions involving
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26 class II glycoproteins have been shown to contribute to attachment (but not endocytosis [32,33])
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28 of certain flaviviruses in a subset of host-cell types. These interactions involve the C-type lectins
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30 DC-SIGN and L-SIGN [15,34-36], mannose receptor [37], and cell-surface heparan sulfate [38].
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32 In alphaviruses, it is the non-fusogenic E2 spike protein that mediates receptor binding but
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34 interestingly E2 also recognizes DC-SIGN, L-SIGN and heparan sulfate [39,40].
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40 Crystal structures of various class II envelope proteins before and after the
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42 conformational change that catalyzes membrane fusion provide a molecular outline of the fusion
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44 mechanism (Fig. 1) [11-15,19-21,41-45]. Complementing these pre- and postfusion structures,
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46 structures thought to represent fusion intermediates provide invaluable insights on the steps
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48 required for fusion [5**,20,45,46]. In the mechanism that is emerging (Fig. 2), the fusion protein
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50 responds to the reduced pH of an endosomal compartment with a motion that breaks most or all
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52 of the intersubunit contacts in the outer protein shell, exposing a hydrophobic “fusion loop”,
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54 which spontaneously inserts into the outer bilayer leaflet of the host-cell membrane
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56 [5**,20,41,47,48]. The fusion protein then assembles into folds back on itself, directing its
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58 transmembrane anchor towards the fusion loop. This fold-back forces the host-cell membrane
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4 (held by the fusion loop) and the viral membrane (held by the transmembrane anchor) against
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6 each other, resulting in fusion of the two membranes. The ectodomains of class II fusion
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8 proteins are either monomers or dimers in the prefusion conformation, but always form trimers
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10 in the postfusion conformation (Fig. 1). The mechanism and structural basis of membrane fusion
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12 are conserved in all class II proteins examined to date. Indeed the overall topology of dual
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14 membrane anchors being driven towards each other by a fold-back of the fusogen appears to
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16 be conserved in all viral fusion proteins (reviewed in refs. [3,4]).
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20 Until 2013, class II proteins had only been found in flaviviruses and alphaviruses, but
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22 recent studies suggest that the class II fold is more widely prevalent than previously anticipated.
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24 Indeed, Dessau and Modis showed that glycoprotein C (Gc) from Rift Valley fever virus (RVFV)
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26 is a class II fusion protein [5**]. RVFV belongs to the phlebovirus genus in the *Bunyaviridae*
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28 family, which is unrelated to flavi- or alphaviruses. Moreover, rubella virus E1 was shown to
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30 have a class II fold, albeit with a more divergent structure than expected for a virus in the same
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32 *Togaviridae* family as alphaviruses [6**]. However, despite the presence of some novel
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34 structural features in both RVFV Gc and rubella E1, the two proteins still possess each of the
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36 core structural features (described earlier in this section) that unify class II fusion proteins (Figs.
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38 1, 2). These parallels even extend to receptor binding in the case of phleboviruses, since RVFV
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40 and Uukuniemi virus were recently shown to utilize DC-SIGN as a receptor [49]. In the case of
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42 rubella, myelin oligodendrocyte glycoprotein (MOG) were recently identified as a putative
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44 receptor for E1 [50], making MOG the first receptor reported to bind to a class II protein via
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46 protein-protein interactions.
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51 52 53 **Unexpected similarities in class II proteins from flavi- and phleboviruses**

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55 The identification in 2013 of a class II fusion protein in RVFV [5**], although it had been
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57 predicted by amino acid analysis [51], was nevertheless unexpected because phleboviruses
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59 such as RVFV do not have any of the key characteristics shared by flavi- and alphaviruses.
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4 Phleboviruses have segmented negative- and ambi-sense RNA genomes, undergo membrane
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6 fusion much later in late endosomes [52], and their envelope proteins form much larger $T = 12$
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8 icosahedral lattices [53,54] with a novel mode of assembly [5**]. The structure of RVFV Gc is
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10 strikingly similar to flavivirus E structures (especially dengue E), more similar in fact than flavi-
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12 and alphavirus envelope proteins are to each other. The most notable similarity is that Gc forms
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14 dimers that have the same head-to-tail configuration as flavivirus E dimers, with the fusion loop
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16 buried at the dimer interface (Fig. 3). This is particularly surprising given that the E dimer is the
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18 building block of the flavivirus non-equivalent “herringbone” assembly, which is very distinct
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20 from the $T = 12$ phlebovirus assembly, although interestingly a non-equivalent configuration has
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22 been proposed for the latter [5**]. Another noteworthy similarity between Gc and E is the fusion
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24 loop, which has the same tightly folded glycine-rich structure in the two proteins (Fig. 3).
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26 Together, the structural similarities of Gc and E are strongly suggestive of some sort of
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28 evolutionary link between the *Bunyaviridae* and *Flaviviridae* families.
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35 **Divergence of the class II fold within the *Togaviridae* family**

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37 In another recent advance, the E1 protein of rubella virus was found to have the most divergent
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39 class II fold identified so far. This was unexpected given that rubella virus belong to the same
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41 *Togaviridae* family as alphaviruses. The most notable differences of the rubella E1 structure,
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43 which was crystallized in the trimeric postfusion conformation, are in domain II (Fig. 1). Domain
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45 II is larger due to three insertions. Instead of a single 10-15-amino acid fusion loop, rubella E1
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47 has two fusion loops that project a total of 15 aromatic side chains (mainly tyrosines) for
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49 interaction the cellular membrane (Fig. 3) [6**]. A metal ion (Na^+ or Ca^{2+}) is coordinated
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51 between the two fusion loops and bound Ca^{2+} allows rubella E1 to bind lipid membranes a
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53 neutral pH [6**]. There are no metal sites in the other class II fusion proteins, or in the fusion
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55 motifs of any other viral fusion protein reported to date. Another distinctive feature of the rubella
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57 E1 structure is that domain III is swapped in the E1 trimer, occupying the position of domain III
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4 from the neighboring subunit in the flavi- and alphavirus postfusion E trimers. Additionally, the
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6 rubella E1 structure includes the stem (Fig. 1), which connects domain III to the transmembrane
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8 anchor and is either absent or mostly disordered in the structures of other class II proteins.
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10 Lastly, rubella virus particles exhibit a large degree of pleomorphism [55*], making rubella E1 the
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12 only class II fusion protein known not to form an icosahedral assembly.
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15 16 17 **A new envelope protein fold in the *Flaviviridae* family**

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19 The *Flaviviridae* family contains four genera: flavivirus, pestivirus, pegivirus (GB viruses) and
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21 hepaciviruses (hepatitis C viruses) [8]. Until 2013, envelope protein structures were available
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23 only from the flavivirus genus. Envelope proteins from pesti- and hepaciviruses had been
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25 predicted to have class II folds based on the disulfide bonding pattern [56] and on amino acid
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27 sequence analyses of the E1 and E2 envelope proteins [57]. It was therefore surprising when
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29 two groups discovered in 2013 that the larger envelope protein, E2, from the pestivirus BVDV
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31 (bovine viral diarrhea virus) is not a class II fusion protein. Instead BVDV E2 has a novel fold,
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33 suggesting that pestiviruses have a non-class II fusion machinery. Since E1, with its 174-amino
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35 acid ectodomain, is too small to be class II fusogen, the E2 structure appears to define a new
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37 structural class of fusion protein (Fig. 4) [9**,10**]. The structure of BVDV E2 provides an even
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39 more striking example than rubella E1 of how structurally divergent viral envelope proteins can
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41 be within a single virus family.
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48 49 **Evolutionary implications of the structural relationships between class II proteins**

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51 The discovery of a class II fusion protein in a phlebovirus [5**], in a virus family otherwise
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53 unrelated to flaviviruses and alphaviruses, reveals that the class II fold is more prevalent and
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55 more widely distributed across virus families than was previously anticipated. The striking
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57 structural similarity between the flavivirus E proteins and RVFV G- which extends to the mode of
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59 dimerization even though E and Gc dimers form different types of icosahedral lattices- is
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4 strongly suggestive of common evolutionary origin for certain envelope proteins within the
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6 *Bunyaviridae* and *Flaviviridae* families. But what is the nature of this link? The two virus families
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8 clearly differ in their genomic organization, coding strategies and outer protein shell assemblies
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10 (Fig. 4). In the light of these differences it is tempting to speculate that, rather than diverging
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12 from a common ancestor virus, class II fusion proteins may instead have evolved independently
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14 from a common (or related) and as yet unidentified ancestral cellular class II membrane fusion
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16 protein. The concept of independent transmission of class II fusion proteins from hosts to
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18 viruses is supported by the discovery that certain viruses within the same family with similar
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20 genomic organizations can have distinct fusion machineries. Indeed, pestiviruses have a non-
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22 class II fusion machinery distinct from that of flaviviruses even though the two genera are
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24 adjacent to each other in phylogenetic tree of the *Flaviviridae* family [9**]. Thus, although pesti-
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26 and flaviviruses may have evolved from a common ancestor virus, they evidently borrowed their
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28 fusion machineries from different sources. These could presumably be different host fusion
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30 proteins, but alternatively different virus species could conceivably have borrowed fusion
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32 proteins from each other during co-infections with multiple viruses. The conservation of an α -
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34 helical coiled coil architecture in class I viral proteins and in the SNARE family of intracellular
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36 vesicle fusion proteins provides a compelling precedent for the evolutionary transfer of a
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38 structural membrane fusion fold between host and virus during evolution. Although similarities
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40 between class I fusion proteins and SNAREs have long been recognized [58], the link was
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42 further strengthened by a recent study demonstrating that a paramyxovirus class I fusion protein
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44 resembles SNAREs in that it has α -helical transmembrane anchors in both membranes prior to
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46 fusion, with subsequent zippering of the coiled coils during fusion resulting in a bundle of helical
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48 hairpins that extends across the fused membrane [59*,60].
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57 Alphaviruses and flaviviruses seem to have undergone a more conservative evolution,
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59 despite belonging to different families. The discovery of a divergent class II fold in rubella virus
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4 within the same family as alphaviruses (*Togaviridae*), was therefore unexpected [6**]. Notably,
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6 the more canonical class II folds have all been found in viruses alternating between arthropod
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8 and vertebrate hosts, whereas rubella virus infects only humans. The structural conservation of
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10 class II proteins in viruses with vertebrate-arthropod lifecycles may reflect more stringent
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12 evolutionary restraints exerted on these viruses. Rubella virus, along with pesti- and
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within the same family as alphaviruses (*Togaviridae*), was therefore unexpected [6**]. Notably, the more canonical class II folds have all been found in viruses alternating between arthropod and vertebrate hosts, whereas rubella virus infects only humans. The structural conservation of class II proteins in viruses with vertebrate-arthropod lifecycles may reflect more stringent evolutionary restraints exerted on these viruses. Rubella virus, along with pesti- and hepaciviruses, each have a single vertebrate host with which they seem to have co-evolved more rapidly.

21 Together, the structural relationships that have emerged between envelope proteins
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23 across different virus families are consistent with an evolutionary model in which class II fusion
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25 proteins originate from an as yet unidentified set of ancestral class II membrane fusion proteins
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27 in the host. Moreover, fusion proteins appear to have been transferred as independent modules,
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29 implying that the class II membrane fusion fold may have been hijacked by different viruses at
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Together, the structural relationships that have emerged between envelope proteins across different virus families are consistent with an evolutionary model in which class II fusion proteins originate from an as yet unidentified set of ancestral class II membrane fusion proteins in the host. Moreover, fusion proteins appear to have been transferred as independent modules, implying that the class II membrane fusion fold may have been hijacked by different viruses at different times throughout evolution.

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13 **This study showed that the Gc envelope protein from Rift Valley fever virus (from the
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15 *Bunyaviridae* family) has a class II fold with striking resemblances to that of E from dengue
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17 and other flaviviruses, including a propensity to form head-to-tail dimers with a hydrophobic
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19 membrane anchor, or fusion loop buried at the dimer interface. RVFV Gc was the first class II
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21 protein identified in a virus family otherwise unrelated to flavi- and alphaviruses, suggesting
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23 that class II proteins may have been transferred as independent modules during evolution
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25 from a host or another virus.

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35 **This study showed that the E1 envelope protein from rubella virus has the most structurally
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37 divergent class II fold identified so far. This was unexpected because rubella virus is in the
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39 same *Togaviridae* family as alphaviruses, which have canonical class II proteins. Rubella E1
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41 is the first class II fusion protein to be identified in a virus that that does not alternate between
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43 vertebrate and arthropod hosts- rubella virus only infects humans. This suggests that the
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45 envelope proteins of viruses with both insect and vertebrate hosts may be subject to more
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9 **This study and the study by El Omari *et al.* [10**] were the first to show that the pestivirus
10 BVDV (bovine viral diarrhea virus) has a novel type of fusion machinery. This was
11 unexpected because pestiviruses belong to the same family as flaviviruses, which have
12 canonical class II fusion proteins. This supports the evolutionary model in which envelope
13 proteins can be transferred from the host (or another virus) as independent modules.
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26 fusion proteins. The authors show that the N-terminal fusion peptide of a paramyxovirus
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28 class I fusion protein forms a transmembrane α -helix after membrane insertion. This helix
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30 extends the helical coiled coil core of the fusion protein and contributes to the zippering of the
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32 coiled coils during fusion. In the trimeric postfusion conformation, the N- and C-terminal
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34 helices form a bundle that extends across the fused membrane. SNAREs also have N- and
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36 C-terminal transmembrane helices that contribute to the fusogenic zippering of helices. The
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38 additional parallels between class I proteins and SNAREs strengthen the case for an
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Figure Legends

Figure 1. Representative class II membrane fusion glycoproteins in their pre- and postfusion conformations. **(a)** The class II fold consists of three domains. A β -sandwich domain (red) organizes the structure; an elongated domain (yellow) bears a hydrophobic “fusion loop” (orange) at its tip, which serves as an anchor in the target cellular membrane; an Ig-like domain (blue) contains the structural determinants of cellular tropism and virulence, as well as most neutralizing antibody epitopes. The following viral fusion proteins are shown in their prefusion conformation: E from the flavivirus tick-borne encephalitis virus (TBEV) [11]; E1 from the alphavirus Semliki Forest virus (SFV) [19]; Gc from Rift Valley fever virus (RVFV), a phlebovirus from the *Bunyaviridae* family [5**]. **(b)** Class II proteins in their postfusion conformation. Shown here are TBEV E [42], SFV E1 [43] and E1 from rubella virus (RV) [6**]. Class II proteins are trimeric in the postfusion conformation, **(c)**. **(d)** Envelope protein E2 from bovine viral diarrhea virus (BVDV) has a novel fold despite being in the *Flaviviridae* family (genus pestivirus) [9**,10**].

Figure 2. Membrane fusion by class II envelope proteins. **(a)** The protein forms dimers in the outer protein shell of the virion. The “stem-anchor” (cyan) tethers the protein to the viral membrane. Gc from Rift Valley fever virus (RVFV) is shown as an example [5**]. **(b)** The protein responds to the reduced pH of an endosomal compartment with a hinge motion that exposes the hydrophobic fusion loop (orange). The fusion loop inserts into the cell membrane. A crystal structure of RVFV Gc proposed to correspond to this “prehairpin” intermediate is shown [5**]. **(c)** The protein then folds back on itself, directing the fusion loop towards the transmembrane anchor. The refolding energy bends the apposed membranes. Creation of additional trimer contacts between the stem-anchor and the ectodomain leads to fusion of the viral and cellular membranes. The postfusion conformation of dengue type 2 virus is shown [41].

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4 **Figure 3.** Conserved structural features in class II fusion proteins. **(a)** Gc from Rift Valley fever
5 virus (RVFV) crystallizes in a dimeric head-to-tail configuration [5**]. The Gc dimers are
6 strikingly similar to the flavivirus E dimers (dengue type 2 E shown here [12]). E dimers are the
7 building block of the icosahedral outer protein shell in flaviviruses [26]. **(b)** The fusion loop
8 serves as the anchor in the target cellular membrane during the fusion reaction (see Fig. 2). The
9 structure of the fusion loop is highly conserved in class II fusion proteins. Shown here are the
10 fusion loops of, from left to right, RVFV Gc [5**], Sindbis virus (SINV) E1 [20], West Nile virus
11 (WNV) E [13], and rubella virus (RV) E1 [6**].
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24 **Figure 4.** Structural relationships of viruses that contain class II fusion proteins. The class II fold
25 is highly conserved in flaviviruses, alphaviruses and phleboviruses, even though these viruses
26 differ in their genomic organization, coding strategies and outer protein shell assemblies. These
27 three genera have in common that they have lifecycles that alternate between vertebrate and
28 arthropod hosts. Rubella virus (RV) E1 has the most divergent class II fold even though rubella
29 belongs to the same family as alphaviruses (*Togaviridae*). Glycoprotein E2 from the pestivirus
30 bovine viral diarrhea virus has a novel fold even though pestiviruses belong to the same family
31 as flaviviruses (*Flaviviridae*) [9**,10**]. Rubella virus and pestiviruses, and their close relatives
32 the hepaciviruses, have in common that they infect strictly vertebrate hosts, and also that they
33 do not form rigid icosahedral outer protein shells. Thus, structural conservation in viral fusion
34 proteins does not correlate with overall phylogenetic relatedness. The virus particles shown
35 here are, clockwise from top right, dengue virus, Semliki Forest virus, RV, Rift Valley fever virus
36 and hepatitis C virus (HCV). The electron micrographs of RV [55*] and HCV [61] are not drawn
37 to scale with the particles in color. The phylogenetic tree is based on qualitative structural and
38 genetic relationships between envelope proteins and is not based on a quantitative phylogenetic
39 analysis.
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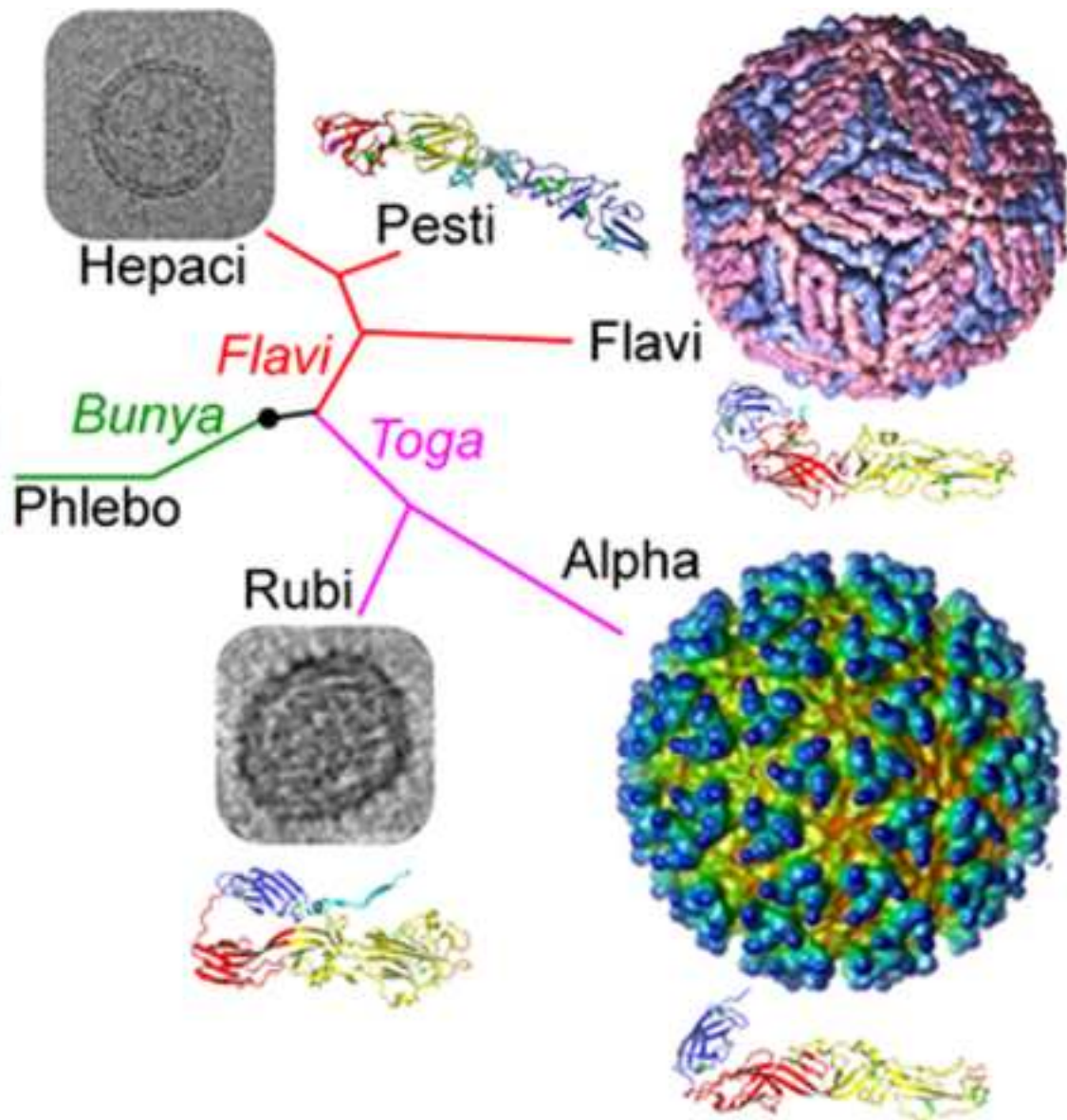
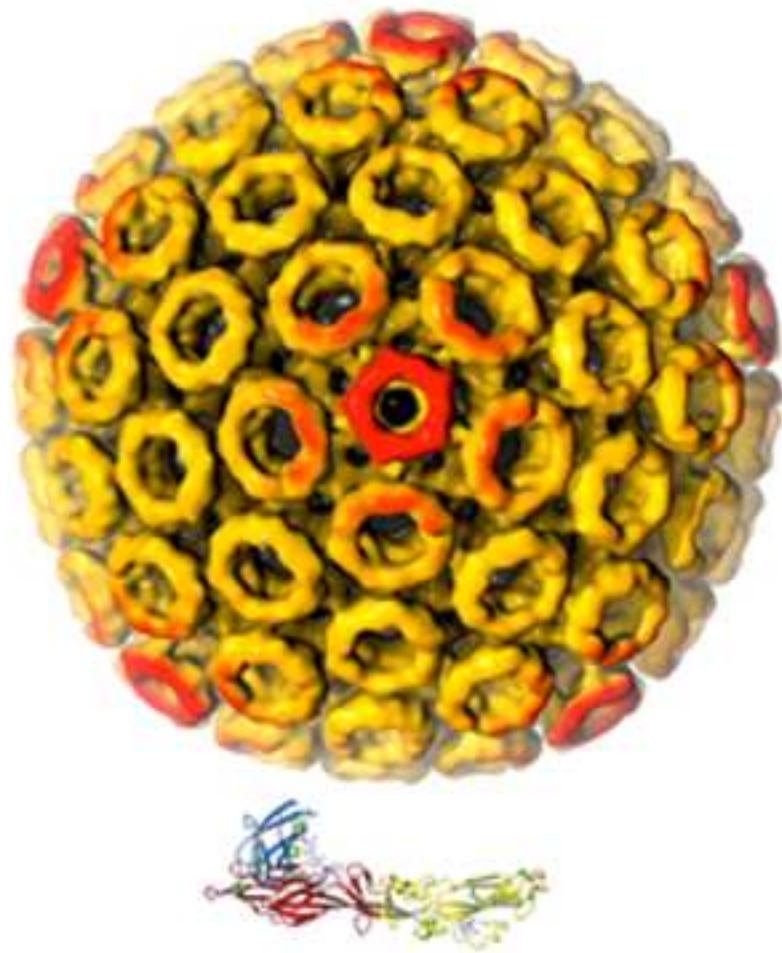


Figure 1
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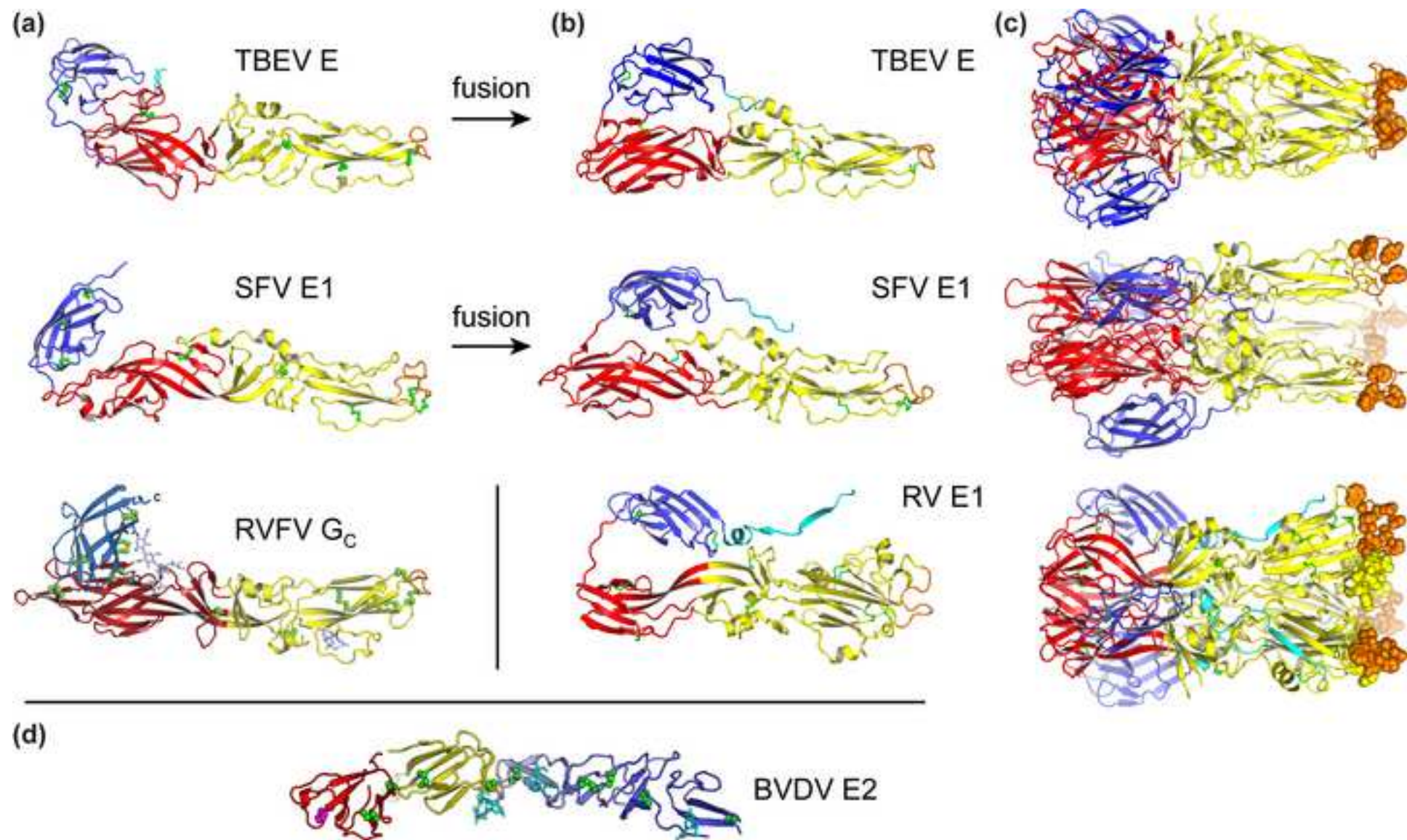


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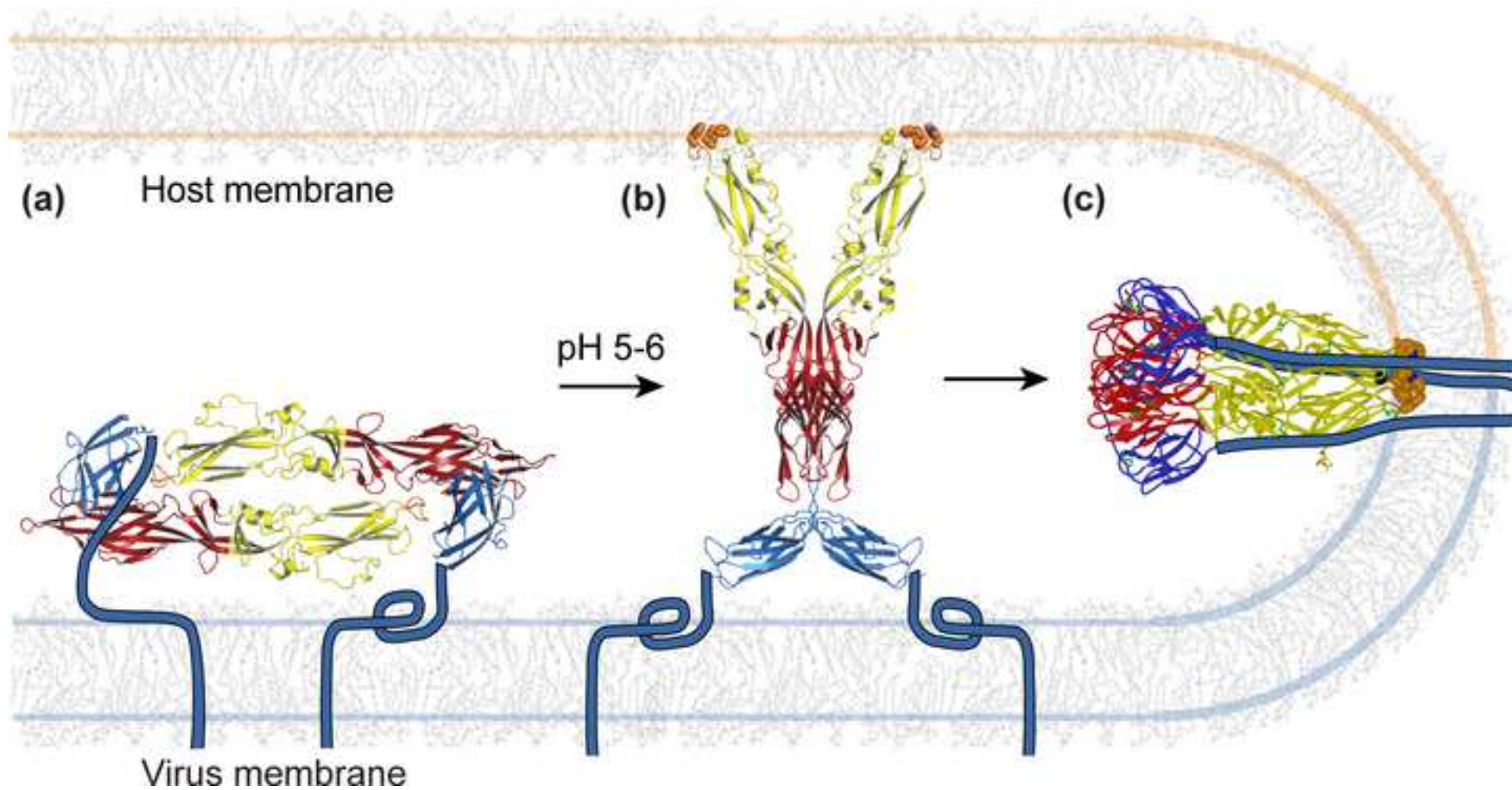


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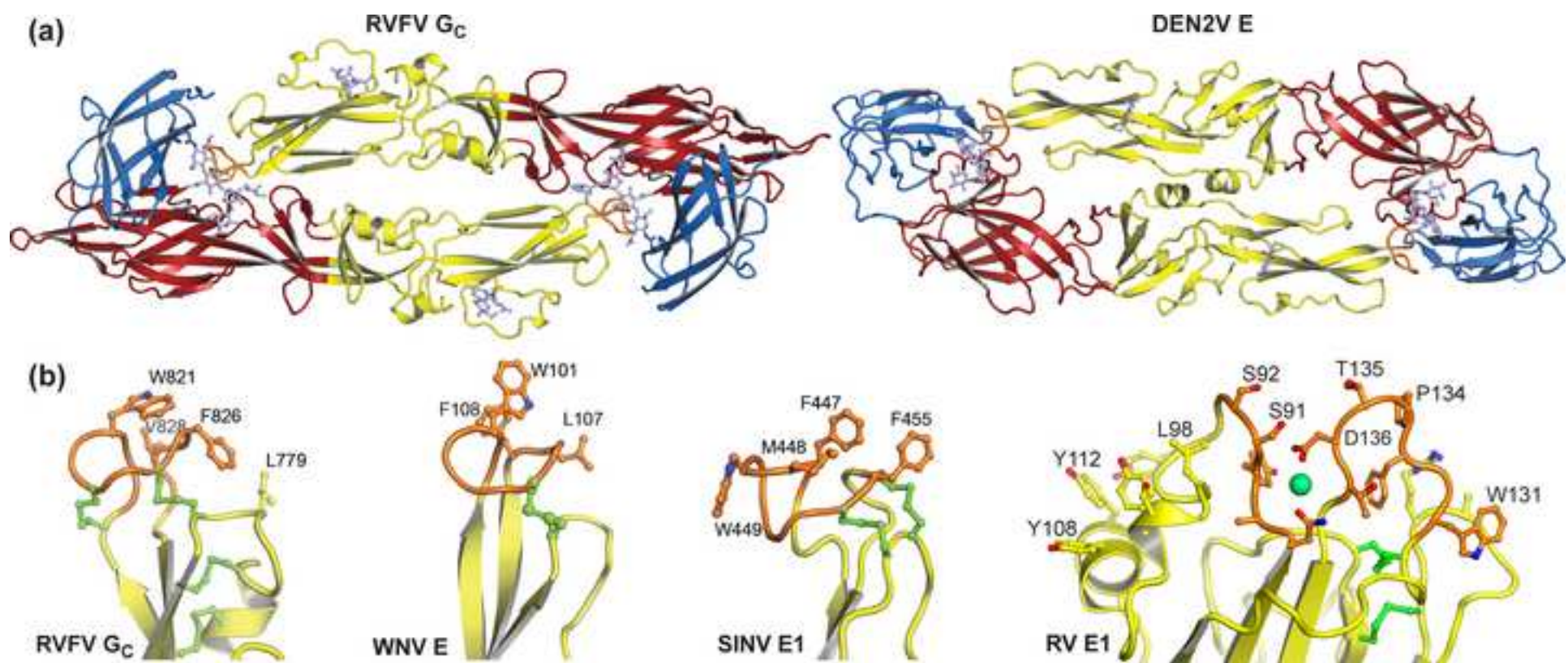


Figure 4
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