

Does soluble TREM2 protect against Alzheimer's disease?

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10 **Abstract**

11 Triggering Receptor Expressed in Myeloid Cells 2 (TREM2) is a pattern recognition receptor on
12 myeloid cells, and is upregulated on microglia surrounding amyloid plaques in Alzheimer's disease
13 (AD). Rare, heterozygous mutations in TREM2 (e.g. R47H) increase AD risk several fold. TREM2
14 can be cleaved at the plasma membrane by metalloproteases to release the ectodomain as soluble
15 TREM2 (sTREM2). Wild-type sTREM2 binds oligomeric amyloid beta (A β) and acts as an
16 extracellular chaperone, blocking and reversing A β oligomerization and fibrillization, and preventing
17 A β -induced neuronal loss in vitro. Whereas, R47H sTREM2 increases A β fibrillization and
18 neurotoxicity. AD brains expressing R47H TREM2 have more fibrous plaques with more neuritic
19 pathology around these plaques, consistent with R47H sTREM2 promoting A β fibrillization relative
20 to WT sTREM2. Brain expression or injection of wild-type sTREM2 reduces pathology in amyloid
21 models of AD in mice, indicating that wild-type sTREM2 is protective against amyloid pathology.
22 Levels of sTREM2 in cerebrospinal fluid (CSF) fall prior to AD, rise in early AD, and fall again in late
23 AD. People with higher sTREM2 levels in CSF progress more slowly into and through AD than do
24 people with lower sTREM2 levels, suggesting that sTREM2 protects against AD. However, some of
25 these experiments can be interpreted as full-length TREM2 protecting rather than sTREM2, and to
26 distinguish between these two possibilities, we need more experiments testing whether sTREM2 itself
27 protects in AD and AD models, and at what stage of disease. If sTREM2 is protective, then treatments
28 could be designed to elevate sTREM2 in AD.

29 **1 Introduction**

30 **1.1 TREM2**

31 Triggering Receptor Expressed in Myeloid Cells 2 (TREM2) is a pattern recognition receptor found
32 on the plasma membrane of myeloid cells. When activated by ligands, such as phospholipids,
33 lipoproteins and amyloid beta peptide (A β), TREM2 induces an innate immune response, which
34 includes phagocytosis, chemotaxis, and transcriptional changes (Keren-Shaul et al., 2017; Deczkowska

35 et al., 2020; Kulkarni et al., 2021). TREM2 signalling is mainly via binding DAP12 (DNAX-activating
 36 protein of 12 kDa), which activates Syk tyrosine kinase (Deczkowska et al., 2020). Within the brain,
 37 TREM2 is almost uniquely expressed by microglia, and is upregulated on microglia around amyloid
 38 plaques in AD (Giraldo et al., 2013; Yuan et al., 2016; Brendel et al., 2017). Rare, heterozygous
 39 mutations of TREM2 are known to affect AD risk, including the R47H mutation, which increases AD
 40 risk several fold (Guerreiro et al., 2012; Jonsson et al., 2013; Giraldo et al., 2013; Kulkarni et al., 2021).
 41 These mutations are thought to increase AD risk by reducing the protective roles of microglial TREM2,
 42 in particular by reducing microglial phagocytosis of amyloid plaques (Condello et al., 2015; Yuan et
 43 al., 2016).

44 1.2 sTREM2

45 TREM2 is a single-pass type I transmembrane protein with a small C-terminal on the cytosolic side of
 46 the plasma membrane, and an N-terminal ectodomain that includes the ligand binding site (Zhong &
 47 Chen 2019; Yang et al., 2020). However, the ectodomain of TREM2 is shed from cells expressing
 48 full-length TREM2 into the extracellular medium, and is then known as soluble TREM2 (sTREM2)
 49 (Piccio et al., 2008; Wunderlich et al., 2013). The turnover of full-length TREM2 on macrophages is
 50 very rapid with a half-life of less than one hour, because of constitutive cleavage of full-length TREM2
 51 and shedding of sTREM2 (Thornton et al 2017). The proteases responsible for shedding sTREM2
 52 include A Disintegrin And Metalloproteases 10 and 17 (ADAM10 and ADAM17), and this cleavage
 53 occurs at the H157-S158 peptide bond (Thornton et al., 2017; Schlepckow et al., 2017). ADAM10 and
 54 17 appear to be responsible for sTREM2 release induced by lipopolysaccharide (LPS), whereas the
 55 protease meprin β constitutively cleaves TREM2 (predominately at the R136-D137 peptide bond) to
 56 release sTREM2 from macrophages (Berner et al., 2020). However, it is unclear whether meprin β can
 57 generate sTREM2 in microglia. After shedding of sTREM2, the remaining part of TREM2 may be
 58 cleaved within the membrane by γ secretase (Wunderlich et al., 2013). The very rapid and inducible
 59 turnover of TREM2 to generate sTREM2 suggests either that i) TREM2 levels need to be regulated
 60 very rapidly, or ii) that sTREM2 has a function, and full-length TREM2 is a precursor of this functional
 61 sTREM2.

62 1.3 Regulation of sTREM2 shedding

63 Conditions that increase or decrease sTREM2 shedding from full-length TREM2 are not clear, but LPS
 64 or IL-1 β can induce sTREM2 release from primary mouse microglia (Zhong et al., 2019). Also,
 65 oligomeric A β , which can bind both full-length TREM2 and sTREM2, induced shedding of sTREM2
 66 for TREM2-overexpressing cells (Vilalta et al., 2021), suggesting that sTREM2 shedding may be
 67 induced prior to and during AD as a result of A β oligomerization. CSF sTREM2 levels increase in
 68 amyloid mouse models and correlate with microglial activation (Brendel et al., 2017). Viral infection
 69 of the lungs can increase sTREM2 levels post-infection, due to IL-13 or IL-4 induced sTREM2
 70 shedding (Wu et al., 2015). And HIV viral infection of the brain increases CSF levels of sTREM2
 71 (Gisslén et al., 2018). sTREM2 levels in CSF are thought to be a biomarker of microglial activation
 72 (Orihashi R & 2021), although there is limited evidence for this in vivo (Bekris et al., 2018; Rauchmann
 73 & 2020; Pascoal et al., 2021), and sTREM2 may itself cause microglial activation (see below). CSF
 74 sTREM2 levels rise with age in humans from about 2 ng/ml at 43 years to 6 ng/ml at 80 years of age
 75 (Henjum et al., 2016).

76 1.4 Alternative forms of sTREM2

77 *TREM2* can be expressed via alternative splicing as a soluble isoform, lacking the transmembrane form,
78 and this alternative sTREM2 may constitute 25% of total sTREM2 in the brain (Ma et al., 2016; Del-
79 Aguila et al., 2019). This again suggests that sTREM2 has a function, rather than being simply a
80 degradation product of full-length *TREM2*. The sTREM2 generated by alternative splicing would be
81 201 amino acids residues long (after removal of the signal peptide); the sTREM2 generated by
82 ADAM10 or 17 would be 157 amino acids residues long; and the sTREM2 generated by meprin β
83 would be 136 amino acids residues long (plus shorter forms) (Berner et al., 2020). The ectodomain of
84 *TREM2* and sTREM2 is highly glycosylated at Asn20 and Asn79, so the apparent molecular weight
85 of full-length *TREM2* on electrophoresis gels is about 50 kDa when fully glycosylated, and about 25
86 kDa when deglycosylated (Ma et al., 2016). The apparent molecular weight of sTREM2 in CSF is 30-
87 35 kDa (Ma et al., 2016), implying that almost half the apparent weight of sTREM2 is sugars, and that
88 different glycosylation states coexist. The alternative mechanisms of sTREM2 generation are
89 illustrated in Fig 1.

90 **1.5 sTREM2 degradation**

91 Processes responsible for degradation and clearance of extracellular sTREM2 are unclear, although it
92 has been found that macrophages readily take up sTREM2 (Wu et al., 2015), and sTREM2 injected
93 into mouse brain is cleared from the brain within 3 days (Zhong et al., 2019). Membrane-attached
94 meprin β generates sTREM2 constitutively, but inflammation-induced ADAM10/17 releases soluble
95 meprin β , which can rapidly degrade sTREM2 (Berner et al., 2020). However, it is unclear whether
96 meprin β contributes to sTREM2 production or degradation in the brain.

97 **2 Actions of sTREM2**

98 **2.1 sTREM2 activates microglia**

99 sTREM2 treatment of macrophages induced phosphorylation of ERK1/2 (extracellular signal-
100 regulated kinases 1 and 2) and inhibited apoptosis (Wu et al., 2015). Similarly, sTREM2 treatment of
101 microglia in culture promoted survival by inhibiting apoptosis, apparently via activation of Akt (Zhong
102 et al., 2017). In addition, sTREM2 induced inflammatory activation of cultured microglia via nuclear
103 factor- κ B, resulting in morphological activation and release of pro-inflammatory cytokines (Zhong et
104 al., 2017). sTREM2 also stimulated migration and phagocytosis by primary microglia in culture (Zhong
105 et al., 2019). Injection of sTREM2 into the brains of mice expressing the amyloid precursor protein
106 (APP) induced activation and proliferation of microglia, plus increased expression of pro-inflammatory
107 cytokines, and increased microglial phagocytosis of A β (Zhong et al., 2019). Injection of sTREM2 into
108 the brains of healthy mice also induced expression of pro-inflammatory cytokines (Fassler et al., 2021).
109 A fragment of sTREM2 (amino acids 51-81) was sufficient to activate microglia (Sheng et al., 2021).
110 Thus, sTREM2 activates microglia, although the mechanism of this activation is unclear.

111 **2.2 sTREM2 blocks A β aggregation and neurotoxicity**

112 sTREM2 is known to bind oligomeric A β , with minimal binding to monomeric or fibrillar A β (Zhao
113 et al., 2018; Zhong et al., 2018; Lessard et al., 2018; Vilalta et al., 2021). Subsequently, it was found
114 that sTREM2 blocked A β oligomerisation and fibrillization at a molar ratio of 1 sTREM2 to 100 A β
115 (Kober et al., 2020; Vilalta et al., 2021), and at higher molar ratios sTREM2 disaggregated A β
116 oligomers and fibrils (Vilalta et al., 2021). Wild-type sTREM2 also inhibited A β -induced
117 permeabilization of artificial membranes, and inhibited A β -induced neuronal loss in glial-neuronal
118 cultures (Vilalta et al., 2021). These results suggest that wild-type sTREM2 may act as extracellular

119 chaperone for A β , blocking its folding into aggregatable forms and refolding aggregates into soluble
 120 forms, thereby inhibiting the neurotoxicity of A β . In contrast, R47H sTREM2 bound less to A β
 121 oligomers, but increased A β aggregation into protofibrils, and increased A β -induced neuronal loss in
 122 glial-neuronal cultures (Vilalta et al., 2021). Thus, R47H sTREM2 may not only lose a
 123 neuroprotective function, but also gain a neurotoxic function in the presence of A β , probably by folding
 124 A β into more toxic forms (see Figure 2).

125 **2.3 sTREM2 protects against amyloid pathology in mice**

126 sTREM2 injection into the brains of mice expressing APP reduced amyloid plaque load (Zhong et al.,
 127 2019). Furthermore, viral expression of sTREM2 in the APP-expressing mice, reduced plaque load and
 128 and reversed deficits of spatial memory and long-term potentiation (Zhong et al., 2019). Thus, sTREM2
 129 is protective against amyloid pathology in mice, and this might be by sTREM2 affecting A β
 130 aggregation and/or sTREM2 activating microglia to phagocytose plaques. A fragment of sTREM2
 131 (amino acids 51-81) was sufficient to activate microglia, but not to bind A β and reduce amyloid
 132 pathology in vivo; whereas a 41-81 fragment of sTREM2 bound A β and reduced amyloid pathology
 133 in vivo better than full-length sTREM2 (Sheng et al., 2021). This suggests that sTREM2 protects
 134 against amyloid pathology mainly by binding A β .

135 TREM2 knockout mice, crossed with APP-expressing mice, have more fibrous and less compact
 136 plaques (Condello et al., 2015; Yuan et al., 2016; Wang et al., 2016; Song et al., 2018), and while this
 137 has been attributed to less microglial phagocytosis of the plaques because of less full-length TREM2,
 138 the result might alternatively be due to sTREM2 blocking A β aggregation and/or sTREM2 activating
 139 microglia to phagocytose plaques. TREM2 knockout mice have increased A β seeding (Parhizkar et
 140 al., 2019), which again could be explained by reduced microglial phagocytosis of A β seeds mediated
 141 by full-length TREM2, or reduced blocking of A β aggregation by sTREM2. In 5xFAD mice expressing
 142 wild-type human TREM2, sTREM2 was found bound to the amyloid plaques (Song et al., 2018),
 143 consistent with sTREM2 having a role in regulating plaques. Note that the ability of sTREM2 to block
 144 A β aggregation and to disaggregate A β , might be shared with full-length TREM2, as they both bind
 145 A β oligomers (Vilalta et al., 2021), but this has not been tested. Humans (and mice) with heterozygous
 146 R47H TREM2 have more fibrous plaques with more neuritic pathology (Yuan et al., 2016), which
 147 again might be explained by either R47H sTREM2 promoting A β fibrillation, or by reduced microglial
 148 phagocytosis of plaques.

149 **3 Evidence that sTREM2 is protective against AD in humans**

150 CSF levels of sTREM2 fall significantly in early pre-symptomatic stages prior to AD diagnosis (when
 151 amyloid is aggregating), but rise during mild cognitive impairment (MCI) and AD (when tau is
 152 aggregating), and fall again during the dementia stages of AD (Heslegrave et al., 2016; Piccio et al.,
 153 2016; Suárez-Calvet et al., 2016; Bekris et al., 2018; Liu et al., 2019; Suárez-Calvet et al., 2019;
 154 Rauchmann et al., 2019; Nordengen et al., 2019; Ma et al., 2020). People with higher CSF levels of
 155 sTREM2 progress more slowly through MCI and AD, in terms of memory loss, clinical score and brain
 156 atrophy (Ewers M et al., 2019, 2020; Franzmeier et al., 2020; Edwin et al., 2020). And this apparent
 157 protective effect of sTREM2 correlated with reduced amyloid and Tau aggregation measured by PET
 158 (Ewers M et al., 2020), consistent with sTREM2 reducing amyloid aggregation and pathology.

159 However, these apparent protective effect of high sTREM2 has been attributed to full-length TREM2,
 160 rather than sTREM2, on the untested assumption that high sTREM2 levels indicates high TREM2

161 levels, as a result of constant shedding. However, if elevated sTREM2 results from elevated shedding,
162 which is for example induced by oligomeric A β (Vilalta et al., 2021), then this will reduce full-length
163 TREM2. Thus, elevated levels of sTREM2 do not necessarily indicate that levels of full-length TREM2
164 are elevated, and the apparent protective effect of sTREM2 against AD may be more simply explained
165 by sTREM2 itself being protective.

166 GWAS studies of gene variants that affect the CSF levels of sTREM2 identified the membrane-
167 spanning 4-domains superfamily A (*MS4A*) gene cluster as key determinants of sTREM2 levels in CSF
168 (Piccio et al., 2016; Deming et al., 2019; Hou et al., 2019). This gene region had previously been linked
169 to AD risk (Hollingworth et al., 2011; Naj et al., 2011; Lambert et al., 2013). For example, rs1582763
170 increased brain expression of *MS4A4A* and *MS4A6A* genes, increased sTREM2 levels in CSF, reduced
171 AD risk and increased age of AD diagnosis. While rs6591561 resulted in a loss-of-function *MS4A4A*,
172 reduced CSF sTREM2 levels, increased AD risk and reduced age at AD onset (Deming et al., 2019).
173 *MS4A4A* and TREM2 were found to colocalize at the plasma membrane, and overexpression of
174 *MS4A4A* increased sTREM2 levels, whilst silencing of *MS4A4A* reduced sTREM2 levels (Deming et
175 al., 2019). This suggests that *MS4A4A* may affect AD risk by promoting sTREM2 shedding, and if so,
176 indicating that sTREM2, rather than full-length TREM2 is protective against AD. However, further
177 work is required to establish whether *MS4A4A* directly affects sTREM2 shedding.

178 **4 Evidence against the hypothesis that sTREM2 protects**

179 One piece of evidence potentially contradicting a protective role of sTREM2 in AD, is that the H157Y
180 mutation of TREM2 expressed in cells significantly increased sTREM2 shedding relative to wild-type
181 TREM2, resulting in increased sTREM2 and decreased full-length TREM2, but is associated with
182 increased AD risk (Schlepckow et al., 2017; Thornton et al., 2017). This suggests that the increased
183 AD risk associated with the H157Y mutation is due to decreased full-length TREM2 or increased
184 sTREM2, contradicting the hypothesis that sTREM2 is protective against AD. However, the H157Y
185 mutation only increased shedding by about 50%, and this was from HEK293 cells (Schlepckow et al.,
186 2017; Thornton et al., 2017), so it may be difficult to extrapolate to sTREM2 levels in human brains.
187 Additionally, the H157Y mutation would constitute the C-terminal of sTREM2, and might affect its
188 properties, such as its interactions with A β . Thus, it would be important to determine whether this
189 mutation does indeed increase CSF levels of sTREM2 in humans, and whether H157Y sTREM2 has
190 the same protective properties as wild-type sTREM2.

191 Other evidence potentially contradicting the hypothesis that sTREM2 protects against AD is the finding
192 of Schlepckow et al., 2020 that an antibody binding to the ADAM cleavage site of TREM2 prevented
193 sTREM2 release, but reduced plaques load in an amyloid mouse model. However, the antibody used
194 directly activated TREM2 signalling, so the reduced plaque load may result from this signalling
195 (Schlepckow et al., 2020). Additionally, the compaction of these plaques, neuritic pathology and
196 memory loss were not tested in this model.

197 **5 Discussion**

198 **5.1 Is TREM2 or sTREM2 protective in Alzheimer's disease?**

199 It appears that either TREM2 or sTREM2 are protective in Alzheimer's disease, but which? TREM2
200 is thought to be protective by i) recruiting and activating microglia into a protective state around
201 amyloid plaques, and ii) compacting amyloid plaques by phagocytosis of A β , preventing the plaques
202 inducing neuritic pathology (Condello et al., 2015; Yuan et al., 2016; Keren-Shaul et al., 2017).

203 Whereas, sTREM2 is thought to be protective by: i) stimulating microglial recruitment, activation and
 204 phagocytosis of A β , and/or ii) blocking and reversing A β aggregation, preventing neurotoxicity
 205 (Zhong et al., 2019; Vilalta et al., 2021). Thus, the putative protective effects of TREM2 and sTREM2
 206 are complimentary rather than antagonistic, and potentially both may be protective against Alzheimer's
 207 disease. However, it is still important to verify that TREM2 and/or sTREM2 are in fact protective.

208 **5.2 Key experiments to determine whether sTREM2 is protective against AD**

209 Some of evidence indicating that sTREM2 is protective against AD, may alternatively be interpreted
 210 as full-length TREM2 is protective. Thus, there is a need for experiments that distinguish between
 211 these possibilities, or directly show that sTREM2 is protective. The most direct way to show that is to
 212 add or express sTREM2 independent of full-length TREM2 and test whether this is protective in AD
 213 models. This has been done for a mouse amyloid model and found to be protective (Zhong et al.,
 214 2019), but this was relatively acute model, and it would be important to test this in other models,
 215 particularly more chronic and AD-relevant models. Within such models, it would be important to test
 216 whether sTREM2 can block A β aggregation, or disaggregate preformed plaques or oligomers. It would
 217 also be useful to know whether A β oligomers in AD CSF are significantly bound to sTREM2, and
 218 whether physiological levels of sTREM2 can disaggregate A β aggregation in CSF. Further, it would
 219 be worth knowing whether the different types of sTREM2 behave differently, including sTREM2
 220 generated by ADAM and meprin β , or by alternative splicing, or H157Y and R62H sTREM2.

221 **5.3 Potential treatment strategies**

222 Current strategies targeting TREM2 in AD have focused on agonistic antibodies to activate TREM2
 223 with the aim of increasing microglial phagocytosis of amyloid plaques (Wang et al., 2020; Fassler et
 224 al., 2021). These antibodies will also bind sTREM2 and potentially block the protective effects of
 225 sTREM2 (Fassler et al., 2021). If sTREM2 is indeed more protective against AD than full-length
 226 TREM2, then antibodies that increased sTREM2 shedding might be beneficial, or other treatments
 227 designed to activate sTREM2 shedding e.g. by activating ADAM10 and ADAM17. Blocking sTREM2
 228 degradation (e.g. by inhibiting meprin β) might increase sTREM2 levels without decreasing full-length
 229 TREM2. sTREM2 and sTREM2 fragments injected into the brain were protective in mouse models of
 230 AD (Zhong et al., 2019; Sheng et al., 2021), but may be difficult to deliver practically in humans.
 231 However, viral vectors expressing sTREM2 in the brain were protective in these mouse models of AD,
 232 and thus might be protective in humans with AD (Zhong et al., 2019).

233 **6 Conflict of Interest**

234 The authors declare that the research was conducted in the absence of any commercial or financial
 235 relationships that could be construed as a potential conflict of interest.

236 **7 Author Contributions**

237 GCB wrote the article PHStGH reviewed and adjusted the article. Both are responsible for its content.

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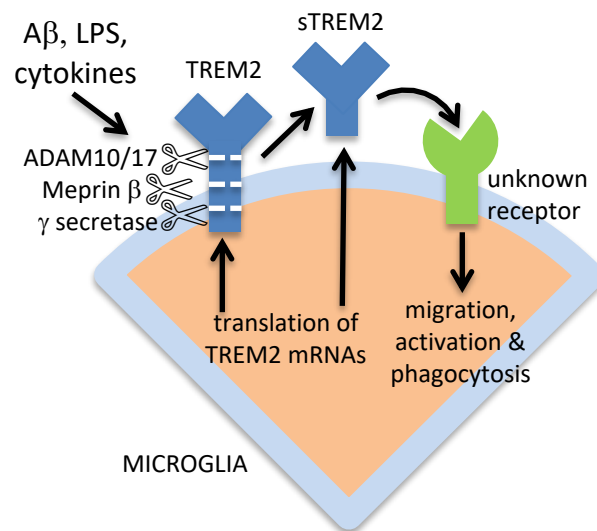
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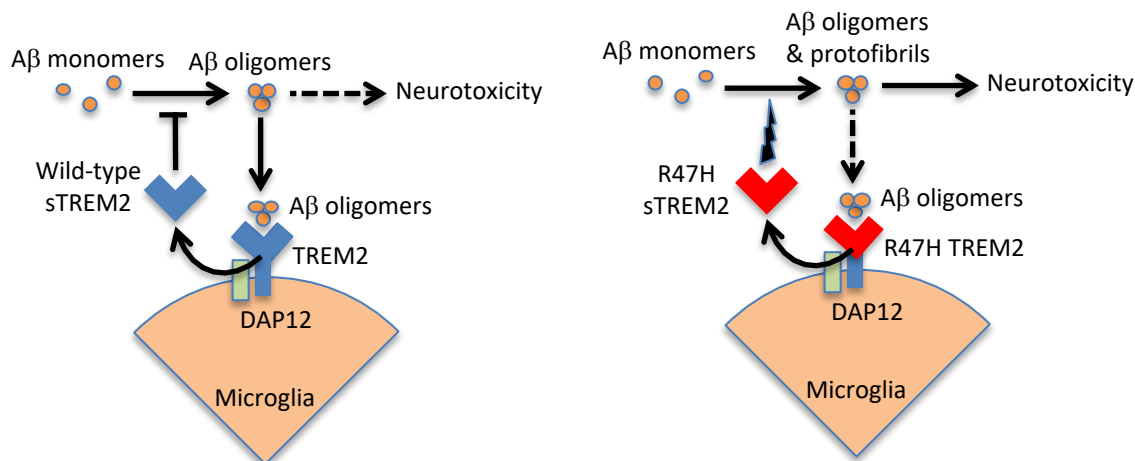
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423



424 **Figure 1. Release of sTREM2 from microglia, and activation of microglia by sTREM2.** sTREM2
 425 may be generated by ADAM10/17 or meprin β proteolysis of full-length TREM2, or from expression
 426 of an isoform lacking the transmembrane domain. γ secretase can cleave the remains of TREM2 within
 427 the membrane to degrade it. Released sTREM2 can chemoattract and activate microglia via unknown
 428 receptors.

429



430

431 **Figure 2. Wild-type sTREM2 blocks Aβ pathology, but R47H TREM2 does the opposite.** Aβ
 432 oligomers bind to TREM2 and induce shedding of sTREM2. Wild-type sTREM2 blocks Aβ
 433 oligomerization, fibrillization and neurotoxicity. R47H sTREM2 increases Aβ oligomerization,
 434 fibrillization and neurotoxicity. Thus, wild-type sTREM2 may protect against amyloid pathology,
 435 while R47H TREM2 exacerbates amyloid pathology. This might help explain why a single copy of the
 436 R47H TREM2 gene increases AD risk several fold.