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Multi-layered genome defences in bacteria

Aleksei Agapov¹, Kate Baker², Paritosh Bedekar¹, Rama Bhatia¹, Tim R. Blower³, Michael A. Brockhurst⁴, Cooper Brown⁵, Charlotte Chong², Joanne L. Fothergill⁶, Shirley Graham⁵, James P. J. Hall⁷, Alice Maestri¹, Stuart McQuarrie⁵, Anna Olina¹, Stefano Pagliara⁸, Mario Recker¹, Anna Richmond¹, Steven J. Shaw⁹, Mark D. Szczelkun⁹, Tiffany B. Taylor¹⁰, Stineke van Houte¹, Sam C. Went³, Edze R. Westra¹, Malcolm F. White⁵, Rosanna Wright⁴

¹ ESI, Centre for Ecology and Conservation, University of Exeter, UK

² Department of Genetics, University of Cambridge, CB2 3EH, UK

³ Department of Biosciences, Durham University, Stockton Road, Durham, DH1 3LE, UK.

⁴ Division of Evolution, Infection and Genomics, School of Biological Sciences, University of Manchester, Dover Street, Manchester M13 9PT, UK

⁵ School of Biology, University of St Andrews, St Andrews KY16 9ST, UK

⁶ Dept of Clinical Infection, Microbiology and Immunology, Institute of Infection, Veterinary and Ecological Sciences, University of Liverpool

⁷ Dept of Evolution, Ecology and Behaviour, Institute of Infection, Veterinary and Ecological Sciences, University of Liverpool L69 7ZB

⁸ LSI, University of Exeter, UK

⁹ DNA-Protein Interactions Unit, School of Biochemistry, University of Bristol, Bristol, BS6 7YB, UK

¹⁰ Milner Centre for Evolution, Department of Life Sciences, University of Bath, Claverton Down, Bath, BA2 7AY, UK

All authors contribute equally

41 **Abstract**

42 Bacteria have evolved a variety of defence mechanisms to protect against mobile genetic
43 elements, including restriction-modification systems and CRISPR-Cas. In recent years, dozens
44 of previously unknown defence systems have been discovered. Notably, diverse defence
45 systems often coexist within the same genome, and some co-occur at frequencies significantly
46 higher than would be expected by chance, implying potential synergistic interactions. Recent
47 studies have provided evidence of defence mechanisms that enhance or complement one
48 another. Here, we review the interactions between defence systems at the mechanistic,
49 regulatory, ecological, and evolutionary levels.

50

51 **Introduction**

52 Almost all bacterial genomes contain mobile genetic elements (MGEs), including phages,
53 plasmids, and transposons. Such MGEs play important roles in bacterial evolution, by
54 mediating movement of genetic material within or between genomes, thus driving horizontal
55 gene transfer (HGT). Although MGE-mediated HGT can accelerate adaptation through
56 spreading ecologically beneficial genes, gaining an MGE can also impose heavy fitness costs
57 upon the host bacterial cell, including in the case of phages the lethal cost of cellular lysis.
58 Consequently, bacterial genomes have evolved myriad defence systems (DSs) that target
59 MGEs or MGE effects upon the cell. However, DSs are a double-edged sword, because
60 although they can help bacteria survive infection by parasitic MGEs, they also limit the spread
61 of potentially beneficial traits within a population via HGT [1]. As such, the interplay between
62 MGEs and DSs is likely to play an important role in shaping bacterial genome evolution.

63

64 We are currently in a period of fast discovery of novel DSs driven by the rapid increase of
65 bacterial genomic data and the development of new bioinformatics tools (see below). It is now
66 evident that a large arsenal of bacterial defences exists, exhibiting high diversity in genomic
67 architecture and complexity, mechanisms of action, and evolutionary origin. Based on their
68 mode of action, prokaryotic DSs can be grouped into three main categories (Figure 1). Firstly,
69 defences such as Restriction Modification (RM) and CRISPR-Cas [2] degrade or modify the
70 nucleic acids of the invading MGEs [2–8]. Secondly, systems like Thoeris [9] block MGE
71 infection by inducing dormancy that can lead to cell death before the MGE spreads. This
72 mechanism is called Abortive infection (Abi) and can be achieved through depletion of
73 essential molecules, such as ATP [10] and NAD⁺ [9,11–13], disruption of the bacterial
74 membrane [14–17] or inhibition of translation [18]. Finally, DSs like the prokaryotic viperins
75 [19] inhibit MGE replication by nucleotide depletion or modification, or synthesis of other
76 small inhibitory molecules [19–21].

77

78 As well as the rapid discovery of novel DSs, we are also learning about their genomic
79 organisation. A key finding is that DSs are often clustered together in regions of the bacterial
80 genome called “defence islands” [22,23]. Indeed, it is this clustering that has enabled DS
81 discovery: bioinformatic tools have been developed that systematically identify novel defence
82 genes based on their genomic vicinity with known DSs, leading to the discovery of dozens of
83 previously-unknown DSs [24–26]. The analysis of defence islands, making use of conserved
84 gene boundaries [27–31] or transposon mutagenesis [31,32] has thus been a fruitful method for
85 detecting new DSs, some of which share ancestry with eukaryotic immune systems [33].
86 Defence islands may themselves be encoded upon MGEs, such as integrative conjugative
87 elements (ICEs), transposons, and prophages, enabling HGT of DSs [27,28,31,34–36] and DS
88 cointegration to form defence hotspots [28,37]. However, while DS co-occurrence has fuelled
89 discovery of novel DSs and their mechanisms (reviewed in [38,39]), we know relatively little
90 of why DSs co-occur in the first place, and if and how these co-occurring systems interact with
91 one another. Here, we review our current understanding of DS interactions, their (co)regulation
92 and evolution.

93

94 **Defence prevalence and co-occurrence**

95 Bacterial genomes contain, on average, 5-6 DSs per genome, with the majority (78%) encoding
96 more than two DSs [40,41]. The most common DSs found in prokaryotic genomes are RM
97 systems (83%), followed by CRISPR-Cas (38%), with the prevalence of most other systems
98 falling below 20% [40]. Studies investigating the DS content of prokaryotic genomes have
99 found that certain sets of DSs are more conserved in certain bacterial genera, suggesting that

100 synergisms between DSs may be advantageous for bacterial survival in phage diverse
101 environments [42,43]. Analysis of the co-occurrence and non-co-occurrence patterns among
102 DSs might point to valuable insights on DS-DS interactions. Indeed, it has been hypothesised
103 that defence islands may form due to synergy between DSs promoting co-localisation and
104 parallel mobilisation similar to the evolutionary forces that result in aggregation of antibiotic
105 resistance and pathogenicity genes [37,42,44]. It has been observed that DSs sharing phage
106 sensing strategies are found to co-occur more often than expected by chance, forming a multi-
107 layered defence [42]. For example, the anti-RM/BREX protein Ocr (overcome classical
108 restriction) can be detected by the DSs' PARIS, Gabija and Zorya Type II, which act as a
109 second line of defence [27,42]. Additionally, experimental data have shown that systems such
110 as RM and CRISPR-Cas work together to increase phage defence [45,46]. However, studies
111 so far have suggested that whilst certain sets of prokaryotic DSs do co-occur, this does not
112 necessarily correlate with a synergistic defence response [42,43]. Therefore, it is likely that
113 there is some functional redundancy within DSs and/or that the selection of defence system
114 combinations is a response to an organism's environment, in line with broader pangenome
115 theory [34,43,47,48]. Apparent discrepancies between co-occurrence and phenotypic
116 synergisms may also reflect a lack of statistical power in studies to date and/or inherent biases
117 in publicly available datasets.

118

119 **Costs and benefits of multi-layered defences**

120 Whilst various studies have tried to elucidate the conditions that favour one DS over another
121 (see e.g., [49–52]), less attention has been paid to the question why many bacteria carry a whole
122 arsenal of multiple DSs. Carrying DSs can impose substantial fitness costs on their hosts due
123 to metabolic burden, potential for autoimmunity due to self-targeting, selfish behaviour of DSs,
124 such as those forming toxin-antitoxin systems, and genetic conflicts between DSs and the rest
125 of the genome [53–57]. Having multiple DSs may increase the costs cumulatively, and
126 investing in multiple DSs may result in reduced performance in other activities like growth and
127 reproduction [58]. In addition, there may be genetic conflict between the different DSs that co-
128 exist in the same genome, including epigenetic conflict where DNA modifications introduced
129 by one DS cause autoimmunity by another DS [59]. Obviously, for selection to favour bacteria
130 with multiple DSs, the benefits need to outweigh these costs. Recently, several potential
131 benefits of carrying multiple DSs have been put forward.

132

133 First, the most widely explored benefit of carrying multiple DSs is that it increases the levels
134 and durability of resistance. For example, the co-existence and simultaneous action of RM and
135 CRISPR-Cas reduces the frequency of phage escape and increases the rate of CRISPR
136 immunity acquisition [45,46,60]. In the case of type VI CRISPR-Cas systems, which induce a
137 dormancy response [61], co-occurrence with RM not only increases the ability to clear phage
138 infections but also the recovery from the dormancy response [62]. In other cases, simultaneous
139 DS activity can lead to synergy through complementary action. For example, the co-occurrence
140 of Type I BREX and Type IV RM reduces the success of epigenetic mutants that can overcome
141 BREX, because unmodified phages are restricted by BREX whereas modified phages are
142 restricted by the Type IV RM [32]. In other cases, synergy may emerge through sequential
143 action of different DSs. For example, phage-mediated inhibition of RecBCD innate immunity
144 triggers retron-mediated Abi [17]. In this case, the second layer of defence safeguards the
145 primary layer, ensuring that programmed cell death is not activated unless phages by-pass the
146 first layer of defence, as recently explored mathematically in [63]. A second reason why

147 bacteria may need multiple DSs is to provide a division of labour, with each defence
148 specialising on a subset of MGEs (Figure 1). For example, Wadjet cleaves closed-circular DNA
149 substrates and protects bacteria from acquiring small plasmids [64–66], whereas Abi systems
150 are frequently triggered through pattern recognition of conserved proteins associated with
151 phages [18,67,68]. Finally, different DSs may be active under different environmental
152 conditions [69] (Figure 1). This is supported by the idea that selection for different types of
153 defences strongly depends on ecological variables [70], and that expression of defences can be
154 controlled by different environmental cues [71].

155

156 Consequently, selection for multiple DSs is likely to depend on the environmental conditions,
157 such as the force of infection, the diversity of MGEs, as well as the wider biotic and abiotic
158 environment. For example, within complex microbial communities, cells may interact with
159 multiple MGEs, some beneficial and some harmful, while facing increased competition (from
160 other community members) for resources. Such communities may impose additional selection
161 pressures, leading to the effects of certain DSs being enhanced or dampened. [72]. Moreover,
162 increased phage diversity makes it difficult for one system to be effective against all, while
163 increased phage abundance necessitates an economically optimized immune response; both
164 scenarios may promote the evolution of multi-layered defence. Phage diversity can also impose
165 a trade-off for phages (host infectivity vs inter-viral competition). This may lead to adoption
166 of novel strategies, for example by increasing the selective advantage gained from infecting
167 resistant cells, which may lead to the evolution of anti-DS systems and thus increase the
168 benefits of having multi-layered DSs [73]. Challenging environmental conditions can dictate
169 investment into DSs. For example, limited nutrient levels can raise fitness costs associated with
170 multiple DSs, environmental niche can determine the number of maintained DSs, or rapid
171 turnover of environment may maximise diversity [22,74]. Exclusion of foreign genetic material
172 may not always be beneficial to the cell, potentially creating conflict between the host and DSs.
173 For example, as HGT allows bacteria to adapt to environmental challenges, DSs can act as
174 barriers against the acquisition of beneficial DNA. In such cases, certain DSs may negatively
175 affect host fitness. MGEs may also use DSs for MGE-MGE conflict by hijacking DSs to defend
176 against competitors [27,75]. Therefore, fitness interests of host and individual DS may not
177 always align, which may result in selection of multi-layered DS [27,76].

178

179 **Regulation of defence activity**

180 Regulation of DSs activity may minimize costs and maximize benefits of DSs and can occur
181 both at the transcriptional and post-translational level.

182

183 ***Transcriptional and post-transcriptional regulation***

184 Given that DSs are not commonly found in isolation, we have little understanding on how these
185 systems are regulated to facilitate a coordinated (and potentially layered) response to infection
186 by MGEs. There are features of collective DSs that are suggestive of coordinated expression;
187 such as co-localisation on ‘islands’, or clustering within single operons [32]. This organisation
188 will require transcriptional regulation at a global level, or through dedicated regulators of
189 islands and operons. Coordinated regulation would further suggest the potential for an
190 organised prokaryotic immune system [31].

191

192 Multiple global inputs have been demonstrated to regulate defence responses [77]. If cell
193 density is very high, a population might be particularly vulnerable to phages. In turn, quorum
194 sensing, used to monitor population density, has been shown to regulate multiple defences

195 including CRISPR-Cas [78], dCTP deaminase and Lamassu [79] at the transcriptional level
196 (Figure 2). Stress responses and cell metabolic status also regulate defence, either suppressing
197 or inducing CRISPR-Cas [80–82] (Figure 2). Post-transcriptional methods of regulating
198 defence are also beginning to emerge, such as Rsm/Csr mediated binding of transcripts and
199 suppression of type I and III CRISPR-Cas in *Serratia* [83].

200

201 Defence islands have also been found to carry their own regulatory elements. The defence
202 island of plasmid pEFER contains an operon encoding both a BREX system and a GmrSD type
203 IV restriction homologue, BrxU [32]. A recent study identified a WYL-domain protein, BrxR,
204 negatively regulating operon expression [84] (Figure 2). Homologues of BrxR were also
205 identified controlling BREX in *Acinetobacter* [85] and CBASS [86], and searches identified
206 BrxR associated with a wide variety of other defences [84]. This is the first example of a
207 predominantly defence-associated regulator, and the presence of the WYL domain suggests
208 control via the detection of nucleic acids [87]. Understanding how defence island regulatory
209 elements integrate with global regulatory inputs is essential for understanding the spread and
210 maintenance of horizontally acquired DSs.

211

212 ***Post-translational regulation***

213 The mechanisms used by DSs to detect viral infection are diverse and can broadly be divided
214 into Direct and Indirect detection. Direct detection involves sensing of early signals of
215 infection, including phage DNA (e.g. by the RM, CRISPR and DISARM systems [26]); DNA
216 replication machinery (e.g. detection of phage SSB by Retron-Eco8 and phage primase-
217 helicase by Lamassu [67]); or a specific phage RNA by type I CBASS [88]. Systems with these
218 sensing mechanisms typically constitute the frontline anti-MGE defences and are amongst the
219 most widespread DSs in bacteria [40,89]. Later signals include direct detection of phage
220 structural proteins (for example by PYCSAR [13] and CBASS [90]), or detection of phage
221 anti-defence proteins, such as Ocr by the PARIS defence system [27]. Indirect signals of
222 infection can provide a second line of defence. For example, the detection of DNA degradation
223 products, arising from either frontline defences or damage to host DNA incurred from viral
224 attack, results in activation of the RloC nuclease which degrades tRNA [91]. Inhibition of host
225 RNA polymerase and altered cellular transcription can result in the activation of toxins that
226 have an RNA antitoxin – a notable example being the dCTP deaminase defence enzyme [92].
227 DSs commonly also act to deplete specific nucleotides [10,20], to slow down cell metabolism
228 and viral replication kinetics. As infection progresses, perturbation of the nucleotide pools and
229 depletion of ATP may also act as an activation signal for defence. Some second line DSs are
230 activated on detection of inhibited frontline defences – for example the Ec48 Retron is activated
231 on encountering phage-inhibited RecBCD [17]. Thus, the activation of DSs is highly varied,
232 allowing for the possibility of synergistic action and control of timing.

233

234 **Evolution of novel defences and defence combinations**

235 Evolution of phage and other MGEs to overcome bacterial defence is likely an important driver
236 of both the acquisition and loss of DSs from bacterial genomes, as well as the evolution of
237 novel DSs. In the short term, MGEs may evolve to overcome DSs through epigenetic
238 modifications or point mutations in genes whose products are recognised by the bacterial DSs,
239 such as phage structural proteins or RecBCD inhibitors [67,93]. However, given that point
240 mutations are often costly to the MGE, more sophisticated low-cost counter-defence
241 mechanisms may evolve over longer timescales to specifically block bacterial DS functions,

242 which in turn may favour bacteria that acquired additional DSs. Carrying additional DSs not
243 only "renews" the levels of protection against MGE infection but can also interfere with the
244 deployment of counter-defence genes. Specifically, infection studies with phage that encode
245 an anti-CRISPR (*acr*) counter-defence gene showed that bacteria that carry both MADS and
246 CRISPR-Cas immune systems were less susceptible to the emergence and spread of phages
247 that overcome MADS, compared to bacteria that carry only MADS [31]. Synergy between
248 MADS and CRISPR-Cas emerged in this case because the ancestral Acr-encoding phage were
249 unable to infect bacteria due to MADS activity, whereas rare MADS escaper phages were
250 unable to amplify on CRISPR-immune bacteria because their density was below the critical
251 density that supports cooperation and amplification of phage with Acr [94,95].

252
253 As detailed above, most defence-dedicated systems are found among accessory genes,
254 implying frequent DS transmission between bacteria [22,24,96]. The association between
255 defence and HGT led to the 'pan-immune' hypothesis, which posits that microbial
256 communities possess a dispersed, shared immune system that community members draw on
257 for protection [34]. While such an immune system provides protection against parasitic MGEs,
258 several recent studies have shown that many DSs are themselves encoded in MGEs such as
259 prophages and conjugative elements [27,76,96,97]. Carriage by MGEs enables DSs to transfer
260 efficiently between cells by transduction or conjugation, and as different MGEs come and go,
261 DSs will likewise be re-shuffled and rapidly turned over, resulting in different complements
262 between closely related strains [98]. Access to the defence arsenal is obstructed not only by
263 generic factors that restrict HGT (e.g., sequence length is known to be a major barrier,
264 especially during transduction [96]), but also by MGE-MGE interactions and the presence of
265 resident DSs. After being transferred to a new cell, DS combinations are then subject to natural
266 selection arising from genetic context (e.g., metabolic burden, self-targeting) and
267 environmental conditions (e.g., force of phage infection, nutrient availability), often resulting
268 in loss from most recipient cells, but occasionally resulting in powerful new multi-layer
269 defence.

270
271 Besides the variability from DS gain and loss, another level of variability is represented by
272 gene swapping among DSs [99]. DSs are often modular, and different DSs sometimes use the
273 same domains for signalling, regulation or as effectors. For example, nucleases cleave DNA or
274 RNA, which can cause cell death if the chromosome is targeted. DNA methyltransferases can
275 provide protection against such auto-immunity, but also influence gene expression more widely
276 [100]. ATPases (helicases, AAA+ ATPases, ABC transporter families, etc.) manipulate DNA
277 structure and can also sense infection, activating effectors. NTPases, deaminases and cyclases
278 modulate nucleotide pools to deplete resources or signal infection while Toll/interleukin-1 (IL-
279 1) receptor (TIR) and Silent information regulator 2 (Sir2 or sirtuins) proteins deplete NAD⁺
280 for programmed cell death [5,10,11,13,101,102]. Many domains identified have unknown
281 functions and/or targets. The evolution of new defences could arise by shuffling and novel
282 combinations of such modules. There is clear evidence for exchange within systems (reviewed
283 in [99]) In practice, for instance, Type I RM DNA specificity subunits can complement in trans
284 (e.g., from a plasmid [103]), and undergo dynamic genetic rearrangements that facilitate phase
285 variation within otherwise clonal bacterial populations [104]. The exchange of protein modules
286 driven by MGEs such as transposons and between-host signalling systems may form the basis
287 for the evolution of complex defence systems, as has been proposed for the adaptation and
288 interference modules of CRISPR-Cas immune systems [105].

289

290 **Conclusion and outlook**

291 The co-occurrence of multiple and layered DSs within a single bacterium is likely to have
292 arisen through a co-evolutionary arms race between bacteria, phages and other MGEs, played
293 out along different cost-benefit axes. The apparent benefit against evolvable counter-defence
294 mechanisms and phenotypic diversity of MGEs will be offset by both additional metabolic
295 costs and antagonistic interactions that may prevent uptake of potentially beneficial MGEs.
296 The exact compositions of required molecular machineries needed to coordinate layered DSs
297 will thus be strongly affected by prevailing environmental conditions and the individual
298 mechanisms of the combined DSs. Understanding the combinatorial problem of multi-layered
299 defence will provide insight into bacterial evolution, the viability of phage therapies, and our
300 own immune systems.

301

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308

309 **Declaration of interest**

310 AM and EW are inventors on patent GB2303034.9.

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- 392 This paper elegantly shows that the fitness advantage provided by defence systems is
393 contingent to the ecological context: defence systems carried by phage satellites, which
394 parasitize their helper phages for their own replication, hence imposing a fitness costs to their
395 helpers most of the times, can actually provide fitness advantage to their helper phages
396 when helpers are involved in competition with other phages.
- 397
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636 **Figure 1.** Overview of multi-layered defence. The three main modes of action of DSs are
637 shown in the frames: targeting viral nucleic acids, abortive infection or dormancy, inhibition
638 of phage by small molecules. A combination of diverse DSs protects the host from wide range
639 of MGEs. Environmental factors such as presence of nutrients or antibiotics favour certain
640 types of DSs. Created with BioRender.com.

641

642 **Figure 2.** Mechanisms of transcriptional and post-translational regulation of DS. Mechanisms
643 of regulation are shown in bold text. Defence systems are shown in grey italicised text and
644 regulation mechanisms which activate respective systems are indicated by grey dashed arrows.
645 Created with BioRender.com.

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647 **Figure 3.** Mobility of defence systems and modules. Left: Acquisition of new systems from
648 MGE's in plasmids and prophages provides new defence diversity, whilst module switching
649 between systems develops variability. Right: A small selection of shared domains have been
650 highlighted between different DS's. Many DS's share similar domains, demonstrating the
651 versatility of this module exchange, and some systems have very diverse variants. For example,
652 CBASS and PYCSAR are known to utilise a conserved sensor domain linked to variable
653 effectors, such as REases or NADases. Some domains have also adapted their target to fit
654 different systems, an example of this are nucleases. MGE-targeted nucleases target the
655 invading DNA whilst protecting the self, whereas host-targeted nucleases often lead to abortive
656 infection or growth arrest by targeting the host DNA or RNA. Created with BioRender.com.